

RESOLUTION OF THE  
BUDGET AND FINANCE COMMITTEE  
OF THE NAVAJO NATION COUNCIL

23<sup>RD</sup> NAVAJO NATION COUNCIL - First Year, 2015

AN ACTION

RELATING TO BUDGET AND FINANCE COMMITTEE; APPROVING THE GAMING  
DEVELOPMENT FUND BUDGET FOR FISCAL YEAR 2016

BE IT ENACTED:

Section One. Findings.

- A. Pursuant to 12 N.N.C. § 1606 the Budget and Finance Committee of the Navajo Nation Council shall provide oversight for the Gaming Development Fund.
- B. Pursuant to 12 N.N.C. § 1604 the Controller of the Navajo Nation shall have authority to approve fund expenditures pursuant to a budget and operating plan approved by the Budget and Finance Committee. The Office of the Controller shall establish policies and procedures governing the procedure by which parties may make application to the Controller for approval of expenditures.
- C. Pursuant to 12 N.N.C. § 1604, the Budget and Finance Committee approved BFCMA-05-07, the Navajo Nation Gaming Development Fund Policies and Procedures.
- D. Pursuant to a memorandum dated October 12, 2015, Exhibit A attached hereto, from the Office of the Controller, there is an amount of \$8,345,160.00 that is available for budgeting for the FY 2016 Gaming Development Fund Budget.

E. Three governmental entities submitted proposals for funding from the Gaming Development Fund pursuant to the policies and procedures. These are the Navajo Nation Gaming Regulatory Office for \$6,328,706, Exhibit C attached hereto, and the Office of the Controller for \$66,454, Exhibit D attached hereto, and Navajo Nation Gaming Enterprise for \$1,950,000.00, Exhibit E;

## Section Two. Approval

A. The Budget and Finance Committee, pursuant to 12 N.N.C § 1604, hereby approves the Gaming Development Fund budget for Fiscal Year 2015 at \$8,345,160 as found in Exhibits C and D attached here to.

B. The Budget and Finance Committee approves the carryover of any unexpended amount from FY' 15 to FY'16 for Business Unit No. 507007 to be utilized for the Navajo Nation Gaming Regulatory Office.

## CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Budget and Finance Committee of the Navajo Nation Council at a duly called meeting held at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 4 in favor, 0 opposed, this 13<sup>th</sup> day of October, 2015.



Dwight Witherspoon, Vice Chairperson  
Budget and Finance Committee

Motion: Mel R. Begay

Second: Tom T. Chee



# THE NAVAJO NATION

EXHIBIT "A"

RUSSELL BEGAYE PRESIDENT  
JONATHAN NEZ VICE-PRESIDENT

## MEMORANDUM:

TO : 2 NNC § 164 Reviewers  
Delegates & 2 NNC '164 Reviewers  
Navajo Nation Government

FROM : Robert Willie  
Robert Willie, Acting Controller  
Office of the Controller,

DATE : October 12, 2015

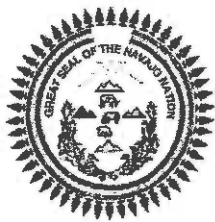
SUBJECT : SAS-164 Review 004673-Gaming Development Fund Budget FY 16

Presented to you is the FY 2016 Gaming Development Fund Budget. There is an amount of \$8,345,160 that will be available to budget from the Gaming Slots agreement with the three tribes within the State of Arizona. The entities that have submitted requests by the March 15 deadline and September 15 deadline for funding are Navajo Nation Gaming Regulatory, Office of the Controller and Navajo Nation Gaming Enterprise. The distributions are as follows:

507007	\$6,328,706.	Navajo Nation Gaming Regulatory
N01249	\$ 66,454	Office of the Controller
<u>N01249</u>	<u>\$1,950,000</u>	<u>Navajo Nation Gaming Enterprise</u>
Total	\$8,345,160	

Gaming Regulatory will need a carryover provision from FY 15 into FY 16 to meet their full funding request of \$7,373,374.

If you should have any questions you can contact me at tribal extension X6125




THE NAVAJO NATION

RUSSELL BEGAYE PR  
JONATHAN NEZ VIC



## MEMORANDUM:

TO : 2 NNC § 164 Reviewers  
Delegates & 2 NNC '164 Reviewers  
Navajo Nation Government

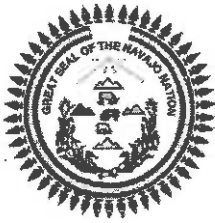
FROM :   
Natasha Damon, Senior Accountant  
General Accounting, Office of the Controller,

DATE : September 28, 2015

SUBJECT : CORRECTION - SAS-164 Review 004571-Gaming Development Fund Budget FY 16

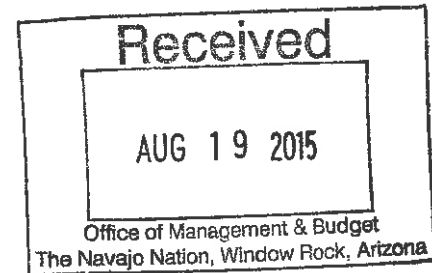
The previous memo stated that there were three entities that submitted budget proposals. This was an error. There were only two entities that turned in budget proposals by the deadline. The two programs are Navajo Nation Gaming Regulatory and the Office of the Controller.

If you should have any questions you can contact me at tribal extension X6125




THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT  
JONATHAN NEZ VICE-PRESIDENT



**MEMORANDUM:**

TO : 2 NNC § 164 Reviewers  
Delegates & 2 NNC '164 Reviewers  
Navajo Nation Government

FROM :   
Natasha Damon, Senior Accountant  
General Accounting, Office of the Controller,

DATE : August 19, 2015

SUBJECT : SAS-164 Review 004241-Gaming Development Fund Budget FY 16

Presented to you is the FY 2016 Gaming Development Fund Budget. There is an amount of \$8,345,160 that will be available to budget from the Gaming Slots agreement with three tribes within the State of Arizona. The three entities that have submitted requests by the March 15 deadline for funding through the Gaming Development Fund are Navajo Nation Gaming Regulatory and Office of the Controller. The distributions are as follows:

507007-\$7,473,373.54 Navajo Nation Gamin Regulatory  
N01249-\$363,357.48 Office of the Controller

An amount of \$508,429 will be unallocated.

If you should have any questions you can contact me at tribal extension X6125

FY 2016

THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

EXHIBIT "C"  
Page 1 of 10

PART I. Business Unit No.: <u>507007</u> Program Title: <u>Navajo Gaming Regulatory Office - Slot Leases</u>		Office of the President/Vice-President		
Prepared By: <u>Audrey Joe / Paulene Thomas</u> Phone No.: <u>928-871-6831 / 6827</u>		Division/Branch: <u>audrey.joe@nngro.org / paulene.thomas@nngro.org</u>		
PART II. FUNDING SOURCE(S)				
Gaming Development Fund	Fiscal Year Term <u>10/1/15-9/30/16</u>	Amount <u>6,328,706.00</u>	% of Total <u>100%</u>	
PART III. BUDGET SUMMARY				
		Fund Type Code	(A) NNC Approved Original Budget	
			(B) Proposed Budget	
			(C) Difference (Column B - A)	
2001 Personnel Expenses	5	5,576,412	5,907,402	330,990
3000 Travel Expenses	5	83,982	120,002	36,020
3500 Meeting Expenses				0
4000 Supplies	5	57,200	41,597	(15,603)
5000 Lease and Rental	5	68,554	149,632	81,078
5500 Communications and Utilities	5	16,675	34,800	17,925
6000 Repairs and Maintenance	5	11,742	21,800	10,058
6500 Contractual Services	5	65,000	10,000	(55,000)
7000 Special Transactions	5	42,337	43,473	1,136
8000 Public Assistance				0
9000 Capital Outlay	5	9,500	0	(9,500)
9500 Matching and Indirect Cost				0
TOTAL		\$5,931,602.77	6,328,706.00	397,103
PART IV. POSITIONS AND VEHICLES				
Total # of Positions Budgeted:		(D) 108	(E) 113	
Total # of Permanently Assigned Vehicles:		5	6	
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.				
SUBMITTED BY: <u>Paulene T. Thomas, Interim Executive Director / Date</u> <u>10/12/15</u>		APPROVED BY: <u>Robert Joe, Acting Chief of Staff / Date</u> <u>10/13/15</u>		

