

24th NAVAJO NATION COUNCIL LEGISLATION SPONSORSHIP WITHDRAWAL

, Carl K.	SIATU	,	Primary
Sponsor of proposed	legislation h	ereby with	draw my
sponsorship of the pro	the state of the s	ion. The	legislation
tracking number is	4-20		
15 11	Al		. Also
If there are any co-spon		re-sponsor	tne same
bill by beginning a new le	egislation.		
		1	
	/	11,	
SPONSOR SIGNATURE:		1//	
DATE	(21-20	

LEGISLATIVE SUMMARY SHEET Tracking No. __O[14-20]

DATE: April 9, 2020

TITLE OF RESOLUTION: AN ACTION RELATING TO THE RESOURCE AND DEVELOPMENT COMMITTEE, BUDGET AND FINANCE AND NAABIK'ÍYÁTI'; APPROVING THE NAVAJO DIVISION OF TRANSPORTATION BIA INDIAN HIGHWAY SAFETY PROGRAM (IHSP) CHILD PASSENGER SAFETY GRANT AND WAIVER OF THE INDIRECT COST RATE.

PURPOSE: This resolution, if approved, will accept the BIA Indian Highway Safety Program Child Passenger Safety Grant for \$9675.00 and for a waiver of the indirect cost rate by Navajo Division of Transportation, Department of Highway Safety.

This written summary does not address recommended amendments as may be provided by the standing committee. The Office of Legislative Counsel requests each committee member to review the proposed resolution in detail.

5-DAY BILL I		E:12 05 07 00	
		NE 12 25	Thence
		05-13-20	
1		PROPOSED STANDING COMMITTEE RESOLUTION Naabik'íyáti' Cor	Thence mmittee
2		24th NAVAJO NATION COUNCIL Second Year, 2020	
3		INTRODUCED BY	
4			
5			
6		(Sponsor)	
7			
8		TRACKING NO. 0114-20	
9			
10		AN ACTION	
11	REI	LATING TO THE RESOURCE AND DEVELOPMENT, BUDGET AND FINANCE	
12	AN	ND NAABIK'ÍYÁTI' COMMITTEES; APPROVING THE NAVAJO DIVISION OF	
13	TRA	ANSPORTATION BIA INDIAN HIGHWAY SAFETY PROGRAM (IHSP) CHILD	
14	PA	ASSENGER SAFETY GRANT AND WAIVER OF THE INDIRECT COST RATE.	
15			
16	BE IT E	ENACTED:	
17	SECTION	ON ONE. AUTHORITY	
18	A.	The Resource and Development Committee is a standing committee of the Navajo	
19		Nation Council and exercises oversight authority over transportation and planning	
20		and coordination of all transportation activities of the Navajo Nation. 2 N.N.C. § 500	
21		(A) (C) (6).	
22	В.	The Resource and Development Committee is empowered to review and recommend	
23		to the Navajo Nation Council resolutions to accomplish the committee's purpose and	
24		to represent Navajo Nation in cooperation and coordination with the President of the	
25		Navajo Nation and the appropriate committees of the Navajo Nation Council to	
26		propose resolutions relating to and affecting transportation matters, the Navajo	
27		Division of Transportation. 2 N.N.C. § 501 (B) (5) (12).	
28	C.	The Budget and Finance Committee is a standing committee of the Navajo Nation	
29		Council and is empowered to authorize, review, approve and accept agreements,	
30		including contracts and grants, between the Navajo Nation and any federal, state or	

regional authority upon the recommendation of the standing committee which has oversight over the division, department or program which has applied for the agreement. 2 N.N.C. §§ 300 (A) and 301 (B) (15).

- D. The Naabik'íyáti' Committee is a standing committee of the Navajo Nation Council and among other statutory powers, the committee has the delegated responsibility to review and continually monitor the programs and activities of federal and state departments and to assist development of such programs designed to serve the Navajo People and the Navajo Nation through intergovernmental relationships between the Navajo Nation and such departments. 2 N.N.C. §§ 700 (A) and 701 (A)(7).
- E. Regarding grants, the Naabik'íyáti' Committee negotiates indirect cost rates; and, it is authorized to waive such costs. 2 N.N.C. § 701 (A)(10). The Naabik'íyáti' Committee may waive such costs when it determines it is in the best interests of the Navajo Nation and when the "division, department or program requesting the waiver demonstrates a statutory and/or regulatory requirement that limits the indirect cost or administrative cost rate available for a particular grant or contract, or ... [t]here is a showing of necessity and a commitment of available general funds by the division, department or program requesting the waiver which is available to offset the loss in indirect costs or administrative costs." 2 N.N.C. § 701 (A)(10)(a) and (b).
- F. The Naabik'íyáti' Committee of the Navajo Nation Council reviews and approves "the negotiation and setting of the Navajo Nation's indirect cost or administrative cost rate agreements with the cognizant federal agent. 2 N.N.C. § 701 (A)(10).

SECTION TWO. FINDINGS

- A. The Navajo Nation Division of Transportation's Department of Highway Safety (NNDTDHS) applied and was awarded the FY2020 BIA Indian Highway Safety Program, Child Passenger Safety Grant in the amount of \$9,675.00. Exhibit "A".
- B. The NNDTHS will be utilizing the awarded grant funding for the purchase of car seats, child safety passenger safety technician fees and supplies for the Department of Highway Safety's Child Passenger Safety Program. Exhibit "B".

- The FY2020 BIA Indian Highway Safety Program, Child Passenger Safety Grant C. does allow for indirect costs of \$75.00, which is to be claimed on a monthly basis at the Indirect Cost Rate per the grant general clauses. See page 6 of the Grant Agreement #21, Exhibit "A".
- The Office of Management and Budget, Request for Service form, Exhibit "C". D. notes the following:
 - 1. The document in regards to Request for 164 Review on Funding Contract/Agreement in the amount of \$9675.00 for the BIA Indian Highway Safety Program's Child Passenger Safety Grant, 11/11/2019 through 06/30/2020 has gone through the 164 Executive Review process based on, 2 N.N.C. § 164, review requirements.
 - 2. The Indirect Cost (IDC) rate 15.85% was not originally included in grant application. The grant was awarded to NNDTDHS in November 2019 and is still in the Nation's acceptance process review and action (acceptance by resolution) by Naabik'íyáti' Committee.
 - 3. 164 E.O.R. #13686 packet (attached) NNDTDHS, for the BIA Indian Highway Safety Program's Child Passenger Safety Grant No. OP-20-03 was provided by NNDTDHS for legislation on February 4, 2020.
- The NNDTHS requests for a limited waiver of the indirect cost rate of 15.85% for the E. 2020 the BIA Indian Highway Safety Program's Child Passenger Safety Grant.

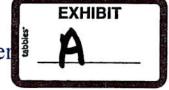
SECTION THREE. APPROVE INDIRECT COST WAIVER

The Navajo Nation hereby approves the Navajo Nation Division of Transportation, Department of Highway Safety request to waive the indirect cost rate of 15.85% for the BIA Indian Highway Safety Program's Child Passenger Safety Grant



United States Department of the Inter

BUREAU OF INDIAN AFFAIRS Washington, DC 20240



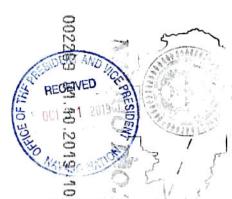
IN REPLY REFER TO:

OJS COW - Indian Highway Safety Program

OCT 2 9 2019

Honorable Jonathan Nez President, Navajo Nation Navajo Tribal Hill Drive, Office of the President Window Rock, Arizona 86515

Dear President Nez:



The Bureau of Indian Affairs (BIA), Indian Highway Safety Program (IHSP) is pleased to offer the Navajo Nation a Child Passenger Safety (CPS) grant based on the application the Tribe submitted for the federal fiscal year 2020 in the amount of \$9,675.00. The project number is OP-20-03 under the rules of Title 23 USC, Section 402 Highway Safety Program administered by the National Highway Traffic Safety Administration (NHTSA). The Catalog of Federal Domestic Assistance (CDFA) title is "State and Community Highway Safety". The CDFA number is 20.600. If this agreement is fully executed, the period of performance will begin November 11, 2019 and will end September 30, 2020. The grantee will be responsible for maintaining records and reporting distribution information to the IHSP until the end date or until all seats have been distributed.

The fourteen (14) enclosures with this letter represent the complete grant agreement package which includes the following:

- Project Information Sheet
- Grant Agreement
- Tribal Position Change Form
- ACH Information Form (return with signed agreement)
- W-9 (return with signed agreement)
- RFR Check List
- Request for Reimbursement Form
- RFR Summer Sheet
- Form C: Child Passenger Safety Seat Distribution Report
- CPS Distribution Log
- Purchase Request Form
- Travel & Training Authorization Form
- Trip Report
- Budget Modification Form

Please ensure this project is ready to be implemented once the agreement had been properly signed and executed. Return the signed agreement to, Bureau of Indian Affairs, Attn: Indian Highway Safety Program, 1001 Indian School Rd. NW, Ste. 251, Albuquerque, NM 87104, no later than Monday, November 11, 2019. If this agreement is not returned by the 11th of November, your Tribe's Child Passenger Safety project for 2020 may not be implemented on November 11, 2019. If you anticipate problems starting this project, please contact our office for assistance. Office hours are Monday – Friday, 8:00 a.m. – 4:30 p.m. (MST). On-site technical assistance is available upon receipt of a written request.

Please feel free to contact us with questions, comments, or concerns at (505) 563-3780. It is our desire to work very closely with you to ensure your project is a success.

Sincerely,

Lawrence Robertson

Director, Indian Highway Safety Program

4 Mille

BUREAU OF INDIAN AFFAIRS INDIAN HIGHWAY SAFETY PROJECT INFORMATION SHEET

1. PROJECT TITLE: Navajo Nation

DUNS #: 9001702

PROJECT NUMBER: OP-20-03

CAGE CODE: 3FS58

2. Grantee: Navajo Nation

Address:

P.O. Box 1509

City, State Zip: Window Rock, Arizona 86515

Phone:

(505)371-8391

Fax:

(505)371-8399

Project Coordinator and Title: Norma Bowman, Program Manager

3. Grantee: Navajo Nation

Address:

Navajo Tribal Hill Drive, Office of the President

City, State Zip: Window Rock, Arizona 86515

Phone:

(928)871-7000

Fax:

(928)871-4025

Authorizing Official and Title: Jonathan Nez, President

4. Period of Performance: November 11, 2019 - September 30, 2020		
5. Budget:	Funding Amount:	
CPS Grant:		
CAR SEATS:		
25 Infant Car Seats	\$	2,500.00
25 Convertible Car Seats	\$	2,500.00
25 Combination Car Seats	\$	2,500.00
25 Booster Seats	\$	1,625.00
TRAINING/TRAVEL EXPENSES:		
Training/ Travel Expenses	S	475.00
5 CPS Certification Training		
INDIRECT COST:		
Indirect Cost:	S	75.00
Total Federal Funding:	\$	9,675.00

PROJECT NUMBER: OP-20-03

GRANTEE NAME: Navajo Nation

GRANT AGREEMENT

This grant agreement is entered into by and between the BIA Indian Highway Safety Program (IHSP) and the Navajo Nation.

This agreement is authorized under Title 23, USC, Section 402, administered by the National Highway Traffic Safety Administration (NHTSA), including all regulations 23CFR1300, 2CFR200, amendments and other applicable policies.

The Catalog of Federal Domestic Assistance (CFDA) title is "State and Community Highway Safety". The CFDA number is 20.600. The Bureau of Indian Affairs Federal Award Identification Number (FAIN) is 69A37518300004020Z20.

Accordingly, the Navajo Nation agrees as follows:

SECTION ONE: PROJECT DESCRIPTION

The purpose of this agreement is to provide funding to the Navajo Nation to be used to enhance the Tribe's Child Passenger Safety (CPS) project aimed at reducing juvenile death and injuries on the reservation. The Tribe agrees to comply with all provisions and conditions of this agreement including the BIA IHSP project management and accounting procedures for reimbursement and the NHTSA Grants Management Manual. The purpose of the grant agreement is not for research and development.

http://www.nhtsa.gov/nhtsa/whatsup/TEA21/GrantMan/HTML/00 Manl Contents1 01.html

SECTION TWO: PROBLEM IDENTIFICATION

The Navajo Department of Highway Safety provides highway safety awareness initiatives in attempts to decrease the number of motor vehicle related unintentional injuries and deaths among the Navajo people including children.

Car Seat Surveys indicate that usage amongst parents/caregivers is low, or non-usage because of the inability to purchase car seats for their babies. A majority of the inquiries from parents/caregivers are from individuals who cannot afford car seats or from foster parents who were given custody from the court systems. We are looking towards external funding opportunities to assist with our Child Passenger Safety efforts and include

seeking funding to certify Public Safety personnel in the area of Child Passenger Safety.

The Navajo Department of Highway Safety provides highway safety awareness initiatives in attempts to decrease the number of motor vehicle related unintentional injuries and deaths among the Navajo people including children.

Car Seat Surveys indicate that usage amongst parents/caregivers is low, or non-usage because of the inability to purchase car seats for their babies. A majority of the inquiries from parents/caregivers are from individuals who cannot afford car seats or from foster parents who were given custody from the court systems. We are looking towards external funding opportunities to assist with our Child Passenger Safety efforts and include

seeking funding to certify Public Safety personnel in the area of Child Passenger Safety.

SECTION THREE: TARGETS

- 1. To conduct a minimum of 40 CPS fitting stations.
- 2. To distribute a minimum of 100 car seats, to include infant, convertible and booster seats.
- To provide a minimum of 100 CPS trainings to Tribal community members (hands-on proper installation and use of seats).
- 4. To provide a minimum of 100 CPS brochures and handouts to community members.

SECTION FOUR: STRATEGIES

Navajo Nation Child Protection Seat Technician; this employee will work to coordinate and collaborate with other programs in Navajo Nation jurisdiction to implement car seat fitting stations, education and awareness programs for school occupant restraint awareness, education, and use. Stakeholders include other law enforcement agencies, social services, Navajo Nation School Board, Concerned Parents Group, Safe Kids World Wide.

Fitting Station Requirement: All fitting stations and child safety seat distribution programs must be staffed by at least one Safe Kids certified child passenger safety technician or Instructor.

PROJECT EVALUATION

The Navajo Nation agrees it will provide the following information as a means to measure the progress of the project.

Tracking and reporting on the following:

- Number of funded car seats distributed
- Number of CPS fitting stations conducted
- · Number of community CPS presentations
- Number of CPS handouts provided to community members

SECTION FIVE: BUDGET

The funding source will be section 49 C.F.R. Section 402 which is administered by the BIA IHSP.

The Tribe is responsible for all costs exceeding the total amount of this grant and for activities not approved in the grant agreement or that do not comply with 49 C.F.R. Section 402. The project budget is itemized as follows:

Budget: Fu		Amount:
CPS Grant:		
CAR SEATS:		
25 Infant Car Seats	S	2,500.00
25 Convertible Car	Seats \$	2,500.00
25 Combination Ca	r Seats \$	2,500.00
25 Booster Seats	\$	1,625.00
TRAINING/TRAVE	L EXPENSES:	
Training/ Travel Ex	penses \$	475.00
5 CPS Certification	Training	

INDIRECT COST:

Indirect Cost: \$ 75.00

Total Federal Funding: \$ 9,675.00

The Tribe **cannot** transfer funds between budget categories without prior written approval from the BIA IHSP Director.

SECTION SIX: EQUIPMENT

Equipment and Car Seats, if listed under **SECTION FIVE**, is authorized for purchase as a part of this grant. An itemized list must be specifically approved prior to purchase.

Products purchased with Grant funds administered under Chapter 4 of Title 23 of the United States Code states it is in the public interest to waive the Buy America requirement for a manufactured product whose purchase price is \$5,000 or less. Any purchases, for a single item, over \$5,000 will require NHTSA approval prior to purchase.

