

LEGISLATIVE SUMMARY SHEET

Tracking No. 0007-16

DATE: December 11, 2015

TITLE OF RESOLUTION: AN ACT RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES; BUDGET AND FINANCE, NAA'BIK'ÍYÁTI', AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF ONE MILLION DOLLARS (\$1,000,000) FOR THE NAVAJO DEPARTMENT OF HEALTH'S EYEGLASSES ASSISTANCE PROGRAM

PURPOSE: The resolution's purpose is to approve supplemental funding.

This written summary does not address recommended amendments as may be provided by the standing committees. The Office of Legislative Counsel requests each Council Delegate to review each proposed resolution in detail.

5-DAY BILL HOLD PERIOD: Looney Dally
Website Posting Time/Date: 5:23 PM 1/7/16
Posting End Date: 1/12/16
Eligible for Action: 1/13/16

Health, Education & Human Services Committee

THENCE

Budget & Finance Committee

THENCE

PROPOSED NAVAJO NATION COUNCIL RESOLUTION

23rd NAVAJO NATION COUNCIL -- First Year, 2015

INTRODUCED BY

Naa'biik'íyáti' Committee

THENCE

(Prime Sponsor)

Navajo Nation Council

TRACKING NO. 0007-16

AN ACT

RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES; BUDGET AND
FINANCE, NAA'BIK'ÍYÁTI', AND NAVAJO NATION COUNCIL; APPROVING
SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND
BALANCE IN THE AMOUNT OF ONE MILLION DOLLARS (\$1,000,000) FOR THE
NAVAJO DEPARTMENT OF HEALTH'S EYEGASSES ASSISTANCE PROGRAM

BE IT ENACTED:

SECTION 1. FINDINGS

- A. The Navajo Nation established the Health, Education and Human Services Committee (HEHS) as a Navajo Nation Council standing committee and as such empowered HEHS to review and recommend resolutions regarding health. 2 N.N.C. §§ 164 (A)(9), 400 (A), 401 (B)(6)(a) (2012) *see also* CJA-03-13.
- B. The Navajo Nation established the Budget and Finance Committee (B&F) as a Navajo Nation Council standing committee and as such empowered B&F to review and recommend to the Navajo Nation Council the management of all funds. 2 N.N.C. §§ 164 (A)(9), 300 (A), 301 (B)(2) (2012) *see also* CJA-03-13 and 12 N.N.C. § 820 (L) (2009).
- C. The Navajo Nation Council established the Naabik'íyáti' Committee as a Navajo Nation standing committee and as such proposed legislation that requires final

1 action by the Navajo Nation Council shall be assigned to the Naabik'íyáti'
2 Committee. 2 N.N.C. §§ 164 (A)(9), 700 (A) (2012) *see also* CO-45-12.

3 D. "The Navajo Nation Council may adopt and approve supplemental
4 appropriations to the Annual Comprehensive Budget during the fiscal year." 12
5 N.N.C. § 820(L) (2009).

6 E. At the recommendation of the Health, Education and Human Services
7 Committee, the Budget and Finance Committee may recommend supplemental
8 appropriations to the Navajo Nation Council. 12 N.N.C. § 820 (L) (2009).

9 F. "Diné Customary Law declares and teaches that [i]t is the right and freedom of
10 the people that every child and every elder be respected, honored and protected
11 with a healthy physical and mental environment, free from all abuse." 1 N.N.C. §
12 204 (E) (2009).

13 G. One way for the Navajo Nation to honor Diné Customary Law is to assist
14 children (Head Start through 12 grade students) and elderly (55 years and older)
15 with purchasing eyeglasses.

16 H. The Supplemental Funding will be deposited with the Navajo Department of
17 Health; the Program Budget Summary. EXHIBIT A.

18 I. The Navajo Nation received certification by the Office of the Controller that as
19 of September 30, 2015 the balance in the Unreserved, Undesignated Fund
20 Balance is \$4,306,679. 12 N.N.C. § 820(L) (2009). EXHIBIT B.

21 J. The Navajo Nation received certification by the Office of Management and
22 Budget that the supplemental funding request had a budget impact analysis, with
23 concerns. 12 N.N.C. § 820(M) (2009). EXHIBIT C.

24 K. The Navajo Nation finds approving this supplemental funding request in the best
25 interest of the Navajo People.

26
27
28 [Intentionally left blank]
29
30

1 **SECTION 2. ADOPTING AND APPROVING SUPPLEMENTAL FUNDING**
2 **FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE**
3 **IN THE AMOUNT OF ONE MILLION DOLLARS (\$1,000,000)**
4 **FOR NAVAJO DEPARTMENT OF HEALTH, BUSINESS UNIT**
5 **NUMBER 113001**

6
7 The Navajo Nation adopts and approves supplemental funding from the Unreserved,
8 Undesignated Fund Balance in the amount of one million dollars (\$1,000,000) for the
9 Navajo Department of Health, Business Unit Number 113001, to fund the Eyeglasses
10 Assistance program.