# THE NAVAJO NATION PROGRAM BUDGET SUMMARY

**PART I. PROGRAM INFORMATION:**

Business Unit No.: 507007

Program Name/Title:

Navajo Gaming Regulatory Office - Slot Leases

**PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:**

CO-75-01 established as the Navajo Nation Gaming Ordinance, Chptr 4, Sect 401 of the "Ordinance for the Regulation of Gaming Activities on the Navajo Nation" established the Navajo Gaming Regulatory Office within the Executive Branch of the Navajo Nation Government. The main purpose of the Office are to (1) initiate the highest standards of honesty and integrity in the operation of gaming activities on the Navajo Nation, (2) maintain public confidence and trust in gaming activities on the Navajo Nation and (3) assure compliance with all applicable gaming laws of the United States, AZ and NM state compacts, and Navajo Nation.

**PART III. PROGRAM PERFORMANCE CRITERIA:**

## 1. Program Performance Area:

Ensure all gaming machines meet NGRG requirements

Goal Statement:

Gaming Machines Inspected

## 2. Program Performance Area:

Maintain Employee/Vendor Licensing Process

Goal Statement:

Triennial License Employees/Vendors

## 3. Program Performance Area:

Monitor compliance internally and on action activity with NGRG requirements

Goal Statement:

Maintain a list of incidents and extensions for continued regulatory enforcement

## 4. Program Performance Area:

Continuance of NGRG Staff Training

Goal Statement:

Educate staff by providing Treg sessions applicable to job requirements (per staff)

## 5. Program Performance Area:

Review all financial reports to see that compliance with MLCs &amp; Tribal Compacts

Goal Statement:

Complete Audits to ensure all MLCs and Audit requirements are met

Goal	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal

300			300			300			300		
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10			10			10			10		
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**PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.**

Patricia T. Thomas, Interim Executive Director / Date

APPROVED BY: Robert Joe, Acting Chief of Staff / Date

The Navajo Nation  
Listing of Positions and Assignments by Business Unit

SUB	POS	JOB	POSITION TITLE	EMP ID	WRKSITE		HOURS	PROPOSED		NEW FY 2016
					CODE	G/S		FY 2015 BUDGET	BUDGET	
1	1101	240278	0302 Executive Director	10814	WR	P.A.	2080	100,006.00	100,006.00	
2	1102	240279	1260 Administrative Assistant	169660	WR	AB62G	2080	38,272.00	40,602.00	
3	1103	240693	3450 Gaming Reg & Licensing Mgr	165557	WR	AB68H	2080	67,787.00	69,826.00	
4	1104	240694	3453 Gaming Regulatory Investigator	Vacant	WR	AB63A	520	17,992.00	9,266.00	
5	1105	240697	3454 Gaming License Technician	Vacant	WR	AB61A	520	15,132.00	7,795.00	
6	1106	240971	3458 Gaming Agent	233365	CHR	AB64D	2080	41,558.00	44,158.00	
7	1107	240769	3451 Gaming Enforcement Manager	15839	WR	AB69C	520	63,710.00	16,406.00	
8	1108	240966	3458 Gaming Agent	252257	CHR	AB64B	2080	40,331.00	41,538.00	
9	1109	240967	3458 Gaming Agent	257752	CHR	AB64C	2080	40,331.00	42,806.00	
10	1110	240968	3458 Gaming Agent	Vacant	CHR	AB64B	2080	19,614.00	40,414.00	
11	1111	240969	3458 Gaming Agent	312972	CHR	AB64A	2080	39,229.00	40,414.00	
12	1112	240970	3458 Gaming Agent	131775	CHR	AB64A	2080	39,229.00	40,414.00	
13	1113	240684	3452 Gaming Audit Manager	128657	WR	AB68A	2080	55,078.00	56,722.00	
14	1114	240695	3457 Gaming Auditor	10767	FRL	AB65C	2080	45,115.00	46,467.00	
15	1115	240699	3455 Slot Compliance Manager	225719	WR	AB68A	2080	55,078.00	56,722.00	
16	1116	240698	3456 Slot Compliance Assistant	12112	CHR	AB64B	2080	40,331.00	41,538.00	
17	1117	240972	3456 Slot Compliance Assistant	239560	CHR	AB64C	2080	41,558.00	42,806.00	
18	1118	241371	3459 Gaming Surveillance Manager	Vacant	CHR	AB68A	520	27,539.00	14,180.00	
19	1119	241372	3460 Gaming Surveillance Observer	303233	CHR	AB62B	2080	33,030.00	35,027.00	
20	1120	241373	3460 Gaming Surveillance Observer	318038	CHR	AB62A	2080	16,515.00	34,029.00	
21	1121	241374	3460 Gaming Surveillance Observer	298373	CHR	AB62A	2080	33,030.00	34,029.00	
22	1122	241375	3460 Gaming Surveillance Observer	240180	CHR	AB62B	520	34,008.00	8,757.00	
23	1123	241376	3461 Gaming Surveillance Technician	161572	CHR	AC62D	2080	40,248.00	42,702.00	
24	1124	241377	3461 Gaming Surveillance Technician	259738	CHR	AC62C	2080	40,248.00	41,454.00	
25	1125	241727	1366 Office Specialist	10697	WR	AB58C	2080	24,814.00	25,563.00	
	1126	241728	1848 Network Specialist	16109	WR	AC64A	520	44,886.00	11,560.00	
26	1127	241729	3458 Gaming Agent	264606	CHR	AB64C	2080	40,331.00	42,806.00	
27	1128	241730	3458 Gaming Agent	242936	CHR	AB64A	2080	39,229.00	40,414.00	



