

LEGISLATIVE SUMMARY SHEET
Tracking No. 0393-17

DATE: September 22, 2017

TITLE OF RESOLUTION: A PROPOSED NAVAJO NATION COUNCIL RESOLUTION; AN ACTION RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE, BUDGET AND FINANCE COMMITTEE; NAABIK'ÍYÁTI' COMMITTEE AND THE NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF \$5,000,000.00 (FIVE MILLION DOLLARS) FOR THE WIDE RUINS WATER LINE EXTENSION PROJECT; WAIVING 12 N.N.C. §§ 820(I) AND 860(C) RELATING TO THE CAPITAL IMPROVEMENT PROCESS

PURPOSE: This resolution, if approved, will approve supplemental funding from the unreserved, undesignated fund balance (UUFb) in the amount of \$5,000,000.00 (five million dollars) for Wide Ruins Water Line Extension Project and waiving 12 N.N.C. §§ 820(I) and 860(C).

This written summary does not address recommended amendments as may be provided by the standing committee. The Office of Legislative Counsel requests each committee member to review the proposed resolution in detail.

5-DAY BILL HOLD PERIOD: SNOTAH
Website Posting Time/Date: 4:03pm 9/25/17
Posting End Date: 9/30/2017
Eligible for Action: 10/01/2017

Resources & Development Committee

THENCE

Budget & Finance Committee

THENCE

Naa'bik'iyáti' Committee

THENCE

Navajo Nation Council

PROPOSED NAVAJO NATION COUNCIL RESOLUTION

23rd NAVAJO NATION COUNCIL—Third Year, 2017

INTRODUCED BY

Primary Sponsor

TRACKING NO. 0393-17

AN ACTION

RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE, BUDGET AND
FINANCE COMMITTEE; NAABIK'ÍYÁTI' COMMITTEE AND THE NAVAJO
NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE
UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF
\$5,000,000.00 (FIVE MILLION DOLLARS) FOR THE WIDE RUINS WATER LINE
EXTENSION PROJECT; WAIVING 12 N.N.C. §§ 820(I) AND 860(C) RELATING TO
THE CAPITAL IMPROVEMENT PROCESS

BE IT ENACTED:

SECTION ONE. AUTHORITIES

- A. Pursuant to 12 N.N.C. § 820 (L), "[t]he Navajo Nation Council may adopt and approve supplemental appropriations to the Annual Comprehensive Budget during the fiscal year. Supplemental appropriations of General Funds within the current fiscal year are permitted, if and when additional sources of revenues above and beyond the initial or current revenue projections are projected and which are also in excess of the reserve amount set forth at § 820(J)."
- B. Pursuant to 2 N.N.C. § 300 (C)(1)(3) and (4) the Budget and Finance Committee is authorized to recommend adoption of resolutions related to the expenditure of the Nation's financial resources.

1 C. Pursuant to 2 N.N.C. § 501 (C)(1), the Resources and Development Committee is the
2 oversight committee for water and land on the Navajo Nation.

3 D. Pursuant to 2 N.N.C. § 164 (A)(9), a proposed resolution that requires final action by
4 the Navajo Nation Council shall be assigned to the Naabik'íyáti' Committee before it
5 is heard by the Navajo Nation Council.
6

7 **SECTION TWO. TITLE 12 FINANCE ACT SUPPLEMENTAL**
8 **APPROPRIATION PROCESS AND THE TITLE 12 CAPITAL IMPROVEMENT**
9 **PROCESS**

10 A. The Title 12 Finance Act Supplemental Appropriation requirements include:

- 11 1. Pursuant to 12 N.N.C. § 820(L), when the Controller identifies additional sources
12 of revenues above and beyond the initial or current revenue projections,
13 supplemental appropriations may be allocated by the Navajo Nation Council.
- 14 2. Pursuant to 12 N.N.C. § 820 (L), "Supplemental appropriations made from non-
15 recurring revenues shall only be made for non-recurring operations or purposes,
16 as set forth at § 820 (F). The Controller of the Navajo Nation shall be responsible
17 for designating recurring and non-recurring revenues and purposes."
- 18 3. Pursuant to 12 N.N.C. § 820(M), all requests for annual operating funds and
19 supplemental funds shall be submitted to the Office of Management and Budget
20 ("OMB") for budget impact analysis.

21 B. The Title 12 Capital Improvement Process includes:

- 22 1. Pursuant to 12 N.N.C. § 810(F), "Capital Improvement" means a major project
23 undertaken by the Navajo Nation that is generally not recurring on an annual basis
24 and which fits within one or more of the following categories:
 - 25 (1) All projects requiring debt obligation or borrowing;
 - 26 (2) Any acquisition or lease of land;
 - 27 (3) Purchase of major equipment or vehicles, with a life expectancy of five
28 years or more, valued in excess of an amount to be established by the
29 Controller;
30

(4) Major building improvements that are not routine maintenance expenses and that substantially enhance the value or extend the useful life of a structure;

(5) Construction of new buildings or facilities including engineering, design, and other pre-construction costs with an estimated cost in excess of an amount to be determined by the Controller; and/or

(6) Major equipment or furnishing required to furnish new buildings or other projects, the cost of which is above a certain amount to be established by the Controller.

2. Pursuant to 12 N.N.C. § 820 (I), the “[d]evelopment of the Capital Budget shall be coordinated with development of the Operating Budget. All budget requests for capital improvements shall be in compliance with an adopted Capital Improvement Plan and shall not be approved unless in compliance with the Plan.”

3. Pursuant to 12 N.N.C. § 820 (M), the Office of Management and Budget shall coordinate the overall preparation, adoption and implementation of both the annual operating and capital budgets of the Navajo Nation. All requests for annual operating funds and supplemental funds shall be submitted to the Office of Management and Budget for budget impact analysis and other appropriate action.

4. Pursuant to 2 N.N.C. § 501 (B)(4)(c), the Resources and Development Committee is to review and recommend to the Navajo Nation Council through the appropriate process supplemental appropriations to the capital improvement projects annual budget to fund necessary additional capital improvement projects.

5. Pursuant to 12 N.N.C. § 860 (C)(2) “[t]he appropriation portion of the Capital Improvement Plan is subject to approval of the Navajo Nation Council upon recommendation of the Budget and Finance Committee. Any modification or amendment affecting the approved Capital Improvement Plan is subject to review and concurrence by the Resources and Development Committee prior to consideration by the Navajo Nation Council.”

SECTION THREE. FINDINGS

- 1 A. A request has been made for Five Million Dollars (\$5,000,000.00) from the
2 Unreserved, Undesignated Fund Balance (UUFB) for the Wide Ruins Water Line
3 Extension Project. The STARS SDS Narrative budget documents regarding this
4 request are attached as **Exhibit B**.
- 5 B. The Wide Ruins Water Line Extension Project is not included in the Title 12 Capital
6 Improvement Plan because the Capital Improvement Plan was rescinded by Navajo
7 Nation Council by CAP-23-17. Pursuant to 12 N.N.C. § 820(L), the attached
8 memorandum, **Exhibit A**, dated September 8, 2017, the Office of the Controller has
9 identified the additional revenues above and beyond the initial or current revenue
10 projections.
- 11 C. The Office of Management and Budget Impact Analysis of the Wide Ruins Water
12 Line Project is not included; however, a request for review will be made to that office
13 for the 12 N.N.C. § 820(M) review.
- 14 D. Pursuant to 12 N.N.C. § 820 (L), the attached memorandum, **Exhibit A**, from the
15 Office of the Controller did not specify whether this request for supplemental
16 appropriation is from recurring or non-recurring revenues and whether it is for
17 recurring or non-recurring purposes. The staff from the Controller's Office could be
18 asked to make this determination when the issue comes before the standing
19 committee(s) or the Navajo Nation Council.
- 20 E. As set forth in the STARS SDS Narrative, attached as **Exhibit B**, there are sixty-two
21 (62) homes in the Wide Ruins community without water or sewer service; the Wide
22 Ruins Water Line Extension (Phase II) would:
- 23 a. Provide water services to sixty-two (62) homes by installing 158,765 lineal
24 feet of waterline and a 75k gallon water storage tank; and
- 25 b. Provide wastewater (septic tank/drainfields) facilities to 62 homes, plumbing
26 and bathroom additions for 32 homes.
- 27 F. As also set forth in the STARS SDS Narrative, the estimated cost for the Wide Ruins
28 Water Line Extension (Phase II) is four million eight hundred eighty-six dollars
29 (\$4,886,000.00), not including a 20% contingency amount of nine hundred seventy-
30 seven thousand two hundred dollars (\$977,200.00).

