LEGISLATIVE SUMMARY SHEET
Tracking No. 0013-21

DATE: January 27, 2021

TITLE OF RESOLUTION: AN ACTION RELATING TO AN EMERGENCY FOR THE NAVAJO NATION COUNCIL; APPROVING A SUPPLEMENTAL APPROPRIATION FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE TOTAL AMOUNT OF THIRTY-TWO MILLION ONE HUNDRED NINETY-THREE THOUSAND FIVE HUNDRED FOURTEEN DOLLARS ($32,193,514) TO THE NAVAJO NATION GAMING ENTERPRISE, NAVAJO TRIBAL UTILITY AUTHORITY, NAVAJO NATION HOSPITALITY ENTERPRISE, NAVAJO NATION SHOPPING CENTERS, INC., AND NAVAJO ENGINEERING AND CONSTRUCTION AUTHORITY; WAIVING 12 N.N.C. §§ 820 (E), (F), AND (J) OF THE APPROPRIATIONS ACT

PURPOSE: The purpose of this resolution is to approve a supplemental appropriation for enterprises of the Navajo Nation.

This written summary does not address recommended amendments as may be provided by the standing committees. The Office of Legislative Counsel requests each Council Delegate to review each proposed resolution in detail.

PROPOSED NAVAJO NATION COUNCIL RESOLUTION

24th NAVAJO NATION COUNCIL – Third Year, 2021

INTRODUCED BY

(Prime Sponsor)

TRACKING NO. 0013-21

AN ACTION

RELATING TO AN EMERGENCY FOR THE NAVAJO NATION COUNCIL; APPROVING A SUPPLEMENTAL APPROPRIATION FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE TOTAL AMOUNT OF THIRTY-TWO MILLION ONE HUNDRED NINETY-THREE THOUSAND FIVE HUNDRED FOURTEEN DOLLARS ($32,193,514) TO THE NAVAJO NATION GAMING ENTERPRISE, NAVAJO TRIBAL UTILITY AUTHORITY, NAVAJO NATION HOSPITALITY ENTERPRISE, NAVAJO NATION SHOPPING CENTERS, INC., AND NAVAJO ENGINEERING AND CONSTRUCTION AUTHORITY; WAIVING 12 N.N.C. §§ 820 (E), (F), AND (J) OF THE APPROPRIATIONS ACT

BE IT ENACTED:

SECTION ONE. AUTHORITY

A. The Navajo Nation Council is the governing of body of the Navajo Nation. 2 N.N.C. § 102(A).

B. The Navajo Nation Code provides, "[m]atters constituting an emergency shall be limited to the cessation of law enforcement services, and disaster relief services, fire protection services or other direct services required as an entitlement under Navajo Nation or

Federal law, or which directly threaten the sovereignty of the Navajo Nation. Such an emergency matter must arise due to the pressing public need for such resolution(s) and must be a matter requiring final action by the Council. 2 N.N.C.§ 164(16).

SECTION TWO. TITLE 12 FINANCE ACT SUPPLEMENTAL APPROPRIATION REQUIREMENTS

A. The Navajo Nation Council has the authority to approve supplemental appropriations. 12 N.N.C. § 820(L). Pursuant to 12 N.N.C. § 820(L), "[t]he Navajo Nation Council may adopt and approve supplemental appropriations to the Annual Comprehensive Budget during the fiscal year. Supplemental appropriations of General Funds within the current fiscal year are permitted, if and when additional sources of revenues above and beyond the initial or current revenue projections are projected and which are also in excess of the reserve amount set forth at § 820(J)."

B. All requests for annual operating funds and supplemental funds shall be submitted to the Office of Management and Budget for budget impact analysis. 12 N.N.C. § 820(M).

C. When the Controller identifies additional sources of revenues above and beyond the initial or current revenue projections, supplemental appropriations may be approved by the Navajo Nation Council. 12 N.N.C. § 820(L).

D. Supplemental Appropriations made from non-recurring revenues shall only be made for non-recurring operations or purposes, as set forth at § 820(F). The Controller of the Navajo Nation shall be responsible for the designation of recurring and non-recurring revenues. 12 N.N.C. § 820(L).

E. The Nation shall budget all recurring operating expenses, including capital maintenance, from recurring revenues. Long term debt shall not be used to finance recurring operating expenses. 12 N.N.C. §820(E).

F. The Navajo Nation Code provides, "[t]he Nation shall restrict non-recurring revenues to budget non-recurring expenditures. In addition, non-recurring revenues will be budgeted only after an examination by the Controller to determine whether or not the revenues are subsidizing an imbalance between recurring revenues and expenditures, and expenditures may be authorized only if a long-term (three-five year) forecast shows that the operating

deficit will not continue. Otherwise, non-recurring revenues will be added to the Unreserved, Undesignated Fund Balance. This provision may be amended or waived by a two-thirds vote of the full Navajo Nation Council." 12 N.N.C. § 820(F).

SECTION THREE. FINDINGS

A. The novel coronavirus (or "COVID-19"), is a highly contagious and potentially fatal respiratory virus, that has severely impacted the Navajo Nation's and the Navajo Nation Enterprises' ability to generate revenue for the Navajo Nation and to maintain employment for Navajo People.

B. This Resolution is offered as an emergency due to the damaging economic impact COVID-19 has had within the Navajo Nation. The Navajo Nation Enterprises provide employment throughout the Navajo Nation, and should the enterprises be forced to close, or be unable to end layoffs, it will directly threaten the Navajo Nation's sovereignty.

C. The U.S. Department of Health and Human Services declared a Public Health Emergency related to the COVID-19 outbreak on January 31, 2020; and the World Health Organization declared a global pandemic due to COVID-19 on March 11, 2020.

D. The Navajo Nation Commission on Emergency Management, with the concurrence of Navajo Nation President Jonathan Nez, declared a Public Health State of Emergency on the Navajo Nation on March 11, 2020 due to COVID-19 in Resolution No. CEM 20-03-11. The Navajo Nation Department of Health subsequently issued numerous Public Health Emergency Orders. Public Health Emergency Orders 2020-001 through 2021-02 ("Public Health Emergency Orders") are incorporated by reference into this resolution.

E. Navajo Nation Enterprises have worked with Navajo leaders to reduce the spread of COVID-19 by closing their operations or restricting their operations to essential employees. As a result of closures caused by the COVID-19 pandemic, the Navajo Nation Enterprises are struggling to meet financial business obligations and keep employees in the work force.

F. The Navajo Nation Gaming Enterprise has implemented a layoff twice within approximately a 10-month period of nearly 1,000 members of the Navajo Nation

currently on layoff status until further notice, or until immediate relief funding can be received by the NNGE.

G. If the Enterprises do not receive COVID-19 relief funding, the Nation will risk the health, safety, and well-being of many Navajo People vulnerable to job loss and loss of health insurance, including the many dependent children, elders and other relatives who are also covered by the Enterprises' health benefits.

H. The Navajo Nation Enterprises listed below have submitted requests for supplemental funding from the Unreserved, Undesignated Fund Balance, along with budget forms, supplemental funding proposal summaries, and grant applications, for a total of $32,193,514:

1. Navajo Nation Gaming Enterprise has requested funding of $15,000,000 as indicated in **Exhibit A**;
2. Navajo Tribal Utility Authority has requested funding of $7,830,191 as indicated in **Exhibit B**;
3. Navajo Nation Shopping Centers, Inc. has requested funding of $903,541 as indicated in **Exhibit C**;
4. Navajo Engineering and Construction Authority has requested funding of $6,996,576 as indicated in **Exhibit D**; and
5. Navajo Nation Hospitality Enterprise has requested funding of $1,463,206, as indicated in **Exhibit E**.

I. Support for the Navajo Nation Enterprises is a priority in order for the Navajo Nation to remain competitive within their respective industries and to help recover from the devasting impacts of the COVID-19 pandemic.

J. The Controller has updated the balance of the UUFB and indicated that as of January 21, 2021, $63,795,352 is available for supplemental appropriations in Fiscal Year 2021.

K. In its approval of the Navajo Nation Budget Instruction Manual ("BIM") for Fiscal Year 2021, the Budget and Finance Committee recognized the difficulties involved in obtaining signatures on budget forms related to requests for appropriation. The Fiscal Year 2021 BIM, therefore, reflects that divisions and departments are encouraged, rather than

required, to have written concurrence from the appropriate Branch Chief or Division Director. Navajo Nation BIM, Fiscal Year 2021, Section XII.B.1.a.i.

L. The Office of the Controller has been requested to provide a memorandum to satisfy the requirements in 12 N.N.C. § 820 (L). Due to the emergency nature of this legislation, the memorandum was not ready at the time of drafting this resolution, but will be included as an exhibit when it is available.

M. The Office of Management and Budget has been requested to provide a memorandum to satisfy the requirements in 12 N.N.C. § 820 (M). Due to the emergency nature of this legislation, the memorandum was not ready at the time of drafting this resolution, but will be included as an exhibit when it is available.

SECTION FOUR. WAIVING 12 N.N.C. §§ 820 (E), (F), AND (J), TO THE EXTENT THESE PROVISIONS PREVENT THE UNRESERVED, UNDESIGNATED FUND BALANCE TO BE USED TO FUND RECURRING EXPENDITURES

The Navajo Nation Council hereby waives 12 N.N.C. §§ 820(E), (F) and (J) to allow UUFB funds to be used for recurring expenditures.