SECTION SEVEN: GENERAL CLAUSES

- The person executing this agreement has the authority to enter into the conditions set forth between the Navajo Nation and the Bureau of Indian Affairs (BIA) Indian Highway Safety Program (IHSP).
- This agreement is an obligating document that allows costs to be incurred after November 11, 2019 after the agreement signatures (IHSP Director and Tribal President/Chairman/Governor) have been obtained, based on the availability of federal funds and end on September 30, 2020.
- 3. No project activities shall be implemented until the Tribe has received a signed grant agreement authorized by the BIA IHSP Director.
- 4. A copy of the executed agreement will be provided to the Tribal Project Coordinator.
- 5. In order to comply with the provision of FAST Act and the State Certifications and Assurances, the BIA IHSP will allocate funds on behalf of the tribes to meet certain conditions and comply with all applicable rules and regulations for administering a traffic safety program. These include Occupant Protection Surveys, Electronic Grants System and other special projects/programs to assist tribal governments in implementing and administering their traffic safety program.
- The BIA IHSP is a performance-based program. The Tribe agrees to the Performance Measures
 and Targets as outlined in the annual Indian Highway Safety Plan and SECTIONS THREE
 AND FOUR above.
- 7. The tribal request for reimbursement (RFR) forms will be required to be submitted with all supporting documentation in order to reimburse the tribal funding expended for the CPS program. Only approved items and funding amounts will be reimbursed. All reports must be submitted in the format provided by the BIA IHSP and will include the following:
 - Approved BIA IHSP Purchase Request Form with vendor invoices/receipts. RFR's for car seats will be reimbursed when all car seats purchased using an approved Purchase Request have been distributed.
 - Approved Travel/Training Requests and Trip Report with supporting documents. (Per Diem will be reimbursed at the normal tribal rate and must not exceed the Federal Travel Regulations rate for the travel location)

- c) CPS Seat Distribution Log(s) and FORM C Child Passenger Safety (CPS) Seat Distribution Report must accompany each request for reimbursement. The tribe will only be reimbursed for seats distributed during the funded grant year. Reimbursement will not be made for the total number of car seats purchased (unless all seats distributed). All seats purchased under this grant will need to be distributed before September 30, 2020 in order for the Tribe to be reimbursed.
- 8. Projects are required to submit a CPS Seat Distribution Report (FORM C) and CPS Seat Distribution Log for all activities related to the CPS program. At the conclusion of an event this form must be filled out in its entirety and signed and submitted to the BIA IHSP e-mail address at ojs indian highway safety@bia.gov. If CPS program does not submit a purchase request or Request for Reimbursement within the first quarter of the fiscal year, the program will receive written notification via e-mail of overdue report for no activity. If no response is received within 7 calendar days after the first notification, project personnel will receive a First Notice. If no response is received within 15 calendar days after the First Notice, project personnel will receive a Final Notice which could result in the termination of the contract. During the contract year, if the tribe receives three Final Notices the IHSP will evaluate the tribal program to determine if the project should be terminated and a collection for any reimbursed IHSP funding is required. This grant agreement will be in effect until the complete disbursement of equipment purchased.
- The Tribe agrees the grant agreement may be terminated for (1) project inactivity, and (2) non-submittal of CPS Seat Distribution/activity reports. Termination notification will be made in writing and may require the reimbursement of IHSP funding.
- 10. All payments are on a reimbursement basis. A REQUEST FOR REIMBURSEMENT (RFR) form and the Request for Reimbursement (RFR) Summary Sheet must be submitted along with the required documentation to include any tribal Purchase Requests and vendor invoices to support the tribal expenditure. Supporting documentation is mandatory for all expenditures and claims for the reporting period. The reimbursement requests must be submitted to the BIA IHSP for review, approval, and entry into the payment system. The documents must be submitted to the IHSP email address; ois indian highway safety@bia.gov.

All RFR's will be processed in the condition they are received. The required documentation for all RFR's is contained in the RFR checklist (see attachment).

Please note: Reimbursement claims submitted to the BIA IHSP may take more than 30 days for processing by the BIA IHSP and receipt of funds.

- 11. Written notice of the Tribes <u>electronic transfer account number</u>, <u>ABA number</u>, <u>nine (9) digit Employer Tax ID number issued by the IRS</u> and <u>DUNS number</u> **must be** submitted to the IHSP office immediately upon receipt of this agreement.
- 12. Any proposed modifications to this agreement, that result in changes of scope, character, or complexity of the agreement, as determined by the BIA IHSP, shall require modification to the grant agreement. Any proposed minor changes in this agreement may be authorized by the Governors Highway Safety Representative, or his delegate, by notifying the Grantee in writing of the approved changes.
- 13. If the tribal Project Coordinator changes, or there are other tribal personnel changes, the BIA IHSP must be notified immediately and provided with the name, title, telephone number, fax number and e-mail address of new personnel. Information related to the grant will only be provided to individuals listed on the grant agreement.

- 14. The name of the designated official who will be authorizing the *REQUEST FOR REIMBURSEMENT* of funds is required. No other person will be authorized to sign a *REQUEST FOR REIMBURSMENT* form.
- 15. Equipment (child safety seats) and supplies purchased under this grant is outlined in SECTION SIX above and <u>shall</u> be used for the purpose for which it was intended for the useful life of the equipment. It is mutually agreed and promised by the Grantee that no equipment will be conveyed, sold, salvaged, transferred, etc., without the written approval of the BIA-IHSP.
- 16. Equipment purchases and supplies must have written prior approval (Purchase Request Form) from BIA IHSP. If prior approval is not received, the Tribe WILL NOT be reimbursed for the equipment. The BIA IHSP will only approve the purchase of up to 24 car seats for each car seat line item awarded per purchase request. <u>All car seats and other equipment must be purchased by March 31, 2020</u>. Any exception to this must be provided in writing with a justification prior to the cutoff date.
- 17. In order to be reimbursed for equipment (car seats) purchases, a copy of the signed receiving invoice which includes descriptions must be submitted to the IHSP. An inventory of all equipment purchased by the IHSP must be maintained by the project and available to BIA IHSP staff upon request.
- 18. An inventory of all equipment purchased with BIA IHSP funds must be maintained and submitted with the annual report. Failure to maintain accurate inventory which results in a loss of BIA IHSP purchased equipment may result in a payback of granted funds and/or replacement of seats unaccounted for.
- 19. The grantee must ensure all car seats approved for purchase under the BIA IHSP grant will not be co-mingled with car seats from other funding sources. The BIA IHSP will only accept distribution logs for car seats approved for purchase by the BIA IHSP.
- 20. The Tribe agrees to send a letter to BIA IHSP requesting disposition of any equipment with a purchase price of \$1,000.00 or more before the equipment may be disposed of.
- 21. No indirect costs will be paid without a copy of the Tribe's most recent up to date approved Indirect Cost Rate Letter on file. Indirect cost must be claimed on a monthly basis and will not be reimbursed on a quarterly or annual basis. If the Tribe receives a new Indirect Cost Rate Letter after the fiscal year has begun, it is the responsibility of the Tribe to provide a copy of that letter to the BIA IHSP office. Cost will not be retroactive.
- 22. All purchases of goods and services will be made using procurement regulations approved by the BIA IHSP as set forth under Title 49, CFR "Common Rule," 23 CFR Part 1200 and NHTSA funding policy letter dated February, 2002.
- 23. A Purchase Request Form must be submitted for any supplies, and media <u>prior to</u> purchasing the items and a copy of the approved Purchase Request Form and vendor invoice/receipt must be included when the reimbursement claim is filed. All media must carry a Traffic Safety message. The BIA IHSP must approve messages and/or drawings, art or other pictures. In order to be reimbursed, a vendor provided invoice is the only document that will be accepted for verification of purchase.
- 24. A-133 Compliance The Tribe is required to comply with federal OMB A-133 requirements. If threshold expenditures for all federal funds expended by the tribe are \$750,000.00 or more during your agency's fiscal year 2018, please submit a Single Audit Report and Management Letter (if applicable) to the Director of the IHSP, Indian Highway Safety Program, 1001 Indian School Rd NW, Suite 251, Albuquerque NM 87104 or via email at ojs indian highway_safety@bia.gov.

- 25. All training must be Child Passenger Safety (CPS) related and relate directly to the current project and coincide with the project's targets and strategies. CPS projects will identify personnel who may be provided with the necessary training, if the current CPS Technician is no longer available to provide the service to the program. All CPS grant funded projects are required to have a CPS Technician trained and certified who will work with the grant program within the contract year.
- 26. Training will be evaluated on a case by case basis. All travel and training requests must be submitted to the BIA IHSP within 5 business days prior to the start date of training for BIA IHSP approval
- 27. Federal funds allocated to finance tribal-traffic safety projects are intended to <u>supplement</u> and not substitute for ongoing program Tribal-traffic safety enforcement expenditures. The project (or program) should be designed to eliminate a deficiency in an applicant Tribe's program or to <u>expand</u> an existing program
- 28. All travel must adhere to the guidelines provided in SECTION EIGHT of this grant agreement.
- Cell phones, airtime, or other charges related to cell phones WILL NOT be authorized for any reason.
- 30. In accordance with 2 CFR 200.336 (a) Records of non-federal entities (Grantees) shall allow full access to all records related to the grant. Access shall be allowed for the awarding agency, Inspectors General, the Comptroller General of the United States, and the pass-through entity or any of their authorized representatives, must have the right of access to any pertinent books, documents, papers, or other records of the non-federal entity (Grantee) which are pertinent to the Federal award, in order to make audits, examinations, excerpts and transcripts. The right also includes timely and reasonable access to non-federal entity's (Grantee's) personnel for the purpose of interview and discussion related to such documents.
- 31. Any program income earned by project personnel financed in whole or in part with federal funds must be documented and accounted for. Program income earned during the project period shall be reported to BIA IHSP, retained by the Grantee and added to the funds committed to the project by the BIA IHSP and be used to further eligible program objectives.
- 32. The Director of the BIA IHSP shall decide any dispute, disagreement or question of fact arising under this agreement. A written appeal of any decision made by IHSP staff to the BIA IHSP Director may be made within 30 calendar days of the decision. The appeal must be in writing and identify specific details which the project wishes to dispute. A response will be in writing to the Tribal Leader, Chief of Police or Supervisor, and Tribal Project Coordinator.
 - An appeal to the BIA IHSP Governor's Representative (GR) may be made only after a decision issued by the BIA IHSP Director. The appeal may be made in writing within 30 calendar days of the BIA IHSP Director's decision.
- 33. All final claims for reimbursement **AND** a final performance report **MUST** be received by the BIA IHSP no later than October 31, 2020. Claims received after that time may not be eligible for reimbursement.
- 34. The BIA IHSP, in the event of Grantee noncompliance with any of the provisions of this agreement, may terminate this agreement by giving the Grantee thirty (30) days advance notice. The BIA IHSP, before issuing notice of termination of this agreement, shall allow the Grantee a reasonable opportunity to respond to an IHSP issued Corrective Action Plan.

The Grantee may terminate their participation in this agreement by notifying and receiving the concurrence of the BIA IHSP thirty (30) days in advance of the termination.

SECTION EIGHT: TRAVEL GUIDELINES

BIA TRAVEL PROCEDURES

FOR 402 FUNDED HIGHWAY SAFETY PROJECTS

2 CFR Part 225 provides:

Travel costs, if authorized, are allowable for expenses for transportation, lodging, subsistence, and related items incurred by employees traveling on official business.

Pursuant to Federal Travel Regulations, http://www.gsa.gov/portal/content/104790, the BIA IHSP has established the following rules for travel under its 402 Highway Safety Program.

- 1. All travel must be necessary and essential to the project.
- All travel must be directly related to goals, targets and strategies as set out in your proposal/agreement.
- 3. All travel must be approved in writing 5 business days prior to the start date travel is to occur. This includes all travel in which travel costs or salary wages will be incurred.
- 4. National conferences are not mandatory and will be reviewed on a case-by-case basis.
- 5. Trip reports are required for all trips, both in and out of state. Requests for reimbursement for travel expenses will not be processed without a trip report.
- 6. Trip reports must contain, at a minimum: (See attached trip report)
 - a. Trip purpose or objective
 - b. Trip outcome/benefit to your project
 - c. How the travel relates to your project
 - d. Activities that you participated in or workshops attended
 - e. Contacts made
- 7. Travel expenses will be reimbursed at the normal Tribal travel rates not to exceed the Federal Travel Regulations for the travel location.

SECTION NINE: CERTIFICATIONS AND ASSURANCES

NONDISCRIMINATION

(applies to subrecipients as well as Tribes)

The tribe will comply with all Federal statutes and implementing regulations relating to nondiscrimination ("Federal Nondiscrimination Authorities"). These include but are not limited to:

• Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin) and 49 CFR part 21;

- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. 324 et seq.), and Title IX of the Education Amendments of 1972, as amended (20 U.S.C. 1681-1683 and 1685-1686) (prohibit discrimination on the basis of sex);
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. 794 et seq.), as amended, (prohibits discrimination on the basis of disability) and 49 CFR part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. 6101 et seq.), (prohibits discrimination on the basis of age);
- The Civil Rights Restoration Act of 1987, (Pub. L. 100-209), (broadens scope, coverage and
 applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and
 Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs
 or activities" to include all of the programs or activities of the Federal aid recipients, subrecipients and contractors, whether such programs or activities are Federally-funded or not);
- Titles II and III of the Americans with Disabilities Act (42 U.S.C. 12131-12189) (prohibits
 discrimination on the basis of disability in the operation of public entities, public and private
 transportation systems, places of public accommodation, and certain testing) and 49 CFR parts 37
 and 38:
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority
 Populations and Low-Income Populations (prevents discrimination against minority
 populations by discouraging programs, policies, and activities with disproportionately high and
 adverse human health or environmental effects on minority and low-income populations); and
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency (guards against Tit]e VI national origin discrimination/discrimination because of limited English proficiency (LEP) by ensuring that funding recipients take reasonable steps to ensure that LEP persons have meaningful access to programs (70 FR at 74087 to 74100).

The Tribe-

- Will take all measures necessary to ensure that no person in the United States shall, on the
 grounds of race, color, national origin, disability, sex, age, limited English proficiency, or
 membership in any other class protected by Federal Nondiscrimination Authorities, be excluded
 from participation in, be denied the benefits of, or be otherwise subjected to discrimination under
 any of its programs or activities, so long as any portion of the program is Federally-assisted.
- Will administer the program in a manner that reasonably ensures that any of its subrecipients, contractors, subcontractors, and consultants receiving Federal financial assistance under this program will comply with all requirements of the Non- Discrimination Authorities identified in this Assurance;

- Agrees to comply (and require any of its subrecipients, contractors, subcontractors, and
 consultants to comply) with all applicable provisions of law or regulation governing US DOT's or
 NHTSA's access to records, accounts, documents, information, facilities, and staff, and to
 cooperate and comply with any program or compliance reviews, and/or complaint investigations
 conducted by US DOT or NHTSA under any Federal Nondiscrimination Authority;
- Acknowledges that the United States has a right to seek judicial enforcement with regard to any
 matter arising under these Non-Discrimination Authorities and this Assurance;
- Insert in all contracts and funding agreements with other State or private entities the following clause:

"During the performance of this contract/funding agreement, the contractor/funding recipient agrees-

- To comply with all Federal nondiscrimination laws and regulations, as may be amended from time to time;
- Not to participate directly or indirectly in the discrimination prohibited by any Federal non-discrimination law or regulation, as set forth in Appendix B of 49 CFR part 21 and herein;
- To permit access to its books, records, accounts, other sources of information, and its facilities as required by the State highway safety office, US DOT or NHTSA;
- d. That, in event a contractor/funding recipient fails to comply with any nondiscrimination provisions in this contract/funding agreement, the State highway safety agency will have the right to impose such contract/agreement sanctions as it or NHTSA determine are appropriate, including but not limited to withholding payments to the contractor/funding recipient under the contract/agreement until the contractor/funding recipient complies; and/or cancelling, terminating, or suspending a contract or funding agreement, in whole or in part; and
- e. To insert this clause, including paragraphs a through e, in every subcontract and subagreement and in every solicitation for a subcontract or sub-agreement that receives Federal funds under this program.