1 G. The Navajo Nation finds it in the best interest to waive Capital Budget and Capital
2 Improvement Plan requirements as stated in 12 N.N.C. §§ 820(I) and 860 (C)
3 regarding the Wide Ruins Water Line Extension Project.

4 H. The Navajo Nation finds it in the best interest to approve Supplemental Appropriation
5 of Five Million Dollars (\$5,000,000.00) for the Wide Ruins Water Line Extension
6 Project.

7
8 **SECTION FOUR. WAIVING 12 N.N.C. §§ 820(I) AND 860 (C) REGARDING**
9 **THE CAPITAL IMPROVEMENT PROCESS FOR THE WIDE RUINS WATER**
10 **LINE EXTENSION PROJECT**

11 The Navajo Nation Council hereby waives 12 N.N.C. §§ 820(I) and 860(C) with regard
12 to the Capital Improvement process and the Wide Ruins Water Line Extension Project.

13
14 **SECTION FIVE. APPROVING SUPPLEMENTAL FUNDING FROM THE**
15 **UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF**
16 **\$5,000,000.00 FOR THE WIDE RUINS WATER LINE EXTENSION PROJECT**

17
18 A. The Navajo Nation Council, having received certification by the Office of the
19 Controller of the availability of certain funds from the Unreserved, Undesignated
20 Fund Balance (UUFb) for supplemental appropriation hereby approves the
21 supplemental appropriation of Five Million Dollars (\$5,000,000.00) for the Wide
22 Ruins Water Line Extension Project.

23 B. This supplemental appropriation of Five Million Dollars (\$5,000,000.00) shall be
24 from that amount of funds that exceeds the minimum fund balance of the UUFb.



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT




Memorandum

Date: September 8, 2017

To: Honorable Russell Begaye, President
Office of the President/Vice President

Honorable Lorenzo Bates, Speaker
Navajo Nation Council

Honorable Thomas J Holgate, Acting Chief Justice
Judicial Branch

From: 
Pearline Kirk, Controller
Office of the Controller

Subject: Financial Update-(General Fund Revenue, Expenditures, UUFB)

The gross General Fund Revenues, (see Exhibit "A"), as of August 31, 2017 is \$203,053,235, (see Footnote 1). The total General Fund set asides is \$ \$51,749,599 (Footnote 2). The Net Revenue for the General Fund is \$151,303,727 (see Footnote 3). The permanent fund income transfer is \$24,411,000 (Footnote 4). The reserve taken for the permanent fund income transfer is \$2,885,000 (Footnote 5). The grand total revenue for the General Fund is \$172,829,727 (see Footnote 6) which is 98.78% of the projection.

Next, are the total expenditures by Branch, (see Exhibit "B"), as of August 31, 2017, the Legislative Branch has expenditures of \$14,836,331 (see Footnote 1a); encumbrances of \$822,493 (see Footnote 1b) with a remaining budget of \$3,307,669 (see Footnote 1c). The Executive Branch expenditures are \$162,500,722 (see Footnote 2a); encumbrances are \$7,206,122 (see Footnote 2b) with a remaining budget of \$37,797,219 (see Footnote 2c). The Judicial Branch expenditures are \$11,718,156 (see Footnote 3a); with encumbrances of \$73,692 (see Footnote 3b) and remaining budget of \$2,356,349 (see Footnote 3c). Total General Fund expenditures are \$189,055,209 (see Footnote 4a); total encumbrances are \$8,102,307 (see Footnote 4b) with an overall remaining budget of \$43,461,236 (see Footnote 4c).

The updated Undesignated Unreserved Fund Balance (UUFB), (see Exhibit "C") as of September 8, 2017 is \$31,258,217. The Fiscal Year 2016 audited numbers were approved via CJY-51-17 and an amount of \$11, 728,198 that was previously reserved for debt service for capital projects was returned back to the UUFB with the approval of CJY-50-17. These two amounts have been incorporated into this new schedule.

Thank you, if you should have any question please feel free to call me at tribal extension X6308.



THE NAVAJO NATION
General Fund Revenue Schedule
(Unaudited)
August 31, 2017

FY 2017

GENERAL FUND REVENUE	Original Budget	Revised Budget	Actual Revenue Received	Revenue to be collected	% Revenue of Total
TNN: ROYAL; GAS; OIL	\$ 24,700,000	\$ 24,700,000	22,503,079	\$ 2,196,921	91.11
TNN: COAL REVENUES	55,850,000	55,850,000	51,709,402	4,140,598	92.59
TNN:OTR MINERALS REV			79,149	(79,149)	
TNN: LAND REVENUES	58,450,000	58,450,000	59,393,523	(943,523)	101.61
TNN: BUSINESS FEES			80,415	(80,415)	
TNN: INTEREST INCOME	1,600,000	1,600,000	4,249,477	(2,649,477)	265.59
TNN: TAX REVENUES	64,150,000	64,150,000	63,125,774	1,024,226	98.40
COURT FINES + FEES	400,000	400,000	379,897	20,103	94.97
TNN: OTHER REVENUES	500,000	500,000	1,270,889	(770,889)	254.18
BIA: ROYAL; GAS; OIL			111,327	(111,327)	
BIA: COAL REVENUES			114	(114)	
BIA:OTR MINERALS REV				-	
BIA: LAND REVENUES			150,280	(150,280)	
TOTAL REVENUE	\$ 205,650,000	\$ 205,650,000	203,053,325	(1) \$ 2,596,675	98.74
LESS:SET ASIDES					
CAPITAL OUTLAY MATCH	\$ (2,000,000)	\$ (2,000,000)	(2,000,000)	\$ -	100.00
LAND FUND TRANSFER	(4,113,000)	(4,113,000)	(4,061,067)	(51,933)	98.74
PERMANENT FUND TRNSF	(24,678,000)	(24,678,000)	(24,366,399)	(311,601)	98.74
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000)	(2,000,000)	-	100.00
DINE' HIGHER EDUCATIO	(11,200,000)	(11,200,000)	(11,200,000)	-	100.00
VETERANS TRUST FUND S	(8,226,000)	(8,226,000)	(8,122,133)	(103,867)	98.74
TOTAL SET ASIDE	\$ (52,217,000)	\$ (52,217,000)	\$ (51,749,599)	(2) \$ (467,401)	99.10
SUB TOTAL	\$ 153,433,000	\$ 153,433,000	\$ 151,303,727	(3) \$ 2,129,273	98.61
PERMANENT FUND INCOME TRANSFER					
OTHER REVENUE TRANSFER	\$ 24,411,000	\$ 24,411,000	\$ 24,411,000	(4) \$ -	100.00
LESS: PF FIVE-YEAR CONTINGENCY	(2,885,000)	(2,885,000)	(2,885,000)	(5) -	100.00
TOTAL PFI TRANSFER	\$ 21,526,000	\$ 21,526,000	\$ 21,526,000	\$ -	100.00
NET PFI TRANSFER	\$ 21,526,000	\$ 21,526,000	\$ 21,526,000	\$ -	100.00
GRAND TOTAL	\$ 174,959,000	\$ 174,959,000	\$ 172,829,727	(6) \$ 2,129,273	98.78

