

LEGISLATIVE SUMMARY SHEET

Tracking No. 0219-16

DATE: July 1, 2016

TITLE OF RESOLUTION: PROPOSED NAVAJO NATION COUNCIL RESOLUTION; AN ACTION RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE, BUDGET AND FINANCE COMMITTEE; NAABIK'ÍYÁTI' COMMITTEE AND THE NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF \$1,705,153.66 FOR THE NAATSI'S'ÁÁN CHAPTER POWER LINE EXTENSION PROJECT; WAIVING 12 N.N.C. §§ 820(I) AND 860(C) RELATING TO THE CAPITAL IMPROVEMENT PROCESS

PURPOSE: This resolution, if approved, will approve supplemental funding from the unreserved, undesignated fund balance (UUFB) in the amount of \$1,705,153.66 for the Naatsis'áán Chapter Power Line Extension Project, waiving 12 N.N.C. § 820(I) and § 860(C) relating to the Capital Improvement Process. The Naatsis'áán Chapter Power Line Extension Project is not included in the Title 12 Capital Improvement Plan as approved by Navajo Nation Council Resolution CAP-18-14.

This written summary does not address recommended amendments as may be provided by the standing committee. The Office of Legislative Counsel requests each committee member to review the proposed resolution in detail.

5-DAY BILL HOLD PERIOD: Lutanya Bubak
Website Posting Time/Date: _____
Posting End Date: 7/14/16
Eligible for Action: 7/15/16

Resources & Development Committee

THENCE

Budget & Finance Committee

THENCE

Naa'bik'íyáti' Committee

THENCE

Navajo Nation Council

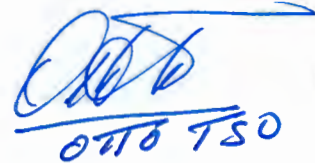
PROPOSED NAVAJO NATION COUNCIL RESOLUTION
23rd NAVAJO NATION COUNCIL—Second Year, 2016

INTRODUCED BY



Primary Sponsor

TRACKING NO. 0219-16


OTTO TSO

AN ACTION

RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE, BUDGET AND
FINANCE COMMITTEE; NAABIK'ÍYÁTI' COMMITTEE AND THE NAVAJO
NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE
UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF
\$1,705,153.66 FOR THE NAATSI'S'ÁÁN CHAPTER POWER LINE EXTENSION
PROJECT; WAIVING 12 N.N.C. §§ 820(I) AND 860(C) RELATING TO THE
CAPITAL IMPROVEMENT PROCESS

BE IT ENACTED:

SECTION ONE. AUTHORITIES

- A. Pursuant to 12 N.N.C. § 820 (L), "[t]he Navajo Nation Council may adopt and approve supplemental appropriations to the Annual Comprehensive Budget during the fiscal year. Supplemental appropriations of General Funds within the current fiscal year are permitted, if and when additional sources of revenues above and beyond the initial or current revenue projections are projected and which are also in excess of the reserve amount set forth at § 820(J)."
- B. Pursuant to 2 N.N.C. § 300 (C)(1)(3) and (4) the Budget and Finance Committee is authorized to recommend adoption of resolutions related to the expenditure of the Nation's financial resources.

1 C. Pursuant to 2 N.N.C. § 501 (C)(1), the Resources and Development Committee is
2 the oversight committee for the Navajo Nation Chapters.

3 D. Pursuant to 2 N.N.C. § 164 (A)(9), a proposed resolution that requires final action
4 by the Navajo Nation Council shall be assigned to the Naabik'íyáti' Committee
5 before it is heard by the Navajo Nation Council.

6
7 **SECTION TWO. TITLE 12 FINANCE ACT SUPPLEMENTAL**
8 **APPROPRIATION PROCESS AND THE TITLE 12 CAPITAL**
9 **IMPROVEMENT PROCESS**

10 A. The Title 12 Finance Act Supplemental Appropriation requirements include:

- 11 1. Pursuant to 12 N.N.C. § 820(L), when the Controller identifies additional
12 sources of revenues above and beyond the initial or current revenue projections,
13 supplemental appropriations may be allocated by the Navajo Nation Council.
- 14 2. Pursuant to 12 N.N.C. § 820 (L), "Supplemental appropriations made from non-
15 recurring revenues shall only be made for non-recurring operations or purposes,
16 as set forth at § 820 (F). The Controller of the Navajo Nation shall be
17 responsible for designating recurring and non-recurring revenues and purposes."
- 18 3. Pursuant to 12 N.N.C. § 820(M), all requests for annual operating funds and
19 supplemental funds shall be submitted to the Office of Management and Budget
20 ("OMB") for budget impact analysis.

21 B. The Title 12 Capital Improvement Process includes:

- 22 1. Pursuant to 12 N.N.C. § 810(F), "Capital Improvement" means a major project
23 undertaken by the Navajo Nation that is generally not recurring on an annual
24 basis and which fits within one or more of the following categories:
- 25 (1) All projects requiring debt obligation or borrowing;
- 26 (2) Any acquisition or lease of land;
- 27 (3) Purchase of major equipment or vehicles, with a life expectancy of five
28 years or more, valued in excess of an amount to be established by the
29 Controller;
- 30

1 (4) Major building improvements that are not routine maintenance expenses
2 and that substantially enhance the value or extend the useful life of a
3 structure;

4 (5) Construction of new buildings or facilities including engineering,
5 design, and other pre-construction costs with an estimated cost in excess
6 of an amount to be determined by the Controller; and/or

7 (6) Major equipment or furnishing required to furnish new buildings or
8 other projects, the cost of which is above a certain amount to be
9 established by the Controller.

10 2. Pursuant to 12 N.N.C. § 820 (I), the “[d]evelopment of the Capital Budget shall
11 be coordinated with development of the Operating Budget. All budget requests
12 for capital improvements shall be in compliance with an adopted Capital
13 Improvement Plan and shall not be approved unless in compliance with the
14 Plan.”

15 3. Pursuant to 12 N.N.C. § 820 (M), the Office of Management and Budget shall
16 coordinate the overall preparation, adoption and implementation of both the
17 annual operating and capital budgets of the Navajo Nation. All requests for
18 annual operating funds and supplemental funds shall be submitted to the Office
19 of Management and Budget for budget impact analysis and other appropriate
20 action.

21 4. Pursuant to 2 N.N.C. § 501 (B)(4)(c), the Resources and Development
22 Committee is to review and recommend to the Navajo Nation Council through
23 the appropriate process supplemental appropriations to the capital improvement
24 projects annual budget to fund necessary additional capital improvement
25 projects.

26 5. Pursuant to 12 N.N.C. § 860 (C)(2) “[t]he appropriation portion of the Capital
27 Improvement Plan is subject to approval of the Navajo Nation Council upon
28 recommendation of the Budget and Finance Committee. Any modification or
29 amendment affecting the approved Capital Improvement Plan is subject to
30

1 review and concurrence by the Resources and Development Committee prior to
2 consideration by the Navajo Nation Council.”
3

4 **SECTION THREE. FINDINGS**

- 5 A. The Naatsis'áán Chapter is requesting \$1,705,153.66 from the Unreserved,
6 Undesignated Fund Balance (UUFB) for the Naatsis'áán Chapter Power Line
7 Extension Project. The Naatsis'áán Chapter Power Line Extension Proposal
8 prepared by the Community Services Coordinator describes the project and is
9 attached as **Exhibit C**. The budget documents regarding this request are attached as
10 **Exhibit D**.
- 11 B. The Naatsis'áán Chapter Power Line Extension Project is not included in the Title
12 12 Capital Improvement Plan as approved by Navajo Nation Council Resolution
13 CAP-18-14.
- 14 C. Pursuant to 12 N.N.C. § 820(L), the attached memorandum, **Exhibit A**, the Office
15 of the Controller has identified the additional revenues above and beyond the initial
16 or current revenue projections.
- 17 D. The Office of Management and Budget has been provided the documents included in
18 Exhibit D. The Office of Management and Budget is in the process of completing the
19 Budget Impact Analysis, pursuant to 12 N.N.C. § 820(M). The Budget Impact Analysis
20 is to be provided to the standing committees and the Navajo Nation Council at the time
21 this legislation is presented for consideration. When the Budget Impact Analysis is
22 provided, it will be labeled Exhibit B.
- 23 E. Pursuant to 12 N.N.C. § 820 (L), the attached memorandum, **Exhibit A**, from the
24 Office of the Controller did not specify whether this request for supplemental
25 appropriation is from recurring or non-recurring revenues and whether it is for
26 recurring or non-recurring purposes. The staff from the Controller's Office could
27 be asked to make this determination when the issue comes before the standing
28 committee(s) or the Navajo Nation Council.
29
30

1 F. The Navajo Nation finds it in the best interest to waive Capital Budget and Capital
2 Improvement Plan requirements as stated in 12 N.N.C. §§ 820(I) and 860 (C)
3 regarding the Naatsis'áán Chapter Power Line Extension Project .

4 G. The Navajo Nation finds it in the best interest to approve Supplemental
5 Appropriation of \$1,705,153.66 for the Naatsis'áán Chapter Power Line Extension
6 Project.

7
8 **SECTION FOUR. WAIVING 12 N.N.C. §§ 820(I) AND 860 (C) REGARDING**
9 **THE CAPITAL IMPROVEMENT PROCESS FOR THE NAATSI'S'ÁÁN**
10 **CHAPTER POWER LINE EXTENSION PROJECT**

11
12 The Navajo Nation Council hereby waives 12 N.N.C. §§ 820(I) and 860(C) with
13 regard to the Capital Improvement process and the Naatsis'áán Chapter Power Line
14 Extension Project.

15
16 **SECTION FIVE. APPROVING SUPPLEMENTAL FUNDING FROM THE**
17 **UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF**
18 **\$1,705,153.66 FOR THE NAATSI'S'ÁÁN CHAPTER POWER LINE**
19 **EXTENSION PROJECT**

20
21 A. The Navajo Nation Council, having received certification by the Office of the Controller
22 of the availability of certain funds from the Unreserved, Undesignated Fund Balance
23 (UUFB) for supplemental appropriation hereby approves the supplemental appropriation
24 of \$1,705,153.66 for the Naatsis'áán Chapter Power Line Extension Project, as further
25 described in budget documents attached as **Exhibit D**.

26 B. This supplemental appropriation of \$1,705,153.66 shall be from that amount of
27 funds that exceeds the minimum fund balance of the UUFB.
28
29
30



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT



MEMORANDUM:

TO : 2 NNC § 164 Reviewers
Delegates & 2 NNC '164 Reviewers
Navajo Nation Government

FROM : *Robert Willie*
Robert Willie, Accounting Manager
Office of the Controller

DATE : June 22, 2016

SUBJECT : 164 Review-005859-Tuba City Senior Center Project

The Office of the Controller has reviewed the above referenced document.

1. The balance of the UUFB is \$7,569,087 as of June 22, 2016.
2. The requested supplemental request is for Tuba City Senior Center Project.

If you should have any questions you can contact me at tribal extension X6125.



NAATSIS'AAN
(NAVAJO MOUNTAIN) CHAPTER



Power Line Extension Proposal

Prepared by:

Lorena Atene, Community Services Coordinator

December 9, 2015

Update and Submit: June 28, 2016

PO Box 10070

Tonalea, AZ 86044

Phone: (928) 672-2915

Fax: (928) 672-2917

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WIND Infrastructure Capital Improvement Plan	

Executive Summary

Naatsis'aan (Navajo Mountain) Chapter is a place where community members come together to share ideas, discuss the Chapter budget, and communicate needs as a group or as individuals for electricity, water resources, road improvements, bathroom additions and house renovations. All basic needs are still in existence at Naatsis'aan. Chapter Officials and office administrators make every effort to work daily to bring support to the community with very limited funding sources. Naatsis'aan Chapter has gone through many changes: including staff turnover, revolving term elections in part of Chapter Officials, audit findings created extreme workload and time limitation but administration is motivated to move forward to correct and complete findings. Changes and events should not delay the people's needs; this administration believes in accountability and effective project management. Daily improvements are made and Naatsis'aan Chapter is in a better position moving forward. This proposal will focus on Naatsis'aan, Arizona Rainbow Plateau power line extension which is a number one priority in the Infrastructure Capital Improvement Project (ICIP).

This ICIP is a priority at the Chapter for the power line extension to serve seventeen (17) scattered housing units for Arizona residents in Coconino County in the Rainbow Plateau area along BIA road 161 to Rainbow Lodge which is considered the first phase. Naatsis'aan Chapter is prepared to serve 49 houses considering the NTUA total cost estimate of \$3,750,871.88, of this Naatsis'aan Chapter's plan of action is to administer Phase 1 of 3 which will cost on average \$1,705,153. Naatsis'aan Chapter has a plan of action in place to consider three phases to complete construction. In this packet you will find: ICIP update, priority one project proposal (house wiring and power line extension), resolutions, Navajo Tribal Utility Authority (NTUA) preliminary construction cost estimate, budget information, and name listing, GPS coordinates, and quad maps for power line extension.