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: 113001 Program Title: NDOH Executive Office Division/Branch: Health
 Prepared By: Genevieve A. White Phone No.: 928-871-6852 Email Address: genevieve.white@nndoh.org

| PART II. FUNDING SOURCE(S) | Fiscal Year Term | Amount | % of Total | PART III. BUDGET SUMMARY | | | | |
|----------------------------|------------------|-----------------------|-------------|---|----------------|------------------------------|---------------------|---------------------------|
| | | | | | (A) | (B) | (C) | |
| | | | | | Fund Type Code | NNC Approved Original Budget | Proposed Budget | Difference (Column B - A) |
| FY 16 Supplemental Funds | 10/1/15-9/30/16 | 1,000,000.00 | 100.00% | | | | | |
| (Eyeglasses) | | | 0.00% | | | | | |
| | | | 0.00% | 2001 Personnel Expenses | 1 | 142,727 | 0 | (142,727) |
| | | | | 3000 Travel Expenses | 1 | 25,978 | 0 | (25,978) |
| | | | | 3500 Meeting Expenses | 1 | 0 | 0 | 0 |
| | | | | 4000 Supplies | 1 | 500 | 0 | (500) |
| | | | | 5000 Lease and Rental | 1 | 1,000 | 0 | (1,000) |
| | | | | 5500 Communications and Utilities | 1 | 3,500 | 0 | (3,500) |
| | | | | 6000 Repairs and Maintenance | 1 | 0 | 0 | 0 |
| | | | | 6500 Contractual Services | 1 | 0 | 0 | 0 |
| | | | | 7000 Special Transactions | 1 | 13,464 | 0 | (13,464) |
| | | | | 8000 Public Assistance | 1 | 0 | 1,000,000 | 1,000,000 |
| | | | | 9000 Capital Outlay | 1 | 0 | 0 | 0 |
| | | | | 9500 Matching and Indirect Cost | 1 | 0 | 0 | 0 |
| | | | | TOTAL | | \$187,169.00 | 1,000,000.00 | 812,831 |
| | | | | PART IV. POSITIONS AND VEHICLES | | | | |
| | | | | | (D) | (E) | | |
| | | | | Total # of Positions Budgeted: | 0 | 0 | | |
| | | | | Total # of Permanently Assigned Vehicles: | 0 | 0 | | |
| TOTAL: | | \$1,000,000.00 | 100% | | | | | |

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Edmund Yazzie, NN Council Delegate

SUBMITTED BY: Program Manager's Printed Name and Signature / Date

Ramona Antone Nez, Executive Director

APPROVED BY: Division Director/Branch Chief's Printed Name and Signature / Date

tabbles*

EXHIBIT

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:

Business Unit No.: 113001

Program Name/Title: NDOH Executive Office

PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:

All eligible entities to provide services on eyeglasses for the under serve youth from Headstart to 12 grade, & college and unmet need for elderly ages 55-100 with complete eyeglasses from all five NN Agencies.

PART III. PROGRAM PERFORMANCE CRITERIA:

| 1st QTR | | 2nd QTR | | 3rd QTR | | 4th QTR | |
|---------|--------|---------|--------|---------|--------|---------|--------|
| Goal | Actual | Goal | Actual | Goal | Actual | Goal | Actual |

1. Program Performance Area:

NN to provide funds for eyeglass service for youth & elderly within five NN agencies.

Goal Statement:

Provide eyeglasses for 1,923 youth/students & 1,923 elderly per quarter for eligible clients.

3,846

3,846

3,846

3,846

2. Program Performance Area:

Goal Statement:

3. Program Performance Area:

Goal Statement:

4. Program Performance Area:

Goal Statement:

5. Program Performance Area:

Goal Statement:

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Ramona Antone Nez

Program Manager's Printed Name and Signature/Date

Ramona Antone Nez 10/6/15

Robert Joe

Division Director/Branch Chief's Printed Name and Signature / Date

**THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION**

| | | | |
|-------------------------------------|---|-------------------------------------|----------------------------------|
| PART I. PROGRAM INFORMATION: | | | |
| Program Name/Title: _____ | | NDOH Executive Office | |
| | | Business Unit No.: _____ 113001 | |
| PART II. DETAILED BUDGET: | | | |
| (A) | (B) | (C) | (D) |
| Object Code (LOD 6) | Object Code Description and Justification | Total by DETAILED Object Code | Total by MAJOR Object Code |
| | 8000 ASSISTANCE | | 1,000,000 |
| 8020 | Social All eligible entities will provide eyeglass service to youth / students from Headstart to 12th grade and College Students, include all elderlys ages 55 and over. Funds will be used to provide frame with clear Single Vision or Bifocal (FT-28) lenses, eyeglass case and cleaning cloths per clients. | | |
| 8095 | Other Pulbic Assistance 15,384 clients at \$65.00 per client = \$1,000,000.00 Chinle Agency 1,923 youth/Students & 1,923 elderly clients = \$199,940.00 Crownpoint Agency 1,538 youth/Students & 1,538 elderly clients = \$199,940.00 Ft. Defiance Agency 1,538 youth/Students & 1,538 elderly clients = \$199,940.00 Shiprock Agency 1,538 youth/Students & 1,540 elderly clients = \$200,200.00 | 1,000,000.00 | |
| TOTAL | | 1,000,000 | 1,000,000 |

**THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY**

| | | |
|---|---|---|
| PART I. Business Unit No.: <u>113001</u> | Program Title: <u>NDOH Executive Office</u> | |
| Division/Branch: <u>Health</u> | Amount Requested: \$ <u>1,000,000.00</u> | Phone No.: <u>(928) 871-6852</u> |
| Prepared By: <u>Genevieve A. White</u> | Email Address: <u>genevieve.white@ndoh.org</u> | |

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

The proposition herein is in the response to an Extremely High Volume of phone calls and visits to all open entities by the Navajo Nation constituents asking for assistance to obtain eyeglasses for their children for Headstart to 12th grade students, and unmet elderly 55 years and older. Due to a growing epidemic of financial hardship they are unable to purchase eyewear which hinders their education, livelihood, and stability. Program is requesting supplemental funding in the \$1,000,000.00 from the UUFB for the purchase of the eyeglasses for the five agencies from open to all eligible entities.