SECTION FIVE. APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF THIRTY-TWO MILLION ONE HUNDRED NINETY-THREE THOUSAND FIVE HUNDRED FOURTEEN DOLLARS ($32,193,514) TO THE NAVAJO NATION ENTERPRISES

A. This supplemental appropriation of $32,193,514 shall be from that amount of funds that exceeds the minimum fund balance of the Unreserved, Undesignated Fund Balance as determined by the Office of the Controller, and as shown in **Exhibit A-E**.

B. The Navajo Nation hereby approves supplemental funding from the Unreserved, Undesignated Fund Balance in the amount of $32,193,514 for funds as indicated in the documents in **Exhibit A-E**.

SECTION SIX. DIRECTIVE TO THE OFFICE OF THE CONTROLLER AND THE OFFICE OF MANAGEMENT AND BUDGET

In order to carry out the intent of the Navajo Nation Council in the approval of this legislation, expeditious implementation of this Resolution is of utmost importance. The Office of Management and Budget and the Office of the Controller are directed to take all steps necessary to give effect to this Resolution immediately after it becomes effective in Section Seven, below.

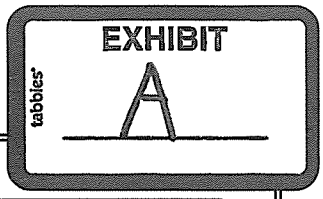
SECTION SEVEN. EFFECTIVE DATE

The provisions of this Action shall become effective in accordance with 2 N.N.C. § 221(B).

SECTION EIGHT. SAVING CLAUSE

Should any provision(s) of this Act be determined invalid by the Navajo Nation Supreme Court or the District Courts of the Navajo Nation, without appeal to the Navajo Nation Supreme Court, the remainder of the Act shall remain the law of the Navajo Nation.

THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY



PART I. Business Unit No.: NEW **Program Title:** Navajo Nation Gaming Enterprise

Division/Branch: TBD **Amount Requested:** $15,000,000 **Prepared By:** Adam Parker

Phone No.: (505) 862-3826 **Email Address:** adamparker@nnge.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

The Navajo Nation Gaming Enterprise (NNGE) is requesting funds to cover expenditures incurred during the closure of its casinos due to the COVID-19 public health mandate. NNGE is a cash-based business, and because of the closure is not able to generate revenues to cover fixed costs (including labor & benefits) and debt service.

PART III. LIST ALTERNATIVE FUNDING SOURCES BEING PURSUED AND CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

1) PPP Loans from SBA: $4,000,000 (maximum award for any corporate group is $4M)
2) Sihasin Fund: $15,000,000 (Requires a change to the fund management plan in order to receive funding for use on operating expenses during closure.)
3) Master Trust Fund Loan: $15,000,000 (Requires the refinancing of NNGE's existing senior loan with the Navajo Nation and concurrence of subordinate debt lenders.)

If UUFB request is not funded, NNGE will begin the process of permanent layoffs of 1,000 employees which includes an immediate loss of healthcare benefits for the employee and their dependents. (Employees are currently on temporary layoff wherein healthcare coverage remains intact). With the permanent layoff, all employees will be stripped of their gaming license certification. Upon reopening, the employee will need to go through the gaming licensing process.

NNGE will only have funds to pay for limited security staff to guard the casino properties and perform limited maintenance on the facilities.

NNGE will sit idle until the green light is given to reopen and rehire staff. Rehiring after a permanent layoff is projected to take 6-12 weeks at a cost of $1.5M or $1,500 per employee for licensing.

Prior to reopening, the Navajo Nation will still be required to infuse a minimum of $25M into NNGE to replenish its cages and working capital. (If closure extends beyond April 2nd.)

The process of obtaining funding through Sihasin fund and/or loan from Master Trust Fund is a lengthy process. NNGE does not have the time or cash to pay staff, legal counsel, or consultants during the closing process that could last 2-6 months.

PART IV. AFFIRMATION IS PROVIDED THAT THE BUDGET AND PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL AS A PRIORITY.

REVIEWED BY: Division Director's Signature / Date

RECOMMEND SUPLEMENTAL: Branch Chief's Signature / Date 12/6/2020

THE NAVAJO NATION
GRANT APPLICATION

Name of Entity: Navajo Nation Gaming Enterprise		Telephone: (505) 905-7100
Mailing Address: PO Box 1700 Church Rock, NM 87311		E-mail: adamparker@nnge.org
Physical Address: 249 E. NM 118 Church Rock, NM 87311		IRS TIN/EIN #: 26-0300499
Website Address: www.navajogaming.com		DUNS #:
Grant Amount Requested: $ 15,000,000	Grant Start Date: 01/01/21	Grant End Date: 09/30/21

DESCRIPTION OF ENTITY OR ORGANIZATION

Describe the entity or organization requesting the grant.

The Navajo Nation Gaming Enterprise was created by NNC CS-34-06 as the tribal gaming enterprise to conduct gaming operations within the Navajo Nation under the auspice of the Indian Gaming Regulatory Act of 1988 (P.L. 100-47), the NN Gaming Ordinance (5 N.N.C. Sec. 2001 et seq), the gaming compacts with Arizona and New Mexico, and to generate gaming revenues.

PROBLEMS/NEEDS STATEMENT

Describe the problems and needs identified to be addressed through this funding request. Include relevant data such as the number and location of the Navajo population to be served.

NNGE was forced to close its 4 casinos on March 17, 2020 due to Navajo Nation public health orders related to COVID-19. As of January 17, 2021, a full 10-months later, NNGE's casinos still remain closed.

NNGE has fully exhausted its capital set asides, PPP Funds, and CARES Allocation to maintain operations and employees during closure. During the closure period, the Enterprise has NOT generated any revenue which translates into lost cash flow of approximately $47.8M. During normal operations of the Enterprise, this cash flow is used to pay debt service to the Navajo Nation, fund development projects that create new jobs and reinvest in vital infrastructure to support present and future operations of the Enterprise.

NNGE has temporarily laid off nearly 1,000 employees until funding is made available to replenish its cage and working capital requirements, and the Navajo Nation authorizes the reopening.

METHODOLOGY

Describe the services to be provided with the requested funds and how the services will address the problems and needs identified above.

Funds will be used to:
1) Replenish funds to casino cage/vaults
2) Payroll expenses
3) Healthcare Coverage for all 1,100 employees
4) Fixed Operating Expenses to ensure casino structures are maintained
5) Reopening Funds

NAVAJO NATION BRANCH OR DIVISION THROUGH WHICH THE REQUEST WILL BE PROCESSED

Navajo Nation Division or Branch:	Telephone number:
Principal contact person: Christine McLain	(505) 728-9288

FY 2021

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

BUDGET FORM 1

PART I. Business Unit No.: NEW Program Title: Navajo Nation Gaming Enterprise Division/Branch: N/A

Prepared By: Adam Parker Phone No.: (505) 862-3826 Email Address: adamparker@nnge.org

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total
UUFB	FY 2021	15,000,000.00	100%
	TOTAL:	$15,000,000.00	100%

PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
2001 Personnel Expenses				
3000 Travel Expenses				
3500 Meeting Expenses				
4000 Supplies				
5000 Lease and Rental				
5500 Communications and Utilities				
6000 Repairs and Maintenance				
6500 Contractual Services				
7000 Special Transactions				
8000 Public Assistance		0	15,000,000	15,000,000
9000 Capital Outlay				
9500 Matching Funds				
9500 Indirect Cost				
TOTAL		$0.00	15,000,000.00	15,000,000

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:	0	0
Total # of Vehicles Budgeted:	0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Christine McLain
Program Manager's Printed Name

1/26/2021
Program Manager's Signature and Date

APPROVED BY: JT Willie
Division Director / Branch Chief's Printed Name

1/26/2021
Division Director / Branch Chief's Signature and Date

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:

Business Unit No.: NEW **Program Name/Title:** Navajo Nation Gaming Enterprise

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

The Navajo Nation Gaming Enterprise was created by NNC CS-34-06 as the tribal gaming enterprise to conduct gaming operations within the Navajo Nation under the auspice of the Indian Gaming Regulatory Act of 1988 (P.L. 100-47), the NN Gaming Ordinance (5 N.N.C. Sec. 2001 et seq), the gaming compacts with Arizona and New Mexico, and to generate gaming revenues.