(1) Gross General Fund Revenues

(2) Total Set Asides for General Fund Revenue

(3) Net General Fund Revenue

(4) Permanent Fund Income allocation to General Fund

(5) Permanent Fund Income allocation reserve (CO-54-16)

(6) Grand total General Fund Revenues

EXHIBIT "A"

Prepared by:
General Accounting
9/8/2017



FY 2017 EXHIBIT "B"

The Navajo Nation Budget Status Income Statement As of August 31, 2017

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
LEGISLATIVE BRANCH						
2001 - Personnel Expenses	\$ 10,651,778	\$ 12,278,822	\$ 10,019,465		\$ 2,259,357	18.40
3000 - Travel Expenses	1,321,631	1,765,310	1,572,327	46,213	545,274	30.89
3500 - Meeting Expenses	159,932	399,826	210,861	-	204,416	51.13
4000 - Supplies	196,565	744,678	455,884	31,130	248,147	33.32
5000 - Lease & Rental	212,423	226,684	179,129	10,417	50,024	22.07
5500 - Communications & Utilities	119,335	156,833	134,035	-	60,813	38.83
6000 - Repairs & Maintenance	44,547	152,091	74,100	7,525	57,978	38.12
6500 - Contractual Services	541,691	1,553,765	963,771	452,824	197,091	12.68
7000 - Special Transactions	294,373	619,408	411,587	42,876	239,264	38.53
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	20,000	1,069,274	815,172	221,509	586,817	54.88
9500 - Matching & Indirect Cost	-	-	-	-	-	-
Total LEGISLATIVE BRANCH	\$ 13,562,275	\$ 18,966,493	\$ 14,836,331	(1a) \$ 822,493	(1b) \$ 3,307,669	(1c) 17.44
EXECUTIVE BRANCH						
2001 - Personnel Expenses	82,554,098	\$ 85,757,946	\$ 87,342,879	\$ -	\$ 18,415,068	21.47
3000 - Travel Expenses	9,499,593	9,498,299	8,499,697	8,235	990,367	10.43
3500 - Meeting Expenses	981,047	1,713,064	1,321,407	-	391,657	22.86
4000 - Supplies	5,400,063	7,963,841	4,549,358	897,024	2,517,459	31.61
5000 - Lease & Rental	1,751,399	1,669,091	1,196,102	28,114	444,875	26.65
5500 - Communications & Utilities	7,305,566	8,123,429	6,513,700	589,673	1,020,057	12.56
6000 - Repairs & Maintenance	3,914,876	8,788,252	5,571,378	1,030,316	2,186,567	24.88
6500 - Contractual Services	4,653,568	12,866,818	6,849,248	2,804,762	3,212,809	24.97
7000 - Special Transactions	10,318,377	11,988,749	10,083,552	322,516	1,580,681	13.19
8000 - Assistance	41,728,697	48,837,762	45,579,345	1,174,985	2,083,432	4.27
9000 - Capital Outlay	764,670	2,746,354	1,563,730	350,497	832,127	30.30
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	7,552,487	7,552,457	3,430,326	-	4,122,131	54.58
Total EXECUTIVE BRANCH	\$ 176,423,011	\$ 207,504,063	\$ 162,500,722	(2a) \$ 7,206,122	(2b) \$ 37,797,219	(2c) 18.22
JUDICIAL BRANCH						
2001 - Personnel Expenses	\$ 12,523,143	\$ 12,586,722	\$ 10,812,000		\$ 1,754,722	13.96
3000 - Travel Expenses	433,764	419,940	243,989	-	175,971	41.90
3500 - Meeting Expenses	-	28,807	10,955	-	15,852	59.13
4000 - Supplies	68,291	378,953	241,164	27,553	110,236	29.09
5000 - Lease & Rental	9,000	45,670	19,012	-	26,658	58.37
5500 - Communications & Utilities	69,206	90,472	68,386	-	22,087	24.41
6000 - Repairs & Maintenance	-	210,010	99,988	45,094	63,927	30.44
6500 - Contractual Services	-	89,627	50,671	-	38,956	43.46
7000 - Special Transactions	103,339	274,160	172,011	44	102,105	37.24
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	-	45,834	-	-	45,834	100.00
9300 - Other Income and Expense	-	-	-	-	-	-
Total JUDICIAL BRANCH	\$ 13,206,743	\$ 14,148,196	\$ 11,718,156	(3a) \$ 73,692	(3b) \$ 2,356,349	(3c) 16.65
GRAND TOTAL:	\$ 203,192,029	\$ 240,618,752	\$ 189,055,209	(4a) \$ 8,102,307	(4b) \$ 43,461,236	(4c) 18.06

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available

Total General Fund

- (4a) General Fund Expenses
- (4b) General Fund Encumbrances
- (4c) General Fund Budget Available

EXHIBIT "C"

Memo Dated Sept. 8, 2017

Financial Update



Undesignated, Unreserved, Fund Balance (UUFB) September 8, 2017

09-30-16 UUFB balance <u>(Audited)</u> CJY-15-17		26,763,946
Less Supplementals:		
CO-55-16 Election	510,616	
CN-58-16-Budget	3,848,764	
CJA-05-17 Bennett Freeze	254,656	
CAP-22-17-Dine Bii Association	239,200	
CJN-34-17 Summer Youth Employment	2,161,748	
CJY-37-17- Transportation Stimulus Election	218,943	7,233,927
Total UUFB less Supplementals		19,530,019
Add:		
CJY-50-17 Deposit into UUFB.		11,728,198
UUFB 09-08-17		31,258,217

Prepared by: General Accounting
9/8/2017
5:00 PM

Printed: 04/20/2016 08:18AM (Mountain)

STARS

Printed By: McDonnell David

SDS Narrative

Project/Phase Name: Wide Ruins South PH II WL Ext.		Econ Feasible: N	Override Feasibility: N
Community: WIDE	Number: AZ01072-0801	Funding Plan: Included	
RUIINS	Priority: 397		
District: FORT	Tribes: NAVAJO TRIBE OF	Engineering Only: No	Engineer: Martinez Roger
DEFIANCE	ARIZONA, NEW		
	MEXICO AND ...		
Field Office: Fort	Reservation: Navajo		
Defiance			
EPA Region: 09	Self-Gov.: IHS Direct Service	Reviewed: Yes (last updated 07/25/2012 by McDonnell David)	
Created: 05/11/2006	Last Update: 06/30/2014 by	Ready to Fund: No (last updated Unknown by Unknown)	
	Martinez, Roger		

OM Systems:

Select Systems

None selected

Area-Defined Fields

Chapter: 110 - WIDE RUIINS

Planning Funds (\$ only):

Planning Project (3 digit):

DEFICIENCY LEVEL: 5

RATING SCORES

Health Impact: 22

Capital Cost: -20 Suggested: -20

Tribal: 0

Deficiency: 18

O & M Capability: 0

Other Considerations: 0

Previous Service: 4

Contribution: 0

Total Score: 24

HOMES

COSTS and Unit Costs (U.C.)