1. Fact for youth: about 50% + of NN children ages 5-18 are visually impaired or born with eye health disease and will deteriorate over time if not corrected with eyeglasses. Undetected or uncorrected vision problems are a major disadvantage to youth. If conditions are not met this threatens a child's quality of life resulting in lost education, employment opportunities, lower productivity, emotional frustration resulting in low esteem and social exclusion.
2. Facts for Elderly: If an elderly cannot see they are most likely to suffer an injury or if taking medications they might take the wrong pills or at a wrong time because they are unable to see the instruction. It is harder to get around and care for themselves if their vision is impaired. There is a high rate of diabetic retinopathy, cataracts, glaucoma in seniors. Seniors who have vision loss or vision impairments may get depressed emotionally and secluded and frustrated. Unnecessary suffering is due to lack of financial resources and other very limited services available as well as inconsistent or inadequate treatment for youth and elderly.

PART III. SCOPE OF WORK/METHODOLOGY

1. The 5 NDOH Agencies would issue referral forms to eligible recipients. Eligible entities would be responsible for submitting invoice for payments to central office of NDOH.
2. Open to all eligible entities will accept and fill all valid eye exams prescriptions.
3. Open to all eligible entities will display frames so eligible youth and elderly may choose frames at their leisure.
4. Open to all eligible entities with mobile units to travel to scheduled sites to place new orders and deliver completed eyewear to same sites throughout the five Navajo Nation Agencies in AZ, NM, and UT. Open to all eligible entities is responsible for their own travel expenses and will not be billed to the Navajo Nation for such expenses.
5. Open to all eligible entities will provide detailed quarterly reports to the NDOH central office to ensure and maintain the trust of the Navajo Nation Government.

PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.



Ramona Antone Nez, Executive Director

REVIEWED BY: Division Director's Signature / Date

RECOMMEND APPROVAL: Branch Chief's Signature / Date

THE NAVAJO NATION

SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: 113001 Program Title: NDOH Executive Office
Division/Branch: Health Amount Requested: \$ 1,000,000.00 Phone No.: (928) 871-6852
Prepared By: Genevieve A. White Email Address: genevieve.white@nndoh.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

The proposition herein is in the response to an Extremely High Volume of phone calls and visits to all open entities by the Navajo Nation constituents asking for assistance to obtain eyeglasses for their children for Headstart to 12th grade students, and unmet elderly 55 years and older. Due to a growing epidemic of financial hardship they are unable to purchase eyewear which hinders their education, livelihood, and stability. Program is requesting supplemental funding in the \$1,000,000.00 from the UUFB for the purchase of the eyeglasses for the five agencies from open to all eligible entities.

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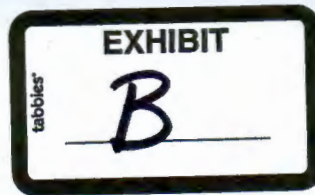
PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.


Ramona Antone Nez, Executive Director


Robert Joe, Chief of Staff

REVIEWED BY: Division Director's Signature / Date

RECOMMEND APPROVAL: Branch Chief's Signature / Date



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT

MEMORANDUM:

TO : Honorable Russell Begaye, President
Office of the President/Vice President

Honorable Lorenzo Bates, Speaker
Navajo Nation Council

Honorable Allen Sloan, Acting Chief Justice
Judicial Branch

FROM : *Natasha Damon*
Natasha Damon, Senior Accountant
General Accounting, Office of the Controller

DATE : October 16, 2015

SUBJECT : Financial Update-(General Fund Revenue, Expenditures, UUFb)

The following is the financial data from the General Fund for FY 2015. The total year to date gross General Fund Revenues, see Exhibit "A", as of September 30, 2015 is \$232,543,289 and the total set asides is \$58,557,792. The Net Revenue for the General Fund is \$173,985,497 which is 100.77% of the projection. The total expenditures by branch are shown on Exhibit "B". The Legislative Branch has actual expenditures of \$14,795,813, encumbrances of \$1,050,377, with a remaining budget of \$3,539,902. The Executive Branch actual expenditures are \$192,097,515, encumbrances of \$7,825,088 with a remaining budget of \$28,644,345. The Judicial Branch actual expenditures are \$13,907,018, encumbrances of \$60,335 and remaining budget of \$1,674,649. Total General Fund expenditures are \$220,800,346; total encumbrances are \$8,935,800 leaving an overall remaining General Fund budget of \$33,858,656. The updated UUFb as of September 30, 2015 is \$4,306,679, attached Exhibit "C."

Thank you, if you should have any question please feel free to call me at tribal extension X6125.