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: Replenish Cage Cash for Opening								
Program Performance Measure: Meet minimum bankroll (per NIGC) and internal imprest amounts			2					
2. Goal Statement: Ensure healthcare benefits all employees and dependents								
Program Performance Measure: Fund healthcare plan during casino closures			2					
3. Goal Statement: Stay current on obligations during closure of casinos								
Program Performance Measure: Outstanding payables and obligations brought current.			2					
4. Goal Statement: Replenish Working Capital to Reopen								
Program Performance Measure: Fund reopening and ramp-up upon reopening			2					
5. Goal Statement: Cover payroll during reopening not covered by the Federal Paycheck Protection Program (PPP)								
Program Performance Measure: Fund Twin Arrow's payroll during reopening			2					

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Christine McLain
Program Manager's Printed Name

1/26/2021
Program Manager's Signature and Date

JT Willie
Division Director/Branch Chief's Printed Name

1/26/2021
Division Director/Branch Chief's Signature and Date

PART I. PROGRAM INFORMATION:

ıam Name/Title: Navajo Nation Gaming Enterprise **Business Unit No.:** NEW

PART II. DETAILED BUDGET:

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8780	Entities (8785 - Entity Grants)		15,000,000
	Reimbursement of Funds to NNGE Casino Cage/Vaults - $6,870,000 (Funds used in Jan & Feb to cover both Payroll & Fixed Operating Expenses) *Casino vaults must meet Federal NIGC MBR and Activity Imprest requirements to reopen casinos.	6,870,000	
	Payroll Related Costs (Not Covered by PPP) - $1,925,000 Salaries & Wages - $1,694,000 Fringe (FICA, UIT, Workers Comp, etc.) - $231,000	1,925,000	
	Healthcare Coverage $2,400,000 Employees & Dependent Coverage (1100 team members) - $2,400,000 *Covid-19 Claims	2,400,000	
	Fixed Operating Expenses - $800,000	800,000	
	Reopening Funds - $3,005,000 (Reopening of the enterprise) Reopening Inventory Purchases - $750K (Repurchase food/beverage/other perishable items for FR/FW/NE/TA) Direct Marketing - $900K (FR-$200K FW-$100K NE-$200K TA- $400K) Prepaid Insurance - $1.4M (FR-$206K FW-$15K NE-$110K TA-$882K NNGE-$142K)	3,005,000	
	TOTAL	15,000,000	15,000,000

Appendix K

EXHIBIT B

THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: _____ **Program Title:** Navajo Tribal Utility Authority

Division/Branch: _____ **Amount Requested:** $7,830,191 **Prepared By:** Gerard Curley, Deputy CFO

Phone No.: (928)729-6258 **Email Address:** gerardc@ntua,com

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

NTUA is an essential business for the Navajo people. NTUA provides utility services in regards to electrcity, water, wastewater, gas, photovatalic, telecommunications, and solar generation.

The Coronavirus (COVID-19) has battered the Navajo Nation especially hard, and the Navajo Nation government 'responded with shelter-in place orders, mandatory curfews, weekend lockdowns and work-from-home orders for all non-essential employee

The Coronavirus Aid, Relief and Economic Security Act, Pub. L. No.116-136, 134 Stat. 281 (2020 (the "CARES Act"), the Navajo Nation received $714,189,631.47 Navajo CARES Fund as the Nation's share of the U.S. Treasury's initial distributions of the CARES Act, of which NTUA received $147,116,561 under Legislation No. CJY-67-20

Under extremely stressful conditions during the COVID-19 pandemic and while the Navajo Nation was under lockdown orders, NTUA employees, defined as essential employees, worked long hours and time away from their families in order to construct as many projects as possible by the December 30, 2020 deadline under CJY-67-20

NTUA employees (808) worked more than 1,000,000 + hours during the period 3/13/2020 to 12/31/2020. This amounted to a calculated hazard pay cost of $7,830,191.

PART III. LIST ALTERNATIVE FUNDING SOURCES BEING PURSUED AND CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

NTUA will not have alternative resources for funding hazard pay as we are not for profit

PART IV. AFFIRMATION IS PROVIDED THAT THE BUDGET AND PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL AS A PRIORITY.

4/29/2021

REVIEWED BY: Division Director's Signature / Date

1/26/21 1-22-21

RECOMMEND SUPLEMENTAL: Branch Chief's Signature / Date

THE NAVAJO NATION
GRANT APPLICATION

Name of Entity: Navajo Tribal Utility Authority (NTUA)		Telephone: 928-729-6258
Mailing Address: PO Box 170, Fort Defiance AZ 86504		E-mail: gerardc@ntua.com
Physical Address:		IRS TIN/EIN #: 86-0204662
Website Address: www.ntua.com		DUNS #:
Grant Amount Requested: $ 7,830,191	Grant Start Date:	Grant End Date:

DESCRIPTION OF ENTITY OR ORGANIZATION

Describe the entity or organization requesting the grant.

The Navajo Tribal Utility Authority (NTUA) was established on January 22, 1959, to address the absence of utilities on the 27,000 square-mile Navajo Nation. NTUA is organized for the operation, maintenance and expansion of electric, communications, natural gas, water, wastewater and generation, including photovoltaic (solar) services for the Navajo people at a low and reasonable cost.

PROBLEMS/NEEDS STATEMENT

Describe the problems and needs identified to be addressed through this funding request. Include relevant data such as the number and location of the Navajo population to be served.

NTUA is an essential business for the Navajo people. NTUA provides utility services in regards to electricity, water, wastewater, gas, photovoltaic, telecommunications, and solar generation.
The Coronavirus (COVID-19) has battered the Navajo Nation especially hard, and the Navajo Nation government 'responded with shelter-in place orders, mandatory curfews, weekend lockdowns and work-from-home orders for all non-essential employee
The Coronavirus Aid, Relief and Economic Security Act, Pub. L. No.116-136, 134 Stat. 281 (2020 (the "CARES Act"), the Navajo Nation received $714,189,631.47 Navajo CARES Fund as the Nation's share of the U.S. Treasury's initial distributions of the CARES Act, of which NTUA received $147,116,561

METHODOLOGY

Describe the services to be provided with the requested funds and how the services will address the problems and needs identified above.

Under extremely stressful conditions during the COVID-19 pandemic and while the Navajo Nation was under lockdown orders, NTUA employees, defined as essential employees, worked long hours and time away from their families in order to construct as many projects as possible by the December 30, 2020 deadline under CJY-67-20
NTUA employees (808) worked more than 1,173,961 hours during the period 3/13/2020 to 12/31/2020. This amounted to a calculated hazard pay cost of $7,830,191.

NAVAJO NATION BRANCH OR DIVISION THROUGH WHICH THE REQUEST WILL BE PROCESSED

Navajo Nation Division or Branch:	Telephone number:
Principal contact person: Walter W. Haase 1/25/2021	928-729-6202

FY 2021

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

BUDGET FORM 1

PART I. Business Unit No.: NEW Program Title: NTUA Division/Branch: N/A

Prepared By: Gerard Curley, Deputy CFO Phone No.: (928)729-6258 Email Address: gerardc@ntua.com

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total
UUFB	10/01/20-9/30/21	7,830,191.00	100%
	TOTAL:	$7,830,191.00	100%

PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
2001 Personnel Expenses				
3000 Travel Expenses				
3500 Meeting Expenses				
4000 Supplies				
5000 Lease and Rental				
5500 Communications and Utilities				
6000 Repairs and Maintenance				
6500 Contractual Services				
7000 Special Transactions				
8000 Public Assistance			7,830,191	7,830,191
9000 Capital Outlay				
9500 Matching Funds				
9500 Indirect Cost				
TOTAL		$0.00	7,830,190.55	7,830,191

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:		
Total # of Vehicles Budgeted:		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Walter W. Haase, NTUA General Manager
Program Manager's Printed Name

Walter W. Haase 4/25/2021
Program Manager's Signature and Date

APPROVED BY: Dr. Pearl Yellowman, NN DCD Executive Director
Division Director / Branch Chief's Printed Name

Pearl Yellowman
Division Director / Branch Chief's Signature and Date

FY 2021

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: NEW **Program Name/Title:** NTUA

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

The Navajo Tribal Utility Authority (NTUA) was established on January 22, 1959, to address the absence of utilities on the 27,000 square-mile Navajo Nation. NTUA is organized for the operation, maintenance and expansion of electric, communications, natural gas, water, wastewater and generation, including photovoltaic (solar) services for the Navajo people at a low and reasonable cost. In addition to providing multi-utility services, other objectives of NTUA are to promote employment opportunities on the Navajo Nation, and to improve the health and welfare of the residents of the Navajo Nation while raising the standard of life

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: To provide essential utility services to the Navajo people								
Program Performance Measure: NTUA employees (808) worked 1,173,961 hours during the period 3/13/20 - 12/31/20	55,817	55,817	398,902	398,902	397,388	397,388	321,853	321,853
2. Goal Statement:								
Program Performance Measure:								
3. Goal Statement:								
Program Performance Measure:								
4. Goal Statement:								
Program Performance Measure:								
5. Goal Statement:								
Program Performance Measure:								

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Walter W. Haase, General Manager NTUA
Program Manager's Printed Name

1/25/2021
Program Manager's Signature and Date

Pearl Yellowman
Division Director/Branch Chief's Printed Name

Pearl Yellowman 1.26.21
Division Director/Branch Chief's Signature and Date

FY 2021

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

BUDGET FORM 4

PART I. PROGRAM INFORMATION:
Program Name/Title: NTUA Business Unit No.: NTUA

PART II. DETAILED BUDGET:

(A) Object Code (LOD 6)	(B) Object Code Description and Justification (LOD 7)	(C) Total by DETAILED Object Code (LOD 6)	(D) Total by MAJOR Object Code (LOD 4)
	8000 Assistance		7,830,191
8780	Entities		
	8785 - Entity Grant	7,830,191	
	Navajo Tribal Utility Authority Hazrad Pay for period 03/13/2020 - 12/30/2020		
	NTUA assumed that government employees, e.g. public power employees, who are dealing with the public should be compensated an additional $13/per hour (Congress legislation at the time) related to hazardous conditions related to exposure to COVID 19, not exceed $10,000/per annum (excluding teleworking).		
	Attached is the detail per NTUA employee number		
	TOTAL	7,830,191	7,830,191

