LEGISLATIVE SUMMARY SHEET Tracking No. 0253-19

DATE: August 16, 2019

AN ACT RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND THE BUDGET AND FINANCE COMMITTEE; A RECOMMENDATION BY THE OVERSIGHT COMMITTEE TO THE BUDGET AND FINANCE COMMITTEE TO VACATE CERTAIN CONDITIONS OF APPROPRIATION (COA'S) AS THEY PERTAIN TO UPDATES OF CERTAIN PLANS OF OPERATION FOR PROGRAMS WITHIN THE LEGISLATIVE BRANCH AS REQUIRED IN CS-68-18, NAVAJO NATION COMPREHENSIVE BUDGET FOR FISCAL YEAR 2019 AND ACCEPTANCE BY THE BUDGET AND FINANCE COMMITTEE OF THE OVERSIGHT COMMITTEE RECOMMENDATION

PURPOSE: Vacating COA No. 1.

This written summary does not address recommended amendments as may be provided by the standing committees. The Office of Legislative Counsel requests each Council Delegate review the proposed resolution in detail.

SCHOOL STREET,	HOLD PERIOD: Naabik'íyáti'	ommittee
	Date: 8-24-19 Budget & Finance C	Thence
	Action: 8-25-19 Budget & Finance C	ommittee
1	PROPOSED NAVAJO NATION COMMITTEE RESOLUTION	
2	24th NAVAJO NATION COUNCIL—First Year, 2019	
3	INTRODUÇED BY	
4	1000000000000000000000000000000000000	
5	_ Al fft Connow and the	
6	Primary Sponsor	
7		
8	TRACKING NO0253-19_	
9		
10	AN ACT	
11	RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND THE BUDGET AND	
12	FINANCE COMMITTEE; A RECOMMENDATION BY THE OVERSIGHT	
13	COMMITTEE TO THE BUDGET AND FINANCE COMMITTEE TO VACATE	
14	CERTAIN CONDITIONS OF APPROPRIATION (COA'S) AS THEY PERTAIN TO	
15	UPDATES OF CERTAIN PLANS OF OPERATION FOR PROGRAMS WITHIN THE	
16	LEGISLATIVE BRANCH AS REQUIRED IN	
17	CS-68-18, NAVAJO NATION COMPREHENSIVE BUDGET FOR FISCAL YEAR	
18	2019 AND ACCEPTANCE BY THE BUDGET AND FINANCE COMMITTEE OF	
19	THE OVERSIGHT COMMITTEE RECOMENDATION	
20		
21	BE IT ENACTED:	
22		
23	SECTION ONE. AUTHORITY	
24	A. The Naabik'íyáti' Committee is a standing committee of the Navajo Nation Council	
25	and exercises oversight over the offices, programs, commissions, boards or task	
26	forces under the Legislative Branch of the Navajo Nation Government. 2 N.N.C. §	
27	701 (A)(5).	
28	B The Budget and Finance Committee has the authority to review and recommend to	
29	the Navajo Nation Council the budgeting, appropriation, investment and management	
30	of all funds. 2 N.N.C. § 301(B)(2)	

SECTION TWO. FINDINGS

- A. The Navajo Nation Comprehensive Budget for Fiscal Year 2019, CS-68-18, established a Condition of Appropriation, COA-No. 1, requiring all Departments and Programs whos plan of operations which have been identified, to present to their respective oversight committees amendments to their respective plans of operation and to bring the plans of operation up-to-date by the end of the 2nd quarter. See Exhibit No. 1.
- B. The programs within the Legislative Branch affected by this COA-No.1 include the following: Office of the Speaker, Office of Legislative Counsel, Office of Navajo Government Development, election Administration Office, Navajo Board of Supervisors, Black Mesa Review Board, Office of Auditor General, Navajo-Hopi Land Commission, Commission on Emergency Management, Eastern Navajo Land Commission and the Navajo Nation Human Rights Commission. See Exhibit No. 1.
- C. The statutory authorities that represent the plans of operation for many of these programs continue to be legally sufficient for continued operation of the programs and do not need to be change for the sake of change.
- D. In those cases where the statutory authorities representing plans of operation for programs needing revision, such change in many cases requires action by the Navajo Nation Council in regular session which occurs only four times a year. 2 N.N.C. § 162
- E. The legal requirements make timely compliance by many of the programs listed both impractical and infeasible to fulfill at this point in time.
- F. In the matter of noncompliance with a Condition of Appropriation the following procedure applies (per CS-68-18 Exhibit I, See Exhibit No. 1):

