

RESOLUTION OF THE
NAVAJO NATION COUNCIL

23rd NAVAJO NATION COUNCIL -- First Year, 2015

AN ACTION

RELATING TO LAW AND ORDER, BUDGET AND FINANCE, NAABIK'ÍYÁTI' COMMITTEE AND NAVAJO NATION COUNCIL; AUTHORIZING AND ACCEPTING A UNITED STATES DEPARTMENT OF JUSTICE, OFFICE ON VIOLENCE AGAINST WOMEN GRANT TO THE NAVAJO JUDICIAL BRANCH (BUSINESS UNIT NUMBER K140804) IN THE AMOUNT OF \$450,000 TO DEVELOP AND STRENGTHEN EFFECTIVE RESPONSES TO VIOLENCE AGAINST NAVAJO WOMEN

BE IT ENACTED:

SECTION ONE. FINDINGS

- A. The Navajo Nation Council established the Law and Order Committee (LOC) as a Navajo Nation standing committee and as such empowered LOC with oversight over the Navajo Nation Judicial Branch. 2 N.N.C. §§ 164 (A)(9), 600 (A), 601 (C)(1) (2012) *see also* CJA-03-13.
- B. The Navajo Nation Council established the Budget and Finance Committee (B&F) as a Navajo Nation standing committee and as such gave B&F the power to authorize, approve and accept grants from federal authorities upon the recommendation of the standing committee which as oversight of the program which has applied for the grant. 2 N.N.C. §§ 300(A) and 301(B)(15) (2012) *see also* CJA-03-13.
- C. The Naabik'íyáti' Committee reviews proposed resolutions that require final action by the Navajo Nation Council. 2 N.N.C. § 164(A)(9).
- D. The Navajo Nation Judicial Branch applied for a Department of Justice Office of Violence Against Women Tribal Governments Program grant for four hundred fifty thousand dollars (\$450,000), which Application is attached as EXHIBIT "A".
- E. The Office of Violence Against Women awarded the grant on September 22, 2014, which Award Documents are attached as EXHIBIT "B".

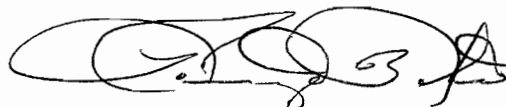
- F. The Budget for the Grant Award is attached as EXHIBIT "C".
- G. The Navajo Nation agrees to provide the grant manager a signed current Navajo Nation Council resolution, authorizing and accepting the application on behalf of the Navajo Nation. Grant Award, ¶64, 9 (2014) see also EXHIBIT B.
- H. The Navajo Nation finds accepting the Office of Violence Against Women grant is in the best interests of the Navajo Nation.

SECTION 2. AUTHORIZING AND ACCEPTING THE OFFICE OF VIOLENCE AGAINST WOMEN GRANT TO THE NAVAJO NATION JUDICIAL BRANCH (BUSINESS UNIT NO. K140804) FOR \$450,000 FOR THE PURPOSE OF DEVELOPING A FAMILY ADVOCACY CENTER TO HANDLE DOMESTIC VIOLENCE AND SEXUAL ASSAULT CASES

The Navajo Nation authorizes and accepts the Office of Violence Against Women grant to the Navajo Nation Judicial Branch (Business Unit No. K140804) for \$450,000 for the purpose of developing a Family Advocacy Center to handle domestic violence and sexual assault cases.

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 19 in favor and 0 opposed, this 30th day of June 2015.



LoRenzo Bates, Speaker
Navajo Nation Council

7-6-15

Date

Motion: Honorable Alton Joe Shepherd
Second: Honorable Jonathan L. Hale



Department of Justice
Office on Violence Against Women

**GRANT MANAGER'S MEMORANDUM
PROJECT SUMMARY**

Grant

PROJECT NUMBER

2014-TW-AX-0053

PAGE 1 OF 1

This project is supported under Omnibus Crime Control and Safe Streets Act of 1968, Title I, Part T, Section 2015, Public Law 90-351, as amended, 42 U.S.C. 3796gg-10

1. STAFF CONTACT (Name & telephone number)

Lorraine Edmo
(202) 514-8804

2. PROJECT DIRECTOR (Name, address & telephone number)

Raquel Chee
Grant Administrator
Post Office Box 7440
Window Rock, AZ 86515-7440
(928) 871-7019

3a. TITLE OF THE PROGRAM

OVW FY 14 CTAS Purpose Area 5: Violence Against Women Tribal Governments Program

**3b. POMS CODE (SEE INSTRUCTIONS
ON REVERSE)**

4. TITLE OF PROJECT

Grants to Indian Tribal Governments Program

5. NAME & ADDRESS OF GRANTEE

Navajo Nation
P.O. Box 9000
Window Rock, AZ 86515

6. NAME & ADDRESS OF SUBGRANTEE

7. PROGRAM PERIOD

FROM: 10/01/2014 TO: 09/30/2017

8. BUDGET PERIOD

FROM: 10/01/2014 TO: 09/30/2017

9. AMOUNT OF AWARD

\$ 450,000

10. DATE OF AWARD

09/22/2014

11. SECOND YEAR'S BUDGET

12. SECOND YEAR'S BUDGET AMOUNT

13. THIRD YEAR'S BUDGET PERIOD

14. THIRD YEAR'S BUDGET AMOUNT

15. SUMMARY DESCRIPTION OF PROJECT (See instruction on reverse)

The Justice Department's grant-making components have created a streamlined approach for federally recognized Tribes, Tribal consortia, Alaska Native villages and corporations, as well as authorized tribal designees to apply for Fiscal Year (FY) 2014 funding opportunities. The Coordinated Tribal Assistance Solicitation (CTAS) serves as a single solicitation for existing tribal government-specific grant programs administered by the Office of Justice Programs (OJP), the Office of Community Oriented Policing Services (COPS) and the Office on Violence Against Women (OVW). The CTAS solicitation is designed to assist tribes with addressing crime and public safety issues in a comprehensive manner. The CTAS grant-application process was inspired by and developed after consultation with tribal leaders, including sessions at the Justice Department's Tribal Nations Listening Session in 2009, and has been updated based on continued tribal consultations and listening sessions.

The Grants to Indian Tribal Governments Program (Tribal Governments Program) was created in Title IX of the Violence Against Women Act of 2005 and was OJP FORM 4000/2 (REV. 4-88)

EXHIBIT

A

reauthorized and amended by the Violence Against Women Act of 2013. The Tribal Governments Program is designed to fulfill the three goals of Title IX: (1) to decrease the incidence of violent crime against Indian women; (2) to strengthen the capacity of Indian tribes to exercise their sovereign authority to respond to violent crimes committed against Indian women; and (3) to ensure that perpetrators of violent crimes committed against Indian women are held accountable for their criminal behavior.