NTUA - Hazard Pay Schedule
03/13/2020 - 12/30/2020

	7,830,190.55	808
Employee Number	TOTAL HAZARD PAY	Eligible Headcount
1006	10,000.00	1
1032	10,000.00	1
1033	10,000.00	1
1036	10,000.00	1
1046	9,249.50	1
1068	10,000.00	1
1076	10,000.00	1
1105	10,000.00	1
1113	10,000.00	1
1125	10,000.00	1
1164	10,000.00	1
1171	10,000.00	1
1183	8,476.00	1
1185	10,000.00	1
1186	10,000.00	1
1214	8,216.00	1
1222	10,000.00	1
1282	10,000.00	1
1292	10,000.00	1
1302	10,000.00	1
1306	10,000.00	1
1320	10,000.00	1
1328	10,000.00	1
1335	10,000.00	1
1348	10,000.00	1
1361	10,000.00	1
1382	10,000.00	1
1399	10,000.00	1
1445	10,000.00	1
1464	9,408.75	1
1469	10,000.00	1
1490	10,000.00	1
1514	10,000.00	1
1527	10,000.00	1
1539	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
1566	10,000.00	1
1572	10,000.00	1
1588	10,000.00	1
1603	10,000.00	1
1607	10,000.00	1
1678	10,000.00	1
1711	10,000.00	1
1714	10,000.00	1
1718	10,000.00	1
1749	10,000.00	1
1793	10,000.00	1
1795	10,000.00	1
1796	10,000.00	1
1800	10,000.00	1
1806	10,000.00	1
1854	10,000.00	1
1855	10,000.00	1
1859	10,000.00	1
1860	10,000.00	1
1863	10,000.00	1
1880	10,000.00	1
1910	10,000.00	1
1957	10,000.00	1
1964	10,000.00	1
1972	10,000.00	1
1980	10,000.00	1
1981	10,000.00	1
1984	10,000.00	1
1986	10,000.00	1
1998	10,000.00	1
2004	10,000.00	1
2007	10,000.00	1
2051	10,000.00	1
2119	10,000.00	1
2138	10,000.00	1
2158	10,000.00	1
2165	10,000.00	1
2177	10,000.00	1
2184	10,000.00	1
2202	10,000.00	1
2205	10,000.00	1
2206	10,000.00	1
2232	10,000.00	1
2238	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
2243	10,000.00	1
2283	10,000.00	1
2286	10,000.00	1
2307	10,000.00	1
2311	10,000.00	1
2325	10,000.00	1
2329	10,000.00	1
2339	10,000.00	1
2358	10,000.00	1
2371	10,000.00	1
2392	10,000.00	1
2397	10,000.00	1
2398	10,000.00	1
2405	10,000.00	1
2424	10,000.00	1
2434	10,000.00	1
2459	10,000.00	1
2466	10,000.00	1
2467	10,000.00	1
2470	10,000.00	1
2471	10,000.00	1
2475	10,000.00	1
2493	10,000.00	1
2502	10,000.00	1
2516	10,000.00	1
2523	10,000.00	1
2533	10,000.00	1
2552	10,000.00	1
2553	10,000.00	1
2557	10,000.00	1
2561	10,000.00	1
2569	10,000.00	1
2582	10,000.00	1
2586	10,000.00	1
2602	10,000.00	1
2603	10,000.00	1
2604	10,000.00	1
2609	10,000.00	1
2611	10,000.00	1
2612	10,000.00	1
2613	10,000.00	1
2628	10,000.00	1
2636	10,000.00	1
2639	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
2642	10,000.00	1
2671	10,000.00	1
2690	10,000.00	1
2701	10,000.00	1
2714	10,000.00	1
2715	10,000.00	1
2721	10,000.00	1
2723	10,000.00	1
2729	10,000.00	1
2754	10,000.00	1
2756	10,000.00	1
2760	10,000.00	1
2762	10,000.00	1
2767	10,000.00	1
2773	10,000.00	1
2774	10,000.00	1
2779	10,000.00	1
2781	10,000.00	1
2782	10,000.00	1
2787	10,000.00	1
2801	10,000.00	1
2806	10,000.00	1
2807	10,000.00	1
2821	10,000.00	1
2822	10,000.00	1
2833	10,000.00	1
2839	10,000.00	1
2840	10,000.00	1
2847	10,000.00	1
2861	10,000.00	1
2878	10,000.00	1
2886	10,000.00	1
2899	10,000.00	1
2903	10,000.00	1
2908	10,000.00	1
2914	10,000.00	1
2916	10,000.00	1
2921	10,000.00	1
2926	10,000.00	1
2935	10,000.00	1
2939	10,000.00	1
2943	10,000.00	1
2949	10,000.00	1
2952	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
2973	10,000.00	1
2980	10,000.00	1
2983	10,000.00	1
2994	10,000.00	1
2999	10,000.00	1
3018	10,000.00	1
3022	10,000.00	1
3024	10,000.00	1
3026	10,000.00	1
3027	10,000.00	1
3029	10,000.00	1
3031	10,000.00	1
3032	10,000.00	1
3044	10,000.00	1
3045	10,000.00	1
3057	10,000.00	1
3061	10,000.00	1
3065	10,000.00	1
3073	10,000.00	1
3082	10,000.00	1
3095	10,000.00	1
3097	10,000.00	1
3099	10,000.00	1
3100	10,000.00	1
3101	10,000.00	1
3106	10,000.00	1
3108	10,000.00	1
3113	10,000.00	1
3117	10,000.00	1
3119	10,000.00	1
3120	10,000.00	1
3124	10,000.00	1
3125	10,000.00	1
3132	10,000.00	1
3133	10,000.00	1
3134	10,000.00	1
3135	10,000.00	1
3140	10,000.00	1
3146	10,000.00	1
3156	10,000.00	1
3161	10,000.00	1
3162	10,000.00	1
3166	10,000.00	1
3170	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
3174	10,000.00	1
3178	10,000.00	1
3181	10,000.00	1
3182	10,000.00	1
3184	10,000.00	1
3208	10,000.00	1
3218	10,000.00	1
3222	10,000.00	1
3240	10,000.00	1
3241	10,000.00	1
3243	10,000.00	1
3245	10,000.00	1
3253	10,000.00	1
3254	10,000.00	1
3255	10,000.00	1
3264	10,000.00	1
3273	10,000.00	1
3279	10,000.00	1
3280	10,000.00	1
3281	10,000.00	1
3285	3,796.00	1
3298	10,000.00	1
3304	10,000.00	1
3311	10,000.00	1
3316	10,000.00	1
3321	10,000.00	1
3322	10,000.00	1
3323	10,000.00	1
3332	10,000.00	1
3333	10,000.00	1
3342	10,000.00	1
3346	10,000.00	1
3347	10,000.00	1
3348	10,000.00	1
3356	10,000.00	1
3363	10,000.00	1
3365	10,000.00	1
3385	10,000.00	1
3386	10,000.00	1
3390	10,000.00	1
3391	10,000.00	1
3406	10,000.00	1
3413	10,000.00	1
3425	6,812.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
3429	10,000.00	1
3431	10,000.00	1
3432	10,000.00	1
3437	10,000.00	1
3439	10,000.00	1
3443	10,000.00	1
3452	10,000.00	1
3455	10,000.00	1
3456	10,000.00	1
3461	10,000.00	1
3462	10,000.00	1
3466	10,000.00	1
3469	10,000.00	1
3472	10,000.00	1
3473	10,000.00	1
3475	10,000.00	1
3481	10,000.00	1
3483	10,000.00	1
3486	10,000.00	1
3503	10,000.00	1
3508	10,000.00	1
3509	10,000.00	1
3517	10,000.00	1
3519	10,000.00	1
3521	10,000.00	1
3522	10,000.00	1
3524	10,000.00	1
3529	10,000.00	1
3530	10,000.00	1
3531	10,000.00	1
3532	10,000.00	1
3534	10,000.00	1
3539	10,000.00	1
3542	10,000.00	1
3548	10,000.00	1
3549	10,000.00	1
3551	10,000.00	1
3556	10,000.00	1
3557	10,000.00	1
3560	10,000.00	1
3565	10,000.00	1
3566	10,000.00	1
3588	10,000.00	1
3589	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
3590	10,000.00	1
3592	10,000.00	1
3607	10,000.00	1
3609	10,000.00	1
3625	10,000.00	1
3627	10,000.00	1
3635	10,000.00	1
3637	9,880.00	1
3646	10,000.00	1
3649	10,000.00	1
3652	10,000.00	1
3653	10,000.00	1
3654	9,964.50	1
3657	10,000.00	1
3660	10,000.00	1
3661	10,000.00	1
3667	10,000.00	1
3668	10,000.00	1
3669	10,000.00	1
3670	10,000.00	1
3678	10,000.00	1
3680	10,000.00	1
3682	9,555.00	1
3685	10,000.00	1
3690	10,000.00	1
3691	10,000.00	1
3694	10,000.00	1
3697	10,000.00	1
3698	4,992.00	1
3702	10,000.00	1
3709	10,000.00	1
3711	10,000.00	1
3713	10,000.00	1
3732	10,000.00	1
3734	10,000.00	1
3735	10,000.00	1
3739	10,000.00	1
3742	10,000.00	1
3743	10,000.00	1
3744	10,000.00	1
3745	10,000.00	1
3748	10,000.00	1
3750	10,000.00	1
3751	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
3753	10,000.00	1
3756	10,000.00	1
3758	10,000.00	1
3761	10,000.00	1
3767	10,000.00	1
3774	10,000.00	1
3776	10,000.00	1
3780	10,000.00	1
3788	10,000.00	1
3790	10,000.00	1
3794	10,000.00	1
3795	10,000.00	1
3797	10,000.00	1
3798	10,000.00	1
3801	10,000.00	1
3802	7,858.50	1
3805	10,000.00	1
3807	10,000.00	1
3808	10,000.00	1
3810	10,000.00	1
3811	9,399.00	1
3812	10,000.00	1
3814	10,000.00	1
3819	10,000.00	1
3826	10,000.00	1
3827	10,000.00	1
3828	10,000.00	1
3834	10,000.00	1
3837	10,000.00	1
3846	10,000.00	1
3847	10,000.00	1
3850	10,000.00	1
3852	10,000.00	1
3854	10,000.00	1
3859	10,000.00	1
3862	10,000.00	1
3865	10,000.00	1
3868	10,000.00	1
3869	10,000.00	1
3870	10,000.00	1
3875	10,000.00	1
3877	10,000.00	1
3879	10,000.00	1
3884	2,080.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
