

LEGISLATIVE SUMMARY SHEET

Tracking No. 0197-16

DATE: June 7, 2016

TITLE OF RESOLUTION: AN ACTION RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES: AMENDING FY 2016 BUDGET OF THE OFFICE OF NAVAJO NATION SCHOLARSHIP AND FINANCIAL ASSISTANCE FROM \$2,800,000.00 TO \$4,490,294.00

PURPOSE: This resolution is to approve an amendment to FY 2016 budget of the Office of Navajo Nation Scholarship and Financial Assistance from \$2,800,000.00 to \$4,490,294.00.

This written summary does not address recommended amendments as may be provided by the standing committees. The Office of Legislative Counsel requests each Council Delegate to review each proposed resolution in detail.

5-DAY BILL HOLD PERIOD: dean
Website Posting Time/Date: 5:15pm 6/21/16
Posting End Date: 6/21/2016
Eligible for Action: 6/27/2016

Budget & Finance Committee

THENCE

Health, Education & Human Services Committee

PROPOSED STANDING COMMITTEE RESOLUTION
23rd NAVAJO NATION COUNCIL - Second Year, 2016

INTRODUCED BY

Dwight W. Thompson Jonathan Hale
(Prime Sponsor)

TRACKING NO. 0197-16

AN ACTION

RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES: AMENDING
FY 2016 BUDGET OF THE OFFICE OF NAVAJO NATION SCHOLARSHIP AND
FINANCIAL ASSISTANCE FROM \$2,800,000.00 TO \$4,490,294.00

BE IT ENACTED:

Section One. Authority

The Health, Education and Human Services Committee is a standing committee of the Navajo Nation Council. It is authorized to approve budget modifications for the Navajo Nation Scholarship and Financial Assistance Office.

Section Two. Findings

1. The Office of the Navajo Nation Scholarship and Financial Assistance maintains a Fund Management Plan, a special revenue fund, from revenues collected from Navajo Nation Sales Taxes. The purpose of this Fund is to provide scholarship and financial assistance to eligible Navajo applicants.
2. The scholarship Fund Management Plan provides - "Any and all taxes deposited into the Fund will be made available for expenditure during the upcoming Fiscal Year. The

1 amount to be budgeted will be estimated as part of the Navajo Nation Annual Budgeting
2 process."

3 3. The Navajo Nation FY 2016 Budget Instructions and Policies Manual (BIPM)
4 provides that "a change in a previously approved budget which usually involves an
5 increase to an existing business unit budget ... shall require oversight committee approval,
6 unless it is an approved carryover funds." Section X(D)(1)(c), *Budget Revision*
7 *Instructions and Procedures*.

8 4. On March 8, 2016, the Office of the Controller issued a statement that "the unaudited
9 balance of the Sales Tax Scholarship is \$4,490,294 as of September 30, 2015." Exhibit
10 A.

11 12 **Section Three. Budget Modification and Amendment**

13 The Health, Education and Human Services Committee of the Navajo Nation Council
14 hereby approves the modification and amendment of the FY 2016 budget of the Office of
15 Navajo Nation Scholarship and Financial Assistance, business unit no. 509010, from
16 \$2,800,000.00 to \$4,490,294.00. Budget documents attached as Exhibit B.



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT

MEMORANDUM:

TO : 2 NNC § 164 Reviewers
Delegates & 2 NNC '164 Reviewers
Navajo Nation Government

FROM : Robert Willie
Robert Willie, Accounting Manager
Office of the Controller

DATE : March 8, 2016

SUBJECT : 164 Review-005501-FY 16 Sales Tax Scholarship Budget Modification



The Office of the Controller has reviewed the above referenced document.

1. The unaudited balance of the Sales Tax Scholarship is \$4,490,294. as of September 30, 2015.
2. The FY 2015 audit is ongoing and audited numbers would not be available until at least late May 2016.
3. Budget forms would have to modified to reflect this total.

If you should have any questions you can contact me at tribal extension X6125.