Service	Eligible	Inelig.	Total	Service	IHS Cost	U. C.	Eligible Cost	U. C.	Allow. U. C.	Contrib.	Inelig. Cost	Total Cost
Water	61	0	61	Water:	4,285,204	70.249	4,285,204	70.249	47,000			4,285,204
Sewer	61	0	61	Sewer:	600,796	9,849	600,796	9,849	47,000			600,796
Solid	0	0	0	Solid:	0	0	0	0	0			0
O&M	0	0	0	O & M:	0	N/A	0	N/A	N/A			0
Proj. Homes	61	0	61	Total:	\$ 4,886,000	\$ 80,098	\$ 4,886,000	\$ 80,098	\$ 94,000	\$ 0	\$ 0	\$ 4,986,000

Special Requirements: none

EXISTING DEFICIENCIES:

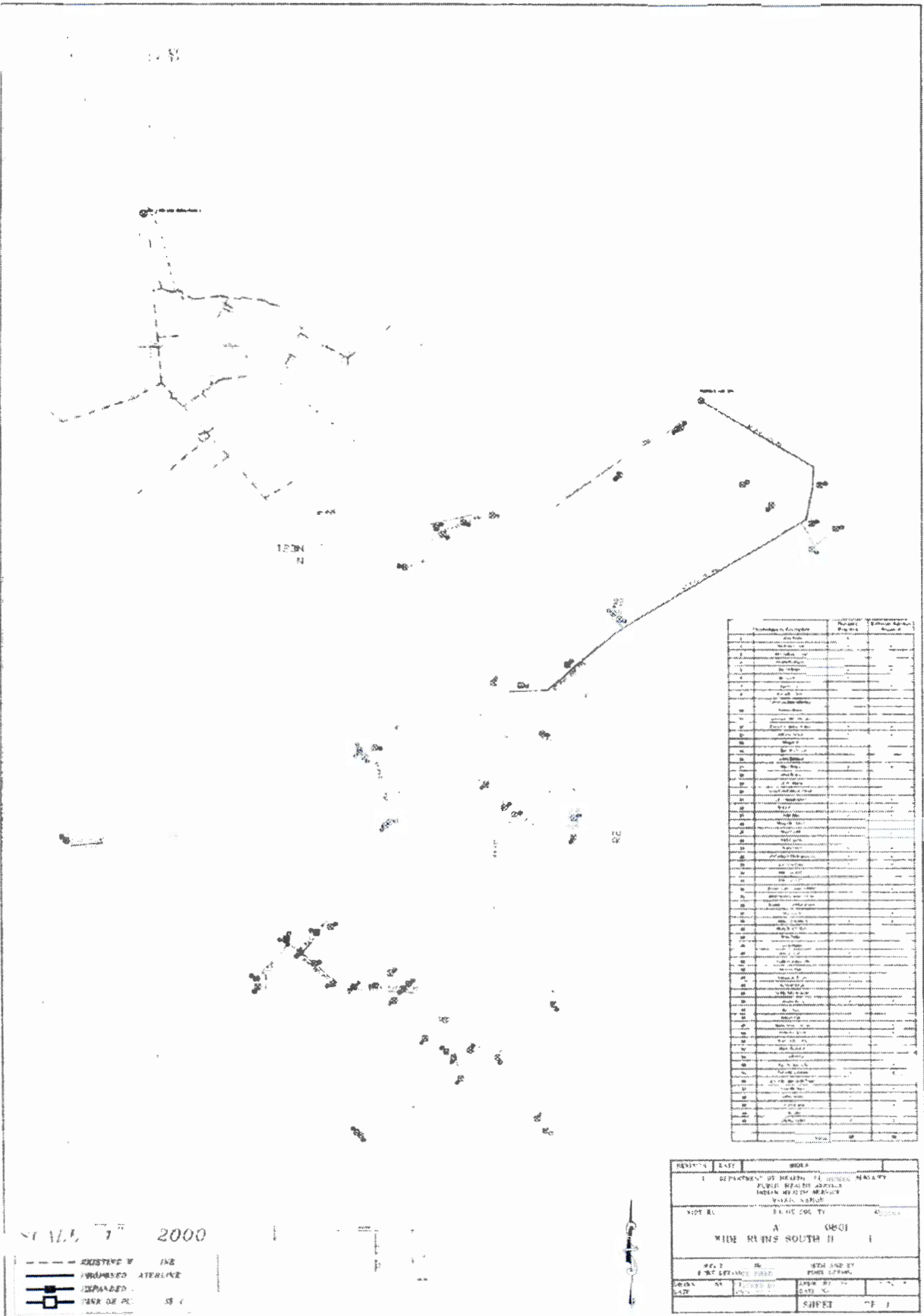
Water: 62 homes without water service
 Sewer: 62 homes without sewer service
 Sol. Wst.: no solid waste facility
 O & M: None

PROPOSED FACILITIES:

Water: Provide water services to 62 homes by installing 158,765 lineal feet of waterline and a 75k gallon water storage tank.
 Sewer: Provide wastewater (septic tank/drainfields) facilities to 62 homes, plumbing and bathroom additions for 32 homes.
 Sol. Wst.: None
 O & M: Main Line Extensions and Laterals O&M by NTUA.

Report Criteria

Dataset: Current Data
 SDS Project: AZ01072-0801
 Funding Plan: All
 Sorted By: SDS Project Number



DEPARTMENT OF HEALTH, PE, JEWELL, ALASKA	
PUBLIC HEALTH SERVICE	
INDIAN HEALTH SERVICE	
WILKIN, ALASKA	
WIDE RUNS SOUTH II	
SHEET 1	

EXHIBIT

B

RESERVATION LINE SDS PROJECT PARTICIPANT LIST

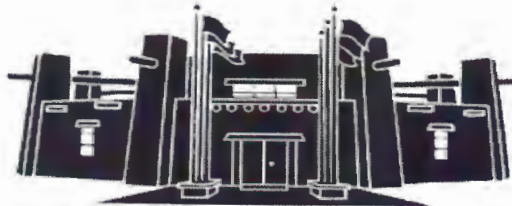
WIDE RUINS CHAPTER

PIL Home Number	HITS Home Number	Homeowner	Chapter	House Type	First Service	Recommended Facilities					Addition Required	Bathroom Plumbing Required
						Water	Sewer	Solid Waste				
1	✓	Maybelle Begay	Wide Ruins	E-1	Yes	Yes	Yes	No		No	No	No
2	✓	Lavina Begay	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
3	✓	Dennison Chee	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
4	✓	Eva Houser	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
5	✓	Harry/Ella John	Wide Ruins	H-2	Yes	Yes	Yes	No		No	Yes	Yes
6	✓	Peterson/Marcella Nez	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
7	✓	Yvonne Begay	Wide Ruins	E-1 (m)	Yes	Yes	Yes	No		No	No	No
8	✓	Genevieve Whitethorne	Wide Ruins	E-1 (m)	Yes	Yes	Yes	No		Yes	Yes	Yes
9	✓	Geraldrita Whitethorne	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
10	✓	Antone Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
11	✓	Maggie Dale	Wide Ruins	E-1 (m)	Yes	Yes	Yes	No		No	No	No
12	✓	Latasha Dale	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
13	✓	James Baldwin	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
14	✓	Mary Hilyard	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
15	✓	Sadie Begay	Wide Ruins	E-1 (h)	Yes	Yes	Yes	No		Yes	Yes	Yes
16	✓	Emerson/Marie Begay	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
17	✓	Gary Chee/Denise Parker	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
18	✓	Harold Chee	Wide Ruins	E-1 (h)	Yes	Yes	Yes	No		Yes	Yes	Yes
19	✓	Mary Rose Lee	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
20	✓	Virgie Chee	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
21	✓	Mary Ellen Chee	Wide Ruins	E-1 (m)	Yes	Yes	Yes	No		No	No	No
22	✓	Mary Alice Daniels	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
23	✓	Sarah House	Wide Ruins	E-1 (h)	Yes	Yes	Yes	No		Yes	Yes	Yes
24	✓	Herbert/Barbara Anderson	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
25	✓	Gilbert Chee Jr.	Wide Ruins	E-1 (h)	Yes	Yes	Yes	No		Yes	Yes	Yes
26	✓	Lorraine Chee	Wide Ruins	H-2	Yes	Yes	Yes	No		Yes	Yes	Yes
27	✓	Lydia Whiterock	Wide Ruins	E-1(m)	Yes	Yes	Yes	No		No	No	No
28	✓	Linda Holmes	Wide Ruins	E-1(m)	Yes	Yes	Yes	No		No	No	No
29	✓	Clarence Chee	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
30	✓	Lucy Joe	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
31	✓	Joseph Yazzie/Marcy Sam	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
32	✓	Arlene Joe	Wide Ruins	E-1 (t)	Yes	Yes	Yes	No		Yes	Yes	Yes
33	✓	Lorenzo Cleveland	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes
34	✓	Mary Ann Parker	Wide Ruins	E-1 (t)	Yes	Yes	Yes	No		No	No	No
35	✓	Mae Gaddy Parker	Wide Ruins	E-1	Yes	Yes	Yes	No		Yes	Yes	Yes