Division of Community Development (DCD) Capital Improvement Office (CIO) personnel has also provided updates to Naatsis'aan Chapter. In these reports provided to Chapters, staff and Chapter Officials and other members; the Legislation 0089-15 requesting funds for all Chapter projects across the Navajo Nation was presented to President Ben Shelly. The Legislation 0089-15 obtained approval by President Ben Shelly, unfortunately Naatsis'aan Chapter requested \$2,000,000 for CIP funds was excluded. Later, another updated version of Chapter CIP proposal was submitted March 4, 2014. Again, Naatsis'aan Chapter requested was not granted.

Otherwise, Naatsis'aan Chapter has been in communication with NTUA office beginning with the customer service department, engineering department and the planning section. NTUA provided Naatsis'aan Chapter with the preliminary construction cost estimate to power 49 scattered housing units. The total amount is \$3,827,371.88. This construction cost estimate is valid for one year from the date the Chapter received the estimate on December 9, 2015.

Because Naatsis'aan Chapter was not able to obtain additional funds, a plan of action was organized to conduct scope of work by three phases. The Chapter is committed to use Chapter funds to pay for preliminary costs throughout the three phases. The first phase will serve 17 houses and requires \$1,410,471.66 to pay for the construction cost and preliminary costs.

INTRODUCTION

Naatsis'aan is located in the Western Navajo Agency of the Navajo Nation within two district grazing areas. The Chapter is comprised of 1,600 square miles surrounded by Lake Powell and rockied canyon and the popular Naatsis'aan formally known as Navajo Mountain.

Naatsis'aan Chapter's geographic boundary is unique because land base is comprise of two states and three counties: Utah and Arizona; San Juan, Coconino, and Navajo counties respectively. The Chapter is located in Utah.

The Navajo Nation Council established the Navajo Mountain Chapter in 1927. Prior to the construction of the Chapter, meetings were held under cottonwood trees adjacent to the Navajo Mountain Trading Post. During the winter months, Chapter meetings were held at the Old Bureau of Indian Affairs Boarding School. The community Chapter House was finally built in 1960 with tribal funds.

The current structure of the Chapter government is three (3) elected officials, Chapter President, Vice-President, and Secretary/Treasurer. A Council Delegate is elected to oversee the Naatsis'aan Chapter. One person is elected as a Community Grazing Representative that oversees the grazing and land issues. Community Chapter meetings are held twice a month, a planning and a regular meeting. These meetings are schedule by Chapter officials. It is at these meetings, community concerns and needs are identified and appropriate recommendations for action are taken into consideration.

Naatsis'aan Chapter is currently pursuing Local Governance Certification. Chapter staff, Chapter officials, Community Land Use Planning Committee members are working toward making this accomplishment by working together with community members such as: Water Users Association, Senior Citizen Council, Veterans members and community schools.

PROBLEM STATEMENT

Naatsis'aan community members look forward to the necessity of electricity to improve their quality of life from newborns to old age. The population to remain stable is a problem due to various reasons. Depopulation is a problem among children, adolescents, adults, middle age, and old age. The population figure for Naatsis'aan as of 2010 Census count was 651 of which 350 are children (0-9 years old) and adolescents (10-19 years old). A recent census count has not been available to date. The Chapter administration has in their community plans to reassess the community status.

Our findings show that young adults (20-45 years old) move to larger towns and cities for jobs and/or obtain higher education. Young adult families leave their children with their parents to seek jobs and/or obtain higher education. Grandparents, most times are middle age to old, become caretakers and school age children population maintain stable at community school attendance.

Other times, young families with children move to larger towns and cities. These young families are faced with many challenges due to culture shock, pay rent, utility bills, etc. and juggle with

work and children going to school. Time management becomes an issue. These obstacles force them to become unsuccessful in the urban mainstream. As a result, they will move back to overcrowded homes. Although, this community has the facilities such as schools, senior center, social service, health service and a Chapter, this community lacks economic opportunities.

Currently, Naatsis'aan's resources include two schools, one clinic, one Navajo Head Start, one senior center, a social service, a sub post-office and one local Navajo Nation government office (the Chapter). With all the resources provided the table (Services/Resources) below shows the number of people employed and use services from these resources.

TABLE A: Services/Resources

Services/Resources	Established Yr	Employees	Customers
Navajo Mountain High School	1998	13	34
Naatsis'aan Community School	1982	39	105
Navajo Head Start	1980	2	15
Navajo Mountain CHC	2004	9	
Navajo Mountain Senior Citizen	1979	3	53
Employees PEP/SYEP			
Naatsis'aan Chapter	1960	1	15
Chapter Officials & CLUPC members		7	0
Student Financial Applicants		20	15
Navajo Housing Authority-Housing Units	1982	HO-15	PR-10

The elderly and disable also have a factor in depopulation due to leaving to nursing homes in neighboring towns where facilities are available. The challenge is to enable elderly and disable to live in their homes for as long as possible. These people need to keep their medicine and perishable food items in refrigerators. Multiple effects on every ones' daily lives is affected financially. Keeping medicine and perishable food items in small refrigerators or ice chest forces families to spent so much money to travel to grocery stores to buy their food on a weekly basis.

In the community, members are encouraged to take care of their grandparents in their own homes. A lot of these homes need upgrades, bathroom additions and/or improve accessibility which means the need for more funds.

Housing is another problem due to dilapidation, homes are becoming empty, while neighboring towns have no such problem. To take into account, the Chapter has assessed the community and found the need for modifications to the housing stock. For example: major maintenance or renovations are needed to make homes energy-efficient. In other situations, people want to move back to their land use areas after retiring, so they can continue their traditional way of living: care for their elderly, tend to their livestock; therefore, creates a demand for new homes.

Considering the cost of renovation, weatherization, upgrade infrastructure, building new homes, etc., the available funds in Chapter housing discretionary budget is not sufficient and cannot keep up with the high demand on direct services for houses in the community.

The Navajo Housing Authority was able to provide homeownership in the year 1982 and later built 10 more Public Rental units in the housing section of the community. These housing units are reaching their depreciated value and no other land withdrawals have been identified to develop more housing units.

Currently, citizens residing in the Arizona portion of Naatsis'aan without power have become impatient and is a major concern for this community. Residents have repeatedly requested for utility services. For example, Navajo Times wrote an article in the newspaper dated December 3, 2015 titled "Navajo Mountain, AZ residents still fighting for basic amenities" by Krista Allen. In the article, people feel they are one of the forgotten people as they have had no electricity or running water in their area for more than five decades. It is time to improve their quality of life by providing electricity. For more information on this article, see attached document.

FAMILY INCOME

During the development of the Chapter's Comprehensive Land Use Plan in 2001, a survey was completed and approximately 85% of the community was dependent on some form of public assistance. Not much has changed today, majority of the population are dependent on food voucher programs, social security (SSI) and SSB; general financial assistance, supplemental food (Tribal Commodity Food Program) and housing assistance from the Chapter and/or other Housing Services Departments, all which are limited. Livestock raising and farming is the main occupations of the Naatsis'aan citizen. The only identified sources of employment income (TABLE A: Services/Resources) are the facilities and organizations with employees.

GOALS AND OBJECTIVE

Currently for the Naatsis'aan community is to extend NTUA electrical line to homes of the local residences in Arizona within the Coconino and Navajo counties will make it accessible, comfortable and functional living arrangement for all ages of the community.

The primary goal of this project is to prepare all sections of the community with an adequate power source for families living in the most remote community of the Navajo reservation. As well as for any proposed business developments, including a convenience store with a gasoline station, a garage for auto maintenance services, and a public laundry facility and further development of public housing structures.

SCOPE OF WORK

This project will focus on the 1st Phase of three phases. Chapter preliminary documents are in place and package to seek funds. Once funds are secure, services for the people are to be carried out by the Chapter Officials, Chapter administration, DCD-CIO & CDBG staff, and the NTUA appropriate personnel. Scope of work will be based on making sure each departments/entities achieve such measures and all efforts coordinated with proper communication of all parties involved. Funds will be identified and appropriated solely to meet the intent of the Chapter. CIO will work in careful coordination with the contractor(s) to meet all the National Electrical Codes set forth by NTUA.

PROJECT COST

NTUA preliminary construction for 1st phase cost estimate is \$1,410,471.66.

TABLE B: Cost Estimate

	Anticipated House Units Serve	Main Trunk Line Total Miles	Member Extension Total Miles	Construction Cost Total Amount
Line A	17	7.54	4.66	1,284,891.16
Total	17	7.54	4.66	\$ 1,284,891.16
Archaeological Clearance, Environmental Assessment, and Legal Survey for Right-of-Way Acquisition (7.54 + 4.66= 12.2 Miles)				\$ 160,125.00
Subtotal				\$ 1,445,016.16
NTUA Contribution (17 Homes @ \$1,500.00) with Conditions				25,500.00
Contribution Required Prior to Construction				\$ 1,419,516.16

UNMET NEEDS FOR FUNDS

\$1,710,153 would be a sufficient amount of money to cover the cost of 1st Phase at Naatsis'aan, AZ Rainbow Plateau (Rainbow Lodge) area and serve seventeen (17) scattered housing units.

TABLE D: Uses Budget

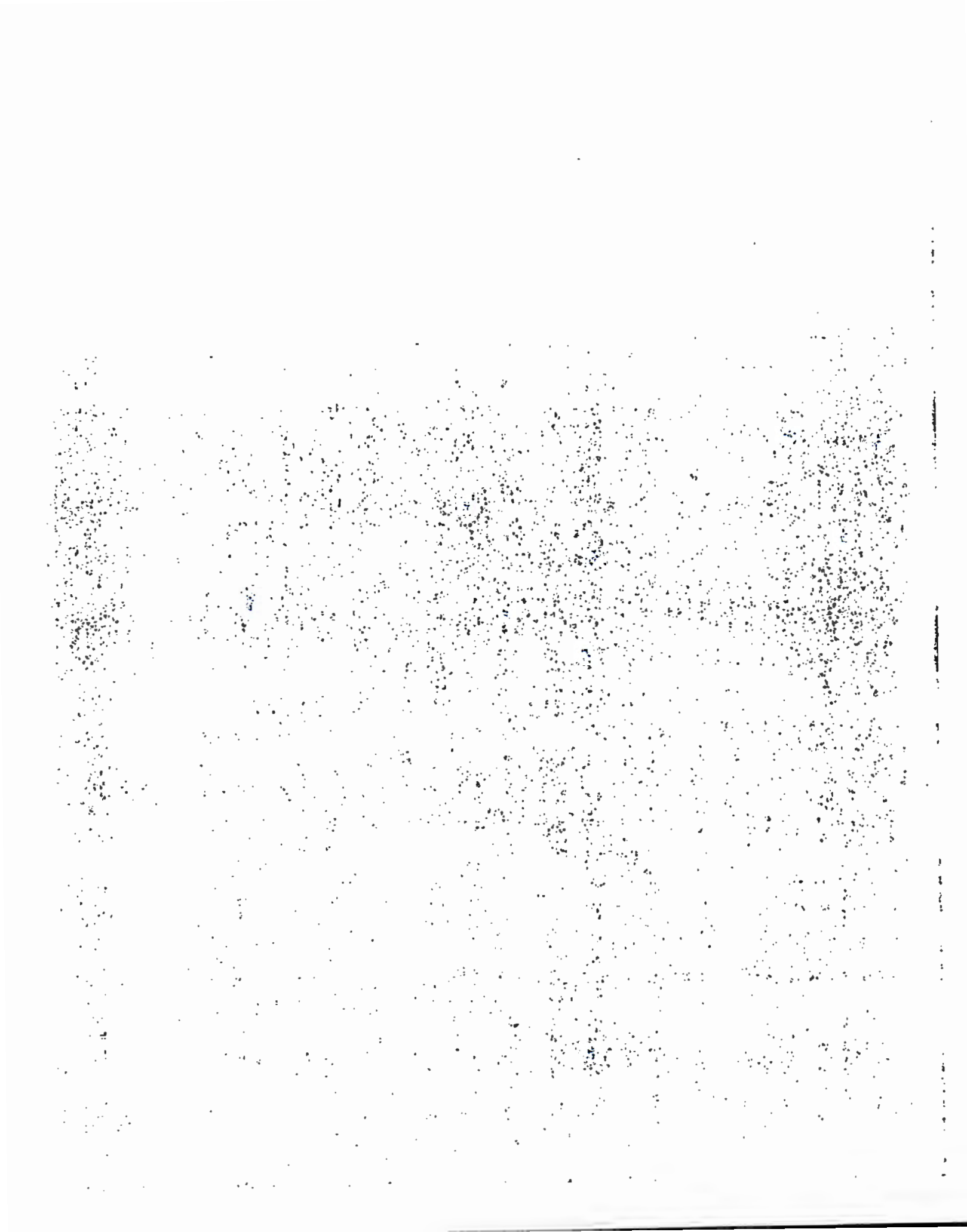
Uses Budget	Power Line Extension For 17 Homes: 1 of 3 Phase	1,284,891.16
Archaeological Clearance, Environmental Assessment, and Legal Survey for Right-of-Way Acquisition	Total Miles 1 to 3 Phases (19.21 + 12.81= 32.02)	420,262.50
Subtotal		1,705,153.66
Total Request Amount		\$ 1,705,153.66

ANTICIPATED FUNDING SOURCES

Naatsis'aan Chapter is requesting \$1,705,153 for 1st phase which will be sponsored by Honorable Herman Daniels Jr.

Other Information considered by Naatsis'aan Chapter

Naatsis'aan Chapter is certain to submit proposals to other funding sources to compete these fund requests.