3886	10,000.00	1
3887	10,000.00	1
3892	10,000.00	1
3896	10,000.00	1
3897	10,000.00	1
3900	10,000.00	1
3901	10,000.00	1
3905	10,000.00	1
3906	10,000.00	1
3908	10,000.00	1
3909	10,000.00	1
3912	10,000.00	1
3916	10,000.00	1
3919	10,000.00	1
3925	10,000.00	1
3926	10,000.00	1
3931	10,000.00	1
3932	10,000.00	1
3934	10,000.00	1
3936	10,000.00	1
3939	10,000.00	1
3941	10,000.00	1
3943	10,000.00	1
3946	10,000.00	1
3947	10,000.00	1
3948	10,000.00	1
3949	10,000.00	1
3952	10,000.00	1
3953	10,000.00	1
3959	10,000.00	1
3961	10,000.00	1
3962	10,000.00	1
3963	10,000.00	1
3967	10,000.00	1
3968	10,000.00	1
3970	10,000.00	1
3973	10,000.00	1
3975	10,000.00	1
3976	10,000.00	1
3978	10,000.00	1
3979	10,000.00	1
3980	10,000.00	1
3983	10,000.00	1
3987	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
3989	10,000.00	1
3995	10,000.00	1
3997	10,000.00	1
4002	10,000.00	1
4007	10,000.00	1
4008	10,000.00	1
4012	10,000.00	1
4013	10,000.00	1
4014	10,000.00	1
4017	10,000.00	1
4018	10,000.00	1
4020	10,000.00	1
4023	10,000.00	1
4024	10,000.00	1
4027	10,000.00	1
4028	10,000.00	1
4029	10,000.00	1
4032	10,000.00	1
4033	4,680.00	1
4036	10,000.00	1
4040	10,000.00	1
4050	10,000.00	1
4056	10,000.00	1
4059	10,000.00	1
4062	10,000.00	1
4064	10,000.00	1
4065	10,000.00	1
4066	10,000.00	1
4073	10,000.00	1
4074	10,000.00	1
4076	10,000.00	1
4081	10,000.00	1
4083	10,000.00	1
4086	10,000.00	1
4089	10,000.00	1
4090	10,000.00	1
4094	10,000.00	1
4097	10,000.00	1
4111	8,697.00	1
4112	10,000.00	1
4113	10,000.00	1
4115	10,000.00	1
4118	10,000.00	1
4122	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4123	10,000.00	1
4126	10,000.00	1
4130	10,000.00	1
4131	10,000.00	1
4132	10,000.00	1
4133	10,000.00	1
4135	10,000.00	1
4136	10,000.00	1
4139	10,000.00	1
4146	10,000.00	1
4147	10,000.00	1
4149	10,000.00	1
4150	10,000.00	1
4152	10,000.00	1
4153	10,000.00	1
4154	10,000.00	1
4155	10,000.00	1
4156	10,000.00	1
4159	10,000.00	1
4161	10,000.00	1
4162	10,000.00	1
4165	10,000.00	1
4168	10,000.00	1
4173	10,000.00	1
4184	10,000.00	1
4186	10,000.00	1
4187	10,000.00	1
4188	10,000.00	1
4191	10,000.00	1
4194	10,000.00	1
4196	10,000.00	1
4197	10,000.00	1
4200	10,000.00	1
4202	10,000.00	1
4206	10,000.00	1
4209	10,000.00	1
4210	10,000.00	1
4211	10,000.00	1
4214	10,000.00	1
4216	10,000.00	1
4220	10,000.00	1
4221	10,000.00	1
4222	10,000.00	1
4225	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4229	10,000.00	1
4232	10,000.00	1
4234	10,000.00	1
4236	10,000.00	1
4237	10,000.00	1
4239	10,000.00	1
4242	10,000.00	1
4243	10,000.00	1
4246	10,000.00	1
4247	10,000.00	1
4249	10,000.00	1
4250	10,000.00	1
4254	10,000.00	1
4256	10,000.00	1
4258	10,000.00	1
4259	10,000.00	1
4261	10,000.00	1
4262	10,000.00	1
4264	10,000.00	1
4267	10,000.00	1
4271	10,000.00	1
4277	10,000.00	1
4282	10,000.00	1
4283	10,000.00	1
4284	10,000.00	1
4286	10,000.00	1
4288	10,000.00	1
4289	10,000.00	1
4290	10,000.00	1
4291	10,000.00	1
4293	10,000.00	1
4295	10,000.00	1
4297	10,000.00	1
4299	10,000.00	1
4302	10,000.00	1
4303	10,000.00	1
4306	10,000.00	1
4307	10,000.00	1
4309	10,000.00	1
4312	10,000.00	1
4317	10,000.00	1
4319	10,000.00	1
4322	10,000.00	1
4324	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4326	10,000.00	1
4327	10,000.00	1
4328	9,756.50	1
4329	9,483.50	1
4330	10,000.00	1
4331	10,000.00	1
4334	10,000.00	1
4335	10,000.00	1
4337	10,000.00	1
4338	10,000.00	1
4340	10,000.00	1
4342	10,000.00	1
4343	10,000.00	1
4344	10,000.00	1
4349	10,000.00	1
4354	10,000.00	1
4355	10,000.00	1
4357	7,384.00	1
4359	10,000.00	1
4373	10,000.00	1
4376	10,000.00	1
4377	10,000.00	1
4379	10,000.00	1
4382	10,000.00	1
4383	10,000.00	1
4385	10,000.00	1
4386	10,000.00	1
4387	10,000.00	1
4389	10,000.00	1
4391	10,000.00	1
4392	10,000.00	1
4395	10,000.00	1
4398	10,000.00	1
4400	10,000.00	1
4402	10,000.00	1
4403	10,000.00	1
4405	10,000.00	1
4407	10,000.00	1
4408	10,000.00	1
4411	10,000.00	1
4413	10,000.00	1
4414	10,000.00	1
4415	10,000.00	1
4416	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4417	10,000.00	1
4418	10,000.00	1
4421	10,000.00	1
4423	10,000.00	1
4424	10,000.00	1
4425	10,000.00	1
4426	10,000.00	1
4427	10,000.00	1
4428	10,000.00	1
4429	10,000.00	1
4430	10,000.00	1
4431	10,000.00	1
4432	10,000.00	1
4433	10,000.00	1
4437	10,000.00	1
4439	10,000.00	1
4441	10,000.00	1
4442	10,000.00	1
4444	10,000.00	1
4445	10,000.00	1
4449	10,000.00	1
4450	10,000.00	1
4451	10,000.00	1
4459	10,000.00	1
4462	10,000.00	1
4466	10,000.00	1
4469	10,000.00	1
4470	10,000.00	1
4474	10,000.00	1
4475	10,000.00	1
4477	10,000.00	1
4479	10,000.00	1
4481	10,000.00	1
4485	10,000.00	1
4486	10,000.00	1
4487	10,000.00	1
4488	10,000.00	1
4489	10,000.00	1
4491	10,000.00	1
4499	10,000.00	1
4500	10,000.00	1
4501	10,000.00	1
4502	10,000.00	1
4504	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4505	10,000.00	1
4506	10,000.00	1
4508	10,000.00	1
4509	10,000.00	1
4510	10,000.00	1
4511	10,000.00	1
4513	10,000.00	1
4518	10,000.00	1
4520	10,000.00	1
4522	10,000.00	1
4523	7,540.00	1
4524	10,000.00	1
4525	10,000.00	1
4526	10,000.00	1
4527	10,000.00	1
4529	9,737.00	1
4530	10,000.00	1
4531	10,000.00	1
4534	10,000.00	1
4535	10,000.00	1
4536	10,000.00	1
4537	10,000.00	1
4539	10,000.00	1
4540	10,000.00	1
4542	10,000.00	1
4543	10,000.00	1
4545	10,000.00	1
4547	10,000.00	1
4548	7,280.00	1
4550	10,000.00	1
4551	10,000.00	1
4552	3,484.00	1
4555	10,000.00	1
4556	10,000.00	1
4557	10,000.00	1
4558	10,000.00	1
4561	10,000.00	1
4566	10,000.00	1
4567	10,000.00	1
4568	10,000.00	1
4570	10,000.00	1
4572	10,000.00	1
4574	10,000.00	1
4575	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4576	10,000.00	1
4579	10,000.00	1
4581	10,000.00	1
4582	10,000.00	1
4583	10,000.00	1
4584	10,000.00	1
4585	10,000.00	1
4587	10,000.00	1
4588	10,000.00	1
4589	10,000.00	1
4591	10,000.00	1
4593	10,000.00	1
4594	10,000.00	1
4595	10,000.00	1
4599	10,000.00	1
4602	10,000.00	1
4603	10,000.00	1
4604	10,000.00	1
4605	10,000.00	1
4606	10,000.00	1
4607	10,000.00	1
4609	10,000.00	1
4611	10,000.00	1
4613	10,000.00	1
4614	10,000.00	1
4615	10,000.00	1
4617	10,000.00	1
4619	10,000.00	1
4620	10,000.00	1
4622	10,000.00	1
4623	10,000.00	1
4625	10,000.00	1
4627	10,000.00	1
4628	10,000.00	1
4629	10,000.00	1
4630	10,000.00	1
4631	9,997.00	1
4632	10,000.00	1
4634	10,000.00	1
4635	10,000.00	1
4636	10,000.00	1
4637	10,000.00	1
4638	10,000.00	1
4639	10,000.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4640	10,000.00	1
4643	10,000.00	1
4644	10,000.00	1
4646	10,000.00	1
4647	10,000.00	1
4648	10,000.00	1
4649	10,000.00	1
4650	10,000.00	1
4652	10,000.00	1
4653	10,000.00	1
4656	10,000.00	1
4658	10,000.00	1
4659	10,000.00	1
4660	10,000.00	1
4661	10,000.00	1
4662	10,000.00	1
4665	10,000.00	1
4666	10,000.00	1
4667	10,000.00	1
4668	10,000.00	1
4669	10,000.00	1
4670	10,000.00	1
4671	10,000.00	1
4672	10,000.00	1
4673	10,000.00	1
4674	2,255.50	1
4675	9,704.50	1
4676	9,054.50	1
4677	9,444.50	1
4678	9,763.00	1
4679	9,698.00	1
4680	9,165.65	1
4681	9,158.50	1
4682	9,230.00	1
4683	5,161.00	1
4684	7,338.50	1
4685	7,930.00	1
4686	6,149.00	1
4687	3,230.50	1
4688	7,540.00	1
4689	4,576.00	1
4690	7,530.25	1
4691	6,883.50	1
4692	7,488.00	1