THE NAVAJO NATION
Revenue Schedule (Unaudited)
September 30, 2015

FY 2015

| Account Range | Original Budget | Revised Budget | Actuals | Budget Available | % Revenue of Total |
|------------------------------|----------------------|----------------------|----------------------|------------------|-----------------------|
| 107001 TNN: ROYAL; GAS; OIL | (50,567,000) | (50,567,000) | (34,996,224) | (15,570,776) | 69.21 |
| 107002 TNN: COAL REVENUES | (54,683,000) | (54,683,000) | (56,822,679) | 2,139,679 | 103.91 |
| 107003 TNN:OTR MINERALS REV | | | (75,484) | 75,484 | |
| 107004 TNN: LAND REVENUES | (57,918,000) | (57,918,000) | (64,190,721) | 6,272,721 | 110.83 |
| 107005 TNN: BUSINESS FEES | | | (63,856) | 63,856 | |
| 107006 TNN: INTEREST INCOME | (800,000) | (800,000) | (1,122,066) | 322,066 | 140.26 |
| 107007 TNN: TAX REVENUES | (66,052,000) | (66,052,000) | (74,619,007) | 8,567,007 | 112.97 |
| 107008 COURT FINES + FEES | (400,000) | (400,000) | (464,583) | 64,583 | 116.15 |
| 107009 TNN: OTHER REVENUES | (500,000) | (500,000) | (69,160) | (430,840) | 13.83 |
| 107010 BIA: ROYAL; GAS; OIL | | | (103,967) | 103,967 | |
| 107011 BIA: COAL REVENUES | | | (486) | 486 | |
| 107013 BIA: LAND REVENUES | | | (15,054) | 15,054 | |
| TOTAL REVENUE | (230,920,000) | (230,920,000) | (232,543,289) | 1,623,289 | 100.70 |
| 107017 CAPITAL OUTLAY MATCH | 2,000,000 | 2,000,000 | 2,000,000 | - | 100.00 |
| 107018 LAND FUND TRANSFER | 4,618,000 | 4,618,000 | 4,650,866 | (32,866) | 100.71 |
| 107019 PERMANENT FUND TRNSF | 27,710,000 | 27,710,000 | 27,905,195 (1) | (195,195) | 100.70 |
| 107028 WATER RIGHTS CLAIM FU | 2,000,000 | 2,000,000 | 2,000,000 | - | 100.00 |
| 107029 DINE' HIGHER EDUCATIO | 11,200,000 | 11,200,000 | 11,200,000 | - | 100.00 |
| 107030 HISTORICAL TRUST ASSE | 1,500,000 | 1,500,000 | 1,500,000 | - | 100.00 |
| 107031 VETERANS TRUST FUND S | 9,237,000 | 9,237,000 | 9,301,732 | (64,732) | 100.70 |
| TOTAL SET ASIDE | 58,265,000 | 58,265,000 | 58,557,792 | (292,792) | 100.50 |
| SUBTOTAL | (172,655,000) | (172,655,000) | (173,985,497) | 1,330,497 | 100.77 |

(1)- Based upon CS-46-14 Paragraph E which authorizes the use of Set Aside for debt service of \$10,000,000. \$10,000,000 has been deposited into N01305.

(2)-Balances are subject to change due to close out process during the month of October.

EXHIBIT "A"

Prepared by:
General Accounting
10/16/2015



FY 2015 EXHIBIT "B"