PIL Home Number	HITS Home Number	Recommended Facilities								
		Homeowner	Chapter	House Type	First Service	Water	Sewer	Solid Waste	Addition Required	Bathroom Plumbing Required
36	✓	Micheal Parker	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
37	✓	Belinda Parker	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
38	✓	Lyle Baldwin/Ella Mae Goldtooth	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	Yes	Yes
39	✓	Manual Tishie	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
40	✓	Samuel Tishie	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
41	✓	Herman Baloo	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
42	✓	Maeta Gene	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
43	✓	Lorraine Baloo	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
44	✓	Alice Baloo	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
45	✓	Albert Tishie	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
46	✓	Verna Thomas	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
47	✓	Anthony Spean	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
48	✓	Raymond Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
49	✓	Daisy Burnside	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	No	No
50	✓	Lucinda King	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
51	✓	Alice M. Burnside	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
52	✓	Stella Mae Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
53	✓	Frances Bia	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	Yes	Yes
54	✓	Carl Sam	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
55	✓	Sarah Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
56	✓	Thelma John	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
57	✓	Velma Nez	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
58	✓	Emanuel John	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
59	✓	Mary Foster	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
60	✓	Henry Leo	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
61	✓	Mariam Yazzie	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
62	✓	Martha Burns	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
63	✓	Bernice Begay	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
64	✓	Esther Begay	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
65	✓	Leonard Yellowhorse	Wide Ruins	E-1 (t)	Yes	Yes	Yes	No	No	No
66	✓	Anderson Begay	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
67	✓	Jefferson Joe	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
68	✓	Emma Natascio	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
69	✓	Annie Tolly	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
70	✓	Jonah Daw	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
71	✓	Patsy Baldwin	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
72	✓	Anderson Morgan Jr.	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
73	✓	Jewelana Parker	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes

PIL Home Number	HITS Home Number	Homeowner	Chapter	House Type	First Service	Recommended Facilities			Addition Required	Bathroom Plumbing Required
						Water	Sewer	Solid Waste		
36	✓	Micheal Parker	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
37	✓	Belinda Parker	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
38	✓	Lyle Baldwin/Ella Mae Goldtooth	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	Yes	Yes
39	✓	Manual Tishie	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
40	✓	Samuel Tishie	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
41	✓	Herman Baloo	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
42	✓	Maeta Gene	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
43	✓	Lorraine Baloo	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
44	✓	Alice Baloo	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
45	✓	Albert Tishie	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
46	✓	Verna Thomas	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
47	✓	Anthony Spean	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
48	✓	Raymond Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
49	✓	Daisy Burnside	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	No	No
50	✓	Lucinda King	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
51	✓	Alice M. Burnside	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
52	✓	Stella Mae Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
53	✓	Frances Bia	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	Yes	Yes
54	✓	Carl Sam	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
55	✓	Sarah Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
56	✓	Thelma John	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
57	✓	Velma Nez	Wide Ruins	E-1(m)	Yes	Yes	Yes	No	No	No
58	✓	Emanuel John	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
59	✓	Mary Foster	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
60	✓	Henry Leo	Wide Ruins	E-1(h)	Yes	Yes	Yes	No	Yes	Yes
61	✓	Mariam Yazzie	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
62	✓	Martha Burns	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
63	✓	Bernice Begay	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
64	✓	Esther Begay	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
65	✓	Leonard Yellowhorse	Wide Ruins	E-1 (t)	Yes	Yes	Yes	No	No	No
66	✓	Anderson Begay	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
67	✓	Jefferson Joe	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
68	✓	Emma Natascio	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
69	✓	Annie Tolly	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
70	✓	Jonah Daw	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
71	✓	Patsy Baldwin	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
72	✓	Anderson Morgan Jr.	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
73	✓	Jewelana Parker	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes

PIL Home Number	HITS Home Number	Homeowner	Chapter	House Type	First Service	Recommended Facilities			Addition Required	Bathroom Plumbing Required
						Water	Sewer	Solid Waste		
74	✓	Julia Parker	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
75	✓	Daniel Chee Sr.	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
76	✓	Jessica Parker	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
77	✓	Melvin Martin	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
78	✓	Yolanda Baldwin	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
79	✓	Paul Yellowhorse	Wide Ruins	E-1 (h)	Yes	Yes	Yes	No	Yes	Yes
80	✓	Wilson Joe	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
81	✓	Rueben Joe	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
82	✓	Martin Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
83	✓	Delphine Billie	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
84	✓	Virginia Johnson	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
85	✓	Lisa Nockiden	Wide Ruins	E-1 (t)	Yes	Yes	Yes	No	No	No
86	✓	Marjorie Spencer	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
87	✓	Brenda Spencer	Wide Ruins	E-1 (h)	Yes	Yes	Yes	No	Yes	Yes
88	✓	Geneva Shabi	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
89	✓	Jefferson Lee	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
90	✓	Howard/Lena Martin	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
91	✓	Merlin Martin	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
92	✓	Brian Martin	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
93	✓	Louise Stewart	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
94	✓	Tom Lynch	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
95	✓	Sarah Chee	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
96	✓	Jervin Chee	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
97	✓	Louise J. Nelson	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
98	✓	Anslern Lee	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
99	✓	Arthhur Lee	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
100	✓	Ervin Chester	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
101	✓	Perry Yellowhorse	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
102	✓	Norma Quintana	Wide Ruins	E-1 (h)	Yes	Yes	Yes	No	Yes	Yes
103	✓	Kenneth Begay	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
104	✓	Phillip James	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
105	✓	Gloria Yazzie	Wide Ruins	E-1	Yes	Yes	Yes	No	No	No
106	✓	Louise Ashley	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
107	✓	Nancy Parker	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes
108	✓	Kenneth Lee	Wide Ruins	E-1	Yes	Yes	Yes	No	Yes	Yes



MEMORANDUM

TO: Honorable Raymond Smith
23rd Navajo Nation Council Delegate

FROM: Mariana Kahn
Mariana Kahn, Attorney
Office of Legislative Counsel

DATE: September 22, 2017

SUBJECT: A PROPOSED NAVAJO NATION COUNCIL RESOLUTION; AN ACTION RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE, BUDGET AND FINANCE COMMITTEE, NAABIK'ÍYÁTI' COMMITTEE AND THE NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF \$163,372.00 FOR WIDE RUINS CHAPTER-PINE SPRINGS SCATTERED POWERLINE EXTENSION PROJECT; WAIVING 12 N.N.C. §§ 820(I) and 860(C) RELATING TO THE CAPITAL IMPROVEMENT PROCESS

I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting.

Please provide additional documents:

1. **Budget Documents that conform to the Supplemental Appropriation Budget Documents described in the 2018 Navajo Nation Budget Instruction Manual Fiscal Year 2018, Section XIV.**
2. **The Supplemental Appropriation budget documents are to be reviewed by the Office of Management and Budget as described in 2018 Navajo Nation Budget Instruction Manual Fiscal Year 2018, Section XIII.**

Based on existing law and review of documents submitted, the resolution will be legally sufficient provided the additional documents are provided. As with any action of government however, it can be subject to review by the courts in the event of proper challenge. Please ensure that his particular resolution request is precisely what you want. You are encouraged to review the proposed resolution to ensure that it is drafted to your satisfaction.