The OMB will notify the Budget and Finance Committee of any non-compliance. After consultation with the program director, the Office of Controller, the OMB, and on recommendation of the appropriate oversight Committee, the Budget and Finance Committee may, by resolution, vote to:

- a) Implement a freeze on the program's expenditure of travel funds, or withhold up to 10 percent of the program director's gross salary,
- b) Extend the time period for the program to fulfill the condition, or

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- c) Vacate the condition if it is infeasible for the program to fulfill.
- G. The Naabik'íyáti' Committee as oversight of the Legislative Branch programs so affected finds it to be in the best interest of the Navajo Nation to recommend to the Budget and Finance Committee that the Condition of Appropriation No. 1 (COA-1) be vacated as infeasible for those programs whose plans of operation are statutorily established.
- H. The Budget and Finance Committee finds it to be in the best interest of the Navajo Nation to accept the recommendation of the Naabik'íyáti' Committee as oversight of the Legislative Branch programs whose plans of operation are statutorily established and so affected and vacate COA-1.

SECTION THREE. APPROVAL

The Budget and Finance Committee of the Navajo Nation Council accepts the recommendation of the Naabik'íyáti' Committee as oversight of the Legislative Branch programs so affected and hereby vacates COA-1 as infeasible for those programs within the Legislative Branch whose plans of operation are statutorily established.

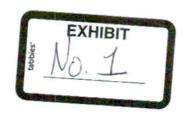




Exhibit I

FY 2019 CONDITION OF APPROPRIATIONS

to CS-68-18

Condition of Appropriation means a condition placed on an appropriation or expenditure by the Navajo Nation Council at the time the appropriation or expenditure is made, which requires performance of a specific task by a program within a specific time period within the fiscal year. The condition requires the performance of specific tasks within the time period set out by the Navajo Nation Council. Failure to perform within the specified time period may result in restrictions on future expenditure of the funds until the condition is met. 12 N.N.C. §810(I), Amended by CS-52-17

RESULT for noncompliance with the following Conditions of Appropriation (COA):

- 1.Based on the quarterly COA Status Report, the Office of Management & Budget (OMB) will immediately report a program's non-compliance to the respective Division Director, appropriate Branch Chief, appropriate oversight Committee and the Budget and Finance Committee;
- 2.The OMB will notify the Budget and Finance Committee of any non-compliance. After consultation with the program director, the Office of the Controller, the OMB, and on recommendation of the appropriate oversight Committee, the Budget and Finance Committee may, by resolution, vote to:
 - implement a freeze on the program's expenditure of travel funds, or withhold up to 10 percent of the program director's gross salary,
 - b) extend the time period for the program to fulfill the condition, or
 - c) vacate the condition if it is infeasible for the program to fulfill.
- 3. The Controller shall immediately freeze the program's travel funds or, withhold 10% of the gross salary of the program director of the responsible program after 10 working days of the Budget and Finance Committee's action provided that there has been no filing with the Office of Hearings and Appeals.
- 4. Prior to the approval of a COA in the comprehensive budget resolution, per 12 N.N.C. § 820 (R)(4), the Navajo Nation Council shall consult with the director of the program required to fulfill the condition, as well as the Office of the Controller, and the Office of Management and Budget. The consultation shall include a discussion of the feasibility of the program fulfilling the condition within the time frame proposed by Council, and any possible alternatives to the proposed condition.