**The Navajo Nation**  
Listing of Positions and Assignments by Business Unit

SUB	POS	JOB	POSITION TITLE	EMP ID	WRKSITE		HOURS	PROPOSED		NEW FY 2016
					CODE	G/S		FY 2015	BUDGET	
28	1130	241732	3458 Gaming Agent	999999	CHR	AB64B	2080	19,614.00		41,538.00
29	1131	241733	3453 Gaming Regulatory Investigator	233298	WR	AB63D	2080	38,147.00		40,498.00
30	1132	241734	3453 Gaming Regulatory Investigator	16928	WR	AB63D	2080	38,147.00		40,498.00
31	1133	241735	3453 Gaming Regulatory Investigator	16453	WR	AB63D	2080	38,147.00		40,498.00
32	1134	241736	3453 Gaming Regulatory Investigator	13114	WR	AB63A	2080	35,984.00		37,066.00
33	1135	241737	3457 Gaming Auditor	276945	CHR	AB65A	2080	42,765.00		44,054.00
34	1136	241738	3457 Gaming Auditor	309108	WR	AB65A	2080	42,765.00		44,054.00
35	1137	242482	1192 Deputy Executive Director	10814	WR	AB70A	520	65,478.00		16,858.00
36	1139	242030	1364 Office Assistant	238789	WR	AB56A	2080	19,718.00		20,301.00
37	1140	242031	3462 Senior Surveillance Observer	234525	CHR	AB64A	2080	39,229.00		40,414.00
38	1141	242032	3462 Senior Surveillance Observer	234478	CHR	AB64C	2080	41,558.00		42,806.00
39	1142	242033	3462 Senior Surveillance Observer	277292	CHR	AB64A	2080	39,229.00		40,414.00
40	1146	242037	3454 Gaming License Technician	256512	WR	AB61C	2080	32,115.00		33,072.00
41	1147	242038	1366 Office Specialist	263514	WR	AB58C	2080	24,814.00		25,563.00
42	1148	242129	3458 Gaming Agent	276172	HOG	AB64B	2080	40,331.00		41,538.00
43	1149	242130	3458 Gaming Agent	264605	HOG	AB64C	520	40,331.00		10,702.00
44	1150	242131	3458 Gaming Agent	161429	HOG	AB64C	2080	40,331.00		42,806.00
45	1151	242132	3458 Gaming Agent	314575	HOG	AB64A	2080	39,229.00		40,414.00
46	1152	242133	3458 Gaming Agent	291090	HOG	AB64A	2080	39,229.00		40,414.00
47	1153	242134	3462 Senior Surveillance Observer	169659	HOG	AB64B	2080	40,331.00		41,538.00
48	1154	242135	3460 Gaming Surveillance Observer	291025	HOG	AB62A	2080	33,030.00		34,029.00
49	1155	242136	3460 Gaming Surveillance Observer	153181	HOG	AB62B	2080	34,008.00		35,027.00
50	1156	242137	3460 Gaming Surveillance Observer	111111	HOG	AB62B	2080	33,030.00		35,027.00
51	1157	242138	3461 Gaming Surveillance Technician	313569	FRL	AC62A	2080	18,990.00		39,125.00
52	1172	242374	3456 Slot Compliance Assistant	193743	FRL	AB64H	2080	46,613.00		49,421.00
53	1173	242375	3456 Slot Compliance Assistant	296801	FRL	AB64B	2080	39,229.00		41,538.00
54	1174	242376	3458 Gaming Agent	152540	FRL	AB64A	2080	39,229.00		40,414.00
55	1175	242377	3458 Gaming Agent	313173	FRL	AB64A	2080	39,229.00		40,414.00

The Navajo Nation  
Listing of Positions and Assignments by Business Unit

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SUB	POS	JOB	POSITION TITLE	EMP ID	WRKSITE	G/S	HOURS	PROPOSED		NEW FY 2016
								FY 2015	BUDGET	
ACCT	NO	TYPE			CODE					BUDGET
56	1176	242378	3458	Gaming Agent	10913	FRL	AB64A	2080	39,229.00	40,414.00
57	1177	242379	3458	Gaming Agent	289449	FRL	AB64A	2080	39,229.00	40,414.00
58	1178	242380	3458	Gaming Agent	289459	FRL	AB64A	2080	39,229.00	40,414.00
59	1179	242381	3458	Gaming Agent	314327	FRL	AB64A	2080	39,229.00	40,414.00
60	1180	242382	3458	Gaming Agent	289779	FRL	AB64A	2080	39,229.00	40,414.00
61	1181	242383	3458	Gaming Agent	174577	FRL	AB64A	2080	39,229.00	40,414.00
62	1182	242384	3458	Gaming Agent	291023	FRL	AB64A	2080	39,229.00	40,414.00
63	1183	242385	3462	Senior Gaming Surveillance Observer	304991	FRL	AB64A	2080	39,229.00	40,414.00
64	1184	242386	3462	Senior Gaming Surveillance Observer	296521	FRL	AB64B	2080	39,229.00	41,538.00
65	1185	242387	3462	Senior Gaming Surveillance Observer	220180	FRL	AB64A	2080	39,229.00	40,414.00
66	1186	242388	3460	Gaming Surveillance Observer	99338	FRL	AB62A	520	33,030.00	8,507.00
67	1187	242389	3460	Gaming Surveillance Observer	293834	FRL	AB62B	2080	34,008.00	35,027.00
68	1188	242390	3460	Gaming Surveillance Observer	313786	FRL	AB62A	2080	33,030.00	34,029.00
69	1189	242391	3460	Gaming Surveillance Observer	299123	FRL	AB62B	2080	33,030.00	35,027.00
70	1191	242393	3454	Gaming License Technician	288893	WR	AB61B	2080	30,264.00	32,115.00
71	1192	242394	3461	Gaming Surveillance Technician	287581	FRL	AC62C	2080	37,981.00	41,454.00
72	1193	242483	3459	Gaming Surveillance Manager	257862	FRL	AB68A	2080	55,078.00	56,722.00
73	1196	242705	3453	Gaming Regulatory Investigator	310643	TANCR	AB63C	2080	38,147.00	39,291.00
74	1197	242706	3453	Gaming Regulatory Investigator	Vacant	FLAG	AB63A	520	17,992.00	9,266.00
75	1198	242707	3453	Gaming Regulatory Investigator	222531	TANCR	AB63D	2080	38,147.00	40,498.00
76	1201	242709	3454	Gaming License Technician	204153	FLAG	AB61A	2080	15,132.00	31,179.00
77	1202	242710	1366	Office Specialist	Vacant	TANCR	AB58A	520	11,710.00	6,032.00
78	1203	NEW	3451	Gaming Enforcement Manager	Vacant	TANCR	AB69A	520	30,014.00	15,460.00
79	1205	242713	3456	Slot Compliance Assistant	316598	TANCR	AB64A	2080	19,614.00	40,414.00
80	1206	242714	3456	Slot Compliance Assistant	290655	TANCR	AB64A	2080	39,229.00	40,414.00
81	1207	242715	3456	Slot Compliance Assistant	10544	TANCR	AB64A	2080	39,229.00	40,414.00
82	1208	242716	3456	Slot Compliance Assistant	Vacant	TANCR	AB64C	1040	19,614.00	21,403.00
83	1209	242717	3458	Gaming Agent	313200	TANCR	AB64B	2080	40,331.00	41,538.00