NAVAJO TRIBAL UTILITY AUTHORITY

AN ENTERPRISE OF THE NAVAJO NATION

December 9, 2015

Ms. Lorena Atene, Community Service Coordinator
Navajo Mountain Chapter
P.O. Box 10070
Tonalea, Arizona 86044

RE: Navajo Mountain Chapter (Rainbow Plateau Area Extensions) Power Line Project

Dear Ms. Atene:

Provided herewith are PRELIMINARY construction costs estimates to provide service to residents within the Rainbow Plateau area of Navajo Mountain Chapter. These costs will supersede all previous costs. The following items are provided for your information:

1. The ownership of the power lines will belong to the Navajo Tribal Utility Authority. The Navajo Tribal Utility Authority will handle the operation and maintenance responsibilities.
2. The cost estimates that are submitted are PRELIMINARY. Final construction cost estimates will be based upon the results of archaeological and legal surveys and final engineering design.
3. Notice of funding for right-of-way acquisition must be received before NTUA can commence with the archaeological clearance, environmental assessment, and legal surveys; and final engineering and design. This amount is shown on the attached estimate and contributes to the total project cost.
4. The Navajo Tribal Utility Authority will budget \$1,500.00 per customer provided their house is wired and ready for hook up prior to construction.

NOTE: If all homes are not wired, the NTUA Contribution will be adjusted downward to reflect the actual number of homes wired at the time of construction. This will result in an increase in contribution required by the Navajo Mountain Chapter.

Home Office
P.O. Box 170
FT. DEFANCE, AZ 86504

KAYENTA
P.O. Box 37
KAYENTA, AZ 86033

TUBA CITY
P.O. Box 398
TUBA CITY, AZ 86045

SHIPROCK
P.O. Box 1749
SHIPROCK, NM 87420

CHINLE
P.O. Box 349
CHINLE, AZ 86503

FORT DEFANCE
P.O. Box 587
FT. DEFANCE, AZ 86504

DILCON
HC 63 Box D
WINSLOW, AZ 86047

CROWNPOINT
P.O. Box 1825
CROWNPOINT, NM 87313

CALL 800-528-5011 OR 928-729-5721 FOR ALL OFFICES.

Letter to Ms. Lorena Atene, Navajo Mountain Chapter

RE: Navajo Mountain Chapter (Rainbow Plateau Area Extensions) Power Line Project


December 9, 2015

Page Two

If you have any questions concerning these cost estimates, you may contact Ms. Marcella Black, Customer Service Supervisor, Kayenta District, or me.

Sincerely yours,

NAVAJO TRIBAL UTILITY AUTHORITY



Ethelind A. Johns, Project Supervisor
Electric System Planning Section

CC: Herman Daniels, Jr., Navajo Mountain Chapter
Srinivasa Venigalla, Electric Systems Division, NTUA
Niecy Tsosie, Electric System Planning Section, NTUA
Shannon Burnette, Electric System Planning Section, NTUA
Sherrell White, Electric System Planning Section, NTUA
Louella Chee, Electric System Planning Section, NTUA
Virsynthia Charley, Kayenta District, NTUA
Marcella Black, Kayenta District, NTUA
Darlene Begay, Kayenta District, NTUA

Letter to Ms. Lorena Atene, Navajo Mountain Chapter
 RE: Navajo Mountain Chapter (Rainbow Plateau Area Extensions) Power Line Project
 December 9, 2015
 Page Three

NAVAJO MOUNTAIN CHAPTER (Rainbow Plateau Area Extensions)
POWER LINE PROJECT

Line A – Main Trunk Line	7.54 Miles	\$ 723,092.37
Member Extensions	4.66 Miles	561,798.79
Line B – Member Extension	.93 Miles	\$ 116,264.10
Line C – Main Trunk Line	3.05 Miles	\$ 292,325.53
Member Extensions	.11 Miles	65,381.22
Line D – Main Trunk Line	2.22 Miles	\$ 213,021.56
Member Extensions	1.10 Miles	132,615.44
Tap E – Member Extension	.49 Miles	\$ 54,047.23
Tap F – Member Extension	.11 Miles	\$ 17,710.93
Line G – Main Trunk Line	2.50 Miles	\$ 239,547.06
Member Extensions	1.14 Miles	157,224.24
Tap H – Member Extension	.25 Miles	\$ 30,792.00
Tap I – Member Extension	1.13 Miles	\$ 114,910.53
Tap J – Member Extension	.38 Miles	\$ 43,146.34
Line K – Main Trunk Line	3.90 Miles	\$ 374,263.89
Member Extensions	2.51 Miles	<u>267,968.15</u>
Subtotal		\$ 3,404,109.38
Archaeological Clearance, Environmental Assessment, and Legal Survey for Right-of-Way Acquisition (32.02 Miles)		<u>420,262.50</u>
Subtotal		\$ 3,824,371.88
NTUA Contribution (49 Homes @ \$1,500.00)		(<u>73,500.00</u>)
Contribution Required Prior to Construction		\$ 3,750,871.88

NAVAJO TRIBAL UTILITY AUTHORITY
Proposed Power Line Extension

CHAPTER: NAVAJO MOUNTAIN

REMARKS: Navajo Mountain Chapter (Rainbow Plateau Area Extensions) Power Line Project

Page 1 of 4

No	Customer's Name	Description	House Yes	Wired No	Comments
	LINE A (Secondary Service Off Of the Main Line)				
1.	Russell/Louise Smallcanyon				
	TAP A-1				
2.	Davis Endishee				
3.	David/Bessie Endishee				
	TAP A-2				
4.	Florence Neztosie				
5.	Willard Neztosie, Jr.				
	TAP A-3				
6.	Willard Neztosie, Sr.				
7.	Tommy Nelson				
	TAP A-4				
8.	Evangelita Issac				
9.	Emily Tate				
10.	Lee Greymountain				
	TAP A-5				
11.	Russell Graymountain				
	TAP A-6				
12.	Gary Dougi				
	TAP A-7				
13.	Celone Dougi				
14.	Louis Dougi				

NAVAJO TRIBAL UTILITY AUTHORITY
Proposed Power Line Extension

CHAPTER: NAVAJO MOUNTAIN

REMARKS: Navajo Mountain Chapter (Rainbow Plateau Area Extensions) Power Line Project

Page 2 of 4

No	Customer's Name	Description	House Yes	Wired No	Comments
	TAP A-8				
15.	Annabelle Crank				
16.	Leonard Crank				
	TAP A-9				
17.	Morris Burns				
	Line B (Secondary Service Off Of Main Line)				
18.	Gregory Whitehat				
19.	Harry Nimrod				
20.	Anita Raymond				
21.	Steven/Lucy Nimrod				
	Line C (Secondary Service Off Of Main Line)				
22.	Bertha Shaw				
23.	Kent Graymountain				
24.	Andrea Castillo				
25.	Rosita Castillo				
26.	Cordell Klain				
27.	Thelma L. Bedonie				
28.	Allison Tso Lynch				
	TAP C-1				
29.	Karen Begay				
	Line D (Secondary Service Off Of Main Line)				
30.	John Joe				
31.	Larry Welch				
32.	Mary Ann Welch				

NAVAJO TRIBAL UTILITY AUTHORITY
Proposed Power Line Extension

CHAPTER: NAVAJO MOUNTAIN

REMARKS: Navajo Mountain Chapter (Rainbow Plateau Area Extensions) Power Line Project

Page 3 of 4

No	Customer's Name	Description	House Yes	Wired No	Comments
	TAP D-1				
33.	Alice J. Smallcanyon				
	TAP E				
34.	Leland Tomasiyo				
	TAP F				
35.	Orville Smallcanyon				
	Line G (Secondary Service Off Of Main Line)				
36.	Cindy Longsalt				
37.	Raymond Jackson				
	TAP G-1				
38.	Pauline Smallcanyon				
39.	Sally Dayzie				
	TAP G-2				
40.	Betty Lou Longsalt				
41.	Brenda Barlow				
	TAP G-2A				
42.	Mary Lou Atene				
	TAP H				
43.	Quillynn Haskie				
	TAP I				
44.	Linda Eltsosie Talker				
	TAP J				
45.	Sylvia Dougi				

CHAPTER: NAVAJO MOUNTAIN

Page 4 of 4

[illegible]



RESOLUTION OF THE
NAVAJO MOUNTAIN CHAPTER
OF THE NAVAJO NATION

Alex Bitsinnie
President

Jamie R. Holgate
Vice-President

Elia Jean Dodoni
Secretary/Treasurer

Lucille S. Krause
Grazing Committee
Member

Jonathan Nez
Council Delegate

RESOLUTION NO: NM03/279-2014

REQUESTING THE NAVAJO TRIBAL UTILITY AUTHORITY (NTUA) TO BEGIN A RIGHT OF WAY ASSESSMENT AND COST ESTIMATE FOR ARCHAEOLOGICAL AND ENVIRONMENTAL ASSESSMENT AND SURVEYS FOR FORTY-NINE (49) HOMES TO CONSTRUCT A POWLERLINE EXTENSION TO HOMES IN THE RAINBOW PLATEAU AREA OF NAVAJO MOUNTAIN, ARIZONA

1. Pursuant to 26 N.N.C., Section 3 (A), the Navajo Mountain Chapter is a duly recognized certified Chapter of the Navajo Nation Government, as listed at 11 N. N. N., Part 1, Section 10; and
2. The Navajo Mountain Chapter is a recognized Chapter of the Navajo Nation government, vested with authority and responsibilities consider and take actions on matters of local concern that are in the best interest of the health, safety, and welfare of its chapter members and residents; and
3. Navajo Mountain Chapter has identified extending the Power line Project to homes as a Chapter priority and these forty-nine (49) homes in the Navajo Mountain, AZ area are in need of electrical services;
4. Navajo Mountain Chapter has been working diligently on making this project a reality in our community; and
5. Navajo Mountain Chapter has a list of homeowners to be service in the power line extension, list of names and quad maps are for the following names:

Atene, Mary Lou	Dayzie, Sally	Graymountain, Lee	Nelson, Tommy	Smallcanyon, Orville
Barlow, Brenda	Dougl, Celone	Haskie, Quillynn	Neztsosie, Florence	Smallcanyon, Pauline
Bedoni, Thelma L.	Dougl, Gary	Issac, Evangelita	Neztsosie, Willard Jr.	Smallcanyon, Russell & Louise
Begay, Karen	Dougl, Louis	Jackson, Raymond	Neztsosie, Willard Sr.	Tate, Emily
Burns, Morris	Crank, Annabelle	Joe, John	Nimrod, Harry	Tomasioy, Leland
Castillo, Andrea	Talker, Linda	Ketchum, Marvin	Nimrod, Steven & Lucy	Welch, Larry
Castillo, Rosita	Endischee, Davis	Klain, Cordell	Polaske, Gloria N.	Welch, Mary Ann
Ciltso, Beulah	Endishchee, Bessie & David	Longsalt, Betty Lou	Raymond, Anita	Whitehat, Gregory
Crank, Leonard	Graymountain, Kent	Longsalt, Cindy	Shaw, Bertha	Young, Arlinda
Dougl, Sylvia	Graymountain, Russell	Lynch, Allison Tso	Smallcanyon, Alice J.	
6. Several homes are presently electrically wired, certified and in the process of passing the

NTUA Services Entrance Inspection (See Exhibit "A")

NOW, THEREFORE BE IT RESOLVED THAT:

1. The Navajo Mountain Chapter hereby requests the Tuba City District office of NTUA to conduct and provide Navajo Mountain a Right of Way Assessment and Cost Estimate for an archaeological and Environmental survey for a power line extension to serve forty-nine (49) homes (see attached listing of names) in the Navajo Mountain, AZ area.

CERTIFICATION

We, hereby certify that the foregoing resolution was considered at a duly called Chapter meeting at Navajo Mountain Chapter (Navajo Nation) in Navajo Mountain, Utah at which a quorum present and the same was passed by a vote of 42 in favor 00 opposed and 00 abstained on the 17th day of March 2014.

Motion: Willie Greyeyes

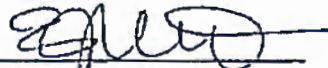
Second: Louise Smalcanyon



Alex Bitsinnie, President



Jamie Holgate, Vice- President



Ella J. Badoni, Secretary / Treasurer


Lucille Krause, Grazing Member



Alex Bitsmale
President

Janie R. Holgate
Vice-President

Ella Jean Budoni
Secretary/Treasurer

Lucille S. Krause
*Grazing Committee
Member*

Jonathan Nez
Council Delegate

RESOLUTION OF THE
NAATSI'S'AAN (NAVAJO MOUNTAIN) CHAPTER
OF THE NAVAJO NATION

RESOLUTION NO: NM03/D48-2015

RECOMMENDATION TO APPROVE THE CAPITAL IMPROVEMENT OFFICE FUND OF
\$86,400 TO REMAIN WITH NAATSI'S'AAN CHAPTER FOR POWERLINE RIGHT OF WAY
EXPENSES

WHEREAS:

1. The Navajo Mountain Chapter is a recognized and certified Navajo Nation Chapter government and pursuant to Navajo Nation Council delegated governmental authority with respect to local matters consistent with Navajo Nation Laws, including custom, tradition and fiscal matters; and
2. The Navajo Mountain Chapter is a duly certified chapter under the Navajo Nation Government pursuant to 26 N.N.C., Section 3: (A) the Navajo Mountain Chapter is a duly recognized certified chapter of the Navajo Nation Government as listed at 11 N.N.C. part 1, section 10; and
3. Navajo Mountain Chapter community is awaiting NTUA Preliminary cost estimate as CDBG completed house wiring assessment as of November 2014 via Western Agency Quarterly Project Briefing (attached information); and

NOW, THEREFORE, BE IT RESOLVED THAT:

The Navajo Mountain Chapter hereby approves the Capital Improvement Office fund to remain in with the Naatsis'aan Chapter to pay for power line right of way expenses.