Condition of Appropriation No. 1

All Departments and Programs whose plans of operation, which have been identified to be updated in Exhibit I-1, shall present to their respective oversight committees amendments to their respective plans of operation and to bring the plans of operation up-to-date by the end of the 2nd quarter.

If this Condition of Appropriation is not complied with by the end of the stated quarter, the Office of the Controller and Office of Management and Budget, by oversight Committee Resolution, shall freeze the Responsible Entity's travel funds. If this Condition of Appropriation is not complied with by the end of the stated quarter the withholding will be forfeited.

Responsible Entity: Affected Departments and Programs

Condition of Appropriation No. 2

The Chief of Staff, Office of the President, BU #103001, by the end of the first quarter, shall conduct a salary study on how to bring the Office of Hearings and Appeals' Hearing Officer's salary commensurate with Navajo Nation judges.

If this Condition of Appropriation is not complied with by the end of the stated quarter, the Office of the Controller and Office of Management and Budget, by oversight Committee Resolution, shall freeze 10% of the Chief of Staff's gross salary. If this Condition of Appropriation is not complied with by the end of the stated quarter the withholding will be forfeited.

Responsible Entity: Chief of Staff, Office of the President and Vice-President

Condition of Appropriation No. 3

The Hazardous Substance Program, BU #511007, by the end of the first quarter, shall develop a plan to address the prevention of unauthorized transport of uranium across the Navajo Nation.

If this Condition of Appropriation is not complied with by the end of the stated quarter, the Office of the Controller and Office of Management and Budget, by oversight Committee Resolution, shall freeze 10% of the program director's gross salary. If this Condition of Appropriation is not complied with by the end of the stated quarter the withholding will be forfeited.

Responsible Entity: Program Manager, Hazardous Substance Program

Condition of Appropriation No. 4

The Air & Toxic Program, BU #111002, by the end of the first quarter, shall develop a plan to construct or place an additional 10 air monitoring stations across the Navajo Nation.

If this Condition of Appropriation is not complied with by the end of the stated quarter, the Office of the Controller and Office of Management and Budget, by oversight Committee Resolution, shall freeze 10% of the program director's gross salary. If this Condition of Appropriation is not complied with by the end of the stated quarter the withholding will be forfeited.

Responsible Entity: Program Manager, Air Quality Program

Condition of Appropriation No. 5

The Tourism Department, BU #510003, in coordination with the Parks and Recreation Department, by the end of the second quarter, shall develop a revised Plan of Operation with an emphasis on economic development and tourism.

If this Condition of Appropriation is not complied with by the end of the stated quarter, the Office of the Controller and Office of Management and Budget, by oversight Committee Resolution, shall freeze the Responsible Entity's travel funds. If this Condition of Appropriation is not complied with by the end of the stated quarter the withholding will be forfeited.

Responsible Entity: Program Manager, Tourism Department

Condition of Appropriation No. 6

The Water Resources Program, BU #115011, by the end of the first quarter, shall develop a plan to repair or replace windmills and to repair earthen dams, at each quarter shall report on the location of the repaired or replaced windmills and the location of the repaired earthen dams, and shall establish a quarterly goal of the number of repaired or replaced windmills and repaired earthen dams. The plan and report shall be given to the Resources and Development Committee and the Budget and Finance Committee.

If this Condition of Appropriation is not complied with by the end of the stated quarter, the Office of the Controller and Office of Management and Budget, by oversight Committee Resolution, shall freeze the Responsible Entity's travel funds. If this Condition of Appropriation is not complied with by the end of the stated quarter the withholding will be forfeited.

Responsible Entity: Water Resources Program

Condition of Appropriation No. 7

The Navajo Nation Environmental Protection Agency BU #111001, BU #111002, BU #111004, and BU #111014, by the end of the first quarter, shall prioritize completion of the "tribal environmental plan" and NNEPA departments and programs are directed to collaborate with all stakeholders including other essential Navajo Nation, Federal, and State offices.