**The Navajo Nation**  
**Listing of Positions and Assignments by Business Unit**

SUB	POS	JOB	POSITION TITLE	EMP ID	CODE	PROPOSED			NEW FY 2016
						G/S	HOURS	FY 2015 BUDGET	
ACCT	NO	TYPE							
84	1210	242718	3458	Gaming Agent	313176	TANCR	AB64B 2080	40,331.00	41,538.00
85	1211	242719	3458	Gaming Agent	Vacant	TANCR	AB64A 1040	19,614.00	20,207.00
86	1212	242720	3458	Gaming Agent	999999	TANCR	AB64D 2080	19,614.00	44,158.00
87	1213	242694	3459	Gaming Surveillance Manager	233336	TANCR	AB68E 2080	62,067.00	63,939.00
88	1214	242722	3462	Senior Gaming Surveillance Observer	313201	TANCR	AB64B 2080	40,331.00	41,538.00
89	1215	242723	3462	Senior Gaming Surveillance Observer	Vacant	TANCR	AB64C 1040	19,614.00	21,403.00
90	1216	242724	3462	Senior Gaming Surveillance Observer	293834	TANCR	AB64B 2080	40,331.00	41,538.00
91	1217	242725	3460	Gaming Surveillance Observer	313072	TANCR	AB62B 2080	34,008.00	35,027.00
92	1218	242726	3460	Gaming Surveillance Observer	313069	TANCR	AB62C 2080	34,008.00	35,027.00
93	1219	242727	3460	Gaming Surveillance Observer	175172	TANCR	AB62B 2080	34,008.00	35,027.00
94	1220	242728	3460	Gaming Surveillance Observer	311661	TANCR	AB62A 2080	33,030.00	34,029.00
95	1221	242729	3460	Gaming Surveillance Observer	310806	TANCR	AB62C 2080	35,027.00	36,088.00
96	1222	242730	3460	Gaming Surveillance Observer	310546	TANCR	AB62C 2080	35,027.00	36,088.00
97	1226	242734	3461	Gaming Surveillance Technician	Vacant	TANCR	AC62A 520	18,990.00	9,781.00
98	1227	242735	3461	Gaming Surveillance Technician	308555	TANCR	AC62A 2080	18,990.00	39,125.00
99	1228	242736	3461	Gaming Surveillance Technician	Vacant	TANCR	AC62A 520	18,990.00	9,781.00
100	1232	242696	1519	Accountant	999999	WR	AB63D 2080	17,992.00	40,498.00
101	1233	242740	3460	Gaming Surveillance Observer	Vacant	TANCR	AB62A 1040	16,515.00	17,014.00
102	1234	242741	3460	Gaming Surveillance Observer	999999	TANCR	AB62C 2080	16,515.00	36,088.00
103	1235	242742	3458	Gaming Agent	313199	TANCR	AB64B 520	40,331.00	10,384.00
104	1236	242743	3458	Gaming Agent	318677	TANCR	AB64C 2080	19,614.00	42,806.00
105	1237	242744	3458	Gaming Agent	313957	TANCR	AB64B 2080	40,331.00	41,538.00
106	1238	242745	3458	Gaming Agent	314725	TANCR	AB64A 520	19,614.00	10,104.00
107	1240	242747	3454	Gaming License Technician	249255	TANCR	AB61A 2080	15,132.00	31,179.00
108	9999	99999	3455	Slot Compliance Manager	Vacant	TANCR	AB68A 520	-	14,180.00
109	9999	99999	3450	Gaming Reg Investigator/Licensing M	Vacant	TANCR	AB68A 520	-	14,180.00
110	9999	99999	3467	Gaming Auditor (Information Techno	Vacant	WR	AB65A 520	-	11,014.00
111	9999	99999	1517	Principal Accountant	Vacant	WR	AB67A 520	-	13,016.00

The Navajo Nation

Listing of Positions and Assignments by Business Unit

7

OMB Form 3  
10

	SUB	POS	JOB	POSITION TITLE	EMP ID	WRKSITE		PROPOSED		NEW FY 2016	
						CODE	G/S	HOURS	FY 2015 BUDGET	BUDGET	
112	9999	99999	3457	Gaming Auditor	Vacant	HOG	AB65A	520	-	11,014.00	
									3,822,973.00	3,919,825.00	

# THE NAVAJO NATION - PROGRAM BUDGET SUMMARY

## PART I. PROGRAM INFORMATION:

Program Name/Title: Navajo Gaming Regulatory Office - Slot Leases

Business Unit No.: 507007

## PART II. DETAILED BUDGET:

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
200	<b>PERSONNEL EXPENSES</b>		5,907,402
2110	Regular Employment, salary and fringe benefits. Overtime and Holiday Pay for staff on shift at Navajo Casinos; Navajo Casinos open on NN Holidays; 24/7 coverage OT.	3,819,819	
2120	PERSONNEL - Personnel Regular Full-time (Regular @ 2080 hrs; 520 hrs for vacant positions) Political Employees \$100,006.00 @ 2080 hrs for 1 position	100,006	
2510	PERSONNEL CHANGES - Budget for New position @ 0 hours	-	
2510	Overtime 2520	65,500	
2610	Double Holiday Pay - coverage during holidays	65,500	
2900	Fringe Benefits		
	Fringe Benefits for Personnel Regular Full-time 45.60 x	3,819,819	1,741,837
	Fringe Benefits for Political Appointee 55% x	100,006	55,003
	Fringe Benefits for PERSONNEL CHANGES - New positions 45.60%	-	
	Fringe Benefits for Overtime 45.60% x	65,500	29,868
	Fringe Benefits for Double Holiday 45.60% x	65,500	29,868
3000	<b>TRAVEL EXPENSES</b>		126,002
3110	Fleet Six (6) Tribal Vehicles, including maintenance, meals and lodging directly related to NSRO Official Regulatory Business, meetings and authorized training, conferences and seminars NSRO staff members. Regular mtgs include NMAIGC, ATGPA and AIGCA, etc.	33,240	
	3111 - month/perm: Six (6) vehicles: 109047-2008 Chevy Malibu Sedan; #104011 - 2004 Buick Sedan; #602018 - 2002 GMC 3/4 ton 2WD; #311531 - 2011 Chevy Ext Cab; #312407 SUV; #432,00 x 12 months x 5 vehicles = \$25,920; Full Size SUV 2012 Chevy Suburban=\$521,00 x 12 months x 2 SUV = \$12,504		
	3113 - Mileage .30 x 1,600 miles x 12 months for 5 vehicles = \$31,680; 1 SUV x .30 x 1600 x 12 mos = \$6,912	38,592	
3210	Vehicle Rental (Off-Road) Rental for meetings in metro areas such as Flagstaff, Phoenix-metro, \$160/day x 12 days = \$1,920	1,920	
<b>TOTAL</b>		5,981,154	6,027,404

**THE NAVAJO NATION -  
PROGRAM BUDGET SUMMARY**

**PART I. PROGRAM INFORMATION:**

Program Name/Title:

Navajo Gaming Regulatory Office

Business Unit No.:

507007

**PART II. DETAILED BUDGET:**

(A) Object Code (L.O.D. 6)	(B) Object Code Description and Justification	(C) Total by DETAILED Object Code	(D) Total by MAJOR Object Code
3230	<b>TRAVEL EXPENSES (Continued)</b>  <b>Personal Travel - travel for NGRG staff to attend meetings, trainings &amp; travel between NGRG office and sub-offices located at Casinos.</b>  3240 Per Diem Meals- \$ 15,000 3250 Lodging - \$ 15,000 3260 POV Mileage - \$ 10,000 3290 Other Travel Expense - \$ 1,250	41,250	
3310	<b>Air</b>  3320 Commercial \$ 3,000 3330 Charter - \$ 7,000	5,000	
4000	<b>Supplies</b>  NGRG Has six(6) office locations. Stationary, envelopes, folders, pens, and pencils, copy paper, desk supplies, courier supplies, and basic office supplies. Non-capital assets include 10 key calculators, filing cabinets, shelving, workstation accessories, etc., Operating supplies include those expenses that are necessary for the day to day operation of the program including such items as evidence bags counterfeit detection tools, recorded DVDs and badge cards.		1,597
4120	<b>Office Supplies</b>  4130 General Operating Supplies	21,497	
4200	<b>Non Capital Assets</b>  4210 Non Cap furniture & Equipment 4230 non cap computer equipment - new servers for Admin, P.C.'s for new staff & replacements		
4400	<b>Operating Supplies</b>  4420 General Operating Supplies 4440 Non Cap computer software, 4450 postage, courier, shipping, 4470 uniforms 4490 custodial supplies, 4520 bulk paper, 4530 printing, binding copying 4540 books, periodicals subscriptions	26,000	
4610	<b>Supplies - for vehicle repairs, windshield replacements</b>  Lease & Rental	100	140,632
5000	<b>Lease &amp; Rental</b>  NGRG Main office at Hogan Tse Building and Office near Twin Arrows Navajo Casino and Resort.		
5119	<b>Building</b>  5120 Office Space \$183,858/yr (@ 3 of 4 quarters) for Window Rock (includes new 5% tax)	138,800	
5160	<b>Equipment</b>  Xerox copier at Flagstaff sub-office, MFC, FRC, Admin, FWC	5,632	
5310	<b>Building Space</b>  5320 Meeting Space \$ 1,200 5330 Storage Space \$ 4,800	6,000	
<b>TOTAL</b>		237,479	146,228