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

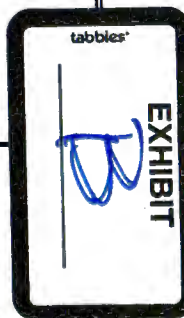
PART I. Business Unit No.: 509010 Program Title: Office of NN Scholarship & Financial Assistance Division/Branch: Department of Diné Education
 Prepared By: Sheila Tsosie Phone No.: 928.871-7446 Email Address: stsosie@navajo-nsn.gov

| PART II. FUNDING SOURCE(S) | Fiscal Year Term | Amount | % of Total | PART III. BUDGET SUMMARY | | | | |
|-------------------------------|------------------|-----------------------|-------------|---|-----------------------|-------------------------------------|------------------------|----------------------------------|
| | | | | | (A) Fund Type Code | (B) NNC Approved Original Budget | (C) Proposed Budget | (C) Difference (Column B - A) |
| Special Revenue Fund/Internal | 10/1/15-09/30/16 | 4,490,294.00 | 100% | | | | | |
| | | | | 2001 Personnel Expenses | | | | |
| | | | | 3000 Travel Expenses | | | | |
| | | | | 3500 Meeting Expenses | | | | |
| | | | | 4000 Supplies | | | | |
| | | | | 5000 Lease and Rental | | | | |
| | | | | 5500 Communications and Utilities | | | | |
| | | | | 6000 Repairs and Maintenance | | | | |
| | | | | 6500 Contractual Services | | | | |
| | | | | 7000 Special Transactions | | | | |
| | | | | 8000 Public Assistance | 5 | 2,800,000 | 4,490,294 | 1,690,294 |
| | | | | 9000 Capital Outlay | | | | |
| | | | | 9500 Matching Funds | | | | |
| | | | | 9500 Indirect Cost | | | | |
| | | | | TOTAL | | \$2,800,000.00 | 4,490,294.00 | 1,690,294.00 |
| | | | | PART IV. POSITIONS AND VEHICLES | | | | |
| | | | | Total # of Positions Budgeted: | (D) 0 | (E) 0 | | |
| | | | | Total # of Permanently Assigned Vehicles: | 0 | 0 | | |
| TOTAL: | | \$4,490,294.00 | 100% | | | | | |

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Rose Graham 3-8-16
 SUBMITTED BY: Rose Graham, Department Manager II / Date

Dr. Tommy Lewis, Jr. 3/8/16
 APPROVED BY: Dr. Tommy Lewis, Jr., Superintendent of Schools / Date



THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:

Business Unit No.: 509010

Program Name/Title: Office of NN Scholarship & Financial Assistance

PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:

Resolution No.: GSCMY-19-07 *Approving the plans of operation for offices and programs within the Department of Dine Education, which includes ONNSFA and NN Teacher Education Program. Purpose: ONNSFA provides merit-based scholarships and financial need based assistance to enrolled members of the NN pursuing undergraduate, as well as vocational, educations. The NN Teacher Education Program provides educational financial assistance to current Navajo Teachers or Navajo Teacher candidates pursuing undergraduate or graduate studies in the field of Teacher Education. Financial aid services are provided at five Agency field offices located throughout the Navajo Nation.

PART III. PROGRAM PERFORMANCE CRITERIA:

| 1st QTR | | 2nd QTR | | 3rd QTR | | 4th QTR | |
|---------|--------|---------|--------|---------|--------|---------|--------|
| Goal | Actual | Goal | Actual | Goal | Actual | Goal | Actual |

1. Program Performance Area:

Provide Scholarship and Financial Assistance

Goal Statement:

Number of Eligible Students Awarded

| | | | | | | | |
|-----|--|-----|--|-----|--|-----|--|
| N/A | | 169 | | N/A | | 169 | |
|-----|--|-----|--|-----|--|-----|--|

2. Program Performance Area:

Goal Statement:

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| | | | | | | | |
|--|--|--|--|--|--|--|--|

3. Program Performance Area:

Goal Statement:

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| | | | | | | | |
|--|--|--|--|--|--|--|--|

4. Program Performance Area:

Goal Statement:

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|--|--|--|--|--|--|--|--|
| | | | | | | | |
|--|--|--|--|--|--|--|--|

5. Program Performance Area:

Goal Statement:

| | | | | | | | |
|--|--|--|--|--|--|--|--|
| | | | | | | | |
|--|--|--|--|--|--|--|--|

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

 Rose Graham, Department Manager II / Date

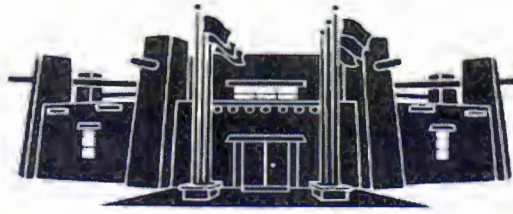
3/8/2016


 Dr. Tommy Lewis, Jr., Superintendent of Schools/ Date

 Acting
 Sept 3/8/16

THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION

| PART I. PROGRAM INFORMATION: | | | |
|------------------------------|--|---|----------------------------------|
| Program Name/Title: _____ | | Office of NN Scholarship & Financial Assistance | |
| Business Unit No.: _____ | | 509010 | |
| PART II. DETAILED BUDGET: | | | |
| (A) | (B) | (C) | (D) |
| Object Code (LOD 6) | Object Code Description and Justification | Total by DETAILED Object Code | Total by MAJOR Object Code |
| 8905 | 8000 ASSISTANCE Other .8905 Schshp-Payments Provide Scholarship and Financial Assistance to eligible Nav | 1,690,294.00 | 1,690,294.00 |
| TOTAL | | 1,690,294 | 1,690,294 |