Employee Number	TOTAL HAZARD PAY	Eligible Headcount
4693	6,656.00	1
4694	5,648.50	1
4695	6,649.50	1
4696	6,513.00	1
4697	5,083.00	1
4698	5,778.50	1
4699	5,752.50	1
4700	5,096.00	1
4701	4,927.00	1
4702	4,914.00	1
4703	4,696.90	1
4704	4,576.00	1
4705	4,790.50	1
4706	2,093.00	1
4707	4,641.00	1
4708	4,998.50	1
4709	4,062.50	1
4710	3,809.00	1
4711	3,120.00	1
4712	832.00	1
4713	1,846.00	1
4714	1,768.00	1
4715	1,248.00	1
4716	1,352.00	1
4717	312.00	1

Appendix K

EXHIBIT
tabbies
C

THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: NEW Program Title: Navajo Nation Shopping Centers Inc

Division/Branch: Amount Requested: 903,541 Prepared By: Nicholas Taylor

Phone No.: 928-871-2218 Email Address: ntaylor@nnscinc.com

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

At the request of both the Office of the Navajo Nation President and Office of the Speaker, NNSCI is submitting a budget that addresses the actions that can be taken to provide shopping center patrons with a safe shopping experience.

NNSCI was informed by OPVP staff Levon Henry that enterprises would be receiving funding assistance in a new legislation being sponsored by Speaker Damon. NNSCI was asked to revise and resubmit the NNSCI COVID-19 Remediation Expenditure Plan that was presented in Nov. 2020 to OPVP and Navajo Nation Council for CARES Act funding assistance.The need for funding assistance is due to the ongoing COVID-19 pandemic and the negative effects it has had on the Navajo Nation economy.

In addition, funds will be used to pay NNSCI employees hazard and special duty pay for the period March to January 2020.

PART III. LIST ALTERNATIVE FUNDING SOURCES BEING PURSUED AND CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

NNSCI is currently pursuing additional funds from the Paycheck Protection Program 2 loan program.

The contingency plan is to significantly reduce the amount of funds that NNSCI is willing to use toward PPE, curbside services and public health messaging.

PART IV. AFFIRMATION IS PROVIDED THAT THE BUDGET AND PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL AS A PRIORITY.

Nicholas Taylor (CEO) 1/27/21

REVIEWED BY: Division Director's Signature / Date

1-27-21

RECOMMEND SUPPLEMENTAL: Branch Chief's Signature / Date

THE NAVAJO NATION
GRANT APPLICATION

Name of Entity: Navajo Nation Shopping Centers Inc.		Telephone: 928-871-2218
Mailing Address: PO Box 478 Window Rock, AZ 86515		E-mail: ntaylor@nnscinc.com
Physical Address: Hwy 264 & Rt 12 Space 3A		IRS TIN/EIN #: 75-3105332
Website Address: www.nnscinc.com		DUNS #: None
Grant Amount Requested: $ 903,541	Grant Start Date: 02/01/21	Grant End Date: 12/31/21

DESCRIPTION OF ENTITY OR ORGANIZATION

Describe the entity or organization requesting the grant.

Navajo Nation Shopping Centers, Inc. is an enterprise of the Navajo Nation whose primary business is the ownership and management of ten (10) retail real estate properties on the Navajo Nation.

PROBLEMS/NEEDS STATEMENT

Describe the problems and needs identified to be addressed through this funding request. Include relevant data such as the number and location of the Navajo population to be served.

Due the COVID-19 pandemic, NNSCI intends to use the funds to provide a safe shopping experiences for patrons and tenants at the (10) shopping center properties owned and managed by NNSCI. In addition, hazard and special duty pay for employees and staff from March - January 2020. NNSCI currently has six (6) shopping centers located in AZ and four (4) shopping centers located in NM. (NOTE: NNSCI does not own/manage the following shopping centers: Sanders, Chinle, Ganado/Burnside)

The population areas to be served are the locations at which the shopping centers are located: Tuba City, Kayenta, Pinon, Dilkon, St. Michaels, Window Rock, Navajo Pine, Shiprock, Crownpoint and Pine Hill. Total approximate population served is 75,000 individuals or more.

METHODOLOGY

Describe the services to be provided with the requested funds and how the services will address the problems and needs identified above.

NNSCI intends to provide a safe shopping experiences by providing essential PPE to tenants and patrons at each of the ten (10) shopping Centers and provide logistical support for curb-side services at select tenant businesses. In addition, NNSCI will also be sharing information to the public on public health notices issued by the Navajo Nation Department of Health (NDOH). NNSCI would like to provide hazard and special duty pay for all employees for the period March to January 2020.

The services to be provided by NNSCI will assist with efforts to contain the COVID-19 virus and to educate the public about the latest Public Health Emergency Orders issued by NDOH.

NAVAJO NATION BRANCH OR DIVISION THROUGH WHICH THE REQUEST WILL BE PROCESSED

Navajo Nation Division or Branch: Division of Economic Development	Telephone number:
Principal contact person: Nicholas Taylor	928-871-2218

FY 2021

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

BUDGET FORM 1

PART I. Business Unit No.: NEW Program Title: Navajo Nation Shopping Centers, Inc. Division/Branch:

Prepared By: Nicholas Taylor Phone No.: 928-871-2218 Email Address: ntaylor@nnscinc.com

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total
UUFB		903,541.00	100%
	TOTAL:	$903,541.00	100%

PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
2001 Personnel Expenses	2001		79,032	
3000 Travel Expenses				
3500 Meeting Expenses				
4000 Supplies				
5000 Lease and Rental				
5500 Communications and Utilities				
6000 Repairs and Maintenance				
6500 Contractual Services				
7000 Special Transactions				
8000 Public Assistance	8000		824,509	
9000 Capital Outlay				
9500 Matching Funds				
9500 Indirect Cost				
TOTAL		$0.00	903,541.00	0

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:		
Total # of Vehicles Budgeted:		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Nicholas Taylor, CEO, NNSCI
Program Manager's Printed Name

Nicholas Taylor 1/27/21
Program Manager's Signature and Date

APPROVED BY:
Division Director / Branch Chief's Printed Name

1/27/2021
Division Director / Branch Chief's Signature and Date

FY 2021

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: NEW **Program Name/Title:** Navajo Nation Shopping Centers, Inc.