THE NAVAJO NATION -  
PROGRAM BUDGET SUMMARY

PART I. PROGRAM INFORMATION:			
Program Name/Title: Navajo Gaming Regulatory Office		Business Unit No.: 507007	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
5500	COMMUNICATIONS & UTILITIES		34,800
	Basic Telephone services charges, Internet service and connectively, Handheld radios for surveillance and enforcement depts at 4 Navajo Casinos At 6 months.		
5520	Telephone 5530 Basic Services, 5540 Long Distance, 5550 Optional Charges, 5560 Hardware Installs	15,600	
5570	Internet 5590 TI	5,400	
5610	Wireless 5620 Cellular	13,800	
6000	REPAIRS & MAINTENANCE		21,800
6130	Annual Repair & maintenance Agreements on Copiers Repair and Maintenance on other equipment such as printers, typewriters, etc. (3 months)	16,800	
6200	Services 6140 Furn & Equip R&M services, Xerox maint., NENC copier lease		
6300	External Contractors		
6300	Technology 6319 Computer Hardware R&M 6320 Software Support 6330 Communication R&M	5,000	
6500	Contractual Services		10,000
	Consulting Audit Services other Contractual Services		
6520	Consulting 6530 Fees 6540 Expenses Contracts Audit	5,000	
6810	Other Contractual Services		
6921	Other Services - Investigation documents	5,000	
7100	SPECIAL PROGRAMS		43,475
	Promote, Advise and educate NGRS regulatory purpose, Catering and refreshments for NGR staff mg and special events, Print advertising for job vacancies, Payment of Training Conferences workshops and seminars as directly related to NGR duties to regulate gaming on the Navajo Nation Required Insurance.		
7100	Programs 7130 Promotional Items, 7140 Gifts & awards, 7180 Catering 7190 refreshments	8,000	
7410	Media 7440 Print Advertising	2,800	
7510	Training & Professional Dues 7520 Training & registration Fees 7550 Mandatory Professional Dues, AIGALATGRANTGCRNAGRANIGA Membership fees	17,100	
7700	Insurance Premiums		
	General Liability (payroll) \$5,617, 533700 x .20 = \$11,815 (one fiscal year)	11,815	
	7740 vehicle auto liability for vehicles 6 x \$128.47 = \$770.82 (one fiscal year)	771	
	7750 Vehicle Physical Damage For vehicles 6 x \$129.77 = \$779 (one fiscal Year)	779	
	7766 Deductible Expense 5 vehicles x \$500=\$3,000 (one fiscal year)	3,000	
TOTAL		110,073	140,073

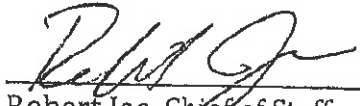


# THE NAVAJO NATION

RUSSELL BEGAYE  
JONATHAN NEZ

## MEMORANDUM

TO : ALL DIVISION DIRECTORS AND STAFF  
NAVAJO NATION EXECUTIVE BRANCH

FROM :   
Robert Joe, Chief of Staff  
OFFICE OF THE PRESIDENT AND VICE PRESIDENT


DATE : September 30, 2015

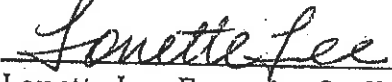
SUBJECT : **STANDING DELEGATION OF AUTHORITY**  
Re: Chief of Staff, OPVP

Mr. Perry Shirley, Executive Staff Assistant, and Ms. Lonette Lee, Executive Staff Assistant, shall be delegated in the capacity of the Navajo Nation Office of the President and Vice President (OPVP) Chief of Staff, when I am unavailable. This delegation shall serve as a Standing Delegation to cover the period October 1, 2015 to October 31, 2015.

This delegation is for administrative purposes only and does not re-delegate Navajo Nation policy authority reserved for the Navajo Nation President and Vice President. Your adherence to this delegation is appreciated should you have any questions call (928) 871-7000.

### ACKNOWLEDGED:

  
Perry Shirley, Executive Staff Assistant  
OFFICE OF THE PRESIDENT AND VICE PRESIDENT

  
Lonette Lee, Executive Staff Assistant  
OFFICE OF THE PRESIDENT AND VICE PRESIDENT

C: Navajo Nation Office of the Speaker  
Navajo Nation Judicial Branch  
Navajo Nation Office of the Controller  
Navajo Nation Divisions/Department  
File



# THE NAVAJO NATION PROGRAM BUDGET SUMMARY

EXHIBIT "D" Page 1 of 5

<b>PART I. Business Unit No.:</b> N01249		<b>Program Title:</b>		<b>The Office of the Controller</b>		<b>Division/Branch:</b>		<b>Executive:</b>	
<b>Prepared By:</b> Michael Venezia		<b>Phone No.:</b>		<b>928-871-6025</b>		<b>Email Address:</b>		<b>mvenezia@nnooc.org</b>	

PART II. FUNDING SOURCE(S)	Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	NMC Approved Original Budget	Proposed Budget	Difference (Column B - A)
Gaming Development Fund	10/01/15-09/30/16	66,454	100%	2001 Personnel Expenses	5	69,207	56,454	(12,753)
				3000 Travel Expenses	5	0	5,000	5,000
				3500 Meeting Expenses				0
				4000 Supplies				0
				5000 Lease and Rental	5	0	1,500	1,500
				5500 Communications and Utilities				0
				6000 Repairs and Maintenance				0
				6500 Contractual Services	5	0	1,432	1,432
				7000 Special Transactions	5	0	2,068	2,068
				8000 Public Assistance				0
				9000 Capital Outlay				0
				9500 Matching Funds				0
				9500 Indirect Cost				0
				<b>TOTAL</b>		\$69,207.00	66,454	(2,753)

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:	1	1
Total # of Permanently Assigned Vehicles:	0	0

**PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.**

SUBMITTED BY: Program Manager's Printed Name and Signature / Date <div style="display: flex; justify-content: space-between;"> <div>             Michael Venezia            10/13/15         </div> <div>           10/13/15            Michael Venezia         </div> </div>	APPROVED BY: Division Director/Branch Chiefs Printed Name and Signature / Date <div style="display: flex; justify-content: space-between;"> <div>             Robert Willie            10/13/15         </div> <div>           10/13/15            Robert Willie         </div> </div>
--	---

# THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

**PART I. PROGRAM INFORMATION:**

Business Unit No.: N01249

Program Name/Title:

The Office of the Controller

**PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:**

Part V. Plan of OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE: The Navajo Nation Gaming Enterprise was created by NNC CS-34-06 as the tribal gaming enterprise to conduct gaming operations within the Navajo Nation under the auspice of the Indian Gaming Regulation Act of 1988 (P.L. 100-47), the NN Gaming Ordinance (5 N.N.C. Sec. 2001 et seq), the gaming compacts Arizona and New Mexico and to generate gaming revenues. OOC employs 1 individual to maintain the various aspects of the investment into gaming, has contractual services performed in order to ensure compliance with the Forbearance Agreement (NNCJA-01-15) between the Navajo Nation and NNGE. Such contractual services are Financial Advisory, Legal, and Specialty services conducted to look out for the best interest of the Navajo Nation's investment into NNGE. NNGE is currently liable for such service cost under the Forbearance Agreement, however, in the event NNGE cannot cover the associated costs for whatever reason(s), OOC must have a budgeted amount to cover the cost.