THE DRUG-FREE WORKPLACE ACT OF 1988 (41 U.S.C. 8103)

The tribe will provide a drug-free workplace by:

- Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- 2. Establishing a drug-free awareness program to inform employees about:
 - 1. The dangers of drug abuse in the workplace.
 - 2. The grantee's policy of maintaining a drug-free workplace.
 - 3. Any available drug counseling, rehabilitation, and employee assistance programs.

- 4. The penalties that may be imposed upon employees for drug violations occurring in the workplace.
- 5. Making it a requirement that each employee engaged in the performance of the grant be given a copy of the statement required by paragraph (a).
- 3. Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will -
 - 1. Abide by the terms of the statement.
 - 2. Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five days after such conviction.
- 4. Notifying the agency within ten days after receiving notice under subparagraph (c)(2) from an employee or otherwise receiving actual notice of such conviction.
- 5. Taking one of the following actions, within 30 days of receiving notice under subparagraph (c)(2), with respect to any employee who is so convicted -
 - Taking appropriate personnel action against such an employee, up to and including termination.
 - Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency.
- 6. Making a good faith effort to continue to maintain a drug-free workplace through implementation of all of the paragraphs above.

POLITICAL ACTIVITY (HATCH ACT)

(applies to subrecipients as well as Tribes)

The tribe will comply with provisions of the Hatch Act (5 U.S.C. 1501-1508), which limits the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

CERTIFICATION REGARDING FEDERAL LOBBYING

(applies to subrecipients as well as Tribes)

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- 3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

RESTRICTION ON STATE LOBBYING

(applies to subrecipients as well as Tribes)

None of the funds under this program will be used for any activity specifically designed to urge or influence a State or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any State or local legislative body. Such activities include both direct and indirect (e.g., "grassroots") lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with State or local legislative officials, in accordance with customary State practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.

<u>CERTIFICATION REGARDING DEBARMENT AND SUSPENSION</u> (applies to subrecipients as well as Tribes)

Instructions for Primary Certification (Tribes)

- By signing and submitting this proposal, the prospective primary participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR Parts 180 and 1300.
- 2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- 3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default or may pursue suspension or debarment.
- 4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant

- learns its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5. The terms covered transaction, debarment, suspension, ineligible, lower tier, participant, person, primary tier, principal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and coverage sections of 2 CFR Part 180. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
- 6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by NHTSA.
- 7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR Parts 180 and 1300.
- 8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the list of Parties Excluded from Federal Procurement and Non-procurement Programs.
- 9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, the department or agency may disallow costs, annul or terminate the transaction, issue a stop work order, debar or suspend you, or take other remedies as appropriate.

<u>Certification Regarding Debarment, Suspension, and Other Responsibility Matters-Primary</u> <u>Covered Transactions</u>

- (1) The prospective primary participant certifies to the best of its knowledge and belief, that its principals:
 - a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;
 - b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust

- statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of record, making false statements, or receiving stolen property;
- Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or Local) with commission of any of the offenses enumerated in paragraph (l)(b) of this certification; and
- d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the Statements in this certification, such prospective participant shall attach an explanation to this proposal.

Instructions for Lower Tier Certification

- By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below and agrees to comply with the requirements of 2 CFR Parts 180 and 1300.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- The prospective lower tier participant shall provide immediate written notice to the person to
 which this proposal is submitted if at any time the prospective lower tier participant learns that its
 certification was erroneous when submitted or has become erroneous by reason of changed
 circumstances.
- 4. The terms covered transaction, debarment, suspension, ineligible, lower tier, participant, person, primary tier, principal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definition and Coverage sections of 2 CFR Part 180. You may contact the person to whom this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by NHTSA.
- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Instructions for Lower Tier Certification" including the "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions and will require lower tier participants to comply with 2 CFR Parts 180 and 1300.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Non-procurement Programs.

- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, the department or agency with which this transaction originated may disallow costs, annul or terminate the transaction, issue a stop work order, debar or suspend you, or take other remedies as appropriate.

<u>Certification Regarding Debarment. Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transactions:</u>

- The prospective lower tier participant certifies, by submission of this proposal, that neither it nor
 its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or
 voluntarily excluded from participation in this transaction by any Federal department or agency.
- Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

BUY AMERICA ACT

(applies to subrecipients as well as Tribes)

The tribe and each subrecipient will comply with the Buy America requirement (23 U.S.C. 313) when purchasing items using Federal funds. Buy America requires a State, or subrecipient, to purchase only steel, iron and manufactured products produced in the United States with Federal funds, unless the Secretary of Transportation determines that such domestically produced items would be inconsistent with the public interest, that such materials are not reasonably available and of a satisfactory quality, or that inclusion of domestic materials will increase the cost of the overall project contract by more than 25 percent. In order to use Federal funds to purchase foreign produced items, the State must submit a waiver request that provides an adequate basis and justification to and approved by the Secretary of Transportation.

PROHIBITION ON USING GRANT FUNDS TO CHECK FOR HELMET USAGE (applies to subrecipients as well as Tribes)

The tribe and each subrecipient will not use 23 U.S.C. Chapter 4 grant funds for programs to check helmet usage or to create checkpoints that specifically target motorcyclists.

POLICY ON SEAT BELT USE

In accordance with Executive Order 13043, Increasing Seat Belt Use in the United States, dated April 16, 1997, the Grantee is encouraged to adopt and enforce on-the-job seat belt use policies and programs for its employees when operating company-owned, rented, or personally-owned vehicles. The National Highway Traffic Safety Administration (NHTSA) is responsible for providing leadership and guidance in support of this Presidential initiative. For information on how to implement such a program, or statistics on the potential benefits and cost-savings to your company or organization, please visit the Buckle Up America section on NHTSA's website at www.nhtsa.dot.gov. Additional resources are available from the Network of Employers for Traffic Safety (NETS), a public-private partnership headquartered in the Washington, D.C. metropolitan area, and dedicated to improving the traffic safety practices of employers and employees. NETS is prepared to provide technical assistance, a simple, user-

friendly program kit, and an award for achieving the President's goal of 90 percent seat belt use. NETS can be contacted at 1 (888) 221-0045 or visit its website at www.trafficsafety.org.

POLICY ON BANNING TEXT MESSAGING WHILE DRIVING

In accordance with Executive Order 13513, Federal Leadership On Reducing Text Messaging While Driving, and DOT Order 3902.10, Text Messaging While Driving, States are encouraged to adopt and enforce workplace safety policies to decrease crashed caused by distracted driving, including policies to ban text messaging while driving company-owned or -rented vehicles, Government-owned, leased or rented vehicles, or privately-owned when on official Government business or when performing any work on or behalf of the Government. States are also encouraged to conduct workplace safety initiatives in a manner commensurate with the size of the business, such as establishment of new rules and programs or re-evaluation of existing programs to prohibit text messaging while driving, and education, awareness, and other outreach to employees about the safety risks associated with texting while driving.

I understand that the information provided in support of the Tribe's application for Federal grant funds and these Certifications and Assurances constitute information upon which the Federal Government will rely in determining qualification for grant funds, and that knowing misstatements may be subject to civil or criminal penalties under 18 U.S.C. 1001. I sign these Certifications and Assurances based on personal knowledge, and after appropriate inquiry.

Tribal Leaders Signature

Date

Lawrence, Robertson,

IHSP Director, BIA

18

CHILD PASSENGER SAFETY (CPS) AGREEMENT

Tribe: Navajo Nation		Project Number: OP-20-03	3
I, Jorathan Nez, President, representative for the Navajo Nation participate in a minimum of 40 CPS	and hereby agree a	nd certify that the Tribe will,	orized at a minimum,
I understand:			
Funds have been identified in the cuactivities.	rrent grant agreeme	nt to fund car seats and Child	Passenger Safety
The CPS Seat Distribution Report the end of each month which CPS at the agreement are expended/distribu	ctivities are conduct		
If the CPS Seat Distribution Report in the Program Agreement, collection be requested and the CPS program to	ons of tribal funds fo		
Projects are required to submit a CP CPS program. The reports are required program does not submit a status report written notification via email of over the first notification, project personn calendar days after the First Notice, the termination of the contract. During IHSP will evaluate the contract to de reimbursed BIA IHSP funding is requisibursement of equipment purchase writing, with outcomes noted.	red by the fifteenth oper within the first reduce report. If no real will receive a Figure project personnel was the contract year etermine if the project pured. This grant against the grant against the project personnel was the contract year etermine if the project puired. This grant against the project puired.	quarter of the fiscal year, the presponse is received within 7 or rest Notice. If no response is reall receive a <u>Final Notice</u> which, if the Tribe receives three <u>Final Rotice</u> which the tribe receives three <u>Final Rotice</u> which the receives three <u>Final Rotice</u> will be in effect until the receives three <u>Final Rotice</u> which the receives three <u>Final Rotice</u> will be in effect until the receives three <u>Final Rotice</u> which the receives three <u>Final Rotice</u> which the receives three <u>Final Rotice</u> will be in effect until the receives three <u>Final Rotice</u> which the receives the <u>Final Rotice</u> which the receives the receives three <u>Final Rotice</u> which the receives the receives the receives three <u>Final Rotice</u> which the receives the receives the receives the receives three <u>Final Rotice</u> which the receives the receiv	onth. If a tribal CPS program will receive calendar days after eccived within 15 ich could result in inal Notices the BIA collection for any I the complete
The BIA IHSP and/or NHTSA can/v purposes. Every effort will be made will be the main point of contact of t	to provide advance	d notice. I agree the Tribal Pro	oject Coordinator
sident, Navais Signature	11.26.19 Date	Project Coordinator	
Janathan Nez Jonathan Nez, Print Name		Norma K. Bown Project Coordinator, Print N	
11,011		1- 10	

IHSP Director, BIA

Date

If the information on Page 3 is incorrect, complete this form, and send back with the signed Grant Agreement

Bureau of Indian Affairs - Office of Justice Services Indian Highway Safety Program

TRIBAL POSITION CHANGE FORM REVISED 09/2017

TRIBE:		PROJECT#:
The BIA Indian Highway Safety Program	must be notified	of changes in key staff involved in the Gran
Date change is effective:		
Project Coordinator:		Phone Number:
E-mail Address:		
Office:		
Address:		
City:	State:	Zip:
Tribal Leader (please select one):		
President:		Phone Number:
Chairman:	×	
Chairwoman:		
Governor:		
Other:		
Office:		
Address:		
City:	State:	Zip:
		¥.
		×
ibal Project Coordinator (Print) Signatur	e	Date

Must be returned with signed Grant Agreement

OMB No. 1510-0056

ACH VENDOR/MISCELLANEOUS PAYMENT ENROLLMENT FORM

This form is used for Automated Clearing House (ACH) payments with an addendum record that contains payment-related information processed through the Vendor Express Program. Recipients of these payments should bring this information to the attention of their financial institution when presenting this form for completion. See reverse for additional instructions.

PRIVACY ACT STATEMENT

The following information is provided to comply with the Privacy Act of 1974 (P.L. 93-579). All information collected on this form is required under the provisions of 31 U.S.C. 3322 and 31 CFR 210. This information will be used by the Treasury Department to transmit payment data, by electronic means to vendor's financial institution. Failure to provide the requested information may delay or prevent the receipt of payments through the Automated Clearing House Payment System.

	AGENC	INFORMATION
FEDERAL PROGRAM AGENC		
LOSULOV IDSUITATION	AOSNOVI OCATION CORE (ALC)	ACH FORMAT:
AGENCY IDENTIFIER:	AGENCY LOCATION CODE (ALC):	CCD+ CTX
ADDRESS:		
CONTACT PERSON NAME:		TELEPHONE NUMBER:
CONTROL PERSON TITALE.		()
ADDITIONAL INFORMATION:		
	PAVEE/COM	PANY INFORMATION
NAME	PATEE/COM	SSN NO. OR TAXPAYER ID NO.
ADDRESS		
CONTACT PERSON NAME:		TELEPHONE NUMBER:
		()
	FINANCIAL INST	FITUTION INFORMATION
NAME:	1 110 110 110 110	
ADDRESS:		
ACH COORDINATOR NAME:		TELEPHONE NUMBER:
NINE-DIGIT ROUTING TRANS	DIT MIMADED.	
NINE-DIGIT ROUTING TRANS		
DEPOSITOR ACCOUNT TITLE	E:	
DEPOSITOR ACCOUNT NUM	BER:	LOCKBOX NUMBER:
TYPE OF ACCOUNT:		
180 T-3	CHECKING SAVINGS	LOCKBOX
SIGNATURE AND TITLE OF A (Could be the same as ACH Co		TELEPHONE NUMBER:
		()
AUTHORIZED FOR LOCAL R	EPRODUCTION	SF 3881 (Rev. 2/2003) Prescribed by Department of Treasury 31 U S C 3322; 31 CFR 210

21

Must be returned with signed Grant Agreement

Form (Rev. November 2005)
Department of the Treasury

Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not send to the IRS.

Internal	Ravenue Service		
Je 2.	Name (as shown on your income tax return)		
on page	Business name, if different from above		
Print or type c Instructions	Check appropriate box: Individual/ Corporation Partnership Other	·	Exempt from backup withholding
rint o	Address (number, street, and apt. or suite no.)	Requester's name and	address (optional)
P Specific	City, state, and ZIP code		
See Sp	List account number(s) here (optional)		
Par	Taxpayer Identification Number (TIN)		
backu alien.	your TIN in the appropriate box. The TIN provided must match the name given on Line 1 p withholding. For individuals, this is your social security number (SSN). However, for a resole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entity	sident	turity number
your e	imployer identification number (EIN). If you do not have a number, see How to get a TIN of	n page 3.	or
	If the account is in more than one name, see the chart on page 4 for guidelines on whose er to enter.	Employer	identification number
1.07	- 10° - 10°		

Part II Certification

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
- 3. I am a U.S. person (including a U.S. resident alien).

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN. (See the instructions on page 4.)

_	

Purpose of Form

A person who is required to file an information return with the IRS, must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

U.S. person. Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - 2. Certify that you are not subject to backup withholding, or
- Claim exemption from backup withholding if you are a U.S. exempt payee.

In 3 above, if applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

For federal tax purposes, you are considered a person if you are:

- An individual who is a citizen or resident of the United States
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States, or
- Any estate (other than a foreign estate) or trust. See Regulations sections 301.7701-6(a) and 7(a) for additional information.

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

The U.S. owner of a disregarded entity and not the entity.

Form W-9 (Rev. 11-2005)

Bureau of Indian Affairs - Office of Justice Services Indian Highway Safety Program

CHILD PASSENGER SAFETY (CPS) Grant REQUEST FOR REIMBURSEMENT (RFR) CHECK LIST

REVISED 08/2017

Month:	
RFR Cover Signed: RFR Summary Sheet Attached:	PROJECT#:
CAR SEAT PURCHASES BIA Purchase Request Form (Approved) Vendor Invoice Receiving Report/ Requisition	EQUIPMENT PURCHASES BIA Purchase Request Form (Approved) Vendor Invoice Receiving Report/ Requisition
SUPPLY PURCHASES BIA Purchase Request Form (Approved) Vendor Invoice Receiving Report/ Requisition BROCHURE PURCHASES BIA Purchase Request Form (Approved) Vendor Invoice	TRAVEL BIA IHSP Travel Authorization Form (Approved) BIA IHSP Trip Report Tribal Travel Authorization Form Tribal Travel Voucher/ Reconciliation Actual Travel Receipts Airfare Itinerary (with fare costs & travelers name) Mileage Log (POV)
TRAINING FEES BIA Training Request (Approved) Vendor Invoice	The Reports below must be submitted to the BIA IHSP upon completion of event(s)/distribution(s): * CPS Seat Distribution Report Form C * CPS Distribution Log

Prior approval is required for <u>all</u> purchases and training /travel requests made under the Indian Highway Safety Grant.