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0393-17_____ SPONSOR: Raymond Smith, Jr.

TITLE: An Action Relating To Resources And Development Committee, Budget And Finance Committee, Naabik'iyati' Committee And The Navajo Nation Council; Approving Supplemental Funding From The Unreserved, Undesignated Fund Balance \$5,000,000.00 (Five Million Dollars) For The Wide Ruins Waterline Extension Project; Waiving 2 N.N.C. § 820(I) And 806(C) Relating To The Capital Improvement Process

Date posted: September 25, 2017 at 4:03pm

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

**Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7590**

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Nav, ajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 *et. seq.*

**THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW SUMMARY**

LEGISLATION NO.: 0393-17

SPONSOR: Honorable Raymond Smith Jr.

TITLE: An Action Relating To Resources And Development Committee, Budget And Finance Committee, Naabik'iyati' Committee And The Navajo Nation Council; Approving Supplemental Funding From The Unreserved, Undesignated Fund Balance \$5,000,000.00 (Five Million Dollars) For The Wide Ruins Waterline Extension Project; Waiving 2 N.N.C. § 820(I) And 806(C) Relating To The Capital Improvement Process.

Posted: September 25, 2017 at 4:03pm

5 DAY Comment Period Ended: September 30, 2017

Digital Comments received:

Comments Supporting	<i>None</i>
Comments Opposing	<i>None</i>
Inclusive Comments	<i>None</i>



**Legislative Secretary II
Office of Legislative Services**

10/2/2017 8:25am

Date/Time

**RESOURCES AND DEVELOPMENT COMMITTEE
23rd NAVAJO NATION COUNCIL**

THIRD YEAR 2017

COMMITTEE REPORT

Mr. Speaker,

The **RESOURCES AND DEVELOPMENT COMMITTEE** to whom has been assigned:

Legislation # 0393-17: An Action Relating to Resources and Development and Budget and Finance Committees; Naabik'Iyati Committees and Navajo Nation Council; Approving Supplemental Funding From the Unreserved, Undesignated Fund Balance in the Amount of \$5,000,000 (Five Million Dollars) for the Wide Ruins Waterline extension Project; Waiving 2 N.N.C. §820(I) and 806(C) Relating to Capital Improvement Process. *Sponsor: Honorable Raymond Smith, Jr.*

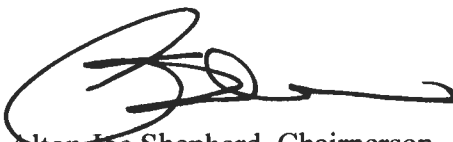
Has had it under consideration and report the same with a DO NOT PASS with the following amendment as follow:

1. Add a new **Exhibit C** to the legislation.
2. On Page 4, Line 3, amend as follows:
Extension Project. See the Navajo Nation Budget documents attached as **Exhibit C**. The STARS SDS Narrative budget documents regarding this
Page 4, Line 28;
Page 5, Line 11;
Page 5, Line 17; and
Page 5, Line 19.
3. On Page 4, Line 20, amend as follows:
E. As set forth in Navajo Nation Budget documents attached as **Exhibit C** and the STARS SDS Narrative, attached as **Exhibit B**, there are sixty-two
4. On Page 5, Line 22, amend as follows:
Ruins Water Line Extension Project as set forth in **Exhibit C**.

5. Renumber or re-letter succeeding paragraphs or sections accordingly, if necessary; and this amendment shall supersede inconsistent language contained in any other committee amendment, which shall be conformed to the intent or language of this amendment. The Office of Legislative Services, with notice to the sponsor(s) of the Legislation, is hereby authorized to make necessary grammatical changes. **(AMENDMENT MOTION: Leonard Pete; Second: Walter Phelps Vote: 3-0-1 (CNV))**

And thereafter respectfully referred the matter to Budget and Finance Committee

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Alton Joe Shepherd', written over a horizontal line.

Alton Joe Shepherd, Chairperson
Resources and Development Committee of
the 23rd Navajo Nation Council

Date: November 1, 2017
Meeting Location: NN Museum, Window Rock, Arizona

Main Motion: Honorable Leonard H. Pete
Second: Honorable Benjamin Bennett
Vote: 1-2-1 (CNV)

**THE NAVAJO NATION
PROJECT BUDGET SUMMARY**

Page 1 of 3

PROJECT FORM 1

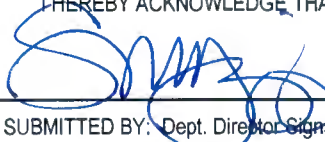
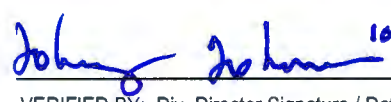
PART I.		Business Unit No.: _____		Project Title: <u>Wide Ruins Chapter Waterline Extension</u>	
		Community Development			
Division/Branch: _____		Executive		Chapter: <u>Wide Ruins</u> Agency: <u>Ft. Defiance</u>	
Prepared By: <u>Wide Ruins Chapter</u>				Phone No.: <u>928-652-3223</u>	

PART II.	Check one: <u>XX</u> Original	<u> </u> Revision	<u> </u> Reallocation	<u> </u> Modification
-----------------	-------------------------------	------------------------	----------------------------	----------------------------

Project Funds/Source(s)	Appropriation End Date	Amount	% of Total
NN-UUFB	09-30-2020	5,000,000.00	100%
TOTAL:		5,000,000.00	

PART III.	Budget at LOD 4	Amount
	8000 - Assistance	5,000,000.00
TOTAL		5,000,000.00

PART IV.	Project Information
Project Type:	<u>Construction</u>
Planned Start Date:	<u>01-02-2018</u>
Planned End Date:	<u>09-30-2019</u>
Project Manager:	<u>CPMD</u>
FOR OMB USE ONLY	
Resolution No.:	_____
FMIS Set Up Date:	_____
Company No.:	_____
OMB Analyst:	_____

PART V. ACKNOWLEDGEMENT:	
I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED ON THIS FORM IS COMPLETE AND ACCURATE.	
 SUBMITTED BY: Dept. Director Signature / Date	 VERIFIED BY: Div. Director Signature / Date

PROJECT FORM 2

PART I. Business Unit No.: _____ Project Title: <u>Wide Ruins Chapter Waterline Extension</u>																													
Project Description <u>Waterline Construction</u>																													
PART II. Project Task List: such as Plan, Design, Construct, Equip or Furnish.	PART III. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc. <table border="1"> <thead> <tr> <th colspan="4">FY 2018</th> <th colspan="4">FY 2019</th> <th rowspan="2">Expected Completion Date if exceeds 8 FY Qtrs.</th> </tr> <tr> <th>1st Qtr.</th> <th>2nd Qtr.</th> <th>3rd Qtr.</th> <th>4th Qtr.</th> <th>1st Qtr.</th> <th>2nd Qtr.</th> <th>3rd Qtr.</th> <th>4th Qtr.</th> </tr> <tr> <th>O N D</th> <th>J F M</th> <th>A M J</th> <th>Jul A S</th> <th>O N D</th> <th>J F M</th> <th>A M J</th> <th>Jul A S</th> <th>Date</th> </tr> </thead> </table>	FY 2018				FY 2019				Expected Completion Date if exceeds 8 FY Qtrs.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	O N D	J F M	A M J	Jul A S	O N D	J F M	A M J	Jul A S	Date		
FY 2018				FY 2019				Expected Completion Date if exceeds 8 FY Qtrs.																					
1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.	1st Qtr.	2nd Qtr.	3rd Qtr.	4th Qtr.																						
O N D	J F M	A M J	Jul A S	O N D	J F M	A M J	Jul A S	Date																					
1 Required Clearaces																													
2 Construction Activity																													
PART IV. Expected Quarterly Expenditures	<table border="1"> <thead> <tr> <th></th> <th>\$</th> <th>714,285.72</th> <th>714,285.72</th> <th>714,285.72</th> <th>714,285.72</th> <th>714,285.71</th> <th>714,285.71</th> <th>\$</th> <th>714,285.71</th> <th>714,285.71</th> <th>714,285.71</th> <th>\$</th> <th>PROJECT TOTAL</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>\$5,000,000.00</td> </tr> </tbody> </table>		\$	714,285.72	714,285.72	714,285.72	714,285.72	714,285.71	714,285.71	\$	714,285.71	714,285.71	714,285.71	\$	PROJECT TOTAL														\$5,000,000.00
	\$	714,285.72	714,285.72	714,285.72	714,285.72	714,285.71	714,285.71	\$	714,285.71	714,285.71	714,285.71	\$	PROJECT TOTAL																
													\$5,000,000.00																