The Navajo Nation Budget Status_Income Statement As of September 30, 2015

| Branch / Object Account | Original Budget | Revised Budget | Actuals | Encumbrances | Budget Remaining | % Remaining |
|-----------------------------------|--------------------|--------------------|--------------------|------------------|-------------------|--------------|
| LEGISLATIVE BRANCH | | | | | | |
| 2001 - Personnel Expenses | 10,146,535 | 11,641,126 | 9,985,061 | - | 1,656,064 | 14.23 |
| 3000 - Travel Expenses | 1,669,815 | 1,986,844 | 1,724,919 | - | 261,925 | 13.18 |
| 3500 - Meeting Expenses | 377,399 | 522,780 | 414,194 | - | 108,586 | 20.77 |
| 4000 - Supplies | 555,594 | 1,169,181 | 864,359 | 18,941 | 285,881 | 24.45 |
| 5000 - Lease & Rental | 280,550 | 314,072 | 230,026 | 5,393 | 78,653 | 25.04 |
| 5500 - Communications & Utilities | 235,969 | 264,256 | 188,248 | - | 76,009 | 28.76 |
| 6000 - Repairs & Maintenance | 93,050 | 207,991 | 149,248 | 2,059 | 56,684 | 27.25 |
| 6500 - Contractual Services | 549,234 | 1,945,210 | 674,114 | 878,984 | 392,112 | 20.16 |
| 7000 - Special Transactions | 472,981 | 660,301 | 512,571 | - | 147,730 | 22.37 |
| 8000 - Assistance | - | 551 | 404 | - | 147 | 26.72 |
| 9000 - Capital Outlay | 730,368 | 673,840 | 52,669 | 145,000 | 476,171 | 70.67 |
| 9500 - Matching & Indirect Cost | - | - | - | - | - | - |
| Total LEGISLATIVE BRANCH | 15,111,495 | 19,386,152 | 14,795,813 | 1,050,377 | 3,539,962 | 18.26 |
| EXECUTIVE BRANCH | | | | | | |
| 2001 - Personnel Expenses | 79,942,969 | 86,272,597 | 76,646,366 | - | 9,626,232 | 11.16 |
| 3000 - Travel Expenses | 11,620,190 | 10,955,612 | 10,441,150 | - | 514,462 | 4.70 |
| 3500 - Meeting Expenses | 1,093,830 | 2,588,487 | 2,254,415 | - | 334,072 | 12.91 |
| 4000 - Supplies | 5,353,757 | 7,782,442 | 6,395,306 | 161,370 | 1,225,765 | 17.74 |
| 5000 - Lease & Rental | 1,897,790 | 2,073,401 | 1,738,712 | 49,924 | 284,765 | 16.14 |
| 5500 - Communications & Utilities | 7,881,298 | 8,444,396 | 6,904,208 | 776,695 | 763,494 | 18.12 |
| 6000 - Repairs & Maintenance | 6,654,144 | 6,123,919 | 3,939,640 | 1,434,723 | 749,557 | 35.03 |
| 6500 - Contractual Services | 4,771,901 | 12,367,683 | 7,065,897 | 4,508,408 | 793,378 | 42.87 |
| 7000 - Special Transactions | 18,428,143 | 20,089,322 | 18,638,177 | 55,000 | 1,396,145 | 7.13 |
| 8000 - Assistance | 46,184,477 | 58,572,799 | 53,689,792 | 274,077 | 4,608,930 | 8.34 |
| 9000 - Capital Outlay | 1,758,850 | 3,399,265 | 1,113,844 | 564,891 | 1,720,530 | 67.23 |
| 9300 - Other Income and Expense | - | - | - | - | - | - |
| 9500 - Matching & Indirect Cost | 4,498,820 | 9,897,023 | 3,270,007 | - | 6,627,016 | 66.96 |
| Total EXECUTIVE BRANCH | 190,086,169 | 228,566,948 | 192,097,515 | 7,825,088 | 28,644,345 | 15.92 |
| JUDICIAL BRANCH | | | | | | |
| 2001 - Personnel Expenses | 12,730,707 | 13,144,337 | 12,035,299 | - | 1,109,038 | 8.44 |
| 3000 - Travel Expenses | 670,491 | 704,779 | 621,170 | - | 83,610 | 11.86 |
| 3500 - Meeting Expenses | 112,000 | 117,080 | 16,025 | - | 101,055 | 86.31 |
| 4000 - Supplies | 373,978 | 571,955 | 440,634 | 8,000 | 123,321 | 21.56 |
| 5000 - Lease & Rental | 94,175 | 91,798 | 53,017 | - | 38,781 | 42.25 |
| 5500 - Communications & Utilities | 269,475 | 154,224 | 89,441 | - | 64,783 | 42.01 |
| 6000 - Repairs & Maintenance | 245,541 | 145,416 | 65,355 | - | 80,061 | 55.06 |
| 6500 - Contractual Services | 36,334 | 38,743 | 15,329 | 6,884 | 16,529 | 42.66 |
| 7000 - Special Transactions | 248,024 | 240,114 | 187,341 | - | 52,772 | 21.98 |
| 8000 - Assistance | - | - | - | - | - | - |
| 9000 - Capital Outlay | - | 433,256 | 383,405 | 45,451 | 4,399 | 1.02 |
| 9300 - Other Income and Expense | - | - | - | - | - | - |
| Total JUDICIAL BRANCH | 14,780,725 | 15,641,702 | 13,907,018 | 60,335 | 1,674,349 | 10.70 |
| GRAND TOTAL: | 219,978,389 | 263,594,802 | 220,800,346 | 8,935,800 | 33,858,656 | 12.84 |

*-Balances are subject to change due to close out process during the month of October.



Undesignated, Unreserved, Fund Balance (UUFB)
September 30, 2015
(Unaudited)

| | |
|----------------------------|------------|
| 09-30-14 Balance | 47,939,125 |
| Min Fund Balance-CJA-07-06 | 17,592,800 |

| | |
|---------------|---|
| UUFB 10-01-13 | <div style="border-top: 1px solid black; border-bottom: 3px double black; padding: 2px 0;">30,346,325</div> |
|---------------|---|

Supplementals

| | |
|---|------------|
| CN-53-14 Phoenix Indian Center | 148,325 |
| CD-69-14 - Cameron Chapter | 180,120 |
| CD-71-14 - Nahatadzil Shopping Center, Oljato Chapter | 5,500,000 |
| CD-72-14- Navajo Transit | 600,000 |
| CD-73-14-Grazing, Farm Boards,ENavajoLand Board | 1,559,250 |
| CD-75-14-Tonalea/Red Lake Chapter | 500,000 |
| CD-70-14- Headstart Matching | 3,558,856 |
| CMA-06-15-Navajo Election Admin | 317,891 |
| CAP-12-15-Powerline Projects, Cap Improvement , Zoo | 13,075,204 |
| CJY-35-15-Navajo community dvlpmnt, financial institution | 200,000 |
| CS-38-15-Black Mesa Headstart Facility | 400,000 |

| | |
|---------------|--|
| UUFB 09-30-15 | <div style="border-top: 1px solid black; border-bottom: 3px double black; padding: 2px 0;">4,306,679</div> |
|---------------|--|



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE PRESIDENT


December 4, 2015



MEMORANDUM

TO : Edmund Yazzie, Council Delegate
23rd Navajo Nation Council

: Ramona Antone-Nez, Division Director
Navajo Division of Health

FROM : 
Lisa K. Jymm, Principal Budget Analyst
Office of Management and Budget

SUBJECT: OMB's Review of \$1,000,000 Supplemental Funds Budget for Eyeglasses through the
Division of Health