Tribal credit card statements <u>can not</u> be submitted in place of vendor invoices, air fare itinerary, or travel receipts for reimbursement

RFR's should be sent to the following e-mail address: ojs_indian_highway_safety@bia.gov

DEPARTMENT OF THE Indian State Indian Highway Safety		REQUEST	FOR REIMBU	JRSEMENT
1. Grant Number:		2. Grante	e:	
3. Approved Funding	4. Request	#:	7. Prepared by	<i>r</i> :.
Federal:	5. Final? Y	es No	Name:	
Grantee:	6. Amendm	000 00000	Telephone:	
		es No	E-Mail:	
R	REIMBURSEME	ENT CALCULA	TION	
This claim covers th	e month		20	
7. Total Fund expenditures per attached documentation \$ PLEASE NOTE: NO REIMBURSEMENTS WILL BE MADE WITHOUT DOCUMENTATION AND MONTHLY NARRATIVE REPORTS				
I certify that the attached docur grant a	ments represent ac nd that they were o	ctual expenditures obligated within the	made to carry out the grant period.	e purposes of the
Ву:				
Type Name and Title (Authorized Official for Tribe		Signature		Date
BIA IHSP Approval:				
<u>Lawrence G. Robertson</u> Program Director		Signature		Date
Date entered into GTS for payment		Ву		

Bureau of Indian Affairs - Office of Justice Services Indian Highway Safety Program

Request for Reimbursement (RFR) Summary Sheet REVISED 08/2017

(This form must be attached behind the RFR cover sheet)

Month:	10.00	
Tribe:	PT#:	
Car Seats: (List Car Seat Type): (Include Purchase Request Form & Invoice)		
Supplies (List Items Awarded) (Include Purchase Request Form & Invoice/ Receipt)		
•		
Equipment (List Equipment Awarded) (Include Purchase Request Form & Invoice)		
Training/Travel: (Include Training Authorization Form, Invoice & Receipts)		
Brochures: (Include Purchase Request Form, Invoice & Receipts)		
Media (Billboard Rental; Media: News Paper, Radio) (Include Purchase Request Form & Invoice)		
Indirect Cost Rate		
Total Amount Claimed For Month:		

Indian Highway Safety Program

FORM C

Child Passenger Safety (CPS) Seat Distribution Report

Name of Tribe:		Project N	umber:	
Date of Event:				
	quirement: All fitting sta		l safety seat distribution pro senger safety technician or	
Number of Inspec	ctions Conducted:			
	properly installed (or pr			
Number of seats i	improperly installed (or	r misused)		
Number of seats	replaced			
Reasons for repla	cement			
What type of training on	the proper use of child	safety seat ins	stallation did recipients re	eceive?
Hands On	□ Video	Handouts	(attach materials)	
Other (explain)_				
How was this event publ	icized? (attach copy of an	y printed media)		
Radio	Internet	Iail Flyers	s	
Other (explain)	1			
Comments:				
	ĕ			
Certified Technician Nar	ne:			
Report Completed by:			Title:	
"I certify that all child safety se certified child passenger safety	ats checked or distributed are Technician or Instructor."	e completed at the	child safety seat inspection loc	ration with a current Safe Kids-
CPS Project Coordinator	Signature	Date		

Indian Highway Safety Program CPS Seat Distribution Log

TRIBE: OP#:

TRIBE:						OP#:		
								Car Seat
				Employee Name	CPS		Registration	
Date Issued	Issued To:	Car Seat Type		(who issued seat out)	Installed	Checked	Completed?	
		□Infant		☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:			□ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:			□ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:	83.5		☐ No	☐ No	□ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:	15131		☐ No	☐ No	□ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
ANNUA ANNUA		☐ Convertible	☐Other:			☐ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:			☐ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:			☐ No	☐ No	□ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐Convertible	☐Other:			☐ No	☐ No	□ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐Convertible	☐Other:			☐ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐Convertible	☐Other:			☐ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs		□Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:	127		☐ No	☐ No	☐ No
		□Infant	Booster	☐Special Needs		☐ Yes	☐ Yes	☐ Yes
		☐ Convertible	☐Other:	s-a w		□ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs		□Yes	□Yes	☐ Yes
1		☐ Convertible	☐Other:			☐ No	☐ No	☐ No
		□Infant	Booster	☐Special Needs		□Yes	□Yes	☐ Yes
		☐ Convertible	☐Other:			☐ No	☐ No	☐ No
		□Infant	□Booster	☐Special Needs	:: +	□Yes	□Yes	☐ Yes
		☐ Convertible	☐Other:			□ No	☐ No	□ No
		□Infant	□Booster	☐Special Needs		□Yes	□Yes	☐ Yes
1		☐ Convertible	☐Other:			□No	☐ No	☐ No

Bureau of Indian Affairs - Office of Justice Services Indian Highway Safety Program

PURCHASE REQUEST FORM REVISED 08/2017

Tribe:	Project Numb	oer:			
Project Coordinator:	E-mail Addr	E-mail Address:			
Phone #:	Fax #:				
Car Seats Supplie		Brochures/ Media Equipment			
Item #/ Car Seat Name:	ave prior approval ar Car Seat Type:	Qty:	Unit Price:	Total Price:	
	SELECT ONE			\$ 0.00	
	SELECT ONE			\$ 0.00	
SELECT ONE				\$ 0.00	
SELECT ONE				\$ 0.00	
				\$ 0.00	
				\$ 0.00	
	Set up Charge:				
9	Shipping & Handling:				
	Grand Total:			\$ 0.00	
By my signature below, I certify the items list Act (49 USC 5323(j))	ed are in compliance	with the p	rovisions of the	Buy America	
Project Coordinator's Signature Date	Supervisor Sign	ature		Date	
	Print Supervisor	r's Name		_	
	1.3	approved			
Reason for Denial:					

Send completed form to the following e-mail address ojs_indian_highway_safety@bia.gov

Bureau of Indian Affairs - Office of Justice Services Indian Highway Safety Program TRAVEL & TRAINING AUTHORIZATION FORM REVISED 08/2017

Traveler:	Title:				
Tribe:	Project Number:				
Phone Number:	E-Mail Address:				
TRAINING REQUEST	TRAVEL REQUEST				
Course Title:	Purpose:				
Start Date:	Start Date:				
End Date:	End Date:				
Training Location:(City, State)	I certify travel: is outside a 50 mile radius of my duty station				
Registration Fee:	☐ Yes ☐ No				
This training part of my annual training certification Yes No Travel required Yes No How does this training relate to your project?	exceeds 12 hours				
	Per Diem: \$\$				
a .	Travel Expenses (Enter Estimated Costs Below)				
m - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	Airfare:				
Training will assist in achieving project agreement goals/performance measures by:	Luggage Fees:				
S 1	Airport Parking:				
	Lodging Taxes: Taxi Fare/Shuttle:				
	POV Mileage: miles @				
	\$ cents per mile = \$ 0.00				
	Mileage rates can be found at: http://www.gsa.gov/portal/category/26429				
	Total Estimated Travel Costs: \$ 0.00				
	Quote & comparison must be attached for Rental Car/ POV				
	Rental Car/ POV:				
Traveler/ Trainee's Signature Date	Chief of Police (PTS)/ Supervisor Signature (CPS) Date				
	Chief of Police/ Supervisor Name (Print)				
BIA Indian Highway Safety Program Date	☐Approved ☐Disapproved				
Reason for Denial:					
	_				

Bureau of Indian Affairs - Office of Justice Services Indian Highway Safety Program

$\frac{TRIP\ REPORT}{{}^{REVISED\ 08/2016}}$

Tribe:	Project Number:
Traveler:	
Phone Number:	Fax Number:
E-Mail Address:	
Dates of Travel:	Travel Destination:
Trip Report must be attach with	all travel claims to support reimbursement
Purpose of Trip/Objective:	
Result of Trip/Benefit to my Project:	
How this trip relates to my Project:	
Activities I participated in/or workshop attended:	
Contacts Made:	
Traveler's Signature	Date

Bureau of Indian Affairs – Office of Justice Services Indian Highway Safety Program

CPS Grant

BUDGET MODIFICATION REQUEST FORM REVISED 08/2017

Tribe Name:		Project Number:				
Requestor's Name:		Phone Number:				
Justification/Reason for Mod	lification:					
Only fill in the lines items that a		Amount to be moved:	Move to line item: QTY	Move amount		
Line Item QTY Select One	Original Award	S S	Select One	\$		
Select One	S	S	Select One	\$		
Select One	S	S	Select One	\$		
Select One	S	S	Select One	S		
	S	S	Select One	S		
Select One	S	S	Select One	S		
Select One	\$	\$	Select One	\$		
Select One Select One	S	\$	Select One	S		
Select One	\$	\$	Scient One	\$		
	\$	\$		\$		
	\$	\$		S		
	S	S		\$		
	S	\$		\$		
TOTAL:	\$ 0.00	1907		\$ 0.00		
Requester Signature	Date		Chief of Police/ Supervisor Signature Date Chief of Police/ Supervisor Name (Print)			
Director, BIA Indian Highwa	TI 1870 10707	Date	Disapproved			
Reason for Denial:	□Approved		l Disapproved			

Send completed forms to ojs_indian_highway_safety@bia.gov



NAVAJO NATION DEPARTMENT OF JUSTICE

DOCUMENT
REVIEW
REQUEST
FORM



DOJ //-01-19 @ 9 300 DATE / TIME 7 Day Deadline DOC #: 0 13 6 8 6

*** FOR NNDOJ USE ONLY - DO NOT CHANGE OR REVISE FORM. VARIATIONS OF THIS FORM WILL NOT BE ACCEPTED. ***

	CLIENT TO	COMPLETE	IIS FORM WILL NOT BE ACCEPTED.
	CLIENT 10	COMPLETE	
DATE OF REQUEST:	11/6/2019	DIVISION:	Transportation
CONTACT NAME:	Ardaniel Begay	DEPARTMENT:	Highway Safety
PHONE NUMBER:	505-371-8351	E-MAIL:	abegay@navajodot.org
TITLE OF DOCUMENT Safety grant, Project Number	: 164 Review #13686 - Accepting the er OP-20-03, in the amount of \$9,675.00	BIA Indian Highway S with Limited IDC all	Safety Program (IHSP) Child Passenger owance.
	DOJ SECRETARY	TO COMPLETE	
DATE/TIME IN UNIT: (l·07-9 reviewin ll:05 A M	G ATTORNEY/AD	VOCATE:Vennica Bleekhat 11-19-19
DATE TIME OUT OF U	NIT: 11.8.19 2117		
	DOJ ATTORNEY / ADV	OCATE COMME	NTS
Legnily Sup NN Preside	1) cent. Correct	1. pg 190,	f Azmt to reflect
REVIEWED BY: (Print)	Date / Time	SURNAMED BY:	(Print) Date / Time 1/8/19 1:456m
DOJ Secretary Called: A	daniel B for Document	Pick Up on 1/- 8	·19 at 2 By: By
PICKED UP BY: (Print) NNDOJ/DRRF-July 2013			DATE / TIME:

COMPLETED

- Hwy Sufety

Document No.	013686		Date Issued	d:11/06/2	2019
		SECTION 164 REVIEW	V FORM		
Title of Document:	BIA IHSP Grant \$9	9675 Limited IDC Waiver	Contact Name:	BEGAY, ARDANIE	L JOE
Program/Division:	DIVISION OF TRA	ANSPORTATION			
Email:	abegay@navajod	ot.org	Phone Number:	505-371-8	3351
Division Director	Approval for 164A:	(-1111)		_	
except Business Re sufficient or insuffic	egulatory Department ient. If deemed insuf	nit to category reviewers. E. which has 2 days, to review a ficient, a memorandum explainable oval rests with Legislative	and determine whet ning the insufficiend	her the document(s) by of the document(s	are) is required.
	f Policy or Positive I		Date:	Sufficient	
	kpends or receives f	et Reallocations or amendments	Date:		LY if
		approval rests with the F	President of the N	Navajo Nation	
1. Division: 2. OMB: 3. OOC: 4. OAG:	ng Agreement or am	This whom Righting	Date: 11/6 Date: Date: 12241 Date: 11/8 19		
1. Division: 2. BRD: 3. OMB: 4. OOC: 5. OAG:		or receiving funds or amer	Date:		
Letter of Ass 1. Division: 2. OAG:	surance/M.O.A./M.O. —————	U./Other agreement not exp	ending funds or a Date: Date:		
M.O.A. or Le 1. Division: 2. OMB: 3. OOC:		pending or receiving funds	or amendment: Date: Date: Date:		

30

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page 01 of 06 BUDGET FORM 1

PART I. Business U	Jnit No.:	NEW BU	Program Title:		Department of Highway Safety		Division/Branch:	NDOT/Executiv	re
Prepared By: _	Norm	a Bowman	Phone	No.:	505.37I.8391 Email	Address:	nbowma	n@navajodot.org	
PART II. FUNDING S BIA Indian Highway S		Fiscal Year /Term 7/11/19-9/30/20	Amount 9,675.00	% of Total 100%	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
					2001 Personnel Expenses				
					3000 Travel Expenses				
					3500 Meeting Expenses				
					4000 Supplies			9,125	9,125
					5000 Lease and Rental				
					5500 Communications and Utilities				
					6000 Repairs and Maintenance				
					6500 Contractual Services				
					7000 Special Transactions			550	550
					8000 Public Assistance			11	
					9000 Capital Outlay				
					9500 Matching Funds				
					9500 Indirect Cost				
						TOTAL		9,675	9,675
					PART IV. POSITIONS AND VEHICLE	S	(D)	(E)	
					Total # of Positions E	Budgeted:			
		TOTAL:	\$9,675.00	100%	Total # of Vehicles B	Budgeted:			
PART V. I HEREBY	ACKNOWLED	E THAT THE IN	ORMATION COM	TAINED	IN THIS BUDGET PACKAGE IS COMPLI	TE AND A	CCURATE.		
		na K. Bowman, P			<u> </u>		Silversmith, Division Di		_
	SUBMITTED	BY: Program M	lanager's Printed	Name	APPROVED	BY: Divisi	on Director/Branch (Chief's Printed Name	_
	SUBMITTED B	Y: Program Man	///4/ager's Signature	19 and Date	APPROVED BY	Division	Fr 65 Director/Branch Chie	11/6/19 et's Signature and Date	<u> </u>

THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



November 4, 2019

MEMORANDUM

TO

164 Reviewers

Navajo Nation

THRU

Garret Silversmith, Division Director

Navajo Division of Transportation (NDOT)

FROM

Norma K. Bowman, Program Manager

Navajo Department of Highway Safety-NDOT

SUBJECT:

164 Executive Review

RE: BIA Indian Highway Safety Program's Child Passenger Safety Grant

The Navajo Division of Transportation (NDOT) respectfully requests your prompt review and approval of the attached BIA Indian Highway Safety Program's-Child Passenger Safety Grant Award in the amount of \$9,675.00 for purchase of Car Seats, Child Passenger Safety Technician Fees and Indirect Costs for the Department of Highway Safety's Child Passenger Safety Program. The items will be distributed throughout the Navajo Nation. Currently, our data shows that the #1 Misuse of Child Passenger Safety is "NON-USE"—with families not able to purchase seats on their own.

The BIA Grant Award was received by our office on Friday, November 1, 2019 and is scheduled to begin November 11, 2019 and end on September 30, 2020.

Furthermore, as conveyed previously part of the Grant Award includes BIA's stipulation that Indirect Cost Recovery is not allowed for parts of this Grant (see Pages 5-6 of Grant Application), however our Department would like to proceed with acceptance of the funds.

Your attention and respectful consideration on signing off the Executive Official Review would be most appreciated. Should you have any additional questions, please contact me directly at (505) 371-8391. Thank you.

BIA INDIAN HIGHWAY SAFETY PROGRAM Occupant Protection Grant Child Passenger Safety Seat Program (CPS)

All Sections of the grant application should be completed; do not leave any blank fields. Blank fields could result in dis-qualification.