If this Condition of Appropriation is not complied with by the end of the stated quarter, the Office of the Controller and the Office of Management and Budget, by oversight Committee Resolution, shall withhold 10% of the Navajo Nation Environmental Protection Agency General Assistance Program Manager's gross salary. If this Condition of Appropriation is not complied with by the end of the stated quarter, withholding will be forfeited.

Responsible Entity: Navajo Nation Environmental Protection Agency General Assistance Program Manager

Condition of Appropriation No. 8

All LGA-Certified Chapters of the Navajo Nation, by the end of the second quarter of Fiscal Year 2019, shall commence their respective Chapter audit if the chapter has not completed such within the past 2 years prior to September 30, 2018.

If this Condition of Appropriation is not complied with by the end of each quarter stated, the Office of the Controller and Office of Management and Budget, by Oversight Committee Resolution, shall withhold 10% of the Chapter Services Coordinator/Chapter Administrator or Chapter Manager's salary. If this Condition of Appropriation is not complied with by the end of each stated quarter, the withholding will be forfeited.

Responsible Entity: Chapter Services Coordinator/Chapter Administrator or Chapter Manager

Condition of Appropriation No. 9

All non-LGA-Certified Chapters of the Navajo Nation, prior to the disbursement of Fiscal Year 2019 appropriations, shall present a Chapter budget to the Division of Community Development and the Office of the Controller.

If this Condition of Appropriation is not complied with by the time stated, the Office of the Controller and Office of Management and Budget, by Oversight Committee Resolution, shall not disperse the Chapter's FY 2019 appropriation and shall freeze the Community Services Coordinator's travel funds until the condition is met.

Responsible Party: Community Services Coordinator

Condition of Appropriation No. 10

All LGA-Certified Chapters of the Navajo Nation, prior to the disbursement of Fiscal Year 2019 appropriations, shall present a Chapter budget to the Division of Community Development and the Office of the Controller.

If this Condition of Appropriation is not complied with by the time stated, the Office of the Controller and Office of Management and Budget, by Oversight Committee Resolution, shall not

disperse the Chapter's FY 2019 appropriation and shall freeze the Chapter Services Coordinator/Chapter Administrator or Chapter Manager's travel funds until the condition is met.

Responsible Entity: Chapter Services Coordinator/Chapter Administrator or Chapter Manager

The Navajo Nation



Nabikiyati Committee

Fiscal Year 2020 Budget Hearings

Legislative Branch

Navajo Nation OMB Report

July 18, 2019





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- G. FY 2018 External Funds Single Audit Report (None)



D. FY 2019 Plans of Operation Status Report

NAVAJO NATION PLANS OF OPERATION REPORT FY 2019 2nd Quarter

LEGISLATIVE BRANCH

	Year Adopted	2011	2011	2014	1989	2007	1989	2015	2013	2013	1989	1989	1989	2002	1989	1990	2008	2004	2008	2011	2011	2011	2011	2016	1989
	Statutory Reference	CAP-10-11	CAP-10-11 / 2 NNC §300	LOCMY-01-14	CD-68-89//2 NNC 5/28/	CO-37-07/2NNC 5/970-978	1 CE3-891/2/NNC § 960 - 1	NABIAP-21-15	CO-52-13	LOCJA-02-13	CD-68-89/2NNC \$ 876	CD-68-89 / 2 NNC 5 870	ACS-178-89/2 NNC§851	CD-80-02	CD-68-89 / 2 NNC § 851	CJY-51-90/1/2 NNC § 881	CAP-11-08 // 2 NNC 5 861	1GRAP-64-04	CJN-15-08	CAP-10-11 / 2 NNC §400	CAP-10-11 / 2 NNC §500	CAP-10-11 / 2 NNC §600	CAP-10-11 / 2 NNC §700	NABIMY-38-16	CF-3-89/2 N.N.C. \$960-964
CEGISCALIVE DISARCII	Program Intle	Navajo Nation Council	Budget & Finance Committee	Navajo Nation Labor Commission	Office of the Speaker	Office of Navajo Government Development	Office of Legislative Counsel	Navajo Utah Commission	Office of Legislative Services	Ethics & Rules Office	Election Administration Office	Navajo Board of Election Supervisors	Black Mesa Review Board	Office of the Auditor General	Navajo/Hopi Land Commission	Commission on Emergency Management	Eastern Navajo Land Commission	Office of the Eastern Navajo Land Commission	NN Human Rights Commission	Health, Education & Human Services Committee	Resources & Development Committee	Law & Order Committee	Nabi ki yati Committee	OLS/Legislative District Assistants	Navajo Nation Code Enterprise
	Business Unit Number	101001	101003	101014	101015	101016	101017	101018	101019	101020	101021	101022	101023	101024	101025	101026	101027	101028	101029	101030	101031	101032	101033	101034	901001
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PLANS OF OPERATION REPORT FY 2019 2nd Quarter NAVAJO NATION