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

Develop, retain, and expand profitable retail operations by maintaing excellent customer service, building strong community relations and pursuing new market potential for the Navajo Nation.

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: Tenant safety **Program Performance Measure:** Supplying necessary PPE to our tenants at all our ten (10) shopping centers			4		3		3	
2. Goal Statement: Customer safety, public health awareness **Program Performance Measure:** Educate and provide PPE for a safe shopping experience			4		3		3	
3. Goal Statement: Hazard and special duty payout to NNSCI employees **Program Performance Measure:** Payout to NNSCI staff hazard and special duty pay by Q2 2021.			1					
4. Goal Statement: **Program Performance Measure:**								
5. Goal Statement: **Program Performance Measure:**								

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Nicholas Taylor, CEO, NNSCI
Program Manager's Printed Name

Nicholas Taylor 1/27/21
Program Manager's Signature and Date

Division Director/Branch Chief's Printed Name

1-27-21
Division Director/Branch Chief's Signature and Date

FY 2021

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

BUDGET FORM 4

PART I. PROGRAM INFORMATION:

Program Name/Title: Navajo Nation Shopping Centers, Inc. **Business Unit No.:** NEW

PART II. DETAILED BUDGET:

(A) Object Code (LOD 6)	(B) Object Code Description and Justification (LOD 7)	(C) Total by DETAILED Object Code (LOD 6)	(D) Total by MAJOR Object Code (LOD 4)
8780	1 - box trailer, trailer to transport and deliver PPE to tenants	8,000	
	7 - computer laptops, employee use for remote work	16,800	
	1,000 - air filters, HVAC unit filters for proper air circulation	40,000	
	10 - HVAC units, upgrade HVAC units for tenant safety	300,000	
	100 - Hand sanitizer dispensers, wall mounted	4,500	
	100 - Hand sanitizer stands, free standing	15,000	
	700 - Hand sanitizer refills, refill for dispensers	28,000	
	100 - Z-Fold hand towel dispenser, plastic hand towels dispensers for tenants	3,000	
	200 - Hand towel refills, hand towel refills per case	12,000	
	10 - Hand washing stations, for sanitization and safety	7,600	
	5 - pallets of face masks, masks for tenants and patrons	62,500	
	5 - pallets sanitizer surface wipes, wipes for interior cleaning	48,000	
	100 - gallons hand soap, refills for wash stations	1,500	
	100 - no contact thermometer, precautionary measures for tenants	5,000	
	1,000 - hours security services, additional hours and officer for property safety	18,000	
	2 - surveillance camera systems, security camers for Shiprock and Pinon	12,000	
	1 - Consultant services to develop COVID-19 response manual, consultant services - public health	10,000	
	23 - curbside signs, property signage for centers	2,300	
	2 - COVID-19 prevention video, for public health messages	12,000	
	4 - electronic billboards, for public health messages	160,000	
	1 - Hazard and special duty payout for March - January 2020 for all NNSCI staff.	79,032	
	10 - outdoor dining equipment, assistance for restaurant tenants	47,409	
	20 - outdoor space heaters, assistance for restaurant tenants	7,400	
	2 - propery gates, customer safety improvement for Window Rock and Pinon	2,000	
	100 - COVID-19 safety signs, store safety signs for tenants	1,500	903,541
	TOTAL	903,541	903,541

THE NAVAJO NATION

JONATHAN NEZ | PRESIDENT MYRON LIZER | VICE PRESIDENT

MEMORANDUM

TO : Division/Executive Directors and Staff
NAVAJO NATION

FROM :
Paulson Chaco, Chief of Staff
NAVAJO NATION

DATE : November 14, 2019

SUBJECT : DELEGATION OF AUTHORITY – CHIEF OF STAFF

Personnel listed below in order of succession will be delegated in the capacity of Chief of Staff of the Navajo Nation Office of the President and Vice President during my absence. This delegation supersedes any prior delegation.

The delegation is for administrative purposes only. Policy decisions are not delegated and are reserved for the Navajo Nation President and Vice President. Your adherence to this delegation is appreciated. If you have any questions, please call the office at 928-871-7000.

ACKNOWLEDGED:

Milton Bluehouse Jr., Deputy Chief of Staff
Office of the President & Vice President

Levon Henry, Chief Legal Counsel
Office of the President & Vice President

James Davis, Jr., Executive Staff Assistant
Office of the President & Vice President

cc: Navajo Nation Legislative Branch
Navajo Nation Judicial Branch
File

Appendix K

EXHIBIT tabbies D

THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: NEW Program Title: NECA

Division/Branch: _____ Amount Requested: $6,996,576 Prepared By: Brett Grubbs

Phone No.: 505-210-7030 Email Address: Brett@navajo.net

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

Cost related to Covid-19 pandemic hazard pay for hours worked from March 2020 through January 2021 including payroll burden and administrative hours. To give employees an incentive earned for continued services through the pandemic; risking their safety to complete construction development throughout the Navajo Nation communities.

PART III. LIST ALTERNATIVE FUNDING SOURCES BEING PURSUED AND CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

PART IV. AFFIRMATION IS PROVIDED THAT THE BUDGET AND PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL AS A PRIORITY.

1.26.21 1-26-21

REVIEWED BY: Division Director's Signature / Date

RECOMMEND SUPLEMENTAL: Branch Chief's Signature / Date

Appendix G

THE NAVAJO NATION
GRANT APPLICATION

Name of Entity: Navajo Engineering and Construction Authority		Telephone: 505-210-7070
Mailing Address: P.O. Box 969 Shiprock NM. 87420		E-mail: brett@navajo.net
Physical Address: #1 Uranium Blvd. Shiprock Nm. 87420		IRS TIN/EIN #: 86-0276618
Website Address: navajo.net		DUNS #: 026825757
Grant Amount Requested: $ 6,996,576	Grant Start Date:	Grant End Date:

DESCRIPTION OF ENTITY OR ORGANIZATION

Describe the entity or organization requesting the grant.

Navajo Engineering and Construction Authority (NECA) is a priority one Navajo Contractor that constructs the infrastructure for the Navajo Nation, including water / wastewater systems, highways, bridges and buildings.

PROBLEMS/NEEDS STATEMENT

Describe the problems and needs identified to be addressed through this funding request. Include relevant data such as the number and location of the Navajo population to be served.

NECA is considered part of the essential workforce during the COVID-19 Pandemic. This grant request is to help compensate NECA's workforce through the pandemic.

METHODOLOGY

Describe the services to be provided with the requested funds and how the services will address the problems and needs identified above.

The grant money will be used to assist payment for the employees who are constructing water/wastewater systems, highways and building throught the entire COVID-19 pandemic.

NAVAJO NATION BRANCH OR DIVISION THROUGH WHICH THE REQUEST WILL BE PROCESSED

Navajo Nation Division or Branch:	Telephone number:
Principal contact person: Brett Johnson 1.26.21	

FY ____2021_____

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

BUDGET FORM 1

PART I. Business Unit No.: NEW Program Title: NECA Division/Branch:

Prepared By: Brett Grubbs Phone No.: 505-210-7030 Email Address: Brett@navajo.net

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total
CARES Act Funding	2021	6,996,575.54	100%
	TOTAL:	$6,996,575.54	100%

PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
2001 Personnel Expenses				
3000 Travel Expenses				
3500 Meeting Expenses				
4000 Supplies				
5000 Lease and Rental				
5500 Communications and Utilities				
6000 Repairs and Maintenance				
6500 Contractual Services				
7000 Special Transactions				
8000 Public Assistance	6		6,996,576	6,996,576
9000 Capital Outlay				
9500 Matching Funds				
9500 Indirect Cost				
TOTAL		$0.00	6,996,575.54	6,996,576

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:		
Total # of Vehicles Budgeted:		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Brett Grubbs
Program Manager's Printed Name

Brett Grubbs
Program Manager's Signature and Date

APPROVED BY: Dr. Pearl Yellowman
Division Director / Branch Chief's Printed Name

Pearl Yellowman 1.26.21
Division Director / Branch Chief's Signature and Date

FY ___2021____

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: NEW Program Name/Title: NECA

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

Hazard Pay - Navajo Engineering and Construction Authority Covid-19 Pandemic

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:								
Program Performance Measure:								
2. Goal Statement:								
Program Performance Measure:								
3. Goal Statement:								
Program Performance Measure:								
4. Goal Statement:								
Program Performance Measure:								
5. Goal Statement:								
Program Performance Measure:								

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

BRETT GRUBBS
Program Manager's Printed Name

Brett Grubbs
Program Manager's Signature and Date

Dr. Pearl Yellowman
Division Director/Branch Chief's Printed Name

Pearl Yellowman
Division Director/Branch Chief's Signature and Date

FY ____2021_____

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

BUDGET FORM 4

PART I. PROGRAM INFORMATION:

Program Name/Title: NECA Business Unit No.: NEW

PART II. DETAILED BUDGET:

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8780	CARES Act Coronavirus Relief Funding - Hazard Pay Coronavirus Pandemic -Based on 426,300 hours worked throughout the pandemic in field operation from March 2020 through January 2021. Including estimated payroll burden and administrative hours.	6,996,576	6,996,576
	TOTAL	6,996,576	6,996,576

Appendix K

EXHIBIT
tabbies
E

THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: New Program Title: NN Hospitality

Division/Branch: Amount Requested: 1,463,206 Prepared By: Stan Sapp

Phone No.: 623-412-0297 Email Address: stan@monumenthospitality.com

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

Due to the closures mandated by the Navajo Nation, the Navajo Nation Hospitality Enterprise is essentially only able to operate at about 10% of normal by providing lodging and food for essential personnel only. Because of this lack of revenue we have had to reduce our business activities a corresponding amount, approximately 90%. The use of funds would be entirely for payroll for our employees. These employees are working in order to provide lodging and food primarily for essential medical personnel. The personnel hours to be funded from this request is 109,221 hours all of which are Navajo and reside on the Navajo Nation. We have been able to keep over 50% of our employees paid to date but as the closures continue we will be forced to increase the furloughs.