SECTION A: GENERAL INFORMATION	FISCIAL GRANT YEAR APPLYING FOR: 2020		
Tribe Name: Navajo Nation			
Tribal Leader Name: Jonathan Nez	Title: President		
Mailing Address: P.O. Box 7440			
City: Window Rock State: AZ	Zip: 86515		
FedEx Address: Navajo DOT Complex, #16 Old Coal	Mine Road		
City: Mentmore State: New M	Mexico Zip: 87319		
Phone Number: (928) 871-7000	Fax Number: (928) 871-4025		
DUNS Number: 009001702	SAMs Cage Code:		
PERSON COMPLETING THE APPLICATION:			
Name: Norma Bowman	Title: Program Manager		
Office/Department: Navajo Department of Highway S	Safety		
Phone Number: (505) 371-8391	Fax Number: (505) 371-8399		
E-Mail Address: nbowman@navajodot.org			
Name: Norma Bowman Office/Department: Navajo Department of Highway S	Title: Program Manager Safety		
Mailing Address: P.O. Box 1509	Zip: 86515		
City: Window Rock State: AZ	Fax Number: (505) 371-8399		
Phone Number: (505) 371-8391	Fax Number: (6667 67 7 6667		
E-Mail Address: nbowman@navajodot.org			
GRANT HISTORY:			
How many years has the Tribe received funding from	BIA IHSP?1		
Has the Tribe applied for and received other traffic sa	afety related grants from other agencies in the last three years?		
□ Ves □ No			
If yes, please list the agencies: Federal H	lighway Administration		
Does the tribe have another traffic safety (focused) g	rant currently in place? (i.e. CDC, Indian Health, State, etc.):		
☑ Yes ☐ No			
If yes, please list the agencies: Federal F	lighway Administration		
RESERVATION INFORMATION:			
	s:27,425		
200,000	per of Road Miles: 15,342		
Population: Total Numb	WI WI FAVOR FILLIAND		

OMB Approved Form No. 1076-0190 Expiration Date. 09/2021

BIA INDIAN HIGHWAY SAFETY PROGRAM Occupant Protection Grant

Child Passenger Safety Seat Program (CPS)

PROBLEM IDENTIFICATION: *Problem Statement*: Provide information to justify the tribe's need for a child passenger safety seat program.

The Navajo Department of Highway Safety provides highway safety awareness initiatives in attempts to decrease the number of motor vehicle related unintentional injuries and deaths among the Navajo people including children. Car Seat Surveys indicate that usage amongst parents/caregivers is low, or non-usage because of the inability to purchase car seats for their babies. A majority of the inquiries from parents/caregivers are from individuals who cannot afford car seats or from foster parents who were given custody from the court systems.

We are looking towards external funding opportunities to assist with our Child Passenger Safety efforts and include

seeking funding to certify Public Safety personnel in the area of Child Passenger Safety

Crash Data 2015 -2017

Total number of motor vehicle crashes:	20 15	2016	2017	
crashes	567	603	660	
involving infants and children	209	231	223	
involving infants and children not wearing seat belts	107	132	121	

PROBLEM COUNTERMEASURES:

Does the Tribe have a child safety seat law	? 🗸 Yes	☐ No				
If yes, is it: Primary	Secondary					
Briefly describe all occupant protection laws: Seatbelt Law - Primary, Cell Phone Law-Primary, Children 12 years or younger must utilize appropriate child restraints.						
Has the Tribe conducted a survey to determ If yes, when was survey conducted?			✓ Yes	□ No		
What was safety seat use rate in:	2015 44%	20 16 36%	20 17 36%			

If an assessment was not conducted, how will the usage rate be determined? Seatbelt and Car Seat Surveys are conducted throughout the Navajo Nation and combined per quarter/yearly for an average rate.

OMB Approved Form No. 1076-0190 Expiration Date. 09/2021

BIA INDIAN HIGHWAY SAFETY PROGRAM Occupant Protection Grant

Child Passenger Safety Seat Program (CPS)

	a permanent fitting station? 🗹 Yes 🗌 No					
If yes, where	is it located? Navajo DOT Is it by appointment? Yes No					
	nild passenger safety clinic conducted? March 8, 2019					
	current certified technicians? 🗹 Yes 🗌 No					
If yes, from	vhat agency? Various agencies through the Navajo Nation					
Name of Lead CPS	Technician: Norma Bowman					
	S technician provide training to parents/care givers? Yes No					
If yes, what	ype of training is provided? ✓ Hands on installation ✓ Video ✓ Handout					
	Other:					
Does the Tri	pe publicize CPS Events? ✓ Yes No					
SECTION B: CPS	Partnerships					
Provide information	on CPS partnerships the Tribe has with other outside entities.					
Does the Tribe partr State Highway SECTION C: TAB	er with outside entities for CPS? ✓ Yes No Safety Office ☑IHS ☐ Local Fire Department ☑ Other: EMS. Health, IHS GETS					
The following inform	nation represents the targets which <u>must</u> be reported for child passenger safety seate the number of Tribal events to be held. Please estimate the number at this time.					
Pro	ide the number of:					
	Checkpoints to be held (optional)					
40	Roadside clinics to be held					
200	200 Seats to be distributed					
300	Seats to be inspected					
5	CPS training events to be conducted					
5	Community CPS training events to be held					
5,00	0 Handouts to be distributed					
Would the Tr	ibe be willing to provide volunteers to conduct a child safety seat survey? Yes No					

BIA INDIAN HIGHWAY SAFETY PROGRAM Occupant Protection Grant

Child Passenger Safety Seat Program (CPS)

SECTION D: BUDGET

Budgets must support the project being proposed. Federal guidelines requires costs to be reasonable and necessary to carry out/or operate the grant. 2 CFR 225 Appendix B.12 and 2 CRF Part 200.434 Contributions and Donations do not allow indirect costs to be applied to the reimbursement of car seats and car seat shipping costs. Indirect Cost can be applied to other items if allowable in the negotiated rate.

Infant Car Seat					
Quantity	75	Cost per seat:	\$ 75.00	Total:	\$ 5,625.00 \$ 1,875.00
Shipping cost per seat:	\$ 25.00	Quantity:	75	Total:	\$ 1,875.00
Convertible Car Seat					cual erancement believe
Quantity		Cost per seat:	\$ 75.00	Total:	\$ 5,625.00
Shipping cost per seat:	\$ 25.00	Quantity:	75	Total:	\$ 1,875.00
Combination Car Seat					
Quantity	75	Cost per seat:		Total:	\$ 5,625.00
Shipping cost per seat:	\$ 25.00	Quantity:	75	Total:	\$ 1,875.00
Car Seat Type Other: Boo	ster				
Quantity	100	Cost per seat:	\$ 40.00	Total:	\$ 4,000.00
Shipping cost per seat:	\$ 25.00	Quantity:	100	Total:	\$ 2,500.00
Supplies:					
		Cost per item:		Total:	\$ 0.00
Shipping cost per item:		Quantity:	0	Total:	\$ 0.00
Supplies					
Quantity		Cost per item:		Total:	\$ 0.00
Shipping cost per item:		Cost per item:Quantity:	0	Total:	\$ 0.00
Brochures:					
Brochures: Quantity	Cos	t per brochure:		Total:	\$ 0.00
Total shipping cost:					
SECTION E: Training & T	Travel				
The grant can cover CPS tra		nce registration. Tra	vel is a reimbursa	ble expense	under this grant
program. Provide an estimat	ed budget to cove	r travel expenses if i	needed.		
CPS Certification Training I	Registration Fee:				
Number to be trained	30 (ost per person:	\$ 95.00	Total:	\$ 2,850.00
CPS Re-Certification Traini				Taret	£ 0.00
Number to be trained:		Cost per person:		Total:	\$ 0.00
CPS Related Conferences/T	raining:				0.000
Number to be trained:	(Cost per person:		Total:	\$ 0.00
Estimated Travel Budget:					

OMB Approved Form No. 1076-0190



BUREAU OF INDIAN AFFAIRS

Instructions for Completion of BIA Indian Highway Safety Program Child Passenger Safety Seat Program Grant

The Highway Safety Act of 1966, U.S.C. Title 23, Section 402, provides U.S. Department of Transportation funding to assist Indian Tribes in implementing traffic safety projects. These projects are designed to reduce the high number of traffic crashes and their resulting fatalities. injuries and property damage within Indian communities.

Tribes can apply for the Child Passenger Safety Seat Program grant with this application. Complete the application in its entirety; do not leave any blank spaces/fields.

The BIA Indian Highway Safety Program is performance-based and data driven. The problem statement will need to justify the Tribes need for a child passenger safety seat program. All applications must contain measurable targets and performance measures, and be justified by data. Insufficient data to justify a need for a CPS program will significantly reduce the possibility of funding for the Tribe.

These 402 funds are intended to supplement an existing traffic safety program on the reservation.

Indian Highway Safety Program grants are funded in the form of reimbursements. Tribes must first expend their funds and submit documentation for reimbursement consideration each month. Monthly report and financial reports (requests for reimbursement) are required. 2 CFR 225 Appendix B.12 and 2 CRF Part 200.434 Contributions and Donations do not allow indirect costs to be applied to the reimbursement of car seats and car seat shipping costs.

A Tribal Resolution and approved Indirect Cost Rate letter must be submitted with the application.

Please contact the Indian Highway Safety Program at (505)563-3780 to inquire about BIA IHSP Grant Writing Training.

Grant applications are due by:

May 1, 2018 for the FY2019 Grant Year

May 1, 2019 for the FY2020 Grant Year

May 1, 2020 for the FY2021 Grant Year

Grant applications and attachments can be mailed to:

Bureau of Indian Affairs Office of Justice Services Indian Highway Safety Program 1001 Indian School Road N.W. Ste. 251 Albuquerque, NM 87104

Application and attachments can also be submitted via e-mail at:

ojs indian highway safety@bia.gov

24th NAVAJO NATION COUNCIL - FIRST YEAR, 2019

AN ACTION RELATING TO TRANSPORTATION: APPROVING THE FY2020 NAVAJO DEPARTMENT OF HIGHWAY SAFETY, BIA INDIAN HIGHWAY SAFETY PROGRAM-CHILD PASSENGER SAFETY GRANT IN THE AMOUNT OF \$32,296.00 AND TO APPROVE LIMITED INDIRECT COST RATE ALLOWANCE.

WHEREAS:

- 1. Pursuant to 2 N.N.C. §§500, the Resources and Development Committee (RDC) is hereby established as a standing committee of the Navajo Nation Council.
- 2. The Resources and Development Committee (RDC) has Legislative Oversight authority over the Navajo Nation Division of Transportation that includes the Navajo Department of Highway Safety.
- 3. The Navajo Division of Transportation's Department of Highway Safety proposed for and awarded a FY 2020 BIA Indian Highway Safety Program, Child Passenger Safety Grant in the amount of \$32,296.00 for the purchase of supplies and certification fees for the Child Passenger Safety component within the Department.
- 4. The BIA Indian Highway Safety Program Child Passenger Safety Grant does not allow for full Indirect Cost Recovery for this funding, and the Resources and Development Committee approves the limited Navajo Nation Indirect Cost Recovery.

NOW THEREFORE BET IT RESOLVED THAT:

The Navajo Nation hereby accepts and approves the FY2020 BIA Indian Highway Safety Program's-Child Passenger Safety Grant in the amount of \$32,296.00.

	oregoing resolution was duly considered by the Resources and f the 24 th Navajo Nation Council at a duly called meeting held at , Navajo Nation (Arizona) at
	nt and the same was passed by a vote of in favor, abstained, on this day of
, opposed and , 2019.	abstathed, on this day of
	Rickie Nez, Chairperson Resources and Development Committee
Motion by:	

Bureau of Indian Affairs

Office of Justice Services - Indian Highway Safety Program Child Passenger Safety Seat Program Grant

APPLICATION CHECK LIST:

Use this check list to gather the necessary documents required for submission of the grant application. Do not leave any fields blank in the application, initial the Terms. Conditions, and Responsibilities, sign and date page 7. Include a Draft/Approved copy of the Tribal Resolution. Check if the A-133 is current with the tribe. Sign and date the check list stating all documents are attached.

Note: If the grant is awarded, signed approved copies are due at the time of award and should be submitted with the signed grant agreement.

FISCAL GRANT YEAR APPLYING FOR:

Indicate the grant year the application is being submitted for.

Example: FY2019 (this grant year cycle begins October 1, 2018 - September 30, 2019)

SECTION A: General Information

Tribes Information:

Fill in all boxes. Complete all the field information for the federally recognized tribe applying for the grant.

Person Completing the Form:

Provide the name, title, Office/Department, Phone and Fax number along with an e-mail address, etc... of the person completing the application. This information is essential in case questions arise regarding the application and missing documents.

Tribal Grant Coordinator:

Complete the fields for the Tribal Grant Coordinator. Provide the name, title, telephone number, Office/Department, Phone and Fax number, address, along with an e-mail, etc... The Tribal Grant Coordinator is responsible for grant administration and is the main point of contact.

Grant History:

In these fields please indicate if the Tribe has received federal funding from the BIA IHSP, other federal agencies, or state(s) focused in traffic safety.

Reservation Information:

Complete all the fields with information regarding reservation size, acres, square miles, population and total road miles.

Problem Identification: (Problem Statement)

Provide information to justify the tribe's need for a child passenger safety seat program.

Crash data will also need to be provided involving infants and children if available. Only Fiscal Year (October 1 - September 30) data will be accepted. Do not leave blank spaces. Insufficient data will significantly reduce chance of funding. Use most recent fiscal year data available.

Example: Crash data: 2014 to 2016

Total crash years 2014, 2015, 2016

Indicate the total motor vehicle crashes, crashes involving infants and children, crashes involving infants and children not wearing seat belts, for the total crash years indicated.

Problem Countermeasures:

Check the boxes to indicate if the tribe has a Child Safety Seat Law; if yes, is it primary or secondary. Provide a brief description of the tribes' occupant protection laws.

Check the appropriate box to reflect if a survey was conducted to determine the child safety seat use rate and provide the year, date conducted and the usage rate.

If an assessment was not conducted explain how the usage rates were determined.

Check the appropriate box regarding permanent fitting station, if yes, indicate the location and if it is by appointment.

Provide the date of the last CPS clinic conducted.

CPS grant awards require a certified CPS Technician on staff. Check the appropriate box and provide the name of the Lead CPS Tech, to be available if not on staff, and how training is conducted and how CPS events are publicized.

SECTION B: Partnerships

List the CPS partnerships who work with the tribe.

SECTION C: Targets/Strategies

Provide an estimated number of checkpoints, roadside clinics, seats to be distributed and inspected, along with hand out to be distributed. Checkpoints are optional. Provide the

number of CPS training and community events to be held during the grant year.

Indicate if the Tribe would like to provide a volunteer child safety seat survey.

SECTION D: Budget

All costs in this section should be reasonable and necessary and related directly to the proposed project. All items purchased under the grant will have to be approved prior to purchase by the Indian Highway Safety Program. Be as accurate as possible.

Indicate the car seat type and supplies along with quantity, item costs and shipping fees necessary to carry out the grant program. Brochures can also be requested.

The grant can cover registration fees for Child Protection Seat training and travel expense, if requested. Provide the cost of training and number of employees to be trained along with an estimated travel budget.

Equipment:

Use the drop-down menu to select the type of equipment the Tribe is requesting to assist in achieving the problem statement identified in Section B Page 3.

All equipment must be essential and justified for meeting the Targets and Strategies of the proposed project.

Budget Line Item Totals:

The grant totals calculated from the previous pages will automatically appear on this page.

SECTION G. Additional Information

Space for additional narrative information the tribe would like to include. Provide information on the countermeasures the tribe intends to implement while working the grant.

SECTION H: Terms, Conditions and Responsibilities

Each condition <u>MUST</u> be initialed. Applications received without initials, signature and date will not be considered for funding. Do not leave any fields in the form blank.