CERTIFICATION

We, hereby certify that the foregoing resolution was considered at a duly called chapter meeting at Navajo Mountain Chapter in Navajo Mountain, Navajo Nation (Utah), at which a quorum

was present and that same was passed by a vote of 25 in favor (0) opposed and (0) abstained, this 18th day of March

Motioned by: Kenneth Miles

Seconded by: Ray Tomasiyo

Alex Bitsinnie
Alex Bitsinnie, President

Jainc Holgate
Jainc Holgate, Vice-President

Ella J Badoni
Ella J Badoni, Secretary/Treasurer

Lucille Krause
Lucille Krause, Grazing Member



RESOLUTION OF THE
Naatsis'nan (NAVAJO MOUNTAIN)
CHAPTER OF THE NAVAJO NATION

Alex Bitsinnie
President

Junie R. Holgate
Vice-President

Ella Jean Badoni
Secretary-Treasurer

Lucille S. Krause
*Grazing Committee
Member*

Herman Daniels, Jr.
Council Delegate

RESOLUTION NO: NM/CN-2016

APPROVING TO SECURE 2.5 MILLION DOLLARS OF CAPITAL IMPROVEMENT FUNDS
FOR RAINBOW PLATEAU POWERLINE EXTENSION TO SERVICE FORTY-NINE (49)
HOMES, NAATSI'S'AAN (NAVAJO MOUNTAIN), ARIZONA

WHEREAS:

1. Pursuant to 26 N.N.C., Section 3 (A), the Navajo Mountain Chapter is a duly recognized certified Chapter of the Navajo Nation Government, as listed at 11 N. N. N., Part 1, Section 10; and
2. The Navajo Mountain Chapter is a recognized Chapter of the Navajo Nation government, vested with authority and responsibilities consider and take actions on matters of local concern that are in the best interest of the health, safety, and welfare of its chapter members and residents; and
3. Navajo Mountain Chapter has identified extending the Power line Project to homes as a Chapter priority and these forty-nine (49) homes in the Navajo Mountain, AZ area are in need of electrical services;
4. Navajo Mountain Chapter has been working diligently on making this #1 priority project a reality in our community which initially began with 76 households and 64% of these households will be included pending utility service; and
5. Navajo Mountain Chapter has a list of homeowners to be service in the power line extension. list of names and quad maps are for the following names:

Atene, Mary Lou	Dayzie, Sally	Greymountain, Lee	Nelson, Tommy	Smalcanyon, Orville
Barlow, Brenda	Dougl, Celone	Haskie, Quillynn	Nextsodie, Florence	Smalcanyon, Pauline
Bedoni, Thelma L.	Dougl, Gary	Issac, Evangelita	Nextsodie, Willard Jr.	Smalcanyon, Russell & Louise
Begay, Karen	Dougl, Louis	Jackson, Raymond	Nextsodie, Willard Sr.	Tate, Emily
Burns, Morris	Crank, Annabelle	Joe, John	Nimrod, Harry	Tomasio, Leland
Castillo, Andrea	Talker, Linda Eltsodie	Ketchum, Marvin	Nimrod, Steven & Lucy	Welch, Larry
Castillo, Rosita	Endischee, Davis	Klain, Cordell	Polaske, Gloria N.	Welch, Mary Ann
Clitso, Beulah	Endischee, Bessie & David	Longsalt, Betty Lou	Raymond, Anita	Whitehat, Gregory
Crank, Leonard	Graymountain, Kent	Longsalt, Cindy	Shaw, Bertha	Young, Arlinda
Dougl, Sylvia	Graymountain, Russell	Lynch, Allison Tso	Smalcanyon, Alice J.	

6. Several homes are presently electrically wired, certified and in the process of passing the NTUA Services Entrance Inspection; and
7. Overall, these residents within the Arizona portion of Navajo Mountain have been waiting for electricity for three generations with duration of up to 45 years; and
8. The people of Navajo Mountain are ready and support power line extension for electric utility service; and
9. Navajo Mountain Chapter received 15.7% of Capital Improvement Funds in Fiscal Year 2015 of 100% of which Navajo Nation allocates to Navajo Mountain Chapter.

NOW, THEREFORE BE IT RESOLVED THAT:

1. The Navajo Mountain Chapter hereby requests with the people of Navajo Mountain community to approve to secure 2.5 Million Dollars of Capital Improvement Fund for power line extension to serve forty-nine (49) homes (name listing provided) in the Navajo Mountain, AZ area; and
2. Navajo Mountain Chapter and community request 23rd Navajo Nation Council and Navajo Nation President and Vice President to support and assist to secure 2.5 Million Dollars for Capital Improvement Fund for power line extension for Arizona residents of Navajo Mountain.

CERTIFICATION

We, hereby certify that the foregoing resolution was considered at a duly called Chapter meeting at Navajo Mountain Chapter(Navajo Nation) in Navajo Mountain, Utah at which a quorum present and the same was passed by a vote of 25 in favor 0 opposed and 0 abstained on the 8 day of November.

Motion: Leo Monahan

Second: Willie Creech

Alex Bitsinnie
Alex Bitsinnie, President

Ella J. Badoni
Ella J. Badoni, Secretary /Treasurer

Jamie Hblgate
Jamie Hblgate, Vice- President

Lucille Krause
Lucille Krause, Grazing Member

Navajo Mountain, Ariz. residents still fighting for basic amenities

BY KRISTA ALLEN
WESTERN AGENCY BUREAU

NAATSI'S MAN, ARIZ. — Victoria Bonall has been waiting decades for clean running water and for her home to be connected to the electrical grid.

"I have been waiting," the 77-year-old said in Navajo. "It's likely that I'll be waiting until I'm 80."

And for that reason, Bonall said she's "naachix" (distressed).

"I don't attend chapter meetings anymore, forget it," Bonall said at an exclusive meeting where about two dozen residents met to voice their opinions on local concerns. "The chapter will not help me. The chapter officials won't listen to me."

Most of the people living on the Arizona side of the mountain are building for the most basic necessities, such as running water and electricity.

Living at the end of the Navajo Nation's loneliest roads and subsisting on what they have, the residents here, be it chopping wood, hauling water, applying for home air bases, dirt roads, limited resources all year long, false promises by leaders, and relocation from the Navaldin Chapter.

Many of the residents here are older men and women, often retired, or commuters to work. Though they are trying to overcome despairing odds, they are brave. They've been quiet for too long.

"They broke their silence."

Karen Begay said residents living on the Utah side of the mountain are connected to the electrical grid.

"Even all the way toward Palatka Canyon," Begay said. "They have lights and running water."

On the Arizona side, Begay said one will only see security lights at rest-don't near the highway (Palatka Creek Road, or Indian Route 16).

Flustered, Begay said the locals living without these amenities have marched into the planning and chapter meetings every month to ask for these services.

"When we ask, we're told that the chapter is willing for more funds," Begay said. "We've been waiting since we were children."

Begay is 60 years old now, and she hasn't witnessed improvement for the people living on the Arizona side of the mountain.

"We want to be recognized as people from Navajo Mountain, Ariz.," Begay said. "We feel like we are forgotten."

Time after time, chapter officials have told the residents here that there is simply no money for the Arizona

side. "Chapter officials tell us that it's hard to get assistance on the Arizona side," said Brenda Alingo Barlowe, a U.S. Navy veteran.

"There's no advocacy," Begay said about the local leaders. "They're supposed to help their people, advocate and seek funding for certain things. But nothing."

Not only is Naatsis Man separated by a state line, it is also divided by three counties — Coconino, Navajo, and San Juan (Utah).

Residents here said they're all veterans, but they're veterans who stay away from the chapter, which is located on the Utah side.

"There's this segregation that I know that's been going on since I was a child attending chapter meetings with my mother," Begay said. "On this day, it still the same way."

Their leadership is controversial. Begay continued. "It's common knowledge there's no democracy. Their leadership is authoritarian."

Barlowe questioned why there is so much infrastructure on the Utah side, such as a clinic, schools, and housing.

"It would be easier if they were centrally located," Barlowe said.

Barlowe said the trip to the main community of Naatsis Man is about a 30-minute drive.

"It's a long way," she said. "Everything is being directed down in the main community and not on the Arizona side."

James Tallman, 63, a U.S. Army veteran, questioned where the Nation's \$54 million trust settlement went.

"We haven't seen any — nothing," Tallman said. "We're not being helped."

Where's that money? Begay believed. "What about us? Bonall believes."

Why? Are the chapter officials doing favoritism? That's what we think.

Many others in the meeting also questioned why the chapter wasn't helping them.

"Me and my Aunt (Florence Nez) are 70 and getting old and we're feeling to need electricity, something to help us a little easier instead of having to chop wood," said Gloria Nestosole.

Historically, Begay said, residents here came up trying to get help from the chapter because their voices weren't being heard.

"Remember for their perspective views, the people here said all they want to see is being able to flip a switch and opening a refrigerator to keep their food cool."

Barlowe said she and her family



NAVJO JAMES ALLEN ALLEN say they are battling for the most basic necessities such as running water and electricity.

living at the ends of the Navajo Nation's loneliest roads, residents of Naatsis Man, Ariz., say they are battling for the most basic necessities such as running water and electricity.

cannot buy much meat from a store because she doesn't have the appliances. Begay's house is nearly one mile away to keep her food cool.

"During the winter, we leave our food outside in an ice chest, justing us a week," Begay said. "During the summer, however, we're having to buy our meat for her to save up. Peleki said she was quoted \$17,000 20 years ago."

For residents like Barlowe, buying less is a full-time job. According to the Office of Environment, Health and Engineering, residents here might get running water in about five years.

But people here said one year usually means 20 years for any type of infrastructure to go up.

In the meantime, Begay said the residents here are interested in a community cluster, a system in which they can each contribute gallons of water that would be pumped to each of the households on the Arizona side.

"We want to see changes in our life and running water as long as I have," Begay said. "These changes start with our leaders. They're the ones who choose to be leaders. They're the ones who choose to be leaders."

"But I live out there," Barlowe added. "My mom rolled me out there. I want to be there. I don't want to live by the road because I want electricity. I want to be out there, but I want electricity to come to me."

THE NAVAJO NATION SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

EXHIBIT

D

tabbies

PART I. Business Unit No.: _____ **Program Title:** Naa'tsis'aan (Navajo Mountain) Chapter- AZ Power line Extension
Division/Branch: Division of Community Development **Amount Requested:** \$ 1,705,153.00 **Phone No.:** 928-672-2915
Prepared By: Lorena Atene, CSC **Email Address:** latene@navajochapters.org and navajomountain@navajochapters.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

Chapter priority one is to provide a power line extension to seventeen (17) household living in Coconino County in the Rainbow Plateau - Rainbow Lodge area. Naa'tsis'aan, Arizona. Chapter is requesting an additional amount of 1.5 Million Dollars to enhance and maintain a quality of life for the Navajo people. 17 household need reliable and safe electrical power which will benefit families on the use of light and appliances, computer technology and entertainment in their own homes. Currently, people do not have the basic amenities for the past 3 generations. Potential customers of Navajo Tribal Utility Authority (NTUA) continue to use kerosene lanterns for light. Kerosene, propane, open fire or wood burning stove is used for cooking. People, to this day are still hauling water, most times, from non-potable water source to survive on daily basis. People of Naa'tsis'aan, Arizona have communicated their concerns to hopefully obtain an upgraded necessity of electrical power source. Chapter leverage has been funded in year 1994-1995 from CIO for CIP- infrastructure. The Chptr leverage and CIP Nav Mtn Chptr amounts are the percentages of the total project cost.

Project Cost Summary		% of TPC
Construction Cost	\$ 1,284,891.16	75%
Preliminary ROW Cost	\$ 420,262.50	25%
Total Project Cost (TPC)	\$ 1,705,153.66	100%
Un Met Need Fund	\$ 1,705,153.66	100%

PART III. SCOPE OF WORK/METHODOLOGY

Project Schedule

Year 2015	Year 2016	Year 2017	Year 2018
J F M A M J J A S O N D	J F M A M J J A S O N D	J F M A M J J A S O N D	J F M A M J J A S O N D

- ACTIVITIES**
- Chapter Project
 - Needs Assessment
 - Budget secure
 - Submit Proposal
 - Procurement: Material
 - House Wiring
 - Regulating Process
 - Permitting
 - Right of Way (NTUA)
 - Engineering (NTUA)
 - Procurement (NTUA)
 - Construction (NTUA)

[Handwritten signature]

Services for the people are to be carried out by the Chapter Officials, Chapter administration, DCD-CIO & CDBG staff, and the NTUA appropriate personnel. Scope of work will be based on making sure each departments/entities achieve such measures and all efforts coordinated with proper communication of all parties involved. Funds will be identified and appropriated solely to meet the intent of the Chapter. CIO will work in careful coordination with the contractor(s) to meet all the National Electrical Codes set forth by NTUA.

PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.

REVIEWED BY: Division Director's Signature / Date

RECOMMEND APPROVAL: Branch Chief's Signature / Date

**THE NAVAJO NATION
PROJECT BUDGET AND JUSTIFICATION**

BUDGET FORM 3

Page 3 of 3

PART I. Business Unit No.: _____ Project Title: <u>Navajo Mountain (Naa'tsis'aan) Chapter Powerline Project</u>				
PART II.				
(A)	(B)	(C)	(D)	(E)
Fund Source Code	Agency Code	Object Code (LOD 6)	Object Code Description (LOD 7) & Justification	Budget TOTAL
01.000	0006	8500	Infrastructure (non cap) 8540 - Powerline extension for the (Naa'tsis'aan) Chapter.	1,705,153.00
PAGE TOTAL:				\$1,705,153

[illegible]

THE NAVAJO NATION PROJECT BUDGET SUMMARY

PART I. Business Unit No. <u>Community Development</u> Division/Branch: <u>Executive</u> Prepared By: <u>Lorena Atene, CSC</u>		Project Title: <u>Navajo Mountain (Naa'tsis'aan) Chapter Powerline Project</u> Chapter: <u>Navajo Mountain</u> Agency: <u>Tuba City</u> Phone No.: <u>928-672-2915</u>	
PART II. Check one: <input checked="" type="checkbox"/> Original <input type="checkbox"/> Revision <input type="checkbox"/> Reallocation <input type="checkbox"/> Modification			
Project Funds/Source(s)		Appropriation End Date	Amount
Navajo Nation - UUFB		9-30-2017	
Phase I - Powerline Project Arch, EA, Legal Survey for ROW Acquisition			420,262.00
Powerline Construction Cost			1,284,891.00
TOTAL:			1,705,153.00
			100%

PART III. Budget at LOD 4	PART IV. Project Information																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 10%;">Budget at LOD 4</th> <th style="width: 90%;">Amount</th> </tr> <tr> <td>8500 Infrastructure (non cap)</td> <td style="text-align: right;">1,705,153.00</td> </tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr> <td style="text-align: right;">TOTAL</td> <td style="text-align: right;">1,705,153.00</td> </tr> </table>	Budget at LOD 4	Amount	8500 Infrastructure (non cap)	1,705,153.00															TOTAL	1,705,153.00	Project Type: <u>Construction</u> Planned Start Date: <u>07-01-2016</u> Planned End Date: <u>09-30-2017</u> Project Manager: _____ <div style="text-align: center; border: 1px solid black; padding: 5px; margin: 5px 0;">FOR OMB USE ONLY</div> Resolution No.: _____ FMIS Set Up Date: _____ Company No.: _____ OMB Analyst: _____
Budget at LOD 4	Amount																				
8500 Infrastructure (non cap)	1,705,153.00																				
TOTAL	1,705,153.00																				

PART V. ACKNOWLEDGEMENT:
 I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED ON THIS FORM IS COMPLETE AND ACCURATE.

Casey Begay
 SUBMITTED BY: Dept Director Signature / Date

Carl Smith
 VERIFIED BY: Div. Director Signature / Date

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

FY _____

Page _____ of _____

PART I. Business Unit No. _____		Program Title: <u>Naatsis'aa'n (Navajo Mountain) Chapter AZ Powertine Extension</u>		Division/Branch: <u>Division Community Development</u>	
Prepared By: <u>Lorena Alene, CSC</u>		Phone No.: <u>928-672-2915</u>		Email Address: <u>latene@navajochapters.org</u>	

PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	NNC Approved Original Budget	Proposed Budget	Difference (Column B - A)
General Fund	UUFB	5/2016 to 9/2016	1,705,153.00	100%							
					2001	Personnel Expenses					0
					3000	Travel Expenses					0
					3500	Meeting Expenses					0
					4000	Supplies					0
					5000	Lease and Rental					0
					5500	Communications and Utilities					0
					6000	Repairs and Maintenance					0
					6500	Contractual Services					0
					7000	Special Transactions					0
					8000	Public Assistance			1,705,153.00		1,705,153.00
					9000	Capital Outlay					0
					9500	Matching Funds					0
					9500	Indirect Cost					0
TOTAL:									\$0.00	1,705,153.00	1,705,153.00

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:			
Total # of Permanently Assigned Vehicles:			

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Program Manager's Printed Name and Signature / Date _____

APPROVED BY: Division Director/Branch Chief's Printed Name and Signature / Date _____

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

FY _____

Page ____ of ____

PART I. PROGRAM INFORMATION: Business Unit No.: _____ Program Name/Title: _____		Naa'tsis'aan (Navajo Mountain) Chapter AZ Powerline Extension							
PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:									
PART III. PROGRAM PERFORMANCE CRITERIA:									
1. Program Performance Area: To ensure Chapter has adequate powerlines within the community. Goal Statement: _____ To complete powerline project by the end of 4th quarter or beyond	1st QTR	2nd QTR	3rd QTR	4th QTR					
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal
2. Program Performance Area: All housewiring will be in compliance with NTUA specifications Goal Statement: _____ Complete 17 housewiring project									17
3. Program Performance Area: Procurement activities will adhere to Five Management System Goal Statement: _____									17
4. Program Performance Area: Goal Statement: _____									
5. Program Performance Area: Goal Statement: _____									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.									
Program Manager's Printed Name and Signature/Date _____					Division Director/Branch Chief's Printed Name and Signature / Date _____				

THE NAVAJO NATION DETAILED LINE ITEM BUDGET AND JUSTIFICATION

FY _____

Page ____ of ____

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
Naa'tsis'aan (Navajo Mountain) Chapter: AZ Powerline Extension			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
8500	8600 Assistance Infrastructure 8540 Powerlines Provide power line extension to serve 17 of 49 household in the Rainbow Plateau - Rainbow Lodge at Naa'tsis'aan, Arizona Power line Extension Construction Cost Power line Extension Arch Clearance, Environmental Assessment, Land Survey for ROW acquisition	1,264,891 470,262	1 / 05 153
TOTAL		1,705,153	1 / 05 153

INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN 2017 - 2022

Navajo Mountain Chapter

PROJECT SUMMARY

Project Title	Rank	Category	Funding Sources	2017	2018	2019	2020	2021	2022	Total
Construct Rainbow Plateau Powerline Extension	2017 - 1	Utilities—Power - Linear	NAHASDA NN CIP General Funds Private Funds	2,500,000	0	0	0	0	0	2,500,000
Plan, Dsgn, Install Plateau Mesa/Tail Mountain Housewring and Solar Pjct	2017 - 2	Housing - Block	Grants NTUA NN Matching CIP Funds	38,613	476,232	0	0	0	0	514,845
Plan/Design and construct Navajo Mountain Convenience Store	2017 - 3	Building-Economic Development	NN CIP General Funds NN Bonds UNTRF	425,000	25,250,000	0	0	0	0	25,675,000
Chapter House Renovation Project	2017 - 4	Building-Community	NN CIP General Funds NN Other Private Funds	22,453	89,813	0	0	0	0	112,266
Plateau Mesa/Tail Mtn Powerline Project	2017 - 5	Utilities—Power - Linear	COBG USDA Private Funds	235,000	500,000	0	0	0	0	735,000
Plan, Dsgn, Constr Rainbow Plateau Bathroom/Kitchen Additions	2017 - 6	Housing - Block	IHS NN CIP General Funds Chapter CIP Funds	246,715	246,715	1,973,707	0	0	0	2,467,137
Chapter Administration Office & Conference Center	2017 - 7	Building-Government	Chapter General Funds Chapter Other NUC	200,000	0	0	0	0	0	200,000
Chapter House Replacement	2017 - 8	Building-Community								0
			TOTAL	\$3,667,781	\$26,562,760	\$1,973,707	\$0	\$0	\$0	\$32,204,248

INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN FY 2017 - 2022

Navajo Mountain Chapter

ICIP Capital Project Description

Project Title: Construct Rainbow Plateau Powerline Extension

Project Description: Electric power line extension to serve up to 49 scattered housing units for Arizona residents in Navajo and Coconino Counties in the Rainbow Plateau area along North Route#16, Pine Lodge Valley road, and off of and along Road #161 to Rainbow Lodge.

Statement of Need: The 49 homes in the Rainbow Plateau is in need of electrical housewiring. Families are unable to keep their food and medicine cold and a lot of residents have to use block of ice in portable ice chest to keep their perishable cold. With Navajo Mountain Community, there is no store for community members to purchase food on a daily basis but have to drive 40 miles South to Inscription House, which causes hardship in wear/tear of vehicle and limited income. The families that live in this area, in today's standards are deprived of what is really needed, electricity in their own home. There is a compelling need for the proposed infrastructure that does not exist in this area for many years. This power source will make a great impact on our people and a positive contribution in education, economic, social and health conditions of the families in Rainbow Plateau area.

Location of Project: Navajo Mountain Rainbow Plateau area.

Project Ranking: 2017 - 1 Subcode:

Potential Future Funding Sources: NAHASDA, NN CIP General Funds, NTUA, Private Funds

Estimated Costs Not Yet Funded

	2017	2018	2019	2020	2021	2022	TOTAL NOT YET FUNDED
Land	\$1,037,000	\$0	\$0	\$0	\$0	\$0	\$450,000
Planning/Pre-design	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architecture/Engineering	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Construction	\$1,433,000	\$0	\$0	\$0	\$0	\$0	\$3,500,000
Other	\$30,000	\$0	\$0	\$0	\$0	\$0	\$30,000
TOTAL NOT FUNDED	\$2,500,000	\$0	\$0	\$0	\$0	\$0	\$3,980,000
Operating Revenues	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Oper/Maint	\$0	\$0	\$0	\$0	\$0	\$0	\$0

INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN FY 2017 - 2022

Navajo Mountain Chapter

Capital Improvement Vision, Trends, Goals and Project Priority Process

Vision

Navajo Mountain Chapter foresees a positive future for the community in respect to upgraded resource facilities and expansions, along with adequate infrastructure—education, housing, health, and economic development. The Chapter also foresees community facilities that will provide intergenerational activities in preserving the culture and traditions of Navajo families.

Trends

The Navajo Mountain community is nestled in the most remote and isolated area of the Navajo Nation surrounded by natural barriers. The community is divided into two states (Utah and Arizona) and three counties (San Juan, Coconino, and Navajo). The basic needs of the people are magnified by the remoteness and isolation—needs in the areas of water, roads, education, youth programs, housing, and economic development. Progress has been slow in these areas requiring many of the projects to proceed in phases.

The Navajo Mountain Chapter was certified by the Navajo Tribal Council on February 14, 1956 and the Chapter House was built in 1960. The Chapter is funded by the Navajo Nation, Federal funds from the Bureau of Indian Affairs and Indian Health Services, the State of Utah, San Juan County, Utah Navajo Trust Fund, Navajo Revitalization Fund, Coconino County, Navajo County, and other private foundations. The Chapter currently serves approximately 514 registered Chapter members in year 2013.

The community of Navajo Mountain's current public facilities consist of the following: Chapter House, Senior Citizens' Center, Social Service Building, Headstart Building, Health Center, community school, and high school. These buildings have been constructed with Navajo Nation and the State of Utah funding sources. Water Projects in the chapter area are generally funded by the Federal Government (Indian Health Service).

The population growth has been a trend that has always challenged us, and we expect the population to continue to increase. The growth we have already experienced, combined with the barely adequate Chapter facilities, have required us to seek funds outside the Navajo Nation, the Bureau of Indian Affairs (BIA), and the Indian Health Service (IHS). The Chapter is working toward achieving Local Governance Act (LGA) Certification with the Navajo Nation which would allow the Chapter to seek funds from other sources than those listed above. The tax funds are limited to taxes paid from local business located within the Chapter Boundaries.

Another trend that concerns us is the increasing regulations from all levels of government. At the Tribal level, it means additional costs in projects for right-of-way, planning, and zoning permits, variances, and reviews. The Highway Department now requires costly surveys and mapping of any work done in their rights-of-way, including tap-ins into existing mains. At the state, and especially the federal level, we are closely watching the new EPA regulations.

Goals

The goal of the Navajo Mountain Chapter is to improve and enhance the quality of life and public health of the residents in its chapter boundaries by providing services. We strive to achieve this goal by taking advantage of available funding sources and creating partnerships with the Navajo Nation Tribal, State and Federal entities and by working to up-keep our existing facilities.

Project Priority Process

INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN FY 2017 - 2022

Navajo Mountain Chapter

Capital Improvement Vision, Trends, Goals and Project Priority Process

The Chapter has experienced a substantial population growth in the past five years within the chapter boundary. The Chapter Officials and Staff developed a list of community improvements that would benefit the local residents. The list was developed and prioritized according to the most critical community needs and other factors such as age, condition, and adequacy of current infrastructure. The group then consulted with various Navajo Nation and Federal entities who were associated with projects to refine the prioritized needs list. The cost was also identified. These projects cover the next six years of the ICIP.