**PART III. PROGRAM PERFORMANCE CRITERIA:**

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

## 1. Program Performance Area:

## Maintain Gaming Investment Performance

## Goal Statement:

Record interest and distribution payments made from NNGE, provide reports as needed

25%

25%

25%

25%

## 2. Program Performance Area:

## Financial

## Goal Statement:

Monitor daily and monthly cashflow performance, provide reports as needed.

25%

25%

25%

25%

## 3. Program Performance Area:

## Casino Operation Progress

## Goal Statement:

Monitor asset improvements and cost savings initiatives

25%

25%

25%

25%

## 4. Program Performance Area:

## Proposals

## Goal Statement:

Review and vet proposed projects for cap. Expenditures and other NNGE endeavors

25%

25%

25%

25%

## 5. Program Performance Area:

## Legal

## Goal Statement:

Monitor Forbearance Agreement Compliance

25%

25%

25%

25%

**PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.**

*Michael Venezia*  
Program Manager's Printed Name and Signature/Date

10/13/15

Michael Venezia

*Robert Willie*  
Division Director/Branch Chief's Printed Name and Signature / Date

10/13/15

Robert Willie

## THE NAVAJO NATION

## LISTING OF POSITIONS AND ASSIGNMENTS BY BUSINESS UNITS

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WRKSITE CODE	FY 2016 ACTUAL G/S	SALARY	FY 2016 PROPOSED HOURS	BUDGET
1110	242358	1519	Accountant	Vacant	28	AB63A	\$ 37,065.00	2080	\$ 37,065.00

**THE NAVAJO NATION**  
**DETAILED LINE ITEM BUDGET AND JUSTIFICATION**

**PART I. PROGRAM INFORMATION:**

Program Name/Title: \_\_\_\_\_

The Office of the Controller \_\_\_\_\_

Business Unit No.: \_\_\_\_\_

N01249

**PART II. DETAILED BUDGET:**

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
	<b>2001 Personnel Expenses</b>		56,454
2110	Employee Salary and Fringe benefits		
	Regular	37,065	
	2120-Personal Regular FT		
	Regular Full Time \$37,065		
2710	Regular		
	2740-Bonus Pay \$1,853.00	1,853	
2900	Fringe Benefits		
	Fringe Benefits for Regular Status Employee	17,536	
	Regular \$37,065 x 45.06% = \$16701.48		
	Salary Adjustments & Bonus Fringe		
	\$1,853 x 45.06% = \$835.00		
	<b>3000 Travel Expenses</b>		
3230	Personal Travel	5,000	5,000
5310	<del>5000 Lease &amp; Rental</del>		
	Building/Space		
	5320-Meeting Space	1,500	1,500
<b>TOTAL</b>		Continued	Continued

# THE NAVAJO NATION DETAILED LINE ITEM BUDGET AND JUSTIFICATION

## PART I. PROGRAM INFORMATION:

Program Name/Title: \_\_\_\_\_

The Office of the Controller \_\_\_\_\_

Business Unit No.: \_\_\_\_\_

N01249

## PART II. DETAILED BUDGET:

(A)	(B)	(C)	(D)
Object Code (LUD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
6520	<u>6500 Contractual Services</u> Consulting 6530-Consulting Fees 6540-Consultant Expenses	716 716	1,432
7710	<u>7000 Special Transaction</u> Insurance Premiums: General Liability Payroll \$56,454/100* 12= \$677.4	68	2,068
7510	Training and Professional Dues 7520-Training/Registration Fees	2,000	
	TOTAL	66,454	66,454

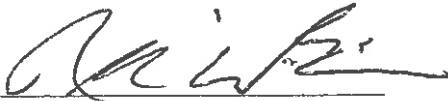
**LETTER OF TRANSMITTAL  
GDF Request of Funds**

To the Office of the Controller:

I, Richard Williamson, CFO, will be signing on behalf of Navajo Nation Gaming Enterprise which certifies and affirms that I have the legal authority to execute a contract on behalf of the Enterprise with the Navajo Nation.

By signing this letter of transmittal I understand the awarded funds must be used specifically for gaming development purposes. Such purposes include feasibility studies, market studies, legal and consultant services, regulatory functions and Tribal Gaming Enterprise start-up costs, which may include preliminary operating and personnel expenses for the first year only.

Richard Williamson, CFO  
Printed Name & Title

  
Signature

Navajo Nation Gaming Enterprise  
Company Name

10/9/15  
Date

249 E. NM 118 St.  
Company Street Address

505-728-0966  
Company Telephone

Church Rock, NM 87311  
City State Zip Code

928-213-0391  
Company Telefax

rwilliamson@nnge.org  
Company e-mail address

-----  
For questions regarding this proposal, please contact: Richard Williamson, CFO

at the following telephone number: 505-728-0966 ;

or e-mail address: rwilliamson@nnge.org .



# Navajo Nation Gaming Enterprise

Post Office Box 1700 Church Rock, NM 87311 | Shipping Address: 249 E. NM 118 St. Hwy Church Rock, NM 87311 | Phone: 505-905-7100. Fax: 505.905.7240

October 9, 2015

Robert Willie, Acting Controller  
Office of the Controller  
Navajo Nation  
P.O. Box 3150  
Window Rock, Arizona 86515

Re: 2015/2016 Grant Fund Request from the Navajo Gaming Development Fund

Dear Mr. Willie,

In accordance with the Navajo Nation's Gaming Development Fund, please consider this letter as a request for \$1,923,374 from the Navajo Gaming Development Fund to pay for gaming development within the Navajo Nation.

## **I. CONTACT PERSON**

Navajo Nation Gaming Enterprise  
Attention: Richard Williamson, CFO  
P.O. Box 1700  
Church Rock, New Mexico 87311

## **II. USE OF FUNDS**

The Navajo Nation Gaming Enterprise ("Enterprise") is a wholly-owned Navajo Nation business entity created by the Navajo Nation Council to conduct gaming and hospitality activities within the Navajo Nation in accordance with Navajo law, the applicable Navajo Nation-state gaming compacts, and federal law. The Enterprise's long-term plan is to improve and expand our current gaming facilities as well as to continue to develop gaming hospitality facilities on Navajo lands.

The Enterprise through its four (4) facilities employs over 1,200 employees, of which over 83 percent are Navajos, and the development of these properties has provided construction employment for hundreds of Navajo construction workers, Navajo subcontracts, and Navajo vendors and suppliers. The Enterprise is currently paying interest on its gaming loan, and applicable Navajo taxes and fees to the Navajo Nation.