**THE NAVAJO NATION
PROJECT BUDGET AND JUSTIFICATION**

**Page 3 of 3
PROJECT FORM 3**

PART I. Business Unit No.: _____ Project Title: _____ Wide Ruins Chapter Waterline Extension				
PART II.				
(A)	(B)	(C)	(D)	(E)
Fund Source Code	Object Code (LOD 4)	Object Code (LOD 6)	Object Code Description (LOD 7) & Justification	Budget TOTAL
02.000	8000	8500	8545 - Waterline construction project in the Wide Ruins Chapter Provide water services to sixty-two homes.	5,000,000.00
PAGE TOTAL:				\$5,000,000.00

FY: 2018-2019

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

 Page 1 of 3
BUDGET FORM 1

PART I. Business Unit No.:		Program Title: Wide Ruins Chapter Waterline Extension		Division/Branch: Community Development - Executive	
Prepared By:		Wide Ruins Chapter		Email Address: <u>wideruins@navajochapters.org</u>	
		Phone No.: 928-652-3223			

PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
NN - UUF		2018-2019	5,000,000.00	0%							
					2001	Personnel Expenses					0
					3000	Travel Expenses					0
					3500	Meeting Expenses					0
					4000	Supplies					0
					5000	Lease and Rental					0
					5500	Communications and Utilities					0
					6000	Repairs and Maintenance					0
					6500	Contractual Services					0
					7000	Special Transactions					0
					8000	Public Assistance	02.000		5,000,000.00		0
					9000	Capital Outlay					0
					9500	Matching Funds					0
					9500	Indirect Cost					0
					TOTAL				\$0.00	5,000,000.00	0

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		0	0
Total # of Permanently Assigned Vehicles:		0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: <u>Sherylene Yazzie</u> Signature: <u>[Signature]</u> Date: <u>10/19/2017</u>	APPROVED BY: Division Director/Branch Chief's Printed Name <u>Carl Smith</u>
SUBMITTED BY: <u>[Signature]</u> Signature: <u>[Signature]</u> Date: <u>10/19/2017</u>	APPROVED BY: Division Director/Branch Chief's Signature and Date <u>[Signature]</u> <u>10.19.17</u>

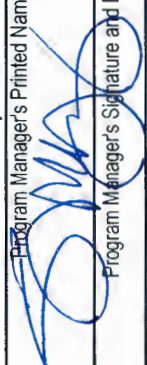

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

Page 2 of 3
BUDGET FORM 2

FY 2018-2019

PART I. PROGRAM INFORMATION:											
Business Unit No.:		Program Name/Title:									Wide Ruins Chapter Waterline Extension
PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:											
PART III. PROGRAM PERFORMANCE CRITERIA:											
1. Program Performance Area:											
Provide water services for the Wide Ruins community											
Goal Statement:											
62 homes to have running water, waste water.											
2. Program Performance Area:											
Goal Statement:											
3. Program Performance Area:											
Goal Statement:											
4. Program Performance Area:											
Goal Statement:											
5. Program Performance Area:											
Goal Statement:											

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
15			15		15		17	

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.			
 Sherylene Yazzie Program Manager's Printed Name	Carl Smith Division Director/Branch Chief's Printed Name		
 Division Director/Branch Chief's Signature and Date	10.19.17		

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

Page 3 of 3
BUDGET FORM 4

FY 2018-2019

PART I. PROGRAM INFORMATION: Program Name/Title: _____ Business Unit No.: _____			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
8500	Infrastructure (non cap) Waterline construction project in the Wide Ruins Chapter. To provide services to sixty-two homes	5,000,000.00	5,000,000.00
TOTAL		5,000,000.00	5,000,000.00

23rd NAVAJO NATION COUNCIL

Third Year 2017

Mr. Speaker:

The **BUDGET & FINANCE COMMITTEE** to whom has been assigned

NAVAJO LEGISLATIVE BILL # 0393-17:

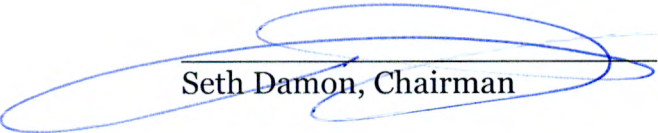
An Action Relating to Resources and Development Committee, Budget and Finance Committee; Naabikiyati Committee and the Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the Amount of \$5,000,000 (Five Million Dollars) for the Wide Ruins Water Line Extension Project, Waiving 12 N.N.C. §§ 820(I) and 860(C) Relating to the Capital Improvement Process
Sponsored by Raymond Smith, Jr., Jonathan L. Hale, Council Delegates


has had it under consideration and reports the same with the recommendation that **It Do Pass** with 1 amendment.

1. On page 4, lines 12-13, strike “~~not~~”; strike “~~; however, a request for review will be made to that office for the~~”; insert after the word: included “pursuant to 12 N.N.C. § 820 (M) review, attached as Exhibit D.” *Amendment 1 Motion: Dwight Witherspoon Second: Lee Jack Sr. Vote: 5-0*

And therefore, referred to the **NAABIKIYATI** Committee

Respectfully submitted,


Seth Damon, Chairman

Adopted: 
Legislative Advisor

Not Adopted: _____
Legislative Advisor

21 November 2017

The vote was **3** in favor **2** opposed
Motion: Lee Jack Sr.
Second: Dwight Witherspoon



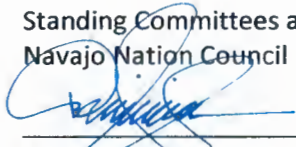
THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE PRESIDENT

EXHIBIT "D"

MEMORANDUM:

TO : Standing Committees and
Navajo Nation Council

FROM : 
Dominic Beval, Executive Director
Office of Management and Budget

DATE : November 9, 2017

SUBJECT : **Legislation No. 0393-17:** Approving Supplemental Funding from the UUFB in the amount of \$5,000,000 for the Wide Ruins Waterline Extension Project, and Waiving 12 NNC Section 820 (I) and 860 (C).