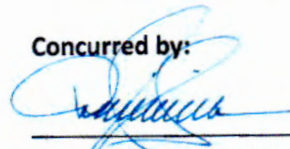
The Office of Management and Budget (OMB) has reviewed the above document and has the following comments:

1. This document is a proposal for supplemental funding. All supplemental funding requests for the Executive Branch require approval from the Office of the President and Vice-President and review by OMB. A copy of the Executive Order #02-2011 is attached. Further, the FY 2016 Budget Instructions Manual, Section XI, B. 2. and C, address Branch Chief recommendations.
2. OMB has recently advised the Branch Chiefs to prioritize over 160 Additional Need Proposals in total exceeding \$116 million so that the government can consider priorities. Is this proposal one of those priorities?
3. Budget Form 4, page 3, has different numbers and amounts. The client total is 13,076 (not 15,384) so the total cost at \$65 each would be \$849,940 (not \$1,000,000). This discrepancy must be explained and/or corrected.
4. The Division of Health will be reimbursing the eligible recipients, is this within their scope of work?
5. The eyeglasses will be provided to eligible youth and elderly. Is there any coordination with the Department of Youth at this time?
6. There are limited funds available: \$14,080,043 in UUFB; however, there is \$472 million in the Sihasin Fund. Planning and prioritization is needed.



If there are any further questions, contact Lisa K. Jymm with OMB at extension 6230.

Concurred by:

A handwritten signature in blue ink, appearing to read "Dominic Beyer", is written over a horizontal line.

Dominic Beyer, Executive Director
Office of Management and Budget

xc: Attachments:
OMB File

FY 2016

**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**

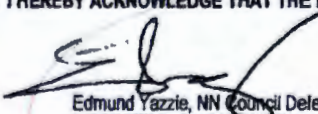
Page 1 of 3


| | | | | | |
|--|--|---|--|--|--|
| PART I. Business Unit No.: 113001 | | Program Title: NDOH Executive Office | | Division/Branch: Health | |
| Prepared By: Genevieve A. White | | Phone No.: 928-871-6852 | | Email Address: genevieve.white@nndoh.org | |

| PART II. FUNDING SOURCE(S) | Fiscal Year Term | Amount | % of Total | PART III. BUDGET SUMMARY | | | | |
|----------------------------|------------------|--------------|------------|-----------------------------------|----------------|------------------------------|-----------------|---------------------------|
| | | | | | (A) | (B) | (C) | |
| | | | | | Fund Type Code | NNC Approved Original Budget | Proposed Budget | Difference (Column B - A) |
| FY 16 Supplemental Funds | 10/1/15-9/30/16 | 1,000,000.00 | 100.00% | | | | | |
| (Eyeglasses) | | | 0.00% | | | | | |
| | | | 0.00% | 2001 Personnel Expenses | 1 | 142,727 | 0 | 142,727 |
| | | | | 3000 Travel Expenses | 1 | 25,978 | 0 | 25,978 |
| | | | | 3500 Meeting Expenses | 1 | 0 | 0 | 0 |
| | | | | 4000 Supplies | 1 | 500 | 0 | 500 |
| | | | | 5000 Lease and Rental | 1 | 1,000 | 0 | 1,000 |
| | | | | 6500 Communications and Utilities | 1 | 3,500 | 0 | 3,500 |
| | | | | 6000 Repairs and Maintenance | 1 | 0 | 0 | 0 |
| | | | | 6500 Contractual Services | 1 | 0 | 0 | 0 |
| | | | | 7000 Special Transactions | 1 | 13,464 | 0 | 13,464 |
| | | | | 8000 Public Assistance | 1 | 0 | 1,000,000 | 1,000,000 |
| | | | | 9000 Capital Outlay | 1 | 0 | 0 | 0 |
| | | | | 9500 Matching and Indirect Cost | 1 | 0 | 0 | 0 |
| | | | | TOTAL | | \$187,169.00 | 1,000,000.00 | 812,831 |
| TOTAL: | | | | | | \$1,000,000.00 | 100% | |

| | | | |
|---|--|-----|-----|
| PART IV. POSITIONS AND VEHICLES | | (D) | (E) |
| Total # of Positions Budgeted: | | 0 | 0 |
| Total # of Permanently Assigned Vehicles: | | 0 | 0 |

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.


Edmund Yazzie, NN Council Delegate
 SUBMITTED BY: Program Manager's Printed Name and Signature / Date


Ramona Antone Nez, Executive Director
 APPROVED BY: Division Director/Branch Chief's Printed Name and Signature / Date