The Enterprise is presently working to improve and expand our current gaming facilities as well as to continue to develop gaming hospitality facilities (Class III gaming) on

Navajo lands that are on the perimeter of the Navajo Nation, and several select bingo hall/casinos and/or hotel facilities on Navajo lands within the Navajo Nation. Specifically a permanent structure at Fire Rock Navajo Casino, expanded services at Twin Arrows Navajo Casino Resort with the development of an RV park and a hotel conference center expansion at Northern Edge Navajo Casino. As part of this development work, the Enterprise will continue to meet with standing committees of the Navajo Nation Council, Navajo Tribal Utility Authority (NTUA), Navajo Nation Department of Justice, Navajo Nation Division of Economic Development, Navajo Nation Environmental Protection Agency (NNEPA), other Navajo government divisions, departments and advisors on various issues dealing with the operations of four (4) Navajo casinos and the development of other properties.

In addition, the Enterprise continues to work with external consultants to develop various land surveys, reports, archeological surveys, land user consents, land withdrawal, land purchases, utility and infrastructure information (availability of water, waste water, electricity, road access, etc.) for projects under consideration.

Finally, the Enterprise has been continuing to work with the Investment Committee, Office of the Controller, and the Budget and Finance Committee to refinance, consolidate, and manage the four outstanding loans for Fire Rock Navajo Casino, Flowing Water Navajo Casino, Northern Edge Navajo Casino and Twin Arrows Navajo Casino Resort.

Below are the activities of the Enterprise, as it relates to the gaming development within the Navajo Nation and as it directly relates to the request for funds from the Gaming Development Fund. The fund request will allow the Enterprise to continue its gaming development which includes hiring, contracting, or procuring Enterprise development staff and management, contractors, subcontractors, consultants, attorneys, and other companies to compile studies, surveys, legal opinions, reviews, analysis, pre-opening costs, opening costs, negotiations, and the one-year development cost and projects for each Navajo casino and hotel project. Finally the negotiated financial loans with the Navajo Nation require the Enterprise to contribute gaming revenues and other revenue sources, other than the loan funds, to develop the proposed casinos. Therefore, the fund request will allow the Enterprise to continue its gaming and hospitality development through the programs listed below:

**1. Gaming, Hospitality & Tourism Expansion**

Complete the expansion feasibility studies in order to prioritize project development opportunities, both on and off Navajo, to include a full economic impact analysis required to calculate ROI.

**2. AZ Gaming Compact Initiative**

Seek and execute favorable changes to the Arizona Department of Gaming Appendices.



### **3. Gaming Enterprise Property Development**

Define options for constructing a permanent facility for Fire Rock Navajo Casino which would replace the temporary sprung structure. In addition, we plan to complete the Twin Arrows Master Plan and the Northern Edge Navajo Casino Master Plan as part of multi-plan phases through 2020.

### **4. Other Development**

The Enterprise continues to work with the Navajo Nation to protect Navajo gaming interests from other Indian tribes and other private gaming interests.

## **III. NAVAJO NATION BENEFIT**

The property improvements and gaming hospitality development plans listed above will:

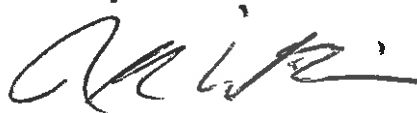
1. Increase revenues;
2. Increase Navajo jobs;
3. Build additional roads and utility infrastructure across the Nation;
4. Create additional tax streams to the Nation; as well as,
5. Create a positive economic impact for the Navajo Nation tribal members for generations to come.

## **IV. BUDGET FORMS**

In accordance with the Navajo Nation Gaming Development Fund Policies and Procedures, attached are the detailed budget forms that support the Enterprise's \$1,950,000 grant request for the gaming development within the Navajo Nation.

If you have any questions, please do not hesitate to contact me at (505) 728-0966.

Sincerely,



Richard Williamson, CFO  
Navajo Nation Gaming Enterprise

# THE NAVAJO NATION PROGRAM BUDGET SUMMARY

<b>PART I. Business Unit No.:</b> N01249		<b>Program Title:</b> Navajo Nation Gaming Enterprise		<b>Division/Branch:</b> NNGC	
<b>Prepared By:</b> Christine McLain		<b>Phone No.:</b> 505-728-9288		<b>Agency Code:</b> N/A	
				<b>If Multiple Agencies, check here:</b> N/A	

PART II. FUNDING SOURCE(S)	Funding Source's Fiscal Year	Funding Source Amount	% of Total	PART III. BUDGET SUMMARY			
				Fund Type Code	NNC Approved Original Budget	Proposed Budget	Difference (Column B - A)
Gaming Development Fund	10/1/15 to 9/30/16	1,950,000.00	100%				
				2001 Personnel Expenses	5	0	0
				3000 Travel Expenses	5	294,072	312,446
				3500 Meeting Expenses	5	0	0
				4000 Supplies	5	55,165	55,165
				5000 Lease and Rental	5	186,417	186,417
				5500 Communications and Utilities	5	79,440	79,440
				6000 Repairs and Maintenance	5	68,772	68,772
				6500 Contractual Services	5	954,858	1,136,484
				7000 Special Transactions	5	111,276	111,276
				8000 Public Assistance			
				9000 Capital Outlay	5	430,720	0
				9500 Matching and Indirect Cost			
				<b>TOTAL</b>		2,180,720	1,950,000
							(230,720)

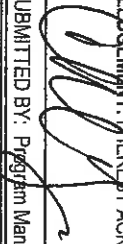
  

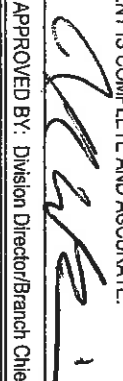
PART IV. POSITIONS AND VEHICLES	
Total # of Positions Budgeted:	(D) 0
Total # of Permanently Assigned Vehicles:	(E) 0

**PART V. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:** The Navajo Nation Gaming Enterprise was created by NNC CS-34-06 as the tribal gaming enterprise to conduct gaming operations within the Navajo Nation under the auspice of the Indian Gaming Regulatory Act of 1988 (P.L. 100-47), the NN Gaming Ordinance (5 N.N.C. Sec. 2001 et seq), the gaming compacts with Arizona and New Mexico, and to generate gaming revenues.

**PART VI. ACKNOWLEDGEMENT:** I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS DOCUMENT IS COMPLETE AND ACCURATE.

SUBMITTED BY:  Christine McLain 10/09/15

APPROVED BY:  Richard Williamson 10/09/15

Program Manager's Printed Name and Signature / Date

Division Director/Branch Chief's Printed Name and Signature / Date

# THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

**PART I. PROGRAM INFORMATION:**Business Unit No.: NO1249Program Name/Title: Navajo Nation Gaming Enterprise**PART II. PROGRAM PERFORMANCE CRITERIA:**

1st QTR		2nd QTR		3rd QTR		4th QTR	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

## 1. Program Performance Area:

Gaming, Hospitality & Tourism Expansion

## Goal Statement:

Complete expansion feasibility to prioritize project development opportunities, both on and off Navajo, to include a full economic impact analysis required to calculate ROI

25%		25%		25%		25%	
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## 2. Program Performance Area:

Gaming Compacts

## Goal Statement:

Seek/Execute favorable ADOG Appendices J & K changes.

25%		25%		25%		25%	
-----	--	-----	--	-----	--	-----	--

## 3. Program Performance Area:

Gaming Enterprise Property Development

## Goal Statement:

Define options for constructing permanent facility to replace current temporary Fire Rock structure.