NAVAJO NATION COUNCIL RESOLUTIONS - LEGISLATIVE BRANCH

Relating to Legislative Branch; Approving the Navajo Government Devlopment Act of 2007; Amending 2 NNC §§970-978 Amending the Plan of Operation for the Office of the Auditor General 12 NNC §1 et. Seq. Establishing the Office of Legislative Counsel and Office of Special Prosecutor Amending Title Two (2) of the Navajo Tribal Code and Related Actions CD-80-02 CO-37-07 CF-3-89

Amending the Plan of Operation for the Commission on Emergency Management and Amending Title 2 of the Vavaio Tribal Code to allow for the Establishment of the Commission on Emergency Management CJN-15-08 CJY-51-90

An Act relating to the NNC,; Amending certain sections of the Navajo Nation Code, Title 2 §§ 101 and 836, to confirm Relating to Intergovernmental Relations; Approving the Navajo Nation Human Rights Commission Plan of Operation

CAP-10-11

to the establishment of the 24 member NNC by making revisions to the legislative process and the standing committee

Relating to Gov't Services, Resource and Intergovernmental Relations; Amending 2 NNC §§861-865 to Change the CAP-11-08

Relating to Law and Order and Naabik'iyati; Amending 2 N.N.C. §§ 953 ETSEQ, The Office of Legislative Services Eastern Navajo Land and Commission Plan of Operation.

CO-52-13

Relating to Naabiklyati' committee; approving the Plan of Operation for the Legislative District Assistants program NABIMY-38-16

to place all three NN Council Delegates representing Utah Navajo Nation Chapters on the Navajo Utah Commission and to Relating to the NaaBik'iyati Committee; Amending IGRMA-38-99 the Plan of Operation for the Navajo Utah Commission within the Legislative Branch. NABIAP-21-15

replace the Intergovernmental Relations Committee with the Naabikiyati Committee in the Navajo Utah Commission

Plan of Operation

ADVISORY (defunct) / IGR (defunct) COMMITTEE RESOLUTIONS - LEGISLATIVE BRANCH

Adopting the Plan of Operation for the Office of the Eastern Navajo Land Commission IGRAP-64-04 ACS-178-89

Approving a Revised Plan of Operation for the Black Mesa Review Board and Amending Title 2 NNCs 3671-3676

to confirm with the New Plan of Operation

LAW AND ORDER COMMITTEE RESOLUTIONS - LEGISLATIVE BRANCH

Relating to Law and Order, Amending The Plan of Operation for the Navajo Nation Ethics and Rules Office LOC-JA-02-13

Relating to Law & Order, Amending Plan of Operation for Navajo Nation Labor Commission OCMY-01-14