Nav. 1

Memorandum

RECEIVED

JUN 0 2016

DIVISION OF
COMMUNITY
DEVELOPMENT



TO: Office of Management & Budget
Office of Controllers Office
164 Reviewers

FROM: Lorena Atene, Community Services Coordinator *JA*
Navajo Mountain Chapter

DATE: June 28, 2016

SUBJECT: Request to Review Budget for request of UUFB Supplemental Funds

Naa'tsis'aan (Navajo Mountain) Chapter is requesting appropriate offices to review our UUFB Supplemental Fund request for \$1,705,153.66 to fund power line extension to begin Phase 1 of 3; Proposal Summary and Navajo Nation Budget documents are attached for review.

Naa'tsis'aan Chapter Power Line Extension Proposal have been in the community plans for decades to serve Coconino and Navajo counties of Naa'tsis'aan, Arizona. First Phase seventeen (17) households of forty-nine will benefit from this powerline extension and open economic development opportunities in the Naa'tsis'aan community.

Today, utilities are basic necessities available in the mainstream, it will finally be realized when our request for funds are approved.

If you have any questions, contact us at 928-672-2915 or email at navajomountain@navajochapters.org and latene@navajochapters.org.

Thank you in advance for your cooperation

☺



MEMORANDUM

TO: Honorable Herman Daniels, Jr.
Shonto, Naatsi'is'áán, Oljato, and Ts'ah Bii Kin Chapters

FROM: Mariana Kahn
Mariana Kahn, Attorney
Office of Legislative Counsel

DATE: July 1, 2016

SUBJECT: PROPOSED NAVAJO NATION COUNCIL RESOLUTION; AN ACTION RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE, BUDGET AND FINANCE COMMITTEE; NAABIK'ÍYÁTI' COMMITTEE AND THE NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF \$1,705,153.66 FOR THE NAATSI'S'ÁÁN CHAPTER POWER LINE EXTENSION PROJECT; WAIVING 12 N.N.C. §§ 820(I) AND 860(C) RELATING TO THE CAPITAL IMPROVEMENT PROCESS

As requested, I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. Based on existing law and review of documents submitted, the resolution as drafted will be legally sufficient when **Exhibit B, the Budget Impact Analysis by the OMB is attached. Please provide signed copies of Exhibit D, the Navajo Nation Budget Forms. Please provide these Exhibits.** It should be noted that as with any action of government however, this legislation can be subject to review by the courts in the event of proper challenge.

The Office of Legislative Counsel confirms the appropriate standing committee(s) based on the standing committees powers outlined in 2 N.N.C. §§301, 401, 501, 601 and 701. Nevertheless, "the Speaker of the Navajo Nation Council shall introduce [the proposed resolution] into the legislative process by assigning it to the respective oversight committee(s) of the Navajo Nation Council having authority over the matters for proper consideration." 2 N.N.C. §164(A)(5).

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0219-16__

SPONSOR: Otto Tso

TITLE: An Action To Resources And Development Committee, Budget And Finance Committee; NAABIK'IYATI' Committee And Navajo Nation Council; Approving Supplemental Funding For The Unreserved, Undesignated Fund Balance In The Amount Of \$1,705,153.66 For The NAATISS'AAN Chapter Power Line Extension Project; Waiving 12 N.N.C. §§ 802(I) And 806 (C) Relating To The Capital Improvement Process

Date posted: July 9, 2016 at 11:54 AM

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

**Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7586**

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 *et. seq.*

**THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW SUMMARY**

LEGISLATION NO.: 0219-16

SPONSOR: Honorable Otto Tso

TITLE: An Action Relating To Resources And Development Committee, Budget And Finance Committee; NAABIK'IYATI' Committee And Navajo Nation Council; Approving Supplemental Funding For The Unreserved, Undesignated Fund Balance In The Amount Of \$1,705,153.66 For The NAATISS'AAN Chapter Power Line Extension Project; Waiving 12 N.N.C. §§ 802(I) And 806 (C) Relating To The Capital Improvement Process.

Posted: July 9, 2016 at 11:54 AM

5 DAY Comment Period Ended: July 14, 2016

Digital Comments received:

Comments Supporting	<i>None</i>
Comments Opposing	<i>None</i>
Inclusive Comments	<i>None</i>



**Executive Director
Office of Legislative Services**

07-15-16 @ 8:41 AM

Date/Time

**RESOURCES AND DEVELOPMENT COMMITTEE
23rd NAVAJO NATION COUNCIL**

SECOND YEAR 2016

COMMITTEE REPORT

Mr. Speaker,

The **RESOURCES AND DEVELOPMENT COMMITTEE** to whom has been assigned:

Legislation # 0219-16: Proposed Navajo Nation Council Resolution; An Action Relating to Resources and Development Committee, Budget and Finance Committee; Naabik'Iyati Committee and the Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in Amount of \$1,705,153.66 For The Naatsi'aan Chapter Powerline Line Extension Project, Waiving 12 N.N.C. §§820(I) and 860(C) Relating to the Capital Improvement Process. *Sponsor: Honorable Herman Daniels, Jr., and Co-Sponsor: Otto Tso*

Has had it under consideration and report the same with a recommendation of a DO PASS with no amendments

And thereafter referred to Budget and Finance Committee.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read 'AJ Shepherd', with a stylized flourish at the end.

Alton Joe Shepherd, Chairperson
Resources and Development Committee
of the 23rd Navajo Nation Council

Date: July 26, 2016

Motion: Honorable Leonard Pete
Second: Honorable Walter Phelps
Vote: 3-0-1 (CNV)

23rd NAVAJO NATION COUNCIL

Second Year 2016

Mr. Speaker:

The **BUDGET & FINANCE COMMITTEE** to whom has been assigned

NAVAJO LEGISLATIVE BILL # 0219-16:

An Action Relating to Resources and Development Committee, Budget and Finance Committee; Naabikiyati Committee and the Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the Amount of \$1,705,153.66 for the Naatsis'Aan Chapter Power Line Extension Project; Waiving 12 N.N.C §§820(I) and 860(C) Relating to the Capital Improvement Process *Sponsored by* Herman Daniels, Jr. and Otto Tso, Council Delegates

has had it under consideration and reports the same with the recommendation that It **Do Pass** with 2 amendments.

1. On Page1, 4 & 5, strike the amount of "~~\$1,705,153.66~~", insert "\$1,505,153.66".
2. Replace Ex. D forms;

And therefore, referred to the **NABIKIYATI** Committee

Respectfully submitted,


Seth Damon, Chairman

Adopted: 
Legislative Advisor

Not Adopted: _____
Legislative Advisor

24 August 2016

The vote was **4** in favor **0** opposed
Absent: Tuchoney Slim, Jr.

Document No. 006402Date Issued: 07/22/2016**RECEIVED**

JUL 22 2016

SECTION 164 REVIEW FORMTitle of Document: UJFB-\$1,505,153-Navajo Mountain PL Ext. Contact Name: SAMPSON, DELLANNAProgram/Division: DIV. OF COMMUNITY DEVELOPMENTEmail: dsampson@navajo-nsn.gov Phone Number: 928-255-3318Division Director Approval for 164A: cb [signature]

Check document category: only submit to category reviewers. Each reviewer has a maximum 7 working days, except Business Regulatory Department which has 2 days, to review and determine whether the document(s) are sufficient or insufficient. If deemed insufficient, a memorandum explaining the insufficiency of the document(s) is required.

Section 164(A) Final approval rests with Legislative Standing Committee(s) or Council

<input type="checkbox"/>	Statement of Policy or Positive Law:		Sufficient	Insufficient
<input type="checkbox"/>	1. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/>	IGA, Budget Resolutions, Budget Reallocations or amendments: (OMB and Controller sign ONLY if document expends or receives funds)			
	1. OMB: <u>Deysel - See Memo</u>	Date: <u>8-1-16</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	2. OOC: <u>Upton - See Aug 5 memo</u>	Date: <u>8-5-16</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	3. OAG: <u>UPT - See Memo</u>	Date: <u>8/22/16</u>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Section 164(B) Final approval rests with the President of the Navajo Nation

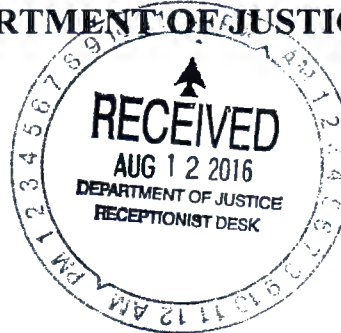
<input type="checkbox"/>	Grant/Funding Agreement or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Subcontract/Contract expending or receiving funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. BRD: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	5. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Letter of Assurance/M.O.A./M.O.U./Other agreement not expending funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	M.O.A. or Letter of Assurance expending or receiving funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>



NAVAJO NATION DEPARTMENT OF JUSTICE

DOCUMENT REVIEW REQUEST FORM

☐ RESUBMITTAL



DOJ
08-12-16 11:56am
DATE / TIME
<input type="checkbox"/> 7 Day Deadline
DOC #: 006402
SAS #:
UNIT: ECDU

*** FOR NNDOJ USE ONLY - DO NOT CHANGE OR REVISE FORM. VARIATIONS OF THIS FORM WILL NOT BE ACCEPTED. ***

CLIENT TO COMPLETE

DATE OF REQUEST:	8/12/2016	DIVISION:	Of Community Development
CONTACT NAME:	Dellanna Sampson or Herman Daniels	DEPARTMENT:	Admin.
PHONE NUMBER:	928-255-3318/ x6380	E-MAIL:	Click here to enter text.

TITLE OF DOCUMENT: Review UUFB - \$1,505,153 - Navajo Mountain PL Ext.

DOJ SECRETARY TO COMPLETE

DATE/TIME IN UNIT:	REVIEWING ATTORNEY/ADVOCATE:
8/12/16 1:25pm	Latonia
DATE TIME OUT OF UNIT:	

DOJ ATTORNEY / ADVOCATE COMMENTS

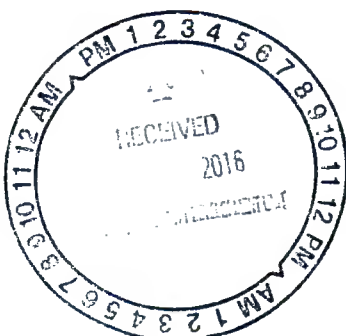
insufficient. See memo

REVIEWED BY: (Print)	Date / Time	SURNAMED BY: (Print)	Date / Time
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DOJ Secretary Called: for Document Pick Up on at By:

PICKED UP BY: (Print) DATE / TIME:

NNDOJ/DRRF-July 2013



Legislation 0219-16 Naatsis'áán Powerline Extension Project Amendment

1. Exhibit B: Insert Budget Impact Analysis received from Mr. Dominic Beyer from the Office of Management and Budget.
2. Change budget request amount from \$1,705,153.66 to \$1,505,153.66 in **Legislation 0219-16**.
3. Exhibit D: Insert revised budget amount from \$1,705,153.66 to \$1,505,153.66.



THE NAVAJO NATION

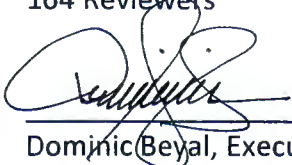
RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE PRESIDENT

The Office of Management and Budget

Exhibit
B

Memorandum

To: 164 Reviewers

From: 
Dominic Beval, Executive Director

Date: July 12, 2016

Subject: 164 Document #006402, Navajo Mountain Chapter Powerline Extension,
\$1,505,153.66

The Office of Management and Budget has reviewed the above referenced document. Upon review of the proposed supplemental funding proposal, OMB notes the following:

1. The attached copy of the Navajo Nation Capital Improvement Plan 2013-2018, which was approved by resolution CAP-18-14, does show or list Navajo Mountain Chapter Powerline Extension Project as an approved project in the amount of \$2,500,000. The requested amount of \$1,505,153.66 is to cover the cost of Phase 1 of Phase 3 that will serve 17 homes requesting electricity. The Appropriations Act, Section 820 (I), addresses the Capital Budget requirements in the following language: "All budget requests for capital improvements shall be in compliance with an adopted Capital Improvement Plan and shall not be approved unless in compliance with the Plan."
2. The Supplemental Funding Proposal Summary documents are lacking the appropriate Branch Chief's signatures.
3. On Part III of the Project Budget Summary form, object code 8500 is listed at LOD 6 when it clearly states to list "Budget at LOD 4". On Part IV of the same page, a Project Manager is not listed. These areas need to be filled in correctly.
4. The Division of Community Development- Capital Improvement Office (DCD/CIO) is responsible for Navajo Nation Capital projects planning and implementation. Accordingly, the DCD/CIO should review this proposal to ensure that the proper documents are all in order; i.e., proper forms and information, accurate budgets, etc.

OMB recommends that the above mentioned findings be addressed before final approval of the budget. If you have any questions, contact R. Tenille, SBA at OMB.