MEMORANDUM

To : Hon. Dwight Witherspoon, Council Delegate
Navajo Nation Council

From : 
Ron Haven, Attorney
Office of Legislative Counsel

Date : June 7, 2016

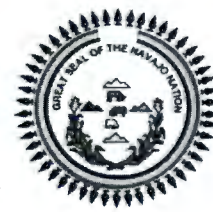
Re : AN ACTION RELATING TO HEALTH, EDUCATION AND HUMAN
SERVICES: AMENDING FY 2016 BUDGET OF THE OFFICE OF
NAVAJO NATION SCHOLARSHIP AND FINANCIAL ASSISTANCE
FROM \$2,800,000.00 TO \$4,490,294.00

As requested, I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. Based on existing law and review of documents submitted, the resolution drafted is legally sufficient. However, as with all legislation, it can be subject to review by the courts in the event of proper challenge. Also, understand that the Speaker is authorized to refer this proposed resolution to other committees than those stated in the title. You are advised and encouraged to review the proposed resolution to ensure that it is drafted to your satisfaction.

If you are satisfied with the proposed resolution, please sign it as "sponsor" and submit it to the Office of Legislative Services where it will be given a tracking number and sent to the Office of the Speaker for assignment. If the proposed resolution is unacceptable to you, please contact me at the Office of Legislative Counsel and advise me of the changes you would like made to the proposed resolution. Ahéhee'.

OLC # 16-405-1

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0197-16_

SPONSOR: Dwight Witherspoon

TITLE: An Action Relating to Health, Education And Human Services; Amending The FY 2016 Budget Of The Office Of Navajo Nation Scholarship And Financial Assistance From \$2,800,000.00 To \$4,490,294.00.

Date posted: June 21, 2016 at 5:05pm

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

**Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7590**

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Nav, ajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 *et. seq.*

**THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW SUMMARY**

LEGISLATION NO.: 0197-16

SPONSOR: Honorable Dwight Witherspoon

TITLE: An Action Relating to Health, Education And Human Services; Amending The FY 2016 Budget Of The Office Of Navajo Nation Scholarship And Financial Assistance From \$2,800,000.00 To \$4,490,294.00.

Posted: June 21, 2016 at 5:05pm

5 DAY Comment Period Ended: June 26, 2016

Digital Comments received:

| | |
|----------------------------|-------------|
| Comments Supporting | <i>None</i> |
| Comments Opposing | <i>None</i> |
| Inclusive Comments | <i>None</i> |



**Policy Analyst
Office of Legislative Services**

6/27/16 8:45am
Date/Time

23rd NAVAJO NATION COUNCIL

Second Year 2016

Mr. Speaker:

The **BUDGET & FINANCE COMMITTEE** to whom has been assigned

NAVAJO LEGISLATIVE BILL # 0197-16:

An Action Relating to Health, Education and Human Services; Amending FY2016 Budget of the Office of Navajo Nation Scholarship and Financial Assistance from \$2,800,000 to \$4,490,294.00 *Sponsored by Dwight Witherspoon, Council Delegate*

has had it under consideration and reports the same with the recommendation that It **Do Table** with 1 amendment.

Directive: for the Office of the Controller and the Office of the Tax Commission to provide a written explanation on the scholarship funding discrepancy and why disbursed untimely;

1. On Page 1, line 15, strike "~~\$4,490,294.00~~" insert the amount of "\$4,396,310";
2. On Page 2, line 16, strike "~~\$4,490,294.00~~" insert the amount of "\$4,396,310";
3. A Revised budget to be attached for the revised budget amount DW/LT/TS/3-0 Table to next meeting; allow OOC and scholarship to provide revised budget amount

And therefore, referred to the **HEALTH, EDUCATION AND HUMAN SERVICES** Committee

Respectfully submitted,



Tom T. Chee, Pro Tempore Chairman

Adopted: _____
Legislative Advisor

Not Adopted: _____
Legislative Advisor

5 July 2016

The vote was **5** in favor **0** opposed
Absent: Seth Damon