Questions regarding the grant application can be e-mailed to the BIA Indian Highway Safety Program at: ojs indian highway safety@bia.gov or call (505)563-3780.

BIA INDIAN HIGHWAY SAFETY PROGRAM Occupant Protection Grant

Child Passenger Safety Seat Program (CPS)

SECTION F: Equipment

Equipment must support the project being proposed. Federal guidelines requires costs to be reasonable and necessary to carry out/or operate the grant.

Select One			
Quantity	Cost per sign:	Total:	\$ 0.00
Total shipping cost:			
Select One		TI-	¢ 0 00
Quantity	Cost per item:	Total:	\$ 0.00
Total shipping cost:			
Select One			
Quantity	Cost per item:	Total:	\$ 0.00
Total shipping cost:			
Other:	_	Total	\$ 0.00
Quantity	Cost per item:	Total:	\$ 0.00
Total shipping cost:			

BUDGET LINE ITEM GRAND TOTALS:

Infant Car Seat	\$ 5,625.00
Convertible Car Seat	\$ 5,625.00
Combination Car Seat	\$ 5,625.00
Booster	\$ 4,000.00
Total Car Seat Shipping	\$ 8,125.00
Supplies	\$ 0.00
Supplies Shipping Costs	\$ 0.00
Brochures	\$ 0.00
Brochures Shipping Costs	\$ 0.00
CPS Training & Travel	\$ 2,850.00
Equipment	\$ 0.00
Equipment Shipping Costs	\$ 0.00
Indirect Cost Rate 15.65 %	\$ 446.00
Grant Total Budget:	\$ 32,296.00

BIA INDIAN HIGHWAY SAFETY PROGRAM Occupant Protection Program Child Passenger Safety Seat Program (CPS)

SECTION G: CERTIFICATIONS AND ACKNOWLEDGEMENTS
Read and initial to acknowledge each condition in this section.
In order to comply with the provisions of FAST ACT, and the required State Certifications and Assurances, the BIA IHSP may allocate funds on behalf of the Tribes to meet certain conditions and comply with all applicable rules and regulations for administering a national traffic safety program.
Tribes participating in the federal grant processes must comply with the Single Audit Act of 1984.
Tribe understands the BIA Indian Highway Safety Program is a REIMBURSABLE grant program. The Tribe must expend their funds and seek reimbursement based upon an approved budget and application.
Tribe understands Indirect Cost Rate does not apply to car seats and car seat shipping costs (2 CFR 225 Appendix B.12 and 2 CRF Part 200.434 Contributions and Donations); but may apply to other budget line items if the negotiated rate allows.
A current draft/approved Tribal Resolution must be attached, if not attached, the application is incomplete.
Approved Indirect Cost Rate letter must be attached, if not attached, the application is incomplete.
Tribe understands if the grant is awarded all travel, training, and purchases made under the Indian Highway Safety grant require prior approval.
I,, do hereby state and affirm: I have authority to submit this application on behalf of the Tribal government named herein. I further understand and affirm: I have obtained all necessary approvals, and have discussed this application with the necessary and appropriate people.
Signature: For: Myron Lon Date: 4/23/19
Name (Print): Jonathan Nez Title: President
BECEIVED TO THE RECEIVED

MAR 2 6 2019
Office of Management & Budget
Window Bouk, Anzona

FY 2019

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page ___ of__ BUDGET FORM 1

	The Navalo 48	N - 5014	D T'44		Department of Highway Cofety		Division/Branch	NDOT/Executi	
PARTI	Business Unit No.:	NewBU#	Program Title:	-	Department of Highway Safety		Division/Branch:		ve
P	repared By: Norm	a Bowman	Phone	No.:	505.371.8391 Email	Address: _	nbowma	an@navajodot.org	
	I. FUNDING SOURCE(S) ian Highway Safety Program	Fiscal Year /Term 10/1/19-9/30/20	Amount 36,835.00	% of Total 100%	PART III. BUDGET SUMMARY	Fund Type	(A) NNC Approved	(B)	(C) Difference
		-			2004 Demand Fundance	Code	Original Budget	Proposed Budget	(Column B - A
-					2001 Personnel Expenses	-			U
-				-	3000 Travel Expenses	-			
				-	3500 Meeting Expenses			20,000,00	0
					4000 Supplies	1		29,000.00	0
					5000 Lease and Rental	-			0
					5500 Communications and Utilities	-			0
					6000 Repairs and Maintenance				0
					6500 Contractual Services		·		0
					7000 Special Transactions			2,850.00	0
					8000 Public Assistance				0
					9000 Capital Outlay				0
					9500 Matching Funds				0
					9500 Indirect Cost			446.00	0
						TOTAL	\$0.00	32,296.00	0
					PART IV. POSITIONS AND VEHICLE	S	(D)	(E)	
					Total # of Positions i	Budgeted:			De 119
		TOTAL:	\$32,296.00	100%	Total # of Permanently Assigned	Vehicles:			3/39/11
PART	V. I HEREBY ACKNOWLED	GE THAT THE IN	FORMATION CO	NTAINED	IN THIS BUDGET PACKAGE IS COMPL	ETE AND A	CCURATE.		
	SUBMITTE	rma K. Bowman, F D BY: Program I	Program Manager Manager's Printe	d Name	APPROVED			Chief's Printed Name	
	SUBMITTED	BY: Program Mai	nager's Signature	e and Dat	e APPROVED BY	Y: Division	Director/Branch Ch	3/26/19 ier's Signature and Da	te

FY 2019



THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

Page ___ of__ BUDGET FORM 4

PART I. P	ROGRAM INFORMATION: Program Name/Title:	Department of Highway Safety	Business Unit No.:	121002	
PART II. (A)	DETAILED BUDGET:	(B)		(C)	(D)
Object Code (LOD 6)		Object Code Description and Justification (LOD 7)		Total by DETAILED Object Code (LOD 6)	Total by MAJOR [.] Object Code (LOD 4)
1	4000 - SUPPLIES				29,000.0
	Operating Supplies significant to the Child	Passenger Safety Program			
4410	Operating Supplies 4420 - General Operating Supplies 4450 - Postage, Couner, Shipping	20875 8125		29,000 00	344
	1100 Toologs, occurs, ompping				
					- 3
	7000 - SPECIAL TRANSACTIONS				2,850.0
	Child Passenger Safety Certification Fees				
7510	Training and Professional Dues			2.850.00	
	7550 - Certification Fees	2850			
9500	9500-MATCHING AND INDIRECT COST				446.0
9710	Indrect Cost - 2850x15.65%	446		446 00	
					98 all
			TOTAL	32,296	32,29

THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00	PART I. P	PROGRAM INFORMATION:		
(A) (B) (C) (D) Object Code (Object Code Description and Justification (LOD 7) (D) ETAILED (LOD 6) (LOD 6) 4000 - SUPPLIES Operating Supplies 4410 Operating Supplies 4420 - General Operating Supplies 10ath Car Seats, Convertible Seats, Booster Seats, Forward Hamessing Seats, Shipping Cods, Canopy, Pool Noodles, Clips, Etc. 7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recettification) Trianing and Professional Dues 7500 - Certification Recettification Fees (new and recettification) 8500 MATCHING AND NOIRECT COST IDC @ 15% Indirect Cost 175 0 75.00		Program Name/Title: Department of Highway Safety Business Unit No.:	NEW BU	
(A) (B) (C) (D) Object Code (Object Code Description and Justification (LOD 7) (D) ETAILED (LOD 6) (LOD 6) 4000 - SUPPLIES Operating Supplies 4410 Operating Supplies 4420 - General Operating Supplies 10ath Car Seats, Convertible Seats, Booster Seats, Forward Hamessing Seats, Shipping Cods, Canopy, Pool Noodles, Clips, Etc. 7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recettification) Trianing and Professional Dues 7500 - Certification Recettification Fees (new and recettification) 8500 MATCHING AND NOIRECT COST IDC @ 15% Indirect Cost 175 0 75.00				
Object Code (LOD 6) 4008 - SUPPLIES Operating Supplies Supplies Inflant Car Seats, Convertible Seats, Booster Seats, Forward Hamessing Seats, Shipping Costs, Canopy, Pool Noodles, Clips, Etc. 7009 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recertification) 7510 7510 Training and Professional Dues 7550-Certification Recertification Fees 475 475.00 MATCHING AND INDIRECT COST 1DC @ 15% 10 Indirect Cost 75 75.00			(C)	(D)
Code (LOD 6) 400 - SUPPLIES Operating Supplies Supplies Supplies 9,125.00 4410 Operating Supplies 9,125.00 4200 - Supplies 9,125.00 4200 - Supplies 9,125.00 4200 - General Operating Supplies 9,125.00 101 Art Car Seats, Convertible Seats, Booster Seats, Forward Harmessing Seats, Shipping Costs, Canopy, Pool Noodles, Clips, Etc. 7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recertification) 7510 Training and Professional Dues 7550-Certification Recertification Fees 475 475.00 475.00 475.00 475.00 475.00 475.00 475.00 475.00 475.00 475.00 475.00 475.00	1.7			
CLOD 6 CLOD		Object Code Description and Justification (LOD 7)	DETAILED	MAJOR
A000 - SUPPLIES Operating Supplies significant to the Child Passenger Safety Program. 9,125.00				
Operating Supplies significant to the Child Passenger Safety Program. 4410 Operating Supplies 9125 Infant Car Seats, Convertible Seats, Booster Seats, Forward Hamessing Seats, Shipping Costs, Canopy, Pool Noodles, Clips, Etc. 7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recetification) 7510 Training and Professional Dues 7550 Certification/Recetification Fees 475 475.00 MATCHING AND INDIRECT COST DC @ 15% 9710 Indirect Cost 75 75.00	(LOD 6)		(LOD 6)	
4410 Operating Supplies 9,125.00	1			9,125.00
4420 - General Operating Supplies 9125 Infant Car Seats, Convertible Seats, Booster Seats, Forward Harnessing Seats, Shipping Costs, Canopy, Pool Noodles, Clips, Etc. 7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recertification) 7510 Training and Professional Dues 7550 - Certification/Recertification Fees 475 475.00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost. 75 75.00		Operating Suplies significant to the Child Passenger Safety Program.		
4420 - General Operating Supplies 9125 Infant Car Seats, Convertible Seats, Booster Seats, Forward Harnessing Seats, Shipping Costs, Canopy, Pool Noodles, Clips, Etc. 7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recertification) 7510 Training and Professional Dues 7550 - Certification/Recertification Fees 475 475.00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost. 75 75.00				
Infant Car Seats, Convertible Seats, Booster Seats, Forward Harmessing Seats, Shipping Costs, Canopy, Pool Noodles, Clips, Etc. 7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recertification) 7510 Training and Professional Dues 7550-Certification/Recertification Fees 475 475.00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 75.00	4410		9,125.00	
7000 - SPECIAL TRANSACTIONS Child Passenger Safety Certification Fees (new and recertification) 7510 Training and Professional Dues 7550-Certification/Recertification Fees 475 475.00 9500 MATCHING AND INDIRECT COST 1DC @ 15% 9710 Indirect Cost 75 75.00		TANCHAN TARAMANANANANANANANANANANANANANANANANANAN		
Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00		Infant Car Seats, Convertible Seats, Booster Seats, Forward Harnessing Seats, Shipping Costs, Canopy, Pool Noodles, Clips, Etc.		
Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00				
Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00				
Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00				
Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00				
Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00				
Child Passenger Safety Certification Fees (new and recertification) Training and Professional Dues 7550-Certification/Recertification Fees 475 475,00 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 Indirect Cost 75 IDC @ 75,00				
7510 Training and Professional Dues 7550-Certification/Recertification Fees 475 475.00 9500 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 75.00		7000 - SPECIAL TRANSACTIONS		475.00
7550-Certification/Recertification Fees 475 475.00 9500 MATCHING AND INDIRECT COST 1DC @ 15% 9710 Indirect Cost 75 75.00		Child Passenger Safety Certification Fees (new and recertification)		
7550-Certification/Recertification Fees 475 475.00 9500 MATCHING AND INDIRECT COST 1DC @ 15% 9710 Indirect Cost 75 75.00				
9500 MATCHING AND INDIRECT COST IDC @ 15% 9710 Indirect Cost 75 75.00	7510	Training and Professional Dues		
IDC @ 15% 9710 Indirect Cost 75 75.00	10300000	7550-Certification/Recertification Fees 475	475.00	
IDC @ 15% 9710 Indirect Cost 75 75.00			POLICE CONTRACTOR	
IDC @ 15% 9710 Indirect Cost 75 75.00				
IDC @ 15% 9710 Indirect Cost 75 75.00				
IDC @ 15% 9710 Indirect Cost 75 75.00				
IDC @ 15% 9710 Indirect Cost 75 75.00	9500	MATCHING AND INDIRECT COST		75.0
9710 Indirect Cost 75 75.00				10,000
800.00				
800.00	9710	Indirect Cost 75	75.00)
TOTAL 9,675 9,675				
		Ţ	TAL 9,675	9,675



NAVAJO DIVISION OF TRANSPORTATION

POST OFFICE BOX 4620, WINDOW ROCK, AZ 86515

TEL: 505.371.8300/8301 FAX: 505.371.8399

Jonathan Nez PRESIDENT Myron Lizer VICE PRESIDENT

MEMORANDUM

TO

: All Concerned

Navajo Division of Transportation Personnel

FROM

Mr. Garret Silversmith, Division Director

Navajo Division of Transportation - Executive

DATE

October 01, 2019

RE

FY 2020 Standing Delegation of Authority

The Navajo Division of Transportation must continue operation of the division to continue programmatic operations and business, in the event of my absence. Therefore, I delegate the responsibilities of the Division Director in my absence to the following in this order:

- 1. Mr. Darryl Bradley, Principal Civil Engineer
- 2. Mr. Ardaniel Begay, Principal Contract Analyst

The delegated Division Director is authorized to maintain routine operation with the following limited authority; Mr. Darryl Bradley, Principal Civil Engineer and Ardaniel Begay, Principal Contract Analyst will have the authority to approve all staff's leave request, financial transaction documents with no limit, as well all Human Resources related documents: Timesheets, Travel Authorization Forms, Personnel Action Forms (PAFs), Job Vacancy Announcements (JVAs), Personnel Classification Questionnaires (PCQs), etc.

All other delegated personnel are limited per the Navajo Division of Transportations Expenditure Authorization Signature Form. This delegation of authority is to maintain order and operation of the Navajo Division of Transportation until my return.

This memorandum will supersede all previous standing delegations and will remain in effective until changes are made and provided in writing. If you should have any inquiries regarding the delegation of authority, please contact me directly.