PART III. LIST ALTERNATIVE FUNDING SOURCES BEING PURSUED AND CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

PART IV. AFFIRMATION IS PROVIDED THAT THE BUDGET AND PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL AS A PRIORITY.

Stanley M. Sapp 1.25.21

REVIEWED BY: Division Director's Signature / Date

1/26/2021

RECOMMEND SUPLEMENTAL: Branch Chief's Signature / Date

THE NAVAJO NATION
GRANT APPLICATION

Name of Entity: Navajo Nation Hospitality Enterprise		Telephone: 623-412-0297
Mailing Address: 48 West Highway 264, Window Rock, AZ. 86515		E-mail: stan@monumenthospitality.com
Physical Address: 48 West Highway 264, Window Rock, AZ. 86515		IRS TIN/EIN #: 86-0405829
Website Address: explorenavajo.com		DUNS #: n/a
Grant Amount Requested: $ 1,463,206	Grant Start Date: 10.1.20	Grant End Date: 6.30.21

DESCRIPTION OF ENTITY OR ORGANIZATION

Describe the entity or organization requesting the grant.

The Navajo Nation Hospitality Enterprise is an enterprise wholly owned by the Navajo Nation.

PROBLEMS/NEEDS STATEMENT

Describe the problems and needs identified to be addressed through this funding request. Include relevant data such as the number and location of the Navajo population to be served.

Due to closures mandated by the Navajo Nation we have only been able to operate at 10% of our previous activities. Our employees have been working, primarily to provide lodging and food for essential personnel.

METHODOLOGY

Describe the services to be provided with the requested funds and how the services will address the problems and needs identified above.

100% of the funds will be used for payroll for our current employees. Receipt of these funds will allow us to not have to reduce our payroll. We have been able to keep over 50% of our employees to date, but without this funding our furloughs will have to increase.

NAVAJO NATION BRANCH OR DIVISION THROUGH WHICH THE REQUEST WILL BE PROCESSED

Navajo Nation Division or Branch: Navajo Nation Hospitality Enterprise Principal contact person: Stan Sapp	Telephone number: 623-412-0297

FY 20-21

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: New Program Title: NN Hospitality Division/Branch:

Prepared By: Stan Sapp Phone No.: 623-412-0297 Email Address: stan@monumenthospitality.com

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total
CARES Act		1,463,206.00	100%
TOTAL:		$1,463,206.00	100%

PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
2001 Personnel Expenses				
3000 Travel Expenses				
3500 Meeting Expenses				
4000 Supplies				
5000 Lease and Rental				
5500 Communications and Utilities				
6000 Repairs and Maintenance				
6500 Contractual Services				
7000 Special Transactions				
8000 Public Assistance			1,463,206	
9000 Capital Outlay				
9500 Matching Funds				
9500 Indirect Cost				
TOTAL		$0.00	1,463,206.00	0

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:		83
Total # of Vehicles Budgeted:		

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Stan Sapp
Program Manager's Printed Name

Stanley M. Sapp
Program Manager's Signature and Date

APPROVED BY: J.T. Willie
Division Director / Branch Chief's Printed Name

Division Director / Branch Chief's Signature and Date 1/26/2021

FY __20-21_____

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: New Program Name/Title: NN Hospitality

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:								
Program Performance Measure:								
2. Goal Statement:								
Program Performance Measure:								
3. Goal Statement:								
Program Performance Measure:								
4. Goal Statement:								
Program Performance Measure:								
5. Goal Statement:								
Program Performance Measure:								

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Stan Sapp
Program Manager's Printed Name

Stanley M. Sapp
Program Manager's Signature and Date

J.T. Willie
Division Director/Branch Chief's Printed Name

1/21/2021
Division Director/Branch Chief's Signature and Date

FY _20-21_____

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

BUDGET FORM 4

PART I. PROGRAM INFORMATION:

Program Name/Title: NN Hospitality **Business Unit No.:** New

PART II. DETAILED BUDGET:

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8780	Due to the closures mandated by the Navajo Nation, the Navajo Nation Hospitality Enterprise is essentially only able to operate at about 10% of normal by providing lodging and food for essential personnel only. Because of this lack of revenue we have had to reduce our business activities a corresponding amount, approximately 90%. The use of the funds would be entirely for payroll for our employees. These employees are working in order to provide lodging and food primarily for essential medical personnel. The personnel hours to be funded from this request is 109,221 hours all of which are Navajo and reside on the Navajo Nation. We have been able to keep over 50% of our employees paid to date but as the closures continue we will be forced to increase the furloughs.	1,463,206	
	TOTAL	1,463,206	-

Office of Legislative Counsel
Telephone: (928) 871-7166
Fax # (928) 871-7576



Honorable Seth Damon
Speaker
24th Navajo Nation Council

MEMORANDUM

TO: Honorable ~~Seth Damon, Speaker~~ JAMIE HENIO
24th Navajo Nation Council

FROM: K A Lowell
Kristen Lowell, Principal Attorney
Office of Legislative Counsel

DATE: January 27, 2021

SUBJECT: AN ACTION RELATING TO AN EMERGENCY FOR THE NAVAJO NATION COUNCIL; APPROVING A SUPPLEMENTAL APPROPRIATION FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE TOTAL AMOUNT OF THIRTY-TWO MILLION ONE HUNDRED NINETY-THREE THOUSAND FIVE HUNDRED FOURTEEN DOLLARS ($32,193,514) TO THE NAVAJO NATION GAMING ENTERPRISE, NAVAJO TRIBAL UTILITY AUTHORITY, NAVAJO NATION HOSPITALITY ENTERPRISE, NAVAJO NATION SHOPPING CENTERS, INC., AND NAVAJO ENGINEERING AND CONSTRUCTION AUTHORITY; WAIVING 12 N.N.C. §§ 820 (E), (F), AND (J) OF THE APPROPRIATIONS ACT

As requested, I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. Based on existing law and review of documents submitted, the resolution as drafted is legally sufficient. As with any action of government however, it can be subject to review by the courts in the event of proper challenge.

Please ensure that this particular resolution request is precisely what you want. You are encouraged to review the proposed resolution to ensure that it is drafted to your satisfaction.

The Office of Legislative Counsel confirms the appropriate standing committee(s) based on the standing committees' powers outlined in 2 N.N.C. §§301, 401, 501, 601 and 701. Nevertheless, "the Speaker of the Navajo Nation Council shall introduce [the proposed resolution] into the legislative process by assigning it to the respective oversight committee(s) of the Navajo Nation Council having authority over the matters for proper consideration." 2 N.N.C. §164(A)(5).

If the proposed resolution is unacceptable to you, please contact me at the Office of Legislative Counsel and advise me of the changes you would like made to the proposed resolution.

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION

LEGISLATION NO: _0013-21__ **SPONSOR: Jamie Henio**

TITLE: An Action Relating to an Emergency for the Navajo Nation Council; Approving a Supplemental Appropriation from the Unreserved, Undesignated Fund Balance in the Total Amount of Thirty-Two Million One Hundred Ninety-Three Thousand Five Hundred Fourteen Dollars ($32,193,514) to the Navajo Nation Gaming Enterprise, Navajo Tribal Utility Authority, Navajo Nation Hospitality Enterprise, Navajo Nation Shopping Center, Inc., and Navajo Engineering and Construction Authority; Waiving 12 N.N.C. §§ 820 (E), (F), and (J) of the Appropriations Act

***Date posted*: January 27, 2021 at 10:24PM**

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7586

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. *§374 et. seq.*

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW SUMMARY

LEGISLATION NO.: 0013-21

SPONSOR: Honorable Jamie Henio

TITLE: An Action Relating to an Emergency for the Navajo Nation Council; Approving a Supplemental Appropriation from the Unreserved, Undesignated Fund Balance in the Total Amount of Thirty-Two Million One Hundred Ninety-Three Thousand Five Hundred Fourteen Dollars ($32,193,514) to the Navajo Nation Gaming Enterprise, Navajo Tribal Utility Authority, Navajo Nation Hospitality Enterprise, Navajo Nation Shopping Center, Inc., and Navajo Engineering and Construction Authority; Waiving 12 N.N.C. §§ 820 (E), (F), and (J) of the Appropriations Act

Posted: January 27, 2021 at 10:24 PM

5 DAY Comment Period Ended: Not Subject to Five Day Hold

Digital Comments received:

Comments Supporting	*None*
Comments Opposing	*None*
Comments/Recommendations	*None*

Legislative Tracking Secretary
Office of Legislative Services

02/02/21 9:56Am
Date/Time