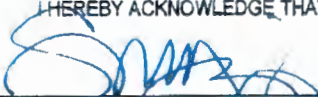

Pursuant to 2 NNC § 1201-1205 and 12 NNC § 820 (M), the Office of Management & Budget (OMB) reviewed the legislation and provides the following:

1. Exhibit B to the proposed legislation is the IHS STARS SDS Narrative dated 4/20/2016 which indicates the project is for waterline extension, wastewater facilities, plumbing and bathroom additions for 61 or 62 homes. A Participant List for Wide Ruins Chapter listing 108 participants is also included. Which is correct? Also, the SDS Narrative indicates "No" in the 'Ready to Fund' section.
2. Exhibit C was added to the legislation by RDC Report dated 11/01/17. Exhibit C is the NN Budget Forms dated 10/19/17. Are there revised forms? See marked up copy of the budget forms for corrections to be made.
3. Appendix K, Supplemental Funding Summary Form, is not attached. The completed form should be included. See FY 2018 BIM, Section XIV (B) (1).
4. According to the Auditor General's Report of Chapters' Unspent Funds Balances as of June 30, 2017. Wide Ruins Chapter has unspent funds of \$540,000 of which \$300,000 is for Capital funds. Can the chapter use some of these funds?
5. The Appropriations Act, at 12 NNC Section (I) requires that all budget requests for capital improvement comply with an adopted capital improvement plan. Currently, there is no plan because the Navajo Nation Council, by resolution CAP-23-17, rescinded CAP-18-14 9 (the CIP Plan). In passing CAP-23-17, the NNC directed the Division of Community Development to begin the CIP process anew and provide opportunity to ALL chapters to be a part of the process, and with preference for region-wide projects. DCD was to provide a "Priority List" to NABI in January, 2017. What is the status of this activity?
6. The request for supplemental funding has currently grown to over \$60 million while the UUFB amount is \$31 million. A majority of the requests are for capital projects. A comprehensive CIP Plan needs to be developed to ensure projects are properly vetted and are in compliance with existing policies. Further, the FY 2018 Budget Resolution, CS-53-17, paragraph L (Section 2) directs the Branch Chiefs to prioritize the unmet needs. Only the Judicial Branch has prioritized its unmet needs.
7. The FY 2018 BIM at Section XIV E, speaks to the DCD/CPMD to review and provide recommendations. As it currently stands, there are more than 26 capital projects proposals awaiting NNC consideration. The total is more than \$41 million. DCD/CPMD should recommend priorities.

Contact OMB, Darlene Sam, Senior Budget Analyst, at (928) 871-6470 if there are any questions and/or concerns regarding this memorandum.

xc: DCD/CPMD



PART I.			
Business Unit No.: <u>NIN</u>	Project Title: <u>Wide Ruins Chapter Waterline Extension</u>		
Division/Branch: <u>Community Development Executive</u>	Chapter: <u>Wide Ruins Agency:</u> <u>Ft. Defiance</u>		
Prepared By: <u>Wide Ruins Chapter</u>	Phone No.: <u>928-852-3223</u>		
PART II.			
Check one: <input checked="" type="checkbox"/> Original _____ Revision _____ Reallocation _____ Modification			
Project Funds/Source(s)	Appropriation End Date	Amount	% of Total
NN-UJFB	09-30-2020	5,000,000.00	100%
TOTAL:		5,000,000.00	
PART III.		PART IV.	
Budget at LOD 4	Amount	Project Information	
8000 - Assistance	5,000,000.00	Project Type: <u>Construction</u>	
		Planned Start Date: <u>01-02-2018</u>	
		Planned End Date: <u>09-30-2019</u>	
		Project Manager: <u>CPMD</u>	
		FOR OMB USE ONLY	
		Resolution No.: _____	
		FMIS Set Up Date: _____	
		Company No.: _____	
TOTAL	5,000,000.00	OMB Analyst: _____	
PART V. ACKNOWLEDGEMENT:			
I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED ON THIS FORM IS COMPLETE AND ACCURATE.  10/19/17  10-19-17			
SUBMITTED BY:	Dept. Director Signature / Date	VERIFIED BY:	Div. Director Signature / Date

**23rd NAVAJO NATION COUNCIL
NAABIK'ÍYÁTI' COMMITTEE REPORT
Third Year 2017**

The **NAABIK'ÍYÁTI' COMMITTEE** to whom has been assigned:

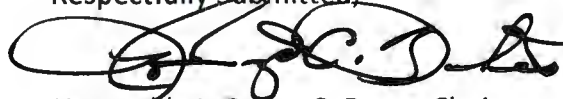
NAVAJO LEGISLATIVE BILL #0393-17

An Action Relating to Resources and Development Committee, Budget and Finance Committee; Naabik'íyáti' Committee and the Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the Amount of \$5,000,000.00 (Five Million Dollars) for the Wide Ruins Water Line Extension Project; Waiving 12 N.N.C. §§ 820(I) and 860(C) Relating to the Capital Improvement Process

*Sponsored by: Honorable Raymond Smith, Jr.
Co-Sponsored by: Honorable Jonathan L. Hale
Co-Sponsored by: Honorable Benjamin L. Bennett
Co-Sponsored by: Honorable Tom T. Chee*

Has had it under consideration and reports the same **PASSED AND REFERRED TO THE NAVAJO NATION COUNCIL**

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "LoRenzo C. Bates", written over a horizontal line.

*Honorable LoRenzo C. Bates, Chairman
NAABIK'ÍYÁTI' COMMITTEE*

4 DECEMBER 2017

MAIN MOTION:

*Motioned by: Honorable Lee Jack, Sr.
Seconded by: Honorable Herman M. Daniels*

Vote: 07 in Favor, 06 Opposed (Chairman Bates Not Voting)

NAVAJO NATION

RCS# 673

Naa'bik'iyati Committee

12/4/2017

04:41:19 PM

Amd# to Amd#

Legislation No. 0393-17

PASSED

MOT Jack

Approving Supp Funding from

SEC Daniels

UUFB \$5,000,000 for the Wide
Ruins Waterline Extension

Yea : 7

Nay : 6

Not Voting : 11

Yea : 7

Begay, K
Daniels

Hale
Jack

Perry
Shepherd

Smith

Nay : 6

Bennett
Brown

Damon
Phelps

Slim

Witherspoon

Not Voting : 11

Bates
Begay, NM
Begay, S

BeGaye, N
Chee
Crotty

Filfred
Pete
Tso

Tsosie
Yazzie

23rd NAVAJO NATION COUNCIL ACTION REPORT Fourth Year 2018

The **NAVAJO NATION COUNCIL** to whom has been assigned:

NAVAJO LEGISLATIVE BILL #0393-17

An Action Relating to Resources and Development Committee, Budget and Finance Committee, Naabik'íyáti' Committee and the Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the Amount of \$5,000,000.00 (Five Million Dollars) for the Wide Ruins Water Line Extension Project; Waiving 12 N.N.C. §§ 820 (I) and 860 (C) Relating to the Capital Improvement Process

Sponsored by: Honorable Raymond Smith, Jr.

Co-Sponsored by: Honorable Benjamin L. Bennett

Co-Sponsored by: Honorable Tom T. Chee

Co-Sponsored by: Honorable Jonathan L. Hale

Has had it under consideration and reports the same **FAILED (Needed 16 in Favor Votes)**

Respectfully Submitted,



Honorable Lorenzo C. Bates, Speaker
23rd NAVAJO NATION COUNCIL

17 JULY 2018

MAIN MOTION:

Motioned by: Honorable Lee Jack, Sr.

Seconded by: Honorable Jonathan Perry

Vote: 11 in Favor, 08 Opposed (Speaker Bates Not Voting) – MAIN MOTION FAILED DUE TO 16 IN FAVOR VOTES NEEDED FOR PASSAGE

NAVAJO NATION

RCS# 1158

2018 Summer Session

7/17/2018

04:01:25 PM

Amd# to Amd#
MOT Jack
SEC Perry
Legislation 0393-17: Approving
Supplemental Funding from UUFB
in the Amount of \$5,000,000 for
Wide Ruins Water Line Ext. Proj.

FAILED

Yea : 11

Nay : 8

Excused : 1

Not Voting : 4

Yea : 11

Begay, K
Begay, NM
Begay, S

BeGaye, N
Crotty
Daniels

Jack
Perry
Smith

Tso
Yazzie

Nay : 8

Brown
Damon

Hale
Pete

Phelps
Slim

Tsosie
Witherspoon

Excused : 1

Filfred

Not Voting : 4

Chee

Bennett

Bates

Shepherd