ACKNOWLEDGEMENT:

Mr. Darryl Bradley, Principal Civil Engineer Navajo Division of Transportation- Executive Mr. Ardaniel Begay, Principal Contact Analyst Navajo Division of Transportation-Executive



Contracts and Grants Section - OMB

Request for Service (SAS Review) on Funding Contract / Agreement For Program Use - Fill-in, Submit and/or Address

	707710	gram osc i	in in, oublini	and/or Addres	
1	Information on Program - Sponso A. Highway Safety / Transportati Program / D	on			rma Bowman / 505-371-8391 ogram Manager / Phone No.
2	10 13 140 August 191 Telephone 1914 46 for	Г			
2	Information on SAS & Contract:	·`			
SAS	No. 13686	Application	Award	Modification N	0
	Per Original Annual Funding			714 Personal Control of Control	
	A. Budget Amount (Bdgt Amt)	\$9,6		Amount +/	- :
	B. Term-BdgtBegin - End Date		11/11/2019	Term:	
	C. Term-ContrBegin - End Date		6/30/2020	0.11	DateFrom - To
	D. FY Funding	2019		Other:	
	E. Funding Agency & CFDA No.	BIA IHSP			
	F. IGR legis., # & dateon Award G FMIS - Co. & K #son Award	New			
2			ah daauman	to in order lists	nd: provide helpful notes
3	Document or Rule Chk:	Attached	ab documen	ts in order list	ed; provide helpful notes.
	A. Memo on action required B. Fund Agency - Documents	Allaciieu			
	NOFA / NOGA / LETTER				
	Application, describe	Completed u	nder 164 Re	v #12216	
	Contract/Agreement	Grant - Proje			
	** Match Fund - Amt or % reg				
	** IDC - Amt or % Allowed	15.85% limite	ed		
	Scope Wk/ Budget Forms	Attached			
	Req. Rprts / Spec. Cond §/¶:	Freq / Type-	progr., 269.	Period End	Due Date
	§ 4.				
	¶ 7.				
	a uni pipi				and the same of th
	C. NN BIPM				
	Budget Forms 1, 3-6	POSSESSED IN CONTRACTOR OF THE PARTY OF THE			= = -
	IDC/MF - formula/calcuate				
	D. Other - specify ** Attach citation of funding as				
4	per transmitter of the production and the production of the produc		laa tha inform	nation provided	above is complete and accurate
4	Acknowledgement: To the best			nation provided	above is complete and accurate.
	Program Mgr - Signature /	161	9		
		or Contracts a	Cranta C	estion Hes	
5	Assigned FMIS Nos.:	or Contracts a	and Grants S		IB Tracking No.
3		Co. #	K#	<u>011</u>	IB Tracking No.
	Comments:	00. 11	• 5.11		
_	Cimmetum Basicos / Account				
6	Signature - Review / Acceptance:				
	Contract Analy	st / Date			Contracting Officer / Date
	**AFTER CGS REVIEW, RET		TO OMB OF		
	ALIER COS REVIEW, REI	UNIX SITEE!		TOL OF EUIALI	OI IO LOG OUI SAS

Contracts and Grants Section / OMB

Document Review Check on Grant Application, Award or Modification

(Fill in Spaces Highlighted Green that Apply)

Doc	cument Review No. / Date : 012216	3/22/19 3/26/19		
	Application, A.	x Hard or On-line	Submit?	hard
App	li. due date: 5/1/2019 Date Appli. Su	bmitted to funding age	ncy:	A COMP. Sec. Acc. A COMP. A
	Award, B or C.	Mod. No., D		Telegraphic and the control of the c
	Signature Block for Br	anch Chief?		THE PERSON NAME OF THE P.
FY 2	2018 NN BIM Appendix L Sec. IV. Submit Gran	nt Application/Accept	Grant Award	1
A.	Application, Sec. 164(A) or Executive Official Rev			-
	On lower right hand corner of each page, initial &	k indica US OMB Form 10	76-0190	
1	Grant Agreement, Terms & Conditions	no		
	Budget 32,296 36,835 Funding Period	d FY 2020	(10/01/201	9-09/30/2020
2	Scope of Work (SOW) specific to grant purpose.	no		many management of the same and
3	Required NNBF 1-5: budget camply w/ Sec. III. B.	justify, calcu., etc.	yes - revised	
	- NNBF 3 supported by DPM List of Employee A	ssignment	N/A	THE STATE OF THE S
	- Budget each Subcontract Separate		N/A	
	On lower right hand corner of each page, initio	al & indicate date of revi	ew.	9.00
4	IDC, use most current approved IDC rate. Indicate	e FY & IDC Rate		
	- Supported by IDC calculation check sheet, pag	e 86 of BIM.	IDC can be u	sed on travel/training
5	Cost Sharing Contribution, Form Appendix L-2		None	
6	CFDA No. on federal funds		20.600	
7	DUNS no. 009001702		009001702	
8	SAMS			
В.	Award, Sec. 164(B) Review; Address Appli. requir	ement above.		
	- Document review no. oл Appl./ Date	AND RESIDENCE AND A STREET AND ADDRESS ASSESSMENT		
	- Notice of Grant Award (NOGA) / Date (attach):	0. 101 (0.000 0.000 0.000		
	- Change to Appli. on Award, explain in Comment			
C.	Award (automatic) for successor year on multi-ye	rando en la como en esta en cara esta en en esta en entre en en el como en en entre en entre en entre en entre	oli. requireme	ent above.
	- Term (Begin & End Date) of multi-year contract			manus or an extension of the
_	- NOGA / Date (attach):			p. as he was me h
D.	Sec. III. C. Contract Modification, Form Summary			L-1
	Additional allocation to annual award e.g., CR	t funding on federal awa	rd.	
	- Required NNBF 3 or 4 or both.	and we consider the property and		
F :	- NOGA / Date (attached):			96 v
E.	\$1.00 Mails on 1 (80.10) Procedure and a first transfer and the contract of th	n issues, concerns, etc.		-d b., \$4.500 (\$25.025.)
	Resubmittal from 3/22/19'. Corrections as recond 32,296). Sufficient to process.	nmenaea were maae. Bu	aget aecreasi	ea by \$4,539 (\$36,835 -
	Sufficient to process.			
				1
	1			
F.	Document is Sufficient or Insufficient:	Sufficient		
G	Review By / Date: Rachael Arviso, Pr	incipal Budget Analyst 3,	1201886	
G.		A STATE OF THE PARTY OF THE PAR		
	Surname By / Date:	r listurtus 3/27/14		
Res	ult of Review: Explain by memorandam reason do	cment is deemed insuffic	ient and issue	es/concerns noted in
	tion E above.			TOWN IN THE PROPERTY OF THE PR

THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT

MYRON LIZER | VICE PRESIDENT



March 26, 2019

MEMORANDUM

TO

: . Cordell Shortey, Contracting Officer Contracts & Grants Section/OMB

THRU

Garret Silversmith, Division Director Navajo Division of Transportation

FROM

Ben

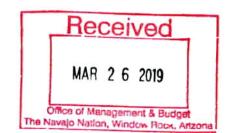
Norma Bowman, Program Manager Navajo Department of Highway Safety

SUBJECT: Document #012216 - DHS BIA IHSP Grant Application

The attached Document #012216 Department of Highway Safety – Bureau of Indian Affairs, Indian Highway Safety Program Grant Application is being re-submitted. Based off the memorandum dated March 25, 2019 – changes, as recommended were completed in the following areas:

- The General Information Section was changed to include the Honorable President's Address and Phone Number. However, please note that the physical address remained for Department of Highway Safety, as this is the address utilized for the delivery of the child restraints.
- The Tribal Budget Forms and BIA Grant Application amounts were changed to reflect the allowable indirect cost rate based off 2 CFR 225 Appendix B.12 and 2 CFR Part 200.434.
- 3) The allowable Indirect Cost Rate was applied to the applicable line item.
- 4) The "t" was added to the Grant Application Form.
- 5) This particular grant is with the BIA Indian Highway Safety Program and is separate from the Indian Health Services TIPCAP Grant, as referenced [K180508 and K190502]. Additionally, we are currently waiting on official approval to carry-forward the balance of \$15,772.59 from K180508. Upon approval, we will notify your office and proceed to utilize the funds. K190502 is approaching its final quarter for FY 2019 and funds will be expensed appropriately.
- 6) Document was picked upon on Tuesday, March 26, 2019 and re-submitted on same day.

Thank you in advance for your continued support and guidance.



THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



March 25, 2019

MEMORANDUM

TO :

Garret Silversmith, Division Director

Norma K. Bowman, Highway Safety Program Manager

Navajo Division of Transportation (NDOT)

FROM

Cordell Shortey, Contracting Officer Contracts & Grants Section / OMB

SUBJECT

Document No. 012216- "DHS BIA IHSP Grant Application Purpose-

purchase Car Seats"

The attached document regarding grant application was reviewed pursuant to FY 2019 NN BIM Appendix L. Sec. V. A. which in part states "All grant application must obtain prior approval by OMB CGS as required by 2 NNC § 1203(B)(1)(g)". Further, in light of Sec. V. D., the review is to advise President Begaye if the grant application or agreement (award) is sufficient for his signature for submission, acceptance or approval. Be advised that Executive Order (EO) no. 09-2018 on Sec. 164 (B) review that was issued by President Begaye on March 16, 2018 does not apply to grant application.

The document was reviewed based on the attached CGS Document Review checklist and was found "insufficient" based on the following:

- a) General Information section should include OP/VP address and phone number and/or Contracts & Grants Section address and phone number so grant information is obtained by the Nation's clearing house on external grants which is CGS/OMB;
- Pursuant to 2 CFR 225 Appendix B.12 and 2 CFR Part 200.434 IDC rate does not apply to reimbursement for car seats and car seat shipping cost. Hence, the budget forms must be revised accordingly;
- c) Pursuant to BIA Contract Support Cost Policy, BIA/DOI accepts the Nation's latest approved FY 2018 IDC rate of 15.65% for a period of four (4) years; which is FY 2018, 2019, 2020 and 2021;
- d) The 't' is missing in the word 'President' on page 7 (seven);
- e) FYI previous grant award from DHHS funding agency regarding this same SOW has not been fully expensed. K180508 has unexpended balance of \$15,772.59; notification sent to program on 1/17/19 advised Appendix L-3 be completed to remove the Post Edit Code of "N" (for non-posting of transactions) but has not completed such.
- f) The subject document was initially received at OMB on March 22, 2019.

The revision and correction to be made is substantive so program is advised to resubmit corrected packet to CGS/OMB prior to funding agency submittal. NDOT is advised to compile EO Document review packet in substantive form at all times. Contact CGS at 871-6033 if you have question.

Contracts and Grants Section / OMB

Document Review Check on Grant Application, Award or Modification

(Fill in Spaces Highlighted Green that Apply)

Document Review No. / Date :

012216

3/22/19

Application, A.

× Hard or On-line Submit?

Appli. due date:

5/1/2019 Date Appli. Submitted to funding agency:

Award, B or C.

Mod. No., D

Signature Block for Branch Chief?

FY 2018 NN BIM Appendix L Sec. IV. Submit Grant Application/Accept Grant Award

Application, Sec. 164(A) or Executive Official Review on EO 07-2013

On lower right hand corner of each page, initial & indica US OMB Form 1076-0190

1 Grant Agreement, Terms & Conditions

no

Budget

36,835

Funding Period

FY 2020 (10/01/2019-09/30/2020

Scope of Work (SOW) specific to grant purpose.

no 3 Required NNBF 1-5: budget comply w/ Sec. III. B. justify, calcu., etc.

yes to be revised

- NNBF 3 supported by DPM List of Employee Assignment

N/A

- Budget each Subcontract Separate

N/A

On lower right hand corner of each page, initial & indicate date of review.

4 IDC, use most current approved IDC rate. Indicate FY & IDC Rate

not allowed on car seats/shipping

Received

MAR 2 6 2019

hard Office of Management & Budget

The Navajo Nation, Window Pocs,

- Supported by IDC calculation check sheet, page 86 of BIM.

IDC can be used on travel/training

5 Cost Sharing Contribution, Form Appendix L-2

None

6 CFDA No. on federal funds

20.600

7 DUNS no. 009001702

009001702

8 SAMS

E.

- B. Award, Sec. 164(B) Review; Address Appli. requirement above.
 - Document review no. on Appl./ Date
 - Notice of Grant Award (NOGA) / Date (attach):
 - Change to Appli. on Award, explain in Comment section below
- C. Award (automatic) for successor year on multi-year contract; Address Appli. requirement above.
 - Term (Begin & End Date) of multi-year contract
 - NOGA / Date (attach):
- Sec. III. C. Contract Modification, Form Summary of Change to Ext Grant Budget Appdx L-1

Additional allocation to annual award e.g., CR funding on federal award.

- Required NNBF 3 or 4 or both.
- NOGA / Date (attached):

Comment on issues, concerns, etc.

Recommendations are: General Information should include OP/VP address & phone number; Grant History should be two (2) years; Pursuant to 2 CFR 225 Appendix B.12 and 2 CFR Part 200.434 IDC is not allowed on reimbursement of car sears and car seat shipping costs. Current IDC Rate available is FY 18 at 15.65%; BIA accepts NN IDC rate for four (4) years. IDC can only be applied to travel/training which requires prior approval. Hence, the budget forms should be revised. On page 7, the 't' is missing from "President". P.S. First grant K180508 has unexpended funds of \$15,772.59 and BU K190502 has unexpended funds of \$21,725.08.

F. Document is Sufficient or Insufficient: Insufficient

G. Review By / Date: Rachael Arviso, Principal Budget Analyst 3/22/19 Sturon

Surname By / Date:

Result of Review: Explain by memorandam reason docment is deemed Insufficient and issues Section E above.

Grant Appl Award Doc Rev Check BIA FY20 20190322

Dev. Sept. 2017

THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



CUTTICE OF MANAGEMENT & HULGET

MULTALL OLD OF DE

March 18, 2019

MEMORANDUM

TO

164 Reviewers

Navajo Nation

THRU

Garret Silversmith, Division Director

Navajo Division of Transportation (NDOT)

FROM

Norma K. Bowman, Program Manager

Navajo Department of Highway Safety-NDOT

SUBJECT :

164 Executive Review

RE: BIA Indian Highway Safety Program's Child Passenger Safety Grant

The Navajo Division of Transportation (NDOT) respectfully requests your prompt review and approval of the attached BIA Indian Highway Safety Program's-Child Passenger Safety Fund Application in the amount of **\$36,835.00** for purchase of Car Seats, Operating Supplies and Child Passenger Safety Technician Fees for the Department of Highway Safety's Child Passenger Safety Program. The items will be distributed throughout the Navajo Nation. Currently, our data shows that the #1 Misuse of Child Passenger Safety is "NON-USE"—with families not able to purchase seats on their own.

The BIA Grant was announced on January 16, 2019 through a Notice of Funds Availability specifically for the Child Passenger Safety Program Allocation.

Upon completion of the Executive Review Process, the application will need to be completed prior to May 01, 2019 and forwarded to the attention of BIA Indian Highway Safety Program.

Your attention and respectful consideration on signing off the Executive Official Review would be most appreciated. Should you have any additional questions, please contact me directly at (505) 371-8391. Thank you.