In FY 2014, applications to the Tribal Governments Program were solicited through CTAS. Recipients of Tribal Governments awards may use funds to support efforts in the following areas: (1) develop and enhance effective plans for tribal governments to respond to violence committed against Indian women; (2) strengthen the tribal criminal justice system; (3) improve services available to help Indian women who are victims of violence; (4) create community education and prevention campaigns; (5) address the needs of children who witness domestic violence; (6) provide supervised visitation and safe exchange programs; (7) provide transitional housing assistance; (8) provide legal advice and representation to survivors of violence who need assistance with legal issues caused by the abuse or the violence they suffered; (9) provide services to children and youth who are exposed to or are victims of violence against Indian women; and (10) develop and promote legislation and policies that enhance best practices for responding to violence against Indian women. While the final approved project may not encompass all of the aforementioned activities, project activities will address one or more of these areas and will fall within the statutory scope of the Tribal Governments Program.

CA/NCF

Violence Against Women Tribal Governments Program

Purpose Area (5)

Program Office

OVW 16.587

Note: Non-Federal match is not required for this purpose area but can be provided if desired.

A. Personnel

Name/Position	Computation							
List each position and name, if known. New positions may be grouped by type.	Show annual salary rate & amount of time devoted to the project for each name/position.							
	# of Positions	Salary	Rate	Time Worked (# of hours, days, months, years)	%	Total Cost	Non-Federal Contribution	Federal Request
Criminal Prosecutor - 3rd Year	1	\$65,978.00	yearly	1	20%	\$13,196	\$0	\$13,196
Criminal Prosecutor - 2nd Year	1	\$63,440.00	yearly	1	20%	\$12,688	\$0	\$12,688
Criminal Prosecutor - 1st Year	1	\$61,000.00	yearly	1	20%	\$12,200	\$0	\$12,200
Total(s)						\$38,084	\$0	\$38,084 ✓
Narrative								

Narrative

The Criminal Prosecutor will be JD qualified, bar-certified to practice law on the Navajo Reservation, and possess at least 5 years experience prosecuting cases of sexual assault and domestic violence the 5 years preceding their hire. The prosecutor will be hired by the Navajo Nation Office of the Chief Prosecutor and will spend 50% of their time prosecuting cases related to PA#5, and the other 50% prosecuting cases related to PA#6. Since PA#7 encompasses work related to both PA#5 & PA#6, we have sought 20% of the funds for this position under PA#5, 35% under PA#6, and 45% under PA#7. The 45% requested under PA#7 represents 30% for work related to PA#5, and 15% for work related to PA#6. The prosecutor's starting salary will be \$61,000 and increase at a rate of 4% annually.

B. Fringe Benefits						
Type of Benefit		Computation				
<i>List each grant-support fringe benefit that is provided to the grant-funded position.</i>		<i>Show the basis for computation.</i>				
	Base	Rate	Total Cost	Non-Federal Contribution	Federal Request	
Annual Leave - Navajo Nation	\$38,084	0.60%	\$229	\$0	\$229	
401k Plan - Navajo Nation	\$38,084	1.25%	\$477	\$0	\$477	
Retirement - Navajo Nation	\$38,084	24.40%	\$9,293	\$0	\$9,293	
Group Insurance - Navajo Nation	\$38,084	9.50%	\$3,618	\$0	\$3,618	
Unemployment Taxes - Navajo Nation	\$38,084	1.61%	\$614	\$0	\$614	
FICA - Navajo Nation	\$38,084	7.65%	\$2,914	\$0	\$2,914	
Total			\$17,145	\$0	\$17,145	
Narrative						
Fringe benefits will be provided as per approved rates of the Tribe. This Navajo Nation rate includes: Employer's FICA, Unemployment Taxes, Group Insurance, Retirement, 401k Plan, and Annual Leave will be provided for the 36 month project period. The TMC rate includes Employer's FICA, Unemployment Taxes, Group Insurance, Leave Benefits, & 401k Plan.						
The Criminal Prosecutor is a tribal hire position therefore the tribe's fringe benefit rates apply to this position. The total base for this position under PA#5 is \$38,084.						

C. Travel						
Purpose of Travel	Location	Type of Expense	Computation			
Indicate the purpose of each trip or type of trip (training, advisory group meeting)	Indicate the travel destination.	Hotel, airfare, per diem	Compute the cost of each type of expense X the number of people traveling.			
			Cost	Duration or Distance	# of Staff	Total Cost
			Total		\$0	
Narrative						

D. Equipment						
Item	Computation					Federal Request
	Compute the cost (e.g., the number of each item to be purchased X the cost per item)					
List and describe each item of equipment that will be purchased	# of Items	Cost	Total Cost	Non-Federal Contribution		
			\$0	\$0		\$0
Narrative						

Purpose Area #5

E. Supplies					
Supply Items		Computation			
Provide a list of the types of items to be purchased with grant funds.		Describe the item and the compute the costs. Computation: The number of each item to be purchased X the cost per item.			
	# of Items	Cost	Total Cost	Non-Federal Contribution	Federal Request
			\$0	\$0	\$0
Narrative					

F. Construction						
List of Construction Activities		Computation				
List and describe each item that is part of construction.		Compute the costs (e.g., the number of each item to be purchased X the cost per item)				
		# of Items	Cost	Total Cost	Non-Federal Contribution	Federal Request
	N/A			\$0		\$0
		Total			\$0	\$0
Narrative						

Purpose Area #5

G. Consultants/Contracts							
Item							
Provide a description of the producer or services to be procured by contract and on estimate of the costs. Applicants are encouraged to promote free and open competition in awarding contracts. A separate justification must be provided for sole source contracts in excess of \$100.00							
		Total Cost	Non-Federal Contribution	Federal Request			
Subcontract with Tse Ho Tso Medical Center to administer the Violence Against Women Advocacy Center and Services.		\$385,409	\$0	\$385,409			
Purpose of Travel	Location	Type of Expense	Computation				
Indicate the purpose of each trip or type of trip (training, advisory group meeting)	Indicate the travel destination.	Hotel, oilfare, per diem	Compute the cost of each type of expense X the number of people traveling.				
			Cost	Duration or Distance	# of Staff	Total Cost	Federal Request
						\$0	\$0
			Total			\$385,409	\$385,409
Narrative							
Tse Ho Tso Medical Center will hire a Program Support Assistant, Victim Advocate and Sexual Assault Examiner to staff the advocacy center, buy supplies and equipment for three years at a cost of \$387,800.							