E. FY 2019 Condition of Appropriation Summary Report

FY 2019 Conditions of Appropriation Summary Information

COA	CONDITION/ASSIGNED DEPARTMENT	BUSINESS	DUE DATE	2nd QTR RESPONSE(S)	STATUS
COA #1	All Departments & Programs whose plans of operation, which have		End of 2nd Qtr.		
	been identified to be updated in Exhibit I-1, shall present to their				
	respective oversight committees amendments to their respective plans of				
	operation and to bring the plans of operation up-to-date by the end of the				
	the 2nd quarter.	18			
	Responsible Entity: Appropriate Divisions (Written responses submitted to OMB for COA #1)	for COA #1)			
	Legislative Branch				
	Office of the Speaker			NR	Non-Compliance
	Office of Legislative Counsel			NR	Non-Compliance
	Office of Navajo Government Development			NR	Non-Compliance
	Election Administration Office			NR	Non-Compliance
	Navajo Board of Election Supervisors			NR	Non-Compliance
	Black Mesa Review Board			NR	Non-Compliance
	Office of Auditor General			٨	Non-Compliance
	Navajo-Hopi Land Commission			٨	Non-Compliance
	Commission on Emergency Management			NR	Non-Compliance
	Eastern Navajo Land Commission			NR	Non-Compliance
	Office of the Eastern Navajo Land Commission			NR	Non-Compliance
	Navajo Nation Human Rights Commission			NR	Non-Compliance





Honorable Seth Damon Speaker 24th Navajo Nation Council

MEMORANDUM

TO: Honorable Raymond Smith, Jr.

24th Navajo Nation Council

FROM: Cleude M

Edward A. McCool, Acting-Chief Legislative Counsel

Office of Legislative Counsel

DATE: August 16, 2019

AN ACT RELATING TO THE NAABIK'ÍYÁTI' COMMITTEE AND THE BUDGET AND FINANCE COMMITTEE; A RECOMMENDATION BY THE OVERSIGHT COMMITTEE TO THE BUDGET AND FINANCE COMMITTEE TO VACATE CERTAIN CONDITIONS OF APPROPRIATION (COA'S) AS THEY PERTAIN TO UPDATES OF CERTAIN PLANS OF OPERATION FOR PROGRAMS WITHIN THE LEGISLATIVE BRANCH AS REQUIRED IN CS-68-18, NAVAJO NATION COMPREHENSIVE BUDGET FOR FISCAL YEAR 2019 AND ACCEPTANCE BY THE BUDGET AND FINANCE COMMITTEE OF THE OVERSIGHT COMMITTEE RECOMMENDATION

As requested, I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. Based on existing law and review of documents submitted, the resolution as drafted is legally sufficient. As with any action of government however, it can be subject to review by the courts in the event of proper challenge. Please ensure that this particular resolution request is precisely what you want. You are encouraged to review the proposed resolution to ensure that it is drafted to your satisfaction.

The Office of Legislative Counsel confirms the appropriate standing committee(s) based on the standing committees powers outlined in 2 N.N.C. §§500, 501. Nevertheless, "the Speaker of the Navajo Nation Council shall introduce [the proposed resolution] into the legislative process by assigning it to the respective oversight committee(s) of the Navajo Nation Council having authority over the matters for proper consideration." 2 N.N.C. §164(A)(5).

If the proposed resolution is unacceptable to you, please contact me at the Office of Legislative Counsel and advise me of the changes you would like made to the proposed resolution.

THE NAVAJO NATION LEGISLATIVE BRANCH INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0253-19____ SPONSOR: Raymond Smith, Jr.

TITLE: An Act Relating To The Naabik'íyáti' Committee And The Budget And Finance
Committee; A Recommendation By The Oversight Committee To The Budget And Finance
Committee to Vacate Certain Conditions Of Appropriate (COA'S) As They Pertain To Updates
Of Certain Plans Of Operation For Programs Within The Legislative Branch AS Required In
CS-68-18, Navajo Nation Comprehensive Budget For Fiscal Year 2019 And Acceptance By
The Budget And Finance Committee Of The Oversight Committee Recommendation

Date posted: August 19, 2019 at 5:31pm

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7590

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 et. seq.