OMB Approved Form No. 1076-0190 Expiration Date. 09/2021

BIA INDIAN HIGHWAY SAFETY PROGRAM Occupant Protection Grant Child Passenger Safety Seat Program (CPS)

APPLICATION CHECKLIST

Use the checklist below to ensure the	application is con	nplete and ready to submit.
☐ There are no blank fields lef	ft in the application	
Terms, Conditions, and Res	ponsibilities initial	ed (page 7)
Application signed (page 7)		
✓ Tribal Resolution	✓ Draft Copy	☐ Final Copy
A-133 (is it current?)	☐ Yes	□ No
☐ Indirect Cost Rate		☐ Approved Copy
All of the above items have be	en properly comple	eted and are contained in the grant application.
10		
Signed: Joan		Date: 03/20/19

Document No	012216	Date Issued	t: 03/21/2019
	EXECUTIV	E OFFICIAL REVIEW	
Title of Document:	DHS BIA IHSP Grant \$36,835	Contact Name:	BEGAY, ARDANIEL JOE
Program/Division:	DIVISION OF TRANSPORTAT	TION	
Email:	abegay@navajodot.org	Phone Number:	505-371-8351
	ne Controller: rement Clearance is not issued with	Date:	O. review)
	d Industrial Development Financi or Delegation of Approving and/o		
 Division: Office of th 	ne Attorney General:	Date:	
Fund Manage	ement Plan, Expenditure Plans, C	arry Over Requests, Budget Mod	lifications
Office of th	ne Controller:	Date:	
Navajo Hous	ing Authority Request for Releas	e of Funds	
 NNEPA: Office of th 	ne Attorney General:	Date:	
Lease Purch	ase Agreements		
,	ne Controller: Indation only) ne Attorney General:	Date:	
Grant Applica	25).		
Office of M Office of th	lanagement and Budget:	Date:	
	ment Plan of the Local Governan .ocal Ordinances (Local Governn pproval		
 Division: Office of the 	he Attorney General:	Date:	
Relinquishme	ent of Navajo Membership		
 Land Depa Elections: 		Date:	
Office of th	ne Attorney General:	Date:	

	Land Withdrawal or Relinquishment for Commercial Purposes		Sufficient	Insufficient
	1. Division:	Date:		
	Office of the Attorney General:	Date:		
П	Land Withdrawals for Non-Commercial Purposes, General Land			
	1. NLD	Date:		
	2. F&W	Date:		H
	3. HPD	Date:		Ħ
	4. Minerals	Date:		Ħ
	5. NNEPA	Date:		Ħ
	6. DNR	Date:		Ħ
	7. DOJ	Date:		
	Rights of Way			
	1. NLD	Date:		
	2. F&W	Date:		
	3. HPD	Date:	_ []	
	4. Minerals	Date:		
	5. NNEPA	Date:		
	Office of the Attorney General:	Date:		
	7. OPVP	Date:		
	Oil and Gas Prospecting Permits, Drilling and Exploration Perm	its, Mining Permit, Minir	ng Lease	
	1. Minerals	Date:		
	2. OPVP	Date:		
	3. NLD	Date:		
	Assignment of Mineral Lease			
	1. Minerals	Date:		
	2. DNR	Date:		
	3. DOJ			
	ROW (where there has been no delegation of authority to the Na	vajo Land Department t	o grant th	e Nation's
	consent to a ROW)			
	1. NLD	Date:		
	2. F&W	Date:	_	
	3. HPD	Date:	🛚	
		Date:	_ 🗆	
	4. Minerals 5. NNEPA 2 6 2019	Date:	_ 🗆	
	6. DNR MAR 2 2 2019	Date:		
	7. DOJ	Date:	🗆	
	8. OPVP OFFICE OF MANAGEMENT & POOPE	Date:	_ []	
	OTHER:		86 <u>-</u> 07-84	7 <u>27-18</u> 9
	1.	Date:		
	2.	Date:		
	3.	Date:		
	4.	Date:	_	
	5.	Date:	1.1	

THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT



March 29, 2019

MEMORANDUM

To:

Levon Henry, Legal Counsel

Office of the President / Vice President (OPVP)

From:

Cordell Shortey, Contracting Officer
Contracts and Grants Section - OMB

Subject: Request for Signature on Document No. 012216 - DHS BIA IHSP Grant Application

Please have President Nez sign the attached BIA Indian Highway Safety Program's (IHSP) Child Passenger Safety Grant. Based on the prior review and all necessary documents being attached, CGS finds subject document is sufficient to surname as it is in accordance with the FY 2019 Budget Instructions Manual Appendix L., Section V. A. and D.

We appreciate your assistance in processing the request promptly and returning the packet to NDOT for further processing.

Contact our office at 871-6033 if you have questions.

Attachments

ce: File



Office of Management and Budget 164 Review Tracking Sheet REVIEW SHEET

FMIS
OMB
LOGOUT

Additional Description: Comments: 3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **3/28-Jog Resubmittal kuriewed. Recommended hurisions made. Dufficient to process.* **Picked Up By:	4 Number	012	216	ER	Date Received:	3/22/2019 9:00:58	Due Date:	4/2/2019
Department 311 NN DIV OF TRANSPORTATION - GF DIVISION OF TRANSPORTATION Name: BEGAY, ARDANIEL JOE Email: abegay@navajodot.org Phone: 505-371-835 Title of Document: DHS BIA IHSP Grant \$36,835 Additional Description: Comments: 3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#: IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso *3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/24/19 Resubmittal. ** **Resubmittal** ** **Resubmittal** ** **Proceso** ** **Proceso** ** **Picked Up By:	ssigned R	eviewer:		Rachae	l Arviso	Entered By:	Rosita	Kee .
DIVISION OF TRANSPORTATION Name: BEGAY, ARDANIEL JOE Email: abegay@navajodot.org Phone: 505-371-835 Title of Document: DHS BIA IHSP Grant \$36,835 Additional Description: Somments: 3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso *3/26-Doc recid, resubmittal. Fwd to PBA. rk **Jaaling Resubmittal reviewed. Recommended revisions made. Aufficient to phoses.** **Picked Up By:	FMIS Infor	mation OM	B cannot ch	ange.				
Title of Document: DHS BIA IHSP Grant \$36,835 Additional Description: 3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **Apalia Resubmittal reviewed. Recommended reviewed. Recommended reviewed. Place of the photoso ** **Picked Up By:	De	epartment:	311	NN DIV	OF TRANSPOR	RTATION - GF		
Omments: 3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **3/26-Doc media. **Process.** **3/26-Doc med				DIVISIO	ON OF TRANSPO	ORTATION		
Additional Description: Comments: 3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#. IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **Apalia Resubmittal humiliand. Recommended huminian made. Aufficient to phoses.** **Picked Up By: **Picked Up By: **Apalia Picked U	Name:	BEGAY,	ARDANIEL	JOE E	mail:abega	ay@navajodot.org	Phone:	505-371-8351
Somments: 3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **3/36-Doc rec'd, resubmittal. Fwd to PBA. rk **3/34/19 Resubmittal kwiewed. Recommended kwisiono made. Aufficient to phoseso.	Title of D	ocument:		DHS	BIA IHSP Grant	\$36,835	_	
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/39/19 Resubmittal hewiewed. Recommended huvisions made. Aufficient to process.	Additional	Description	n:	-				
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/29/19 Resubmittal huvinged. Recommended huvisions made. Aufficient to process.								
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/29/19 Resubmittal herieused. Recommended hurisons made. Aufficient to process.								
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/29/19 Resubmittal herieused. Recommended hurisons made. Aufficient to process.								
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/29/19 Resubmittal huvinged. Recommended huvisions made. Aufficient to process.								
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/39/19 Resubmittal hewiewed. Recommended humisions made. Aufficient to process.								
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/39/19 Resubmittal hewiewed. Recommended humisions made. Aufficient to process.								
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/24/19 Resubmittal hewiewed. Recommended huminous made. Aufficient to process.								
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/39/19 Resubmittal hewiewed. Recommended huvisions made. Aufficient to process.						The second secon		
3/22/19-PBA reviewed. Doc is insufficient, issued memo. DHS BIA IHSP Grant for \$36,835 grant applic needs be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/39/19 Resubmittal hewiewed. Recommended humisions made. Aufficient to process.	omments							
be revised. General contract info should include OPVP address/phone#; IDC is not allowed on reimbursem for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk **3/26-Doc rec'd, resubmittal. Recommended. Revisiono made. Aufficient to proceso: **Picked Up By:			ed Docie	neufficien	t issued memo. F	HS BIA IHSP Grant for	r \$36 835 ara	ent applic needs t
for car seats and shipping cost; budget attached includes IDC for both cost types, typing errors, etc. See mer rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 4/26-Doc rec'd, resubmittal. Fwd to								
rarviso **3/26-Doc rec'd, resubmittal. Fwd to PBA. rk 3/29/19 Resubmittal reviewed. Recommended revisions made. Aufficient to process.								
3/29/19 Resubmittel reviewed. Recommended revisions made. Sufficient to process.	rarviso *	*3/26-Doc	ec'd resub	mittal. Fwo	d to PBA_rk		21 2	
Picked Up By:	Alaalia	Can Incil	to C Harrison	nod To	Cammondod	husining made	Aussicien	t to Momen - 1
	3/89/19	resummu	iax review	wew. n	econimenação.	RECEIVIONO MADE.	Daggaran	1 pares. As
PRINT N	Picked Up	Ву:						
PRINT II								
PRINT Name Date	PRINT	lame				Date	_	



MEMORANDUM

TO:

Honorable Carl Slater

Lukachukai, Rock Point, Round Rock, Tsaile/Wheatfields

Tse' Ch'izhi

FROM:

Rodriquez Morris, Legislative Staff Assistant

Office of Legislative Counsel

DATE:

April 9, 2020

SUBJECT: AN ACTION RELATING TO THE RESOURCE AND DEVELOPMENT

COMMITTEE, BUDGET AND FINANCE AND NAABIK'ÍYÁTI';

APPROVING THE NAVAJO DIVISION OF TRANSPORTATION BIA INDIAN HIGHWAY SAFETY PROGRAM (IHSP) CHILD PASSENGER

SAFETY GRANT AND WAIVER OF THE INDIRECT COST RATE

I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. Based on existing law and review of documents submitted, the resolution as drafted is legally sufficient. As with any action of government it may be subject to review by the courts in the event of proper challenge.

The Office of Legislative Council confirms the appropriate standing committee(s) reviews based on the standing committees powers outlined in 2 N.N.C. §§ 301, 401, 501, 601 and 701. Nevertheless, "the Speaker of the Navajo Nation council shall introduce [the proposed resolution] into the legislative process by assigning it to the respective oversight committee(s) of the Navajo Nation Council having authority over the matters for proper consideration." 2 N.N.C. § 164(A)(5).

Please review the proposed resolution to ensure it is drafted to your satisfaction. If this proposed resolution is acceptable to you, please sign it where it indicates "Prime Sponsor", and submit it to the Office of Legislative Services for the assignment of a tracking number and referral to the Speaker.

If the proposed resolution is unacceptable to you, or if you have further questions, please contact me at the Office of Legislative Counsel and advise me of changes you would like made to the proposed resolution. You may contact me at (928) 871-7166. Thank you.

THE NAVAJO NATION LEGISLATIVE BRANCH INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0114-20__ SPONSOR: <u>Carl R. Slater</u>

TITLE: An Action Relating to the Resources and Development, Budget and Finance and Naabik'íyáti' Committees; Approving the Navajo Division of Transportation BIA Indian Highway Safety Program (IHSP) Child Passenger Safety Grant and waiver of the indirect cost rate.

Date posted: May 7, 2020 at 5:12PM

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7586

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 et. seq.

THE NAVAJO NATION LEGISLATIVE BRANCH INTERNET PUBLIC REVIEW SUMMARY

LEGISLATION NO.: <u>0114-20</u>

SPONSOR: Honorable Carl R. Slater

TITLE: An Action Relating to the Resources and Development, Budget and Finance and Naabik'íyáti' Committees; Approving the Navajo Division of Transportation BIA Indian Highway Safety Program (IHSP) Child Passenger Safety Grant and waiver of the indirect cost rate.

Posted: May 07, 2020 at 5:12 PM

5 DAY Comment Period Ended: May 12, 2020

Digital Comments received:

Comments Supporting	None
Comments Opposing	None
Inconclusive Comments	1) Rachael Arviso, OMB

Legislative Tracking Secretary Office of Legislative Services

Date/Time

05/13/20 8:30 Am

From: Rachael Arviso [mailto:rarviso@omb.navajo-nsn.gov]

Sent: Monday, May 11, 2020 1:30 PM

To: Cordell Shortey <cshortey@omb.navajo-nsn.gov>; Contracts & Grants Section <Contracts@omb.navajo-nsn.gov>;

Norma Bowman <nbowman@navajodot.org>

Cc: Dominic Beyal <dbeyal@omb.navajo-nsn.gov>; Tom Platero <tomplatero@navajo-nsn.gov>; Ardaniel Begay <abegay@navajodot.org>; Garret Silversmith <gsilversmith@navajodot.org>; 'Darryl Bradley' <dbradley@navajodot.org>

Subject: RE: NEW LEGISLATION: Legislations 0111-20 thru 0115-20

In response to your email below, CGS Doc Review Check dated 3/22/19 highlights that "IDC can only be applied to travel/training which requires prior approval". Further, Pursuant to 2 CFR 225 Appendix B.12 and 2 CFR Part 200.34 IDC is not allowed on reimbursement of car seats and shipping cost of such." Based on such, the initial IDC budget was revised accordingly shown on Pages 51 & 52. And as included on page 7 Project Information Sheet, and in Section V-Budget IDC is \$75.00.

However, if NDOTHS program wishes to 'waive IDC', Section VII.8. Distribution Report (Form C) must be submitted and approved by the funding agency (page 11) prior to award. Contrary to such, when the application was initially submitted IDC was included as required to obtain the grant (page 44).

Rachael Arviso (928) 871-6034

From: Cordell Shortey

Sent: Monday, May 11, 2020 12:07 PM

To: Contracts & Grants Section < Contracts@omb.navajo-nsn.gov>

Cc: Dominic Beyal <dbeyal@omb.navajo-nsn.gov>

Subject: NEW LEGISLATION: Legislations 0111-20 thru 0115-20

Rachael, CGS memo of March 25, 2019 attached to attached legislation no. 0114-20 regarding NDOT indicates IDC does not apply to the grant. But the legislation is seeking waiver of IDC. If IDC cannot be collected as the CGS memo states, perhaps NDOT should be advised to with draw the legislation..

CGS, attached legislation no. 0115-20 is regarding \$600 mil CARES Act funding that was allocated to the Nation by US treasury. We need to keep tabs on it

Contracts and Grants Section / OMB

Document Review Check on Grant Application, Award or Modification

(Fill in Spaces Highlighted Green that Apply)

Document Review No. / Date :

012216

3/22/19

Application, A.

Hard or On-line Submit?

5/1/2019 Date Appli. Submitted to funding agency:

Award, B or C.

Mod. No., D

Signature Block for Branch Chief?

FY 2018 NN BIM Appendix L Sec. IV. Submit Grant Application/Accept Grant Award

Application, Sec. 164(A) or Executive Official Review on EO 07-2013

On lower right hand corner of each page, initial & indica US OMB Form 1076-0190

1 Grant Agreement, Terms & Conditions

no

Budget

Appli. due date:

36,835

Funding Period

FY 2020 (10/01/2019-09/30/2020

2 Scope of Work (SOW) specific to grant purpose.

no

ves to be revised

NNBF 3 supported by DPM List of Employee Assignment

N/A

· Budget each Subcontract Separate

N/A

On lower right hand corner of each page, initial & indicate date of review.

4 IDC, use most current approved IDC rate. Indicate FY & IDC Rate

not allowed on car seats/shipping

Received

MAR 2 6 2019

the tapeas of the Price Arizon

hard (for o' Maracoment & Budg

· Supported by IDC calculation check sheet, page 86 of BIM.

3 Required NNBF 1-5: budget comply w/ Sec. III. B. justify, calcu., etc.

IDC can be used on travel/training

5 Cost Sharing Contribution, Form Appendix L-2

None

6 CFDA No. on federal funds

20.600

7 DUNS no. 009001702

009001702

8 SAMS

E.

- B. Award, Sec. 164(B) Review; Address Appli. requirement above.
 - · Document review no. on Appl./ Date
 - · Notice of Grant Award (NOGA) / Date (attach):
 - · Change to Appli. on Award, explain in Comment section below
- C. Award (automatic) for successor year on multi-year contract; Address Appli. requirement above.
 - · Term (Begin & End Date) of multi-year contract
 - NOGA / Date (attach):
- D. Sec. III. C. Contract Modification, Form Summary of Change to Ext Grant Budget Appdx L-1

Additional allocation to annual award e.g., CR funding on federal award.

- Required NNBF 3 or 4 or both.
- NOGA / Date (attached):

Comment on issues, concerns, etc.

Recommendations are: General Information should include OP/VP address & phone number; Grant History should be two (2) years; Pursuant to 2 CFR 225 Appendix B.12 and 2 CFR Part 200.434 IDC is not allowed an reimbursement of car sears and car seat shipping costs. Current IDC Rate available is FY 18 at 15.65%; BIA accepts NN IDC rate for four (4) years. IDC can only be applied to travel/training which requires prior approval. Hence, the budget forms should be revised. On page 7, the 't' is missing from "President". P.S. First grant K180508 has unexpended funds of \$15,772.59 and BU K190502 has unexpended funds of \$21,725.08.

F. Document is Sufficient or Insufficient:

Insufficient

G. Review By / Date:

Rachael Arviso, Principal Budget Analyst 3/22/19/

Surname By / Date:

3175 7015

Result of Review: Explain by memorandam reason docment is deemed Insufficient and issues/concerns noted in Section E above.

Grant Appl Award Doc Rev Check BIA FY20 20190322

Dev. Sept. 2017