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

| | | | | | | | | | | | | |
|--|--|--|--|--|--|--------|---------|--------|---------|--------|---------|--------|
| PART I. PROGRAM INFORMATION: | | | | | | | | | | | | |
| Business Unit No.: <u>113001</u> | | | | | Program Name/Title: <u>NDOH Executive Office</u> | | | | | | | |
| PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE: | | | | | | | | | | | | |
| All eligible entities to provide services on eyeglasses for the under serve youth from Headstart to 12 grade, & college and unmet need for elderly ages 55-100 with complete eyeglasses from all five NN Agencies. | | | | | | | | | | | | |
| PART III. PROGRAM PERFORMANCE CRITERIA: | | | | | 1st QTR | | 2nd QTR | | 3rd QTR | | 4th QTR | |
| | | | | | Goal | Actual | Goal | Actual | Goal | Actual | Goal | Actual |
| 1. Program Performance Area: | | | | | | | | | | | | |
| <u>NN to provide funds for eyeglass service for youth & elderly within five NN agencies.</u> | | | | | | | | | | | | |
| Goal Statement: | | | | | | | | | | | | |
| <u>Provide eyeglasses for 1,923 youth/students & 1,923 elderly per quarter for eligible clients.</u> | | | | | 3,846 | | 3,846 | | 3,846 | | 3,846 | |
| 2. Program Performance Area: | | | | | | | | | | | | |
| Goal Statement: | | | | | | | | | | | | |
| 3. Program Performance Area: | | | | | | | | | | | | |
| Goal Statement: | | | | | | | | | | | | |
| 4. Program Performance Area: | | | | | | | | | | | | |
| Goal Statement: | | | | | | | | | | | | |
| 5. Program Performance Area: | | | | | | | | | | | | |
| Goal Statement: | | | | | | | | | | | | |
| PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED. | | | | | | | | | | | | |
| Ramona Antione Nez <u><i>Ramona Antione Nez</i></u> <u>10/6/15</u> Program Manager's Printed Name and Signature/Date | | | | | Robert Joe Division Director/Branch Chief's Printed Name and Signature / Date | | | | | | | |

THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION

| PART I. PROGRAM INFORMATION: | | | | |
|------------------------------|---|-------------------------------------|----------------------------------|---------------------------|
| Program Name/Title: | | NDON Executive Office | | Business Unit No.: 113001 |
| PART II. DETAILED BUDGET: | | | | |
| (A) | (B) | (C) | (D) | |
| Object Code (LOD 6) | Object Code Description and Justification | Total by DETAILED Object Code | Total by MAJOR Object Code | |
| | 8000 ASSISTANCE | | 1,000,000 | |
| 8020 | Social All eligible entities will provide eyeglass service to youth / students from Headstart to 12th grade and College Students, include all elderlys ages 55 and over. Funds will be used to provide frame with clear Single Vision or Bifocal (F7-28) lenses, eyeglass case and cleaning cloths per clients. | | | |
| 8095 | Other Public Assistance 15,384 clients at \$65.00 per client = \$1,000,000.00 | 1,000,000.00 | | |
| | Chinle Agency 1,923 youth/Students & 1,923 elderly clients = \$199,940.00 | | | |
| | Crownpoint Agency 1,538 youth/Students & 1,538 elderly clients = \$199,940.00 | | | |
| | Ft. Defiance Agency 1,538 youth/Students & 1,538 elderly clients = \$199,940.00 | | | |
| | Shiprock Agency 1,538 youth/Students & 1,540 elderly clients = \$200,200.00 | | | |
| TOTAL | | 1,000,000 | 1,000,000 | |

Handwritten notes:
 3,846
 3,076
 3,076
 3,078
 $13,076 \times 65 = 849,940$

**THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY**

PART I. Business Unit No.: 113001 **Program Title:** NDOH Executive Office
Division/Branch: Health **Amount Requested:** \$ 1,000,000.00 **Phone No.:** (928) 871-6852
Prepared By: Genevieve A. White **Email Address:** genevieve.white@ndoh.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

The proposition herein is in the response to an Extremely High Volume of phone calls and visits to all open entities by the Navajo Nation constituents asking for assistance to obtain eyeglasses for their children for Headstart to 12th grade students, and unmet elderly 55 years and older. Due to a growing epidemic of financial hardship they are unable to purchase eyewear which hinders their education, livelihood, and stability. Program is requesting supplemental funding in the \$1,000,000.00 from the UJFB for the purchase of the eyeglasses for the five agencies from open to all eligible entities.

1. Fact for youth: about 50% + of NN children ages 5-18 are visually impaired or born with eye health disease and will deteriorate over time if not corrected with eyeglasses. Undetected or uncorrected vision problems are a major disadvantage to youth. If conditions are not met this threatens a child's quality of life resulting in lost education, employment opportunities, lower productivity, emotional frustration resulting in low esteem and social exclusion.
2. Facts for Elderly: If an elderly cannot see they are most likely to suffer an injury or if taking medications they might take the wrong pills or at a wrong time because they are unable to see the instruction. It is harder to get around and care for themselves if their vision is impaired. There is a high rate of diabetic retinopathy, cataracts, glaucoma in seniors. Seniors who have vision loss or vision impairments may get depressed emotionally and secluded and frustrated. Unnecessary suffering is due to lack of financial resources and other very limited services available as well as inconsistent or inadequate treatment for youth and elderly.