25%		25%		25%		25%	
-----	--	-----	--	-----	--	-----	--

## 4. Program Performance Area:

Gaming Enterprise Property Development

## Goal Statement:

Complete Twin Arrows, AZ Master Plan & launch multi-phase plan through 2020

25%		25%		25%		25%	
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## 5. Program Performance Area:

Gaming Enterprise Property Development

## Goal Statement:

Complete NENC Master Plan as part of multi-phase plan thru 2020 (Hotel & Conference Amenities)

25%		25%		25%		25%	
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## 6. Program Performance Area:

Gaming Enterprise Property Development

## Goal Statement:

Develop Phase II Plan for Flowing Water to add Bingo Hall

25%		25%		25%		25%	
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# THE NAVAJO NATION DETAILED LINE ITEM BUDGET AND JUSTIFICATION

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: Navajo Nation Gaming Enterprise		Business Unit No.: N01249	
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
	<b>NGE General &amp; Administrative Expenses</b>		
	*NGE has its own chart of accounts; the major expense categories are listed below.		
	<b>Travel Expenses</b>		312,446
	Business Meals (\$6,322/Mo.)	75,873	
	Lodging Expense (\$5,348/Mo.)	64,173	
	Mileage (\$6,118/Mo.)	73,422	
	Car Rental (\$1,480/Mo.)	17,760	
	Taxi (\$815/Mo.)	9,774	
	Airfare (\$5,953/Mo.)	71,444	
	<b>Supplies</b>		55,165
	Office/Operating Supplies (\$1,833/Mo.)	22,000	
	Non-Cap Furniture & Fixtures (\$1,082/Mo.)	12,985	
	Dues & Subscriptions (Gaming, Finance, Fraud, HR, Compliance Periodicals & Studies)	10,060	
	Postage	6,520	
	Printing Expense	3,600	
	<b>Leases &amp; Rental</b>		186,417
	Equipment Lease (Xerox Machines \$5,075 * 12 Mos.)	60,900	
	Equipment Rental (Storage)	43,800	
	Property Lease (Flagstaff Office \$8,810/Mo.)	81,717	
CONTINUED ON NEXT PAGE			

# THE NAVAJO NATION DETAILED LINE ITEM BUDGET AND JUSTIFICATION

**PART I. PROGRAM INFORMATION:**

Program Name/Title: \_\_\_\_\_

Navajo Nation Gaming Enterprise

Business Unit No.: \_\_\_\_\_

N01249

**PART II. DETAILED BUDGET:**

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
<b>NNGE General &amp; Administrative Expenses</b>	*NNGE has its own chart of accounts; the major expense categories are listed below.		
<b>Communications &amp; Utilities</b>			79,440
Electric Utilities (\$1,500 * 12 Mos.)		18,000	
Gas Utilities (\$450 * 12 Mos.)		5,400	
Water & Sewer Utilities (\$50 * 12 Mos.)		600	
Cell Phones (\$135 * 28 employees * 12 Mos.)		45,360	
Broadband Mobile (\$30 * 28 employees * 12 Mos.)		10,080	
<b>Repairs &amp; Maintenance</b>			68,772
Vehicle Maintenance (\$394.50 * 10 vehicles * 12 Mos.)		47,340	
Software Maintenance (MIS Office, Citrix, JDEdwards, & Other Licenses for NNGE staff)		21,432	
<b>Contractual Services</b>			1,136,484
Legal Fees (Lewis & Roca, Luebber Johnson & Barnhouse)		421,000	
Audit Fees		72,658	
Bank Fees		13,000	
Consulting Fees (Swaback Partners, GVA, Ferraro Group, GMA, Wells Gaming, Compact Lobbyists, Intems)		550,000	
Other Professional Fees (Lanbhora \$6,000; Shred \$1,200; Security \$12,000; ADP \$12,000; Other \$58,800)		79,826	
<b>Special Transactions</b>			111,276
Licensing Fees (\$14,100 NGRO; \$18,500 AIGA; Renewals \$956)		33,556	
Insurance (Auto & Property - \$4,075/Mo.)		48,900	
Advertising (Navajo Times, Farmington Daily Times, Gallup Independent, AZ Daily Sun)		28,820	
<b>GRAND TOTAL</b>		1,950,000	1,950,000

Document No. 004571Date Issued: 08/17/2015**SECTION 164 REVIEW FORM**Title of Document: Gaming Development Fund FY 2016 Budget Contact Name: WILLIE, ROBERTProgram/Division: OFFICE OF THE CONTROLLEREmail: rwillie@nnooc.org Phone Number: 928-871-6125Division Director Approval for 164A: *Robert Willie*

**Check document category: only submit to category reviewers. Each reviewer has a maximum 7 working days, except Business Regulatory Department which has 2 days, to review and determine whether the document(s) are sufficient or insufficient. If deemed insufficient, a memorandum explaining the insufficiency of the document(s) is required.**

**Section 164(A) Final approval rests with Legislative Standing Committee(s) or Council**

<input type="checkbox"/>	<b>Statement of Policy or Positive Law:</b>		<b>Sufficient</b>	<b>Insufficient</b>
	1. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<b>IGA, Budget Resolutions, Budget Reallocations or amendments: (OMB and Controller sign ONLY if document expends or receives funds)</b>			
	1. OMB: <u><i>Robert Willie</i></u>	Date: <u>8-3-15</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	2. OOC: <u><i>Robert Willie</i></u>	Date: <u>8/17/15</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	3. OAG: <u><i>Robert Willie</i></u>	Date: <u>8/17/15</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

**Section 164(B) Final approval rests with the President of the Navajo Nation**

<input type="checkbox"/>	<b>Grant/Funding Agreement or amendment:</b>			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<b>Subcontract/Contract expending or receiving funds or amendment:</b>			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. BRD: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	5. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<b>Letter of Assurance/M.O.A./M.O.U./Other agreement not expending funds or amendment:</b>			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<b>M.O.A. or Letter of Assurance expending or receiving funds or amendment:</b>			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>



# NAVAJO NATION DEPARTMENT OF JUSTICE

## DOCUMENT REVIEW REQUEST FORM



DOJ
09-04-15 4:24 PM
DATE / TIME
<input checked="" type="checkbox"/> 7 Day Deadline
DOC #: 004571
SAS #:
UNIT: T9FW

☒ RESUBMITTAL

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CLIENT TO COMPLETE	
DATE OF REQUEST: 9/4/15	ENTITY/DIVISION: DOC / FINANCE
CONTACT NAME: Natasha Damin	DEPARTMENT: General Acctg
PHONE NUMBER: x7653	E-MAIL: ndamin@nmooc.org
TITLE OF DOCUMENT:	
DOJ SECRETARY TO COMPLETE	
DATE/TIME IN UNIT: SEP - 4 2015 TAX & FINANCE UNIT	REVIEWING ATTORNEY/ADVOCATE: Kristen
DATE/TIME OUT OF UNIT:	
DOJ ATTORNEY / ADVOCATE COMMENTS	
REVIEWED BY: (PRINT) Karlson	DATE / TIME 9/10/15 4:05 PM
SURNAMED BY: (PRINT) Karl Spruett	DATE / TIME 9/19/15 8:25 PM
DOJ Secretary Called:	for Document Pick Up on at By:
PICKED UP BY: (PRINT)	DATE / TIME:

NNDOJ/DRRF-July 2013

Sponsors: CM