XC: Carl Smith/Casey Begaye- DCD/CIO





THE NAVAJO NATION

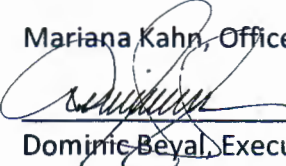
RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE PRESIDENT

Exhibit
B

The Office of Management and Budget

Memorandum

To: Mariana Kahn, Office of Legislative Counsel

From: 
Dominic Beyal, Executive Director

Date: July 12, 2016

Subject: Budget Impact Analysis, Navajo Mountain Chapter Proposal, \$1,705,153.00

The Office of Management and Budget has reviewed the above referenced document. Upon review, OMB notes the following:

1. The attached copy of the Navajo Nation Capital Improvement Plan 2013-2018, which was approved by resolution CAP-18-14, does show or list Navajo Mountain Chapter Powerline Extension Project as an approved project in the amount of \$2,500,000. The requested amount of \$1,705,153 is to cover the cost of Phase 1 of Phase 3 that will serve 17 homes requesting electricity. The Appropriations Act, Section 820 (I), addresses the Capital Budget requirements in the following language: "All budget requests for capital improvements shall be in compliance with an adopted Capital Improvement Plan and shall not be approved unless in compliance with the Plan."
2. The proper capital project forms need to be used and since this is for a project, the correct "Project" forms are being used, however, the "Program" or operating budget forms do not have to be used.
3. The Project Budget Summary and the Supplemental Funding Proposal Summary are lacking signatures.
4. The Division of Community Development- Capital Improvement Office (DCD/CIO) is responsible for Navajo Nation Capital projects planning and implementation. Accordingly, the DCD/CIO should review this proposal to ensure that the proper documents are all in order; i.e., proper forms and information, accurate budgets, etc.

OMB recommends that the above mentioned findings be addressed before beginning the 164 document process. If you have any questions, contact R. Tenille, SBA at OMB.

XC: Carl Smith/Casey Begaye- DCD/CIO





NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
ATTORNEY GENERAL

RODGERICK T. BEGAY
ACTING DEPUTY ATTORNEY GENERAL

M E M O R A N D U M

TO: Dellanna Sampson, Legislative District Assistant
Office of Legislative Services

FROM: 

LaTonia B. Johnson, Acting Asst. Attorney General
Economic/Community Development Unit, Dept. Of Justice

DATE: August 22, 2016

SUBJECT: Document No. 6402: Supplemental Appropriation Request
in the amount of \$1,505,153.00 for Navajo Mountain
Power Line Extension

As you know, all supplemental appropriation requests should be in compliance with the Budget Instruction Manual (BIM), Section XIV, and the Appropriation Act, 12 N.N.C. § 800 et. seq. In reviewing this request, it appears that there are several requirements lacking. First, Section XIV(B)(2) of the BIM requires that the Branch Chief provide a written concurrence. In reviewing the document, there is no written concurrence from President Begaye. Please obtain.

Second, Section XIV(B)(2)(b)(i-ii) requires that there is a contingency plan if this request is not granted and what other sources of funding have been considered and pursued. The aforementioned information is lacking. Please provide. Third, Section XIV(B)(2)(d) also requires that the budget is prorated based on the remaining time left within the fiscal year. In reviewing the budget forms, it appears that the budget is for the whole amount and not prorated.

Lastly, Section XIV(E) requires that all supplemental requests for capital projects are to be submitted to the Capital Improvement Office (CIO) for proper review pursuant to the established Capital Plan and budget policies and procedures. In

Memorandum to: Dellanna Sampson

RE: Document No. 6402: Supplemental Appropriation Request in the
amount of \$1,505,153.00 for Navajo Mountain Power Line Extension

August 22, 2016

Page 2

practice, the Capital Plan has been the Transportation and Community Development (TCDC) Objective Criteria, Resolution No. TCDCJY-77-99. The TCDC Objective Criteria outlines the process to develop a Capital Plan, the required documents that need to be submitted and the duties of various entities that assist in the development and review of the Capital Plan. Because there is no documentation from CIO that indicates whether this request is in compliance with the Capital Plan, it is not clear whether this request is sufficient. It is recommended that there is written documentation from CIO that indicates that this request is in compliance with the Capital Plan.

If you have any questions regarding this memorandum, please contact me at 928-871-6933. Thank you.

LBJ/ah/153



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT

Memorandum

Date: August 5, 2016

To: Honorable Russell Begaye, President
Office of the President/Vice President

Honorable Lorenzo Bates, Speaker
Navajo Nation Council

Honorable Allen Sloan, Acting Chief Justice
Judicial Branch

From: Jim R. Parris, CPA, Controller
Office of the Controller

A handwritten signature in black ink, appearing to read "J. R. Parris", is written over the printed name and title.

Subject: Financial Update-(General Fund Revenue, Expenditures, UUFB)

The gross General Fund Revenues, (see Exhibit "A"), as of July 31, 2016 is \$198,003,843, (see Footnote 1). This includes a \$13,000,000 transfer from the Sales Tax Trust Fund, (Footnote 2). The total set asides is \$50,840,692, (Footnote 3). The Net Revenue for the General Fund is \$147,163,151,(see Footnote 4), which is 90.07% of the projection.

Next, are the total expenditures by Branch,(see Exhibit "B"), as of July 31, 2016. The Legislative Branch has expenditures of \$12,505,536(see Footnote 1a); encumbrances of \$807,050(see Footnote 1b) with a remaining budget of \$5,768,772(see Footnote 1c). The Executive Branch expenditures are \$137,002,043(see Footnote 2a); encumbrances are \$12,439,068(see Footnote 2b) with a remaining budget of \$43,265,277(see Footnote 2c). The Judicial Branch expenditures are \$10,575,258(see Footnote 3a); with encumbrances of \$3,638(see Footnote 3b) and remaining budget of \$3,550,555(see Footnote 3c). Total General Fund expenditures are \$160,082,837(see Footnote 4a); total encumbrances are \$13,249,756(see Footnote 4b) with an overall remaining budget of \$52,584,604(see Footnote 4c).

The updated Undesignated Unreserved Fund Balance(UUFB),(see Exhibit "C") as of July 31, 2016 is \$7,569,087(see Footnote 1) but there were three legislations allocating appropriations from the Navajo Nation Council summer session that totaled \$696,806(see Footnote 2) which are pending the President's signature. If they are approved by the President the UUFB balance would be reduced to \$6,872,281.

Thank you, if you should have any question please feel free to call me at tribal extension X6308.



FY 2016 EXHIBIT "B"

The Navajo Nation Budget Status_Income Statement As of July 31, 2016

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
LEGISLATIVE BRANCH						
2001 - Personnel Expenses	\$ 11,883,456	\$ 12,074,892	\$ 8,961,750		\$ 3,113,142	25.78
3000 - Travel Expenses	1,797,862	1,895,404	1,471,517	47,764	376,123	19.84
3500 - Meeting Expenses	254,024	306,611	80,766	-	225,846	73.66
4000 - Supplies	417,626	684,914	374,022	27,195	283,698	41.42
5000 - Lease & Rental	223,657	226,091	135,964	38,052	52,065	23.03
5500 - Communications & Utilitie	224,836	185,450	98,922	-	86,528	46.66
6000 - Repairs & Maintenance	76,208	112,881	52,162	4,211	56,508	50.06
6500 - Contractual Services	794,594	2,230,244	787,577	654,634	788,032	35.33
7000 - Special Transactions	457,835	624,831	378,796	35,185	210,850	33.75
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	303,991	740,040	164,060	-	575,979	77.83
9500 - Matching & Indirect Cost	-	-	-	-	-	-
Total LEGISLATIVE BRANCH	\$ 16,434,089	\$ 19,081,358	\$ 12,505,536	(1a) \$ 807,050	(1b) \$ 5,768,772	(1c) 30.23
EXECUTIVE BRANCH						
2001 - Personnel Expenses	82,871,713	\$ 82,826,148	\$ 60,144,441	\$ -	\$ 22,681,707	27.38
3000 - Travel Expenses	9,208,430	9,469,574	7,670,866	900	1,797,808	18.99
3500 - Meeting Expenses	973,204	1,832,974	1,271,355	-	561,619	30.64
4000 - Supplies	4,824,930	6,084,587	3,579,042	670,398	1,835,148	30.16
5000 - Lease & Rental	2,139,920	2,055,729	1,417,657	95,805	542,267	26.38
5500 - Communications & Utilitie	7,894,361	8,084,289	5,471,906	1,101,604	1,510,779	18.69
6000 - Repairs & Maintenance	4,376,789	6,391,548	2,514,078	1,253,694	2,623,776	41.05
6500 - Contractual Services	4,434,194	8,918,088	4,271,756	3,459,745	1,186,586	13.31
7000 - Special Transactions	12,726,493	13,978,639	12,148,482	169,984	1,660,174	11.88
8000 - Assistance	36,994,442	41,287,135	33,208,532	5,589,473	2,489,130	6.03
9000 - Capital Outlay	850,013	1,769,862	845,428	97,467	826,967	46.72
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	3,970,344	10,007,816	4,458,500	-	5,549,316	55.45
Total EXECUTIVE BRANCH	\$ 171,264,833	\$ 192,706,388	\$ 137,002,043	(2a) \$ 12,439,068	(2b) \$ 43,265,277	(2c) 22.45
JUDICIAL BRANCH						
2001 - Personnel Expenses	\$ 12,286,116	\$ 12,297,237	\$ 9,505,348		\$ 2,791,889	22.70
3000 - Travel Expenses	717,894	735,963	444,925	-	291,037	39.55
3500 - Meeting Expenses	8,300	42,932	13,881	-	29,051	67.67
4000 - Supplies	346,252	386,797	238,196	-	148,601	38.42
5000 - Lease & Rental	51,995	71,144	49,337	-	21,807	30.65
5500 - Communications & Utilitie	107,394	109,825	64,609	-	45,217	41.17
6000 - Repairs & Maintenance	90,126	95,204	39,312	706	55,186	57.97
6500 - Contractual Services	81,347	93,244	27,150	2,888	63,206	67.79
7000 - Special Transactions	266,166	249,525	144,920	44	104,561	41.90
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	-	47,581	47,580	-	1	0.00
9300 - Other Income and Expense	-	-	-	-	-	-
Total JUDICIAL BRANCH	\$ 13,955,590	\$ 14,129,451	\$ 10,575,258	(3a) \$ 3,638	(3b) \$ 3,550,555	(3c) 25.13
GRAND TOTAL:	\$ 201,654,512	\$ 225,917,198	\$ 160,082,837	(4a) \$ 13,249,756	(4b) \$ 52,584,604	(4c) 23.28

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available

Total General Fund

- (4a) General Fund Expenses
- (4b) General Fund Encumbrances
- (4c) General Fund Budget Available

Chapter

LEGEND

L = Local Govt. Inpyvt. Project
C = Capital

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5/6/2014

THE NAVAJO NATION SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: _____ **Program Title:** Naa'tsis'aan (Navajo Mountain) Chapter- AZ Power line Extension

Division/Branch: Division of Community Development **Amount Requested:** \$ 1,505,153.66 **Phone No.:** 928-672-2915

Prepared By: Lorena Atene, CSC **Email Address:** latene@navajochapters.org and navajomountain@navajochapters.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

Chapter priority one is to provide a power line extension to seventeen (17) household living in Coconino County in the Rainbow Plateau - Rainbow Lodge area, Naa'tsis'aan, Arizona. Chapter is requesting an additional amount of 1.505 million dollars to enhance and maintain a quality of life for the Navajo people. 17 household need reliable and safe electrical power which will benefit families on the use of light and appliances, computer technology and entertainment in their own homes. Currently, people do not have the basic amenities for the past 3 generations. Potential customers of Navajo Tribal Utility Authority (NTUA) continue to use kerosene lanterns for light. Kerosene, propane, open fire or wood burning stove is used for cooking. People, to this day are still hauling water, most times, from non-potable water source to survive on daily basis. People of Naa'tsis'aan, Arizona have communicated their concerns to hopefully obtain an upgraded necessity of electrical power source. Chapter leverage has been funded in year 1994-1995 from CIO for CIP- infrastructure. The Chptr leverage and CIP Nav Mtn Chptr amounts are the percentages of the total project cost.

Project Cost Summary

Construction Cost	\$ 1,284,891.16
Preliminary ROW Cost	\$ 420,262.50
Total Project Cost (TPC)	\$ 1,705,153.66
Naatsisaan Contribution	\$ 200,000.00
Un Met Need Fund	\$ 1,505,153.66

Uses Budget

Power Line Extension	For 17 Homes: 1 of 3 Phase	1,284,891.16
Archaeological Clearance, Environmental Assessment, and Legal Survey for Right-of- Way Acquisition	Total Miles 1 to 3 Phases (19.21 + 12.81= 32.02 Miles)	420,262.50
Total		1,705,153.66

PART III. SCOPE OF WORK/METHODOLOGY


Project Schedule	Year 2015	Year 2016	Year 2017	Year 2018
	J F M A M J J A S O N D	J F M A M J J A S O N D	J F M A M J J A S O N D	J F M A M J J A S O N D
ACTIVITIES				
Chapter Project				
Needs Assessment				
Budget secure				
Submit Proposal				
Procurement: Material				
House Wiring				
Regulating Process				
Permitting				
Right of Way (NTUA)				
Engineering (NTUA)				
Procurement (NTUA)				
Construction (NTUA)				

Services for the people are to be carried out by the Chapter Officials, Chapter administration, DCD-CIO & CDBG staff, and the NTUA appropriate personnel. Scope of work will be based on making sure each departments/entities achieve such measures and all efforts coordinated with proper communication of all parties involved. Funds will be identified and appropriated solely to meet the intent of the Chapter. CIO will work in careful coordination with the contractor(s) to meet all the National Electrical Codes set forth by NTUA.

PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.