PART III. SCOPE OF WORK/METHODOLOGY

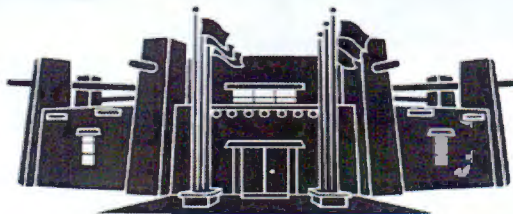
1. The 5 NDOH Agencies would issue referral forms to eligible recipients. Eligible entities would be responsible for submitting invoice for payments to central office of NDOH.
2. Open to all eligible entities will accept and fill all valid eye exams prescriptions.
3. Open to all eligible entities will display frames so eligible youth and elderly may choose frames at their leisure.
4. Open to all eligible entities with mobile units to travel to scheduled sites to place new orders and deliver completed eyewear to same sites throughout the five Navajo Nation Agencies in AZ, NM, and UT. Open to all eligible entities is responsible for their own travel expenses and will not be billed to the Navajo Nation for such expenses.
5. Open to all eligible entities will provide detailed quarterly reports to the NDOH central office to ensure and maintain the trust of the Navajo Nation Government.

**PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE
BRANCH CHIEF RECOMMENDS APPROVAL.**

Ramona Antone Nez 10/6/15
Ramona Antone Nez, Executive Director

REVIEWED BY: Division Director's Signature / Date

RECOMMEND APPROVAL: Branch Chief's Signature / Date



MEMORANDUM

TO: Chairperson Edmund Yazzie
23rd Navajo Nation Council Delegate

FROM: C West
Carolyn R. West, Attorney
Office of Legislative Counsel

DATE: December 11, 2015

SUBJECT: OLC Service Request Number 16-040-1: An Act Relating to Health, Education and Human Services; Budget and Finance, Naa'bik'íyáti', and Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the amount of One Million Dollars (\$1,000,000) for the Navajo Department of Health's Eyeglasses Assistance Program

As requested, I prepared the above-reference proposed resolution and associated legislative summary sheet. Based on existing law, the resolution drafted is legally sufficient. However, as with all legislation, the proposed resolution is subject to review by the courts in the event of a challenge. You are encouraged to review the proposed resolution to ensure this is drafted to your satisfaction.

The Office of Legislative Council recommends the appropriate standing committee(s) reviews based on the standing committees powers outlined in 2 N.N.C. §§ 301, 401, 501, 601 and 701. Nevertheless, "the Speaker of the Navajo Nation Council shall introduce [the proposed resolution] into the legislative process by assigning it to the respective oversight committee(s) of the Navajo Nation Council having authority over the matters for proper consideration. 2 N.N.C. § 164(A)(5).

If you are satisfied with the proposed resolution, please sign as "Primary Sponsor" and submit to the Office of Legislative Services where the proposed resolution will be given a tracking number and sent to the Office of the Speaker for assignment.

If the proposed legislation is unacceptable to you, please contact me at the Office of Legislative Counsel and advise me of the changes you would like to make.

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0007-16_

SPONSOR: Edmund Yazzie

TITLE: An Action Relating To Health, Education, And Human Services, Budget And Finance, NAABIK'IYATI' And The Navajo Nation Council; Approving Supplement Funding From The Unreserved, Undesignated Fund Balance In The Amount Of One Million Dollars (\$1,000,000) For The Navajo Department Of Health's Eyeglasses Assistance Program

Date posted: January 07, 2016 at 5:23PM

Digital comments may be e-mailed to_comments@navajo-nsn.gov

Written comments may be mailed to:

Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7586

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 *et. seq.*

**THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW SUMMARY**

LEGISLATION NO.: 0007-16

SPONSOR: Honorable Edmund Yazzie

TITLE: Relating To Health, Education, And Human Services, Budget And Finance, Naabik'iyati' And The Navajo Nation Council; Approving Supplement Funding From The Unreserved, Undesignated Fund Balance In The Amount Of One Million Dollars (\$1,000,000) For The Navajo Department Of Health's Eyeglasses Assistance Program.

Posted: January 07, 2016 at 5:23 PM

5 DAY Comment Period Ended: January 12, 2015

Digital Comments received:

| | |
|------------------------------------|-----------------------|
| Comments Supporting (1) | 1. Jasper Long |
| Comments Opposing | <i>None</i> |
| Inclusive Comments | <i>None</i> |



**Executive Director
Office of Legislative Services**

1/13/2016 - 9:05 am
Date/Time

0007-16

Jasper Long <jasperlong@navajo-nsn.gov>

Tue 1/12/2016 1:22 PM

To: comments <comments@navajo-nsn.gov>;

Good Day,

I believe the eyeglass program for the Navajo Nation is a great opportunity for all the Navajos that require to wear eyeglasses.

Keep up the funding, it is for a sightful cause.

Thank you

Committee Report

THE HEALTH, EDUCATION AND HUMAN SERVICES COMMITTEE OF THE NAVAJO NATION COUNCIL to who has been assigned;

LEGISLATION NO. 0007-16

AN ACT RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES; BUDGET AND FINANCE, NAABIK'IYATI' AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED, FUND BALANCE IN THE AMOUNT OF ONE MILLION DOLLARS (\$1,000,000) FOR THE NAVAJO DEPARTMENT OF HEALTH'S EYEGLASSES ASSISTANCE PROGRAM

Has had under consideration and report the same with the recommendation that it PASSED with no amendment and no directive;

And therefore referred the same to the **BUDGET AND FINANCE COMMITTEE OF THE NAVAJO NATION COUNCIL**



Jonathan L. Hale, Chairperson
Health, Education and Human Services Committee

Dated: January 20, 2016

Main Motion

Motion by: Honorable Nelson BeGaye

Seconded by: Honorable Herman Daniels, Jr.

Vote: 4 in favor; 1 Opposed and 0 Abstain

Chairperson not voting