H. Other Costs				
Description				
List and describe items that will be paid with grants funds.				
	Total Cost	Non-Federal Contribution	Federal Request	
	\$0	\$0	\$0	
Narrative				

I. Indirect Costs						
Description		Computation				
Describe what the approved rate is and how it is applied.		Compute the indirect costs for those portions of the program which allow such costs.				
		Base	Indirect Cost Rate	Total Cost	Non-Federal Contribution	Federal Request
Navajo Nation has an approved indirect cost rate at 16.95%. Copy of approved rate is attached.		\$55,229	0.1695	\$9,362	\$0	\$9,362
		Total			\$0	\$9,362 ✓
Narrative						

Navajo Nation has an approved indirect cost rate at 16.95% for year ending 12/31/2014. Indirect cost has been calculated at the total tribal direct cost of \$55,229 to manage the salary, benefits, and other indirect costs associated with the Criminal Prosecutor position. Copy of approved rate is attached.

Job K140804 CTAS 2014 VAW

Project

Cost Code	Cost Type	Description	L P M D E C U M	Original Budget Amt	Revised Budget Amt	Actual Amount	Open Commit Amount	Budget Balance	% Revised	
									Spent	Remaining
1710		Program Revenue	6 B N	450,000.00-	450,000.00-			450,000.00-		1.00
1710		Program Revenue	6 T	450,000.00-	450,000.00-			450,000.00-		1.00
1705		CG Revenue	5 T	450,000.00-	450,000.00-			450,000.00-		1.00
1700		External C/G Revenue Source	4 T	450,000.00-	450,000.00-			450,000.00-		1.00
1000		Revenues	3 T	450,000.00-	450,000.00-			450,000.00-		1.00
2110		Regular	6 B N	38,084.00	38,084.00			38,084.00		1.00
2110		Regular	6 T	38,084.00	38,084.00			38,084.00		1.00
2100		Permanent	5 T	38,084.00	38,084.00			38,084.00		1.00
2900		Fringe Benefits	5 B N	17,145.00	17,145.00			17,145.00		1.00
2900		Fringe Benefits	5 T	17,145.00	17,145.00			17,145.00		1.00
2001		Personnel Expenses	4 T	55,229.00	55,229.00			55,229.00		1.00
6520		Consulting	6 B N	385,409.00	385,409.00			385,409.00		1.00
6520		Consulting	6 T	385,409.00	385,409.00			385,409.00		1.00
6510		Professional Services	5 T	385,409.00	385,409.00			385,409.00		1.00
6500		Contractual Services	4 T	385,409.00	385,409.00			385,409.00		1.00
9710		IDC	6 B N	9,362.00	9,362.00			9,362.00		1.00
9710		IDC	6 T	9,362.00	9,362.00			9,362.00		1.00
9700		Indirect Cost (Overhead)	5 T	9,362.00	9,362.00			9,362.00		1.00
9500		Matching & Indirect Cost	4 T	9,362.00	9,362.00			9,362.00		1.00
2000		Expenses	3 T	450,000.00	450,000.00			450,000.00		1.00

Job K140804 CTAS 2014 VAW

Thru Date 1/12/2015

Project

Cost Code	Cost Type	Description	L P M	D E C U M	Original Budget Amt	Revised Budget Amt	Actual Amount	Open Commit Amount	Budget Balance	% Revised Spent	% Revised Remaining
1710		Program Revenue	6 B N		450,000.00-	450,000.00-			450,000.00-		1.00
1710		Program Revenue	6 T		450,000.00-	450,000.00-			450,000.00-		1.00
1705		CG Revenue	5 T		450,000.00-	450,000.00-			450,000.00-		1.00
1700		External C/G Revenue Source	4 T		450,000.00-	450,000.00-			450,000.00-		1.00
1000		Revenues	3 T		450,000.00-	450,000.00-			450,000.00-		1.00
2110		Regular	6 B N		38,084.00	38,084.00			38,084.00		1.00
2110		Regular	6 T		38,084.00	38,084.00			38,084.00		1.00
2100		Permanent	5 T		38,084.00	38,084.00			38,084.00		1.00
2900		Fringe Benefits	5 B N		17,145.00	17,145.00			17,145.00		1.00
2900		Fringe Benefits	5 T		17,145.00	17,145.00			17,145.00		1.00
2001		Personnel Expenses	4 T		55,229.00	55,229.00			55,229.00		1.00
6520		Consulting	6 B N		385,409.00	385,409.00			385,409.00		1.00
6520		Consulting	6 T		385,409.00	385,409.00			385,409.00		1.00
6510		Professional Services	5 T		385,409.00	385,409.00			385,409.00		1.00
6500		Contractual Services	4 T		385,409.00	385,409.00			385,409.00		1.00
9710		IDC	6 B N		9,362.00	9,362.00			9,362.00		1.00
9710		IDC	6 T		9,362.00	9,362.00			9,362.00		1.00
9700		Indirect Cost (Overhead)	5 T		9,362.00	9,362.00			9,362.00		1.00
9500		Marketing & Indirect Cost	4 T		9,362.00	9,362.00			9,362.00		1.00
2000		Expenses	3 T		450,000.00	450,000.00			450,000.00		1.00

EXECUTIVE OFFICIAL REVIEWTitle of Document: 2014 CTAS Grant Proposal Contact Name: CHEE, RAQUEL C.Program/Division: JUDICIAL BRANCHEmail: rchee@navajo-nsn.gov Phone Number: 928-871-7669

<input type="checkbox"/>	Business Site Lease			Sufficient	Insufficient
	1. Division:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. Office of the Controller:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	(only if Procurement Clearance is not issued within 30 days of the initiation of the E.O. review)				
	3. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

<input type="checkbox"/>	Business and Industrial Development Financing, Veteran Loans, (i.e. Loan, Loan Guarantee and Investment) or Delegation of Approving and/or Management Authority of Leasing transactions				
	1. Division:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

<input type="checkbox"/>	Fund Management Plan, Expenditure Plans, Carry Over Requests, Budget Modifications				
	1. Office of Management and Budget:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. Office of the Controller:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

<input type="checkbox"/>	Navajo Housing Authority Request for Release of Funds				
	1. NNEPA:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

<input type="checkbox"/>	Lease Purchase Agreements				
	1. Office of the Controller:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	(recommendation only)				
	2. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

<input checked="" type="checkbox"/>	Grant Applications				
	1. Office of Management and Budget:	<i>comfy - see memo</i>	Date: <i>3/14/14</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	2. Office of the Controller:	<i>Yvonne R. [Signature]</i>	Date: <i>3/19/14</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	3. Office of the Attorney General:	<i>[Signature]</i>	Date: <i>3/20/14</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

<input type="checkbox"/>	Five Management Plan of the Local Governance Act, Delegation of an Approving Authority from a Standing Committee, Local Ordinances (Local Government Units), or Plans of Operation/Division Policies Requiring Committee Approval				
	1. Division:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

<input type="checkbox"/>	Relinquishment of Navajo Membership				
	1. Land Department:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. Elections:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

☐ **Land Withdrawal or Relinquishment for Commercial Purposes**

Sufficient Insufficient

1. Division:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
2. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

☐ **Land Withdrawals for Non-Commercial Purposes, General Land Leases and Resource Leases**

1. NLD	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
2. F&W	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
3. HPD	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
4. Minerals	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
5. NNEPA	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
6. DNR	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
7. DOJ	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

☐ **Rights of Way**

1. NLD	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
2. F&W	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
3. HPD	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
4. Minerals	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
5. NNEPA	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
6. Office of the Attorney General:	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
7. OPVP	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

☐ **Oil and Gas Prospecting Permits, Drilling and Exploration Permits, Mining Permit, Mining Lease**

1. Minerals	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
2. OPVP	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
3. NLD	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

☐ **Assignment of Mineral Lease**

1. Minerals	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
2. DNR	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
3. DOJ	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

☐ **ROW (where there has been no delegation of authority to the Navajo Land Department to grant the Nation's consent to a ROW)**

1. NLD	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
2. F&W	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
3. HPD	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
4. Minerals	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
5. NNEPA	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
6. DNR	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
7. DOJ	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
8. OPVP	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

☐ **OTHER:**

1. _____	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
2. _____	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
3. _____	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
4. _____	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
5. _____	_____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>



March 21, 2014

Eric H. Holder, Jr.
Attorney General of the United States
U.S. Department of Justice
950 Pennsylvania Avenue
Washington, DC 20530

Dear Mr. Holder,

Attached is the multi-purpose area submission of various programs of the Navajo Nation for the Coordinated Tribal Assistance Solicitation grant application to the U.S. Department of Justice for Fiscal Year 2014.

As President of the Navajo Nation, I certify that the submission has successfully gone through the tribal review and approval process (164 review of "SAS" review) involving our Department of Justice and business, procurement and financial control offices. As a result, the applicant may apply for CTAS awards in the specified purpose areas on behalf of the Navajo Nation.

I would note that, normally, a Navajo Nation Council resolution would be issued following completion of the SAS review process. In past CTAS submission years, a single resolution that both permits submission of the application and acceptance of an award would be obtained if a grant were awarded. Therefore, upon award any of the purpose areas in the CTAS grant, we would be submitting a similar resolution at that time.

Please accept the Nation's grant application. If you should have any questions, please contact my office at (928) 871-7000. Thank you.

Sincerely,


Ben Shelly, President
THE NAVAJO NATION

U.S. Department of Justice
950 Pennsylvania Avenue
Washington, DC 20530

To whom it may concern:

Attached is the multi-purpose area submission of various programs of the Navajo nation for the Coordinated Tribal Assistance Solicitation grant application to the U.S. Department of Justice for Fiscal Year 2014.

As President of the Navajo Nation, I certify that the submission has successfully gone through the tribal review and approval process (164 review of "SAS" review) involving our Department of Justice and business, procurement and financial control offices. As a result, the applicant may apply for CTAS awards in the specified purpose areas on behalf of the Navajo Nation.

I would note that, normally, a Navajo Nation Council resolution would be issued following completion of the SAS review process. In past CTAS submission years, a single resolution that both permits submission of the application and acceptance of an award would be obtained if a grant were awarded. Therefore, upon award any of the purpose areas in the CTAS grant, we would be submitting a similar resolution at that time.

Please accept the Nation's grant application. If you should have any questions, please contact my office at (928)871-7000. Thank you.

Sincerely,

Ben Shelly, *President*
THE NAVAJO NATION

Judicial Branch of the Navajo Nation

Administrative Office of the Courts

P.O. Box 520 ♦ Window Rock, Arizona 86515
Telephone 928-871-6762 ♦ Fax 928-871-6761



HERB YAZZIE
Chief Justice of the Navajo Nation

M. TERESA HOPKINS
Director of Special Projects

March 17, 2014

To: SAS REVIEWERS

From: 
R.C. Chee, Grant Administrator
Administration Office of the Courts

Subject: Document 1489 – CTAS 2014 Proposal

This letter shall serve to address the concerns written in the March 14, 2014 letter from Cordell Shortey, Contracting Officer, Office of Management and Budget.

The Indirect Cost Rate of 16.95% is noted in Purpose Areas 1, 2, 3, & 8. There is a memo from Tse Ho Tso Medical Center explaining the rationale behind the IDC noted in Purpose Areas 5, 6 & 7. The attached copy of an email from Andrew Scotson of the Tse Ho Tso Medical Center explains personnel costs in the same purpose areas. Non-Federal Match has been taken out of the entire budget.

The Demographic Form has also been corrected.

The Judicial Branch requests your expeditious review and approval of our CTAS 2014 Grant Proposal.

I am available at 871-7669 to answer any questions. Thank you.

Raquel Chee

From: Andrew Scotson <ascotson@gmail.com>
Sent: Sunday, March 16, 2014 12:36 AM
To: Raquel Chee
Subject: Re: Budget

One SLIGHT change that could be made in re: to Indirect Costs is that there is a small amount budgeted for the CI's equipment and mileage. Arguably these relatively small amounts could be included in the base for IDC under each PA, however this could put each PA over 450k and adjustments would have to be made to the mileage (i.e. less mileage) to make it work. It's a small thing and would require adjusting multiple areas of each PA in order to work. I would suggest its not really worth it for such a slight change at this late stage. Also I did not include the original calculation you supplied in the IDC narrative section as I did not fully understand it sufficiently to put in an accurate number. Feel free to include it in PA's 5,6,7 for further justification if necessary.

Andrew.

On Sun, Mar 16, 2014 at 12:31 AM, Andrew Scotson <ascotson@gmail.com> wrote:
Here's the final copy of the budgets for 5,6,7 as well as a rough budget summary to make it easier for you when you enter on the master copy (I don't have access to the sheet or I would have done it myself).

We're maxed out on our numbers, all of them are almost at \$450k per PA.

I can foresee some concerns others might have with Indirect Costs. I calculated IDC for each PA based off the only position the NN will be managing which is the 1 Criminal Prosecutor. All the other positions, travel, supplies, equipment will be related to TMC expenses.

For purposes of PA's 5,6,7 the NN is acting as a 'pass-through entity' for the federal funds requested, except for the 1 Criminal Prosecutor position. I wouldn't look at it as a sub-contractual agreement with TMC where we are providing work FOR the tribe, the advocacy program is more of a strategic partnership between independent but equal entities comprised of the various NN departments and TMC.

Therefore in regards to IDC the tribe cannot apply for indirect cost funds associated with positions and expenses they are not managing. We don't have the available funds left in the solicitation to ask for it anyway. As such TMC will absorb the Indirect Costs associated with managing the TMC positions as well as grant management for TMC, the prosecutors office and DPS. This will also be outlined in the letters of support I will provide to you next week.

Next on my to do list is to update the PA narratives to reflect the budgetary changes. I had to axe many positions due to lack of funds availability/\$450k cap.

Also attached is the salary survey I used to compile the salary numbers. You should submit this in the CTAS solicitation in supporting documents.

Let me know if you have any other questions or concerns.

Andrew



MEMORANDUM

TO: 2 NNC § 164.B Reviewers

FROM: C. Shortey
Cordell Shortey, Contracting Officer
Contracts & Grants Section
Office of Management & Budget

DATE: March 14, 2014

RE: Executive Official Review No. 001489: 2014 Coordinated Tribal Assistance Solicitation (CTAS) Grant Proposal.

This application is to be submitted to the U.S. Department of Justice by the due date of March 24, 2014. This is a proposal encompassing seven (7) Purpose Areas which is in collaboration between the Navajo Nation Judicial Branch, Division of Public Safety, and the Office of the Prosecutor as well as Tse Ho Tso Medical Center in Ft. Defiance, Arizona.

Keep in mind that the due date for this application is March 24, 2014 at **5 p.m. MDT**. The cover letter for this review states a time of 7 p.m. however in reviewing online information regarding CTAS, the submittal time is Eastern time which would make submittal due at 5 p.m.

The Indirect Cost rate cited is correct – 16.95% and after initial review, the IDC amount under Purpose Area 1 has been changed but this needs to be retyped prior to submittal.

The Personnel costs associated with Purpose Areas 5, 6, and 7 are the same along with the same titles and narratives. Does this assume that only one of these Purpose Areas be funded, and what will occur should all three Purpose Areas be funded?

Under Purpose Areas 5, 6 and 7, the starting salary of the Sexual Assault Examiner is stated to be \$90,000 in the narrative but is listed as \$78,000 in the Personnel section. Also in Purpose Area 5, one Criminal Investigator is to spend approximately 35% of their time investigating cases of sexual assault and domestic violence but contributing 24% of their salary as Non-Federal Contribution (NFC). 1) is the 35% being done as a matter of fulfilling grant activity; and 2) the NFC of this position should be higher. However there is no Non-Federal Contribution requirement – should any one of these areas be budgeted, the Navajo Nation is obligated for Non-Federal Contribution.

There are several references in the budgets for the Purpose Areas which state that non-Federal match is not required but can be provided if desired. If non-Federal match is not required, then it is unnecessary – obligating match funds unnecessarily will not improve the chances of a grant award unless explicitly specified within the grant application.

Further, the overall budget summary at the end of the application showing all Purpose Area budgets cites the Required Match for Purpose Area 8 as not being met (in red), however the budget associated with Purpose Area 8 states it is not required.

There are positions at the Family Advocacy Center affiliated with the Tse Ho Tso Medical Center to receive partial funding through this grant application. Those Fringe Benefit rates will differ than what the Navajo Nation provides.

Regarding the Demographic Form, the stated Tribe's current enrollment is 273,872 but the total of the unemployed (143,508), under-employed (157,185), and employed (130,363) people 18 years and older is 431,056. These are three distinct groups being referred to and the total should not be more than the stated tribal current enrollment.

Until these issues are resolved, this grant application is insufficient as currently reviewed. Should you have any questions, please contact the Contracts and Grants Section at (928) 810-8535.

c: RDuncan, Principal Contract Analyst, CGS
File

Judicial Branch of the Navajo Nation
Administrative Office of the Courts

P.O. Box 520 ♦ Window Rock, Arizona 86515
Telephone 928-871-6762 ♦ Fax 928-871-6761





HERB YAZZIE
Chief Justice of the Navajo Nation

M. TERESA HOPKINS
Director of Special Projects

March 10, 2014

To: SAS REVIEWERS

Thru: 
M. Teresa Hopkins, Director of Special Projects
Administrative Office of the Courts

From: 
R.C. Chee, Grant Administrator
Administration Office of the Courts

Subject: 2014 CTAS Grant Proposal

Attached you will find the 2014 CTAS Proposal. It contains proposals for the following Purpose Areas.

- Purpose Area 1: Public Safety and Community Policing
- Purpose Area 2: Comprehensive Tribal Justice Systems Strategic Planning
- Purpose Area 3: Tribal Courts Assistance Program and Indian Alcohol and Substance Abuse Prevention Program
- Purpose Area 5: Violence Against Women Tribal Governments Program
- Purpose Area 6: Children's Justice Act Partnerships for Indian Communities
- Purpose Area 7: Comprehensive Tribal Victim Assistance Program
- Purpose Area 8: Tribal Juvenile Accountability Discretionary Grant Program

This proposal packet is a joint effort between the Judicial Branch, Division of Public Safety, the Prosecutor's Office and the Tse Ho Tso Medical Center working toward the common goal of safety of and justice for the citizens of the Navajo Nation. This proposal packet is due for upload into the Grants Management System by 7:00 p.m. on March 24, 2014.

The Judicial Branch requests your expeditious review and approval of our CTAS 2014 Grant Proposal.

I am available at 871-7669 to answer any questions. Thank you.



STANDARD ASSURANCES

By submission of these assurances by an authorized representative, the Applicant hereby assures and certifies compliance with all applicable Federal statutes, regulations, policies, guidelines, and requirements, including 2 C.F.R. Part 220 [OMB Circular A-21], 2 C.F.R. Part 225 [OMB Circular A-87], 2 C.F.R. Part 230 [OMB Circular A-122], OMB Circular A-133; Ex. Order 13043 (seat belt policies); and 28 C.F.R. pts. 66 or 70 (administrative requirements for grants and cooperative agreements).

The applicant also specifically assures and certifies that:

1. It has the legal authority to apply for federal assistance, the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project cost) to ensure proper planning, management, and completion of the project described in this application and that the persons signing the application and these assurances are authorized to do so and to act on its behalf with respect to any issues that may arise during the processing of this application.
2. It will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain particularly related to those with whom they have family, business or other ties.
3. It will give the Department of Justice or the Comptroller General, through any authorized representative, access to and the right to examine all paper or electronic records related to the financial assistance.
4. It will comply with all lawful requirements imposed by the awarding agency, specifically including any applicable regulations, such as 28 C.F.R. pts. 18, 22, 23, 30, 35, 38, 42, 46, 61, 63, and 66 or 70 (whichever is applicable); the award term in 2 C.F.R. § 175.15(b); 48 CFR Part 31.000, et seq. (FAR 31) (governing cost principles), the current edition of the OJP Financial Guide, the OVW Financial Grants Management Guide, and the COPS Grant Owner's Manual as applicable.
5. It will assist the awarding agency (if necessary) in assuring compliance with section 106 of the National Historic Preservation Act of 1966 (16 U.S.C. § 470), Ex. Order 11593 (identification and protection of historic properties), the Archeological and Historical Preservation Act of 1974 (16 U.S.C. § 469 a-1 et seq.), and the National Environmental Policy Act of 1969 (42 U.S.C. § 4321).
6. It will comply (and will require any subgrantees or contractors to comply) with any applicable statutorily-imposed nondiscrimination requirements, which may include the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. § 3789d); the Victims of Crime Act (42 U.S.C. § 10604(e)); The Juvenile Justice and Delinquency Prevention

Coordinated Tribal Assistance Solicitation (CTAS)

Act of 2002 (42 U.S.C. § 5672(b)); the Civil Rights Act of 1964 (42 U.S.C. § 2000d); the Indian Civil Rights Act (25 U.S.C. §§ 1301-1303); the Rehabilitation Act of 1973 (29 U.S.C. § 794); the Americans with Disabilities Act of 1990 (42 U.S.C. § 12131-34); the Education Amendments of 1972 (20 U.S.C. §§ 1681, 1683, 1685-86); and the Age Discrimination Act of 1975 (42 U.S.C. §§ 6101-07); *see* Ex. Order 13279 (equal protection of the laws for faith-based and community organizations).

7. For applicants for COPS funding, it will, to the extent practicable and consistent with applicable law, including but not limited to the Indian Self-Determination Act, seek, recruit and hire qualified members of racial and ethnic minority groups and qualified women in order to further effective law enforcement by increasing their ranks within the sworn positions in the agency.

8. For applicants for COPS funding, It will comply with the minimum wage and maximum hours provisions of the Fair Labor Standards Act (29 U.S.C. § 201, et seq.), if applicable.

9. For applicants for COPS funding, if the awarded grant contains a retention requirement, it will retain the increased officer staffing level and/or the increased officer redeployment level, as applicable, with state or local funds for a minimum of 12 months following expiration of the grant period.

10. In the event that a portion of grant reimbursements are seized to pay off delinquent federal debts through the Treasury Offset Program or other debt collection process, it agrees to increase the non-federal share (or, if the awarded grant does not contain a cost sharing requirement, contribute a non-federal share) equal to the amount seized in order to fully implement the grant project.

11. If a governmental entity—

a) it will comply with the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. § 4601 et seq.), which govern the treatment of persons displaced as a result of federal and federally-assisted programs; and

b) it will comply with the requirements of 5 U.S.C. §§ 1501-08 and §§ 7324-28, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.

12. It will not provide any funding from this award (either directly or indirectly, whether by way of a contract, subaward, or other means) either to 1) the Association of Community Organizations for Reform Now (ACORN) or, 2) an ACORN subsidiary.

False statements or claims made in connection with these grants (including cooperative agreements) may result in fines, imprisonment, debarment from

Coordinated Tribal Assistance Solicitation (CTAS)

participating in federal grants or contracts, and/or any other remedy available by law.

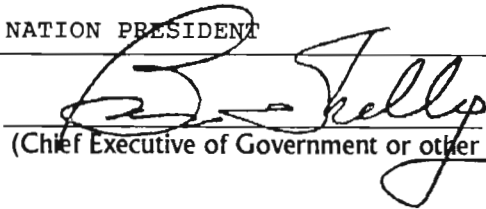
I certify that the assurances provided are true and accurate to the best of my knowledge.

Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Type/Print Name and Title of Chief Executive of Government or other Entity

NAVAJO NATION PRESIDENT

Signature



Date MARCH 20, 2014

(Chief Executive of Government or other Entity)



Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements; Coordination with Affected Agencies.

Although the Department of Justice has made every effort to simplify the application process, other provisions of federal law require us to seek the applicant's certification regarding certain matters. Applicants should read the regulations cited below and the instructions for certification included in the regulations to understand the requirements and whether they apply to a particular applicant. Signing this form complies with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying," 28 CFR Part 2867, "Nonprocurement Debarment and Suspension" 28 CFR Part 83 Government-Wide Requirements for Drug-Free Workplace (Grants)," and the coordination requirements of the Public Safety Partnership and Community Policing Act of 1994. The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered grant.

1. Lobbying

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

A. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the making of any federal grant; the entering into of any cooperative agreement; and the extension, continuation, renewal, amendment or modification of any federal grant or cooperative agreement;

B. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions; and

C. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

Coordinated Tribal Assistance Solicitation (CTAS)

2. Debarment, Suspension and Other Responsibility Matters (Direct Recipient)

Pursuant to Executive Order 12549, Debarment and Suspension, and implemented at 2 CFR Part 2867, for prospective participants in primary covered transactions, as defined at 2 CFR Section 2867.20(a), and other requirements, the applicant certifies that it and its principals:

A. Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of federal benefits by a state or federal court, or voluntarily excluded from covered transactions by any federal department or agency;

B. Have not within a three-year period preceding this application been convicted of a felony criminal violation under any Federal law, or been convicted or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) or private agreement or transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion or receiving stolen property, making false claims, or obstruction of justice, or commission of any offense indicating a lack of business integrity or business honesty that seriously and directly affects your present responsibility;

C. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (A)(ii) of this certification; and

D. Have not within a three-year period preceding this application had one or more public transactions (federal, state or local) terminated for cause or default.

3. Federal Taxes and Assessments

A. If applicable, an applicant who receives an award in excess of \$5,000,000 certifies that, to the best of its knowledge and belief, the applicant has filed all Federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

B. The applicant certifies that it does not have any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or

Coordinated Tribal Assistance Solicitation (CTAS)

have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

4. Drug-Free Workplace (Grantees Other Than Individuals)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 83, for grantees/recipients, as defined at 28 CFR Section 83.660 -

A. The applicant certifies that it will, or will continue to, provide a drug-free workplace by:

(i) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(ii) Establishing an on-going drug-free awareness program to inform employees about -

- (a) The dangers of drug abuse in the workplace;
- (b) The grantee's policy of maintaining a drug-free workplace;
- (c) Any available drug counseling, rehabilitation and employee assistance programs; and
- (d) The penalties that may be imposed upon employees for drug-abuse violations occurring in the workplace;

(iii) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (i);

(iv) Notifying the employee in the statement required by paragraph (i) that, as a condition of employment under the grant, the employee will -

- (a) Abide by the terms of the statement; and
- (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(v) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (iv)(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to the following:

- For COPS awards: USDOJ, COPS Office, 145 N Street NE, Washington, D.C., 20530.

Coordinated Tribal Assistance Solicitation (CTAS)

- For OJP and OVW awards: USDOJ, Office of Justice Programs, ATTN: Control Desk, 810 7th St. NW, Washington, D.C., 20531
Notice shall include the identification number(s) of each affected grant;

(vi) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (iv)(b), with respect to any employee who is so convicted -

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement or other appropriate agency;

(vii) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (i), (ii), (iii), (iv), (v), and (vi).

B. The applicant further certifies that it will identify all known workplaces under each Department of Justice award, in accordance with the provisions at 28 CFR Section 83.230.

5. Coordination

The Public Safety Partnership and Community Policing Act of 1994 requires applicants to certify that there has been appropriate coordination with all agencies that may be affected by the applicant's grant proposal if approved. Affected agencies may include, among others, the Office of the United States Attorney, state or local prosecutors, or correctional agencies. The applicant certifies that there has been appropriate coordination with all affected agencies.

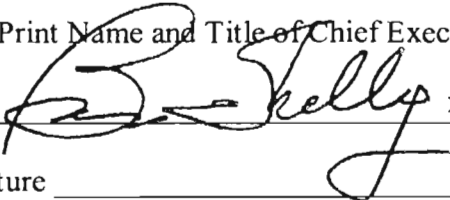
Where the applicant is unable to certify to any of the statements in this Certifications form, he or she shall attach an explanation to this application regarding the particular statement that cannot be certified. Please note that the applicant is still required to certify to all the other applicable statements.

False statements or claims made in connection with DOJ grants (including cooperative agreements) may result in fines, imprisonment, debarment from participating in federal grants or contracts, and/or any other remedy available by law.

I certify that the assurances provided are true and accurate to the best of my knowledge. Elections or other selections of new officials will not relieve the grantee entity of its obligations under this grant.

Coordinated Tribal Assistance Solicitation (CTAS)

Type/Print Name and Title of Chief Executive of Government or other Entity

 NAVAJO NATION PRESIDENT

Signature _____ Date MARCH 20, 2014
(Chief Executive of Government or other Entity)

Document No. 003245Date Issued: 12/22/2014**SECTION 164 REVIEW FORM**Title of Document: CTAS 2014: Violence Against Women Contact Name: CHEE, RAQUEL C.Program/Division: JUDICIAL BRANCHEmail: rchee@navajo-nsn.gov Phone Number: x7019

Division Director Approval for 164A: _____

Check document category: only submit to category reviewers. Each reviewer has a maximum 7 working days, except Business Regulatory Department which has 2 days, to review and determine whether the document(s) are sufficient or insufficient. If deemed insufficient, a memorandum explaining the insufficiency of the document(s) is required.

Section 164(A) Final approval rests with Legislative Standing Committee(s) or Council

<input type="checkbox"/>	Statement of Policy or Positive Law:		Sufficient	Insufficient
	1. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	IGA, Budget Resolutions, Budget Reallocations or amendments: (OMB and Controller sign ONLY if document expends or receives funds)			
	1. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>

Section 164(B) Final approval rests with the President of the Navajo Nation

<input checked="" type="checkbox"/>	Grant/Funding Agreement or amendment:			
	1. Division: _____	Date: <u>12/20/14</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: <u>1/22/15</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: <u>1/29/15</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: <u>2/3/15</u>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Subcontract/Contract expending or receiving funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. BRD: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	5. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	Letter of Assurance/M.O.A./M.O.U./Other agreement not expending funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	M.O.A. or Letter of Assurance expending or receiving funds or amendment:			
	1. Division: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	2. OMB: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	3. OOC: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>
	4. OAG: _____	Date: _____	<input type="checkbox"/>	<input type="checkbox"/>



THE NAVAJO NATION

Post Office Box 646 • Window Rock, AZ 86515 • Telephone (928) 871-6798 • Facsimile (928) 871-6567

Ben Shelly
President

Rex Lee Jim
Vice President

March 23, 2015

TRANSMITTAL

TO : Honorable Herb Yazzie, Chief Justice
Judicial Branch- Navajo Nation

FROM : CMS
Cordell Shortey, Contracting Officer
Contracts and Grants Section, Office of Management and Budget

SUBJECT : CTAS 2014- Violence Against Women- K140804

I. Information on Contract (per Original Award):

CTAS 2014 Violence Against Women	USDOJ/OVW	16.587
Title of Contract	Funding Agency	CFDA No.
2014-TW-AX-0053	\$ 450,000.00	FY 2014
Grant No.	Amount	Fiscal year
		10/01/2014-3/31/2016
		Term: Begin and End Date

II. Data Entered in FMIS Regarding:

☐ New Contract or Grant Company No. Business Unit (K#):

☐ Contract Mod No.:

☐ Amt of Award - AMOUNT FROM TO

☐ Budget Period - Extended End Date: FROM TO

☒ Other, Specify: **FULLY EXECUTED GRANT AWARD**

Authorizing Document - Attached:

☒ Contract/Agreement - Date Executed: March 2, 2015

☐ NABI Acceptance - Legislation No. & Date:

☐ Other, Specify:

III. Comments by CGS:

Approval by Navajo Nation President and authorized for unrestricted use. PEC "N" will now be removed since Grant finalized reviewed and accepted by Navajo Nation President.

Attachment

Copy: chrono/file

Received

MAR 11 2015

Contracts and Grants Section - OMB

Request for Service (SAS Review) on Funding Contract / Agreement

For Program Use - Fill-in, Submit and/or Address

1 Information on Program - Sponsor:A. Judicial Branch - AOC

Program / Division

B. Raquel Chee / x7019

Program Manager / Phone No.

2 Information on SAS & Contract:

SAS No. 3245

Application

Award

Modification No.

SE

Per Original Annual Funding

A. Budget Amount (Bdgt Amt)

450,000

Amount +/-:

0

B. Term-Bdgt..Begin - End Date

10/1/2014-9/30/2017

Term:

36 months

C. Term-Contr..Begin - End Date

Multi-yr Contract

Date..From - To

D. FY Funding

2014

Other:

e.g., SOW; Acct. Data; etc.

E. Funding Agency & CFDA No.

CFDA

16.587

F. IGR legis., # & date...on Award

n/a

n/a

G. FMIS - Co. & K #s...on Award

3 Document or Rule Chk:**Organize & tab documents in order listed; provide helpful notes.**

A. Memo on action required.....

Etab. Of a Business Unit

B. Fund Agency - Documents

NOFA / NOGA / LETTER.....

attached.

Application, describe.....

n/a

Contract/Agreement.....

attached.

** Match Fund - Amt or % req.....

None

** IDC - Amt or % Allowed.....

16.95%

Scope Wk/ Budget Forms.....

Award, Narrative, Timeline and Budget attached

Req. Rpts / Spec. Cond. - §/¶:

Freq / Type-progr., 269.

Period End

Due Date

§ 4.

Q - SF 269

Caldr. Qtr.

45 dys after Qtr. End

¶ 7.

A-progress

Caldr. Qtr.

30 days after Qtr end

C. NN BIPM

Budget Forms 1, 3-6.....

Not included until Award received.

IDC/MF - formula/caluate.....

Included in proposed budget

D. Other - specify.....

** Attach citation of funding agency rule.

4 Acknowledgement: To the best of my knowledge, the information provided above is complete and accurate.

Program Mgr - Signature / Date

For Contracts and Grants Section Use**5 Assigned FMIS Nos.:**

Co. #

K#

OMB Tracking No.

Comments:

Grant Award Fully Executed for usage. PEC'N' removed

6 Signature - Review / Acceptance:

Contract Analyst / Date

Contracting Officer / Date

****AFTER CGS REVIEW, RETURN SHEET TO OMB OFFICE SPECIALIST TO LOG OUT SAS ****



NAVAJO NATION DEPARTMENT OF JUSTICE

DOCUMENT REVIEW REQUEST FORM



☐ RESUBMITTAL

DOJ
02/02/15 344p
DATE / TIME
<input checked="" type="checkbox"/> 7 Day Deadline
DOC #: 003245
SAS #:
UNIT: Hsqw

*** FOR NNDJ USE ONLY - DO NOT CHANGE OR REVISE FORM. VARIATIONS OF THIS FORM WILL NOT BE ACCEPTED. ***

CLIENT TO COMPLETE

DATE OF REQUEST:	2/2/2015	DIVISION:	Judicial Branch
CONTACT NAME:	Raquel Chee	DEPARTMENT:	Click here to enter text
PHONE NUMBER:	871-7019	E-MAIL:	rchee@navajo-nsn.gov

TITLE OF DOCUMENT: CTAS 2014: Violence Against Women

DOJ SECRETARY TO COMPLETE

DATE/TIME IN UNIT: 2-3-15 @ 9:26 REVIEWING ATTORNEY/ADVOCATE: km

DATE TIME OUT OF UNIT: 2/3 @ 1:25

DOJ ATTORNEY / ADVOCATE COMMENTS

Legally sufficient. ✓

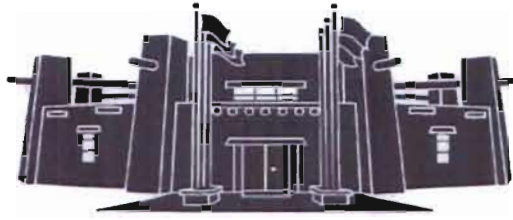
REVIEWED BY: (Print)	Date / Time	SURNAMED BY: (Print)	Date / Time
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Kandis Martinez 2/3/15 11:59 a.m.

DOJ Secretary Called: Teresa for Document Pick Up on 2/3 at 1:25 By: Smith

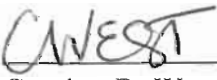
PICKED UP BY: (Print)	DATE / TIME:
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NNDJ/DRRF-July 2013



MEMORANDUM

TO: Honorable Kee Allen Begay, Jr.
Navajo Nation Council Delegate

FROM: 
Carolyn R. West, Attorney
Office of Legislative Counsel

DATE: February 12, 2015

SUBJECT: OLC Service Request No. 15-216-1

I received your OLC Service Request Form to prepare draft legislation regarding the Navajo Nation Judicial Branch's grant award from the United States Department of Justice, Office of Violence Against Women. However, these particular grants providing funds to the Navajo Nation do not require Committee or Council approval. 2 N.N.C. 164 (B)(1) (2012). Final approval lies with the Navajo Nation President. Executive Order Number 07-2013. Please note, there may be other funding situations that require legislative approval and we appreciate the opportunity to review these documents. Therefore, I am returning your documents and if you have any questions please contact me.



THE NAVAJO NATION

Post Office Box 646 • Window Rock, AZ 86515 • Telephone (928) 871-6798 • Facsimile (928) 871-6567


Ben Shelly
President

Rex Lee Jim
Vice President

January 26, 2015

TRANSMITTAL

TO : Honorable Herb Yazzie, Chief Justice
Judicial Branch- Navajo Nation

FROM : 
Cordell Shortey, Contracting Officer
Contracts and Grants Section, Office of Management and Budget

SUBJECT : CTAS 2014- Violence Against Women- K140804 **Restricted**

I. Information on Contract (per Original Award):

CTAS 2014 Violence Against Women		USDOJ/OVW	16.587
Title of Contract		Funding Agency	CFDA No.
2014-TW-AX-0053	\$ 450,000.00	FY 2014	10/01/2014-3/31/2016
Grant No.	Amount	Fiscal year	Term: Begin and End Date

II. Data Entered in FMIS Regarding:

☒ New Contract or Grant Company No. 4127 Business Unit (K#): K140804

☐ Contract Mod No.: _____

☐ Amt of Award - to _____

AMOUNT FROM TO

☐ Budget Period - Extended End Date: to _____

FROM TO

☐ Other, Specify: _____

Authorizing Document - Attached:

☐ Contract/Agreement - Date Executed: _____

☐ NABI Acceptance - Legislation No. & Date: _____

☐ Other, Specify: _____

III. Comments by CGS:

Post Edit Code "N" will be placed on Company Number and Business Unit until 164 Review is complete, Resolution has been processed to remove Grant Adjustment Notice Special Condition.

*PEC "N" placed on BU & CO - 1/29/15
Special Conditions 64*

Attachment

Copy: chrono/file



Department of Justice

Office on Violence Against Women



September 22, 2014

Washington, D.C. 20531

The Honorable Ben Shelly
Navajo Nation
P.O. Box 9000
Window Rock, AZ 86515

Dear President Shelly:

On behalf of Attorney General Eric Holder, it is my pleasure to inform you that the Office on Violence Against Women has approved your application for funding under the Tribal Governments Program in the amount of \$450,000 for Navajo Nation. This award provides the opportunity for recipients to develop and strengthen effective responses to violence against American Indian and Alaska Native women.

Enclosed you will find the award package. This award is subject to all administrative and financial requirements, including the timely submission of all financial and programmatic reports, resolution of all interim audit findings, and the maintenance of a minimum level of cash-on-hand. Should you not adhere to these requirements, you will be in violation of the terms of this agreement and the award will be subject to termination for cause or other administrative action as appropriate.

If you have questions regarding this award, please contact Lorraine Edmo at (202) 514-8804. For financial grants management questions, contact the OVW Grants Financial Management Division at (202) 514-8556, or by e-mail at ovw.gfmd@usdoj.gov. For payment questions, contact the Office of the Chief Financial Officer, Customer Service Center (CSC) at (800) 458-0786, or by email at ask.ocfo@usdoj.gov.

Congratulations, and we look forward to working with you.

Sincerely,

Bea