REVIEWED BY: Division Director's Signature / Date



RECOMMEND APPROVAL: Branch Chief's Signature / Date

**THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY**

PART I. Business Unit No.: 108113 Program Title: Navajo Mountain (Naa'tsis'aan) Chapter Powerline Project
Division/Branch: DCD Amount Requested: 1,505,153.66 Phone No.: 928-672-2915
Prepared By: Lorena Atene Email Address: latene@navajochapters.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

Phase I - Powerline Project Arch, EA Legal Survey for ROW Acquisition
Powerline Construction Cost for Phase One

PART III. SCOPE OF WORK/METHODOLOGY

Chapter will complete assessments

NTUA will do our cost estimates and construction and installing required equipment

CBDG will assist in construction of proposed powerline

**PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE
BRANCH CHIEF RECOMMENDS APPROVAL.**

 7/22/16
REVIEWED BY: Division Director's Signature / Date

 8/24/16
RECOMMEND APPROVAL: Branch Chief's Signature / Date

THE NAVAJO NATION
PROJECT BUDGET SUMMARY

JUL 22 2016

Office of Management & Budget
The Navajo Nation, Window Rock, Arizona

PART I. Business Unit No.: _____ Project Title: Navajo Mountain (Naa'tsis'aan) Chapter Powerline Project
 Division/Branch: Community Development Chapter: Navajo Mountain Agency: Tuba City
 Prepared By: Lorena Atene, CSC Phone No.: 928-672-2915

PART II. Check one: XX Original _____ Revision _____ Reallocation _____ Modification _____

Project Funds/Source(s)	Appropriation End Date	Amount	% of Total
Navajo Nation - UUFB	9-30-2017		
Phase I - Powerline Project Arch, EA, Legal Survey for ROW Acquisition		220,262.50	25.00%
Powerline Construction Cost for Phase One		1,284,891.16	75.00%
TOTAL:		1,505,153.66	100%

PART III. Budget at LOD 4	Amount
8500 Infrastructure (non cap)	1,505,153.66
TOTAL	1,505,153.66

PART IV. Project Information

Project Type: Construction

Planned Start Date: 07-01-2016

Planned End Date: 09-30-2017

Project Manager: Lorena Atene

FOR OMB USE ONLY

Resolution No.: _____

FMIS Set Up Date: _____

Company No.: _____

OMB Analyst: _____

PART V. ACKNOWLEDGEMENT:

I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED ON THIS FORM IS COMPLETE AND ACCURATE.

Casey Begay, Casey Begay
SUBMITTED BY: Dept. Director Signature / Date

Carl Smith 7/22/16
VERIFIED BY: Div. Director Signature / Date

**THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY**

PART I. Business Unit No.: 108113 Program Title: Navajo Mountain (Naa'tsis'aan) Chapter Powerline Project
Division/Branch: DCD Amount Requested: 1,505,153.66 Phone No.: 928-672-2915
Prepared By: Lorena Atene Email Address: latene@navajochapters.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

Phase I - Powerline Project Arch, EA Legal Survey for ROW Acquisition
Powerline Construction Cost for Phase One

PART III. SCOPE OF WORK/METHODOLOGY

Chapter will complete assessments

NTUA will do our cost estimates and contruction and installing required equipment

CBDG will assist in construction of propsed powerline

PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE
BRANCH CHIEF RECOMMENDS APPROVAL.

 7/22/16
REVIEWED BY: Division Director's Signature / Date

RECOMMEND APPROVAL: Branch Chief's Signature / Date

THE NAVAJO NATION
PROJECT BUDGET AND JUSTIFICATIONBUDGET FORM 3
Page 3 of 3

PART I. Business Unit No.: _____				
Project Title: <u>Navajo Mountain (Naa'tsis'aan) Chapter Powerline Project</u>				
PART II.				
(A)	(B)	(C)	(D)	(E)
Fund Source Code	Agency Code	Object Code (LOD 6)	Object Code Description (LOD 7) & Justification	Budget TOTAL
01.000	0006	8500	Infrastructure (non cap)	1,505,153.66
PAGE TOTAL:				\$1,505,153.66

THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
Naa'tsis'aan (Navajo Mountain) Chapter: AZ Powerline Extension			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
8500	8800 Assistance Infrastructure 8540 Powerlines Provide power line extension to serve 17 of 49 household in the Rainbow Plateau - Rainbow Lodge at Naa'tsis'aan, Arizona Power line Extension Construction Cost Power line Extension Arch Clearance, Environmental Assessment, Land Survey for ROW acquisition	1,284,891 220,263	1,505,154
TOTAL		1,505,154	1,505,154

INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN FY 2017 - 2022

Navajo Mountain Chapter

ICIP Capital Project Description

Project Title: Construct Rainbow Plateau Powerline Extension

Project Description:

Electric power line extension to serve up to 49 scattered housing units for Arizona residents in Navajo and Coconino Counties in the Rainbow Plateau area along North Route #16, Pino Lodge Valley road, and off of and along Road #161 to Rainbow Lodge.

Statement of Need:

The 49 homes in the Rainbow Plateau is in need of electrical housewiring. Families are unable to keep their food and medicine cold and alot of residents have to use block of ice in portable ice chest to keep their perishable cold. With Navajo Mountain Community, there is no store for community members to purchase food on a daily basis but have to drive 40 miles South to Inscription House, which causes hardship in wear/tear of vehicle and limited income.

The families that live in this area, in todays standards are deprived of what is really needed, electricity in their own home.

There is a compelling need for the proposed Infrastructure that does not exist in this area for many years. This power source will make a great impact on our people and a positive contribution in education, economic, social and health conditions of the families in Rainbow Plateau area.

Location of Project: Navajo Mountain Rainbow Plateau area.

Project Ranking: 2017 - 1 Subcode:

Potential Future Funding Sources: NAHASDA, NN CIP General Funds, NTUA, Private Funds

Estimated Costs Not Yet Funded

	2017	2018	2019	2020	2021	2022	TOTAL NOT YET FUNDED
Land	\$1,037,000	\$0	\$0	\$0	\$0	\$0	\$450,000
Planning/Pre-design	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architecture/Engineering	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Construction	\$1,433,000	\$0	\$0	\$0	\$0	\$0	\$3,500,000
Other	\$30,000	\$0	\$0	\$0	\$0	\$0	\$30,000
TOTAL NOT FUNDED	\$2,500,000	\$0	\$0	\$0	\$0	\$0	\$3,980,000
Operating Revenues	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Oper/Mainl	\$0	\$0	\$0	\$0	\$0	\$0	\$0

INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN FY 2017 - 2022

Navajo Mountain Chapter

Capital Improvement Vision, Trends, Goals and Project Priority Process

Vision

Navajo Mountain Chapter foresees a positive future for the community in respect to upgraded resource facilities and expansions, along with adequate infrastructure, education, housing, health, and economic development. The Chapter also foresees community facilities that will provide intergenerational activities in preserving the culture and traditions of Navajo families.

Trends

The Navajo Mountain community is nestled in the most remote and isolated area of the Navajo Nation surrounded by natural barriers. The community is divided into two states (Utah and Arizona) and three counties (San Juan, Coconino, and Navajo). The basic needs of the people are magnified by the remoteness and isolation—needs in the areas of water, roads, education, youth programs, housing, and economic development. Progress has been slow in these areas requiring many of the projects to proceed in phases.

The Navajo Mountain Chapter was certified by the Navajo Tribal Council on February 14, 1956 and the Chapter House was built in 1960. The Chapter is funded by the Navajo Nation, Federal funds from the Bureau of Indian Affairs and Indian Health Services, the State of Utah, San Juan County, Utah Navajo Trust Fund, Navajo Revitalization Fund, Coconino County, Navajo County, and other private foundations. The Chapter currently serves approximately 614 registered Chapter members in year 2013.

The community of Navajo Mountain's current public facilities consist of the following: Chapter House, Senior Citizens' Center, Social Service Building, Headstart Building, Health Center, community school, and high school. These buildings have been constructed with Navajo Nation and the State of Utah funding sources. Water Projects in the chapter area are generally funded by the Federal Government (Indian Health Service).

The population growth has been a trend that has always challenged us, and we expect the population to continue to increase. The growth we have already experienced, combined with the barely adequate Chapter facilities, have required us to seek funds outside the Navajo Nation, the Bureau of Indian Affairs (BIA), and the Indian Health Service (IHS). The Chapter is working toward achieving Local Governance Act (LGA) Certification with the Navajo Nation which would allow the Chapter to seek funds from other sources than those listed above. The tax funds are limited to taxes paid from local business located within the Chapter Boundaries.

Another trend that concerns us is the increasing regulations from all levels of government. At the Tribal level, it means additional costs in projects for right-of-way, planning, and zoning permits, variances, and reviews. The Highway Department now requires costly surveys and mapping of any work done in their rights-of-way, including tap-ins into existing mains. At the state, and especially the federal level, we are closely watching the new EPA regulations.

Goals

The goal of the Navajo Mountain Chapter is to improve and enhance the quality of life and public health of the residents in its chapter boundaries by providing services. We strive to achieve this goal by taking advantage of available funding sources and creating partnerships with the Navajo Nation Tribal, State and Federal entities and by working to up-keep our existing facilities.

Project Priority Process

Wednesday, December 16, 2015

Entity Code: 53101

INFRASTRUCTURE CAPITAL IMPROVEMENT PLAN FY 2017 - 2022

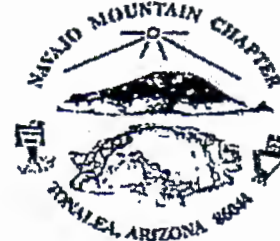
Navajo Mountain Chapter

Capital Improvement Vision, Trends, Goals and Project Priority Process

The Chapter has experienced a substantial population growth in the past five years within the chapter boundary. The Chapter Officials and Staff developed a list of community improvements that would benefit the local residents. The list was developed and prioritized according to the most critical community needs and other factors such as age, condition, and adequacy of current infrastructure. The group then consulted with various Navajo Nation and Federal entities who were associated with projects to refine the prioritized needs list. The cost was also identified. These projects cover the next six years of the ICIP.

Nav.

Memorandum



TO: Office of Management & Budget
Office of Controllers Office
164 Reviewers

FROM: Lorena Atene, Community Services Coordinator *LA*
Navajo Mountain Chapter

DATE: June 28, 2016

SUBJECT: Request to Review Budget for request of UUFB Supplemental Funds

Naa'tsis'aan (Navajo Mountain) Chapter is requesting appropriate offices to review our UUFB Supplemental Fund request for \$1,705,153.66 to fund power line extension to begin Phase 1 of 3; Proposal Summary and Navajo Nation Budget documents are attached for review.

Naa'tsis'aan Chapter Power Line Extension Proposal have been in the community plans for decades to serve Coconino and Navajo counties of Naa'tsis'aan, Arizona. First Phase seventeen (17) households of forty-nine will benefit from this powerline extension and open economic development opportunities in the Naa'tsis'aan community.

Today, utilities are basic necessities available in the mainstream, it will finally be realized when our request for funds are approved.

If you have any questions, contact us at 928-672-2915 or email at navajomountain@navajochapters.org and latene@navajochapters.org.

Thank you in advance for your cooperation

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23rd NAVAJO NATION COUNCIL
Naabik'íyáti' Committee
Second Year 2016

The **NAABIK'ÍYÁTI' COMMITTEE** to whom has been assigned:

NAVAJO LEGISLATIVE BILL #0219-16

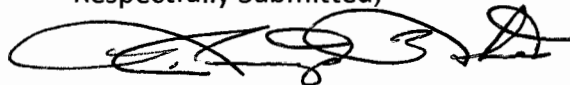
An Action Relating to Resources and Development Committee, Budget and Finance Committee; Naabik'íyáti' Committee and the Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the Amount of \$1,705,153.66 for the Naatsis'áán Chapter Power Line Extension Project; Waiving 12 N.N.C. §§ 820(I) and 860(C) Relating to the Capital Improvement Process

Sponsored by: Honorable Herman M. Daniels

Co-Sponsored by: Honorable Otto Tso

Has had it under consideration and reports the same **PASSED AND REFERRED TO THE NAVAJO NATION COUNCIL**

Respectfully Submitted,



Honorable LoRenzo Bates, CHAIRMAN
NAABIK'ÍYÁTI' COMMITTEE

2 SEPTEMBER 2016

MAIN MOTION:

Motioned by: Honorable Nathaniel Brown

Seconded by: Honorable Lee Jack, Sr.

VOTE: *15 in Favor, 00 Opposed (Speaker Bates not voting)*

NAVAJO NATION

RCS# 545

Naa'bik'iyati Committee

9/2/2016

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Amd# to Amd#

Legislation No. 0219-16:

PASSED

MOT Brown

Supplemental Funding from the

SEC Jack

UUFB \$1,705,153.66 for

Naatsisaan Chapter Powerline...

Yea : 15

Nay : 0

Not Voting : 9

Yea : 15

Begay, K

Damon

Jack

Tsosie

Bennett

Daniels

Perry

Witherspoon

Brown

Filfred

Phelps

Yazzie, P

Crotty

Hale

Smith

Nay : 0

Not Voting : 9

Bates

Chee

Shepherd

Tso

Begay, NM

Pete

Slim

Yazzie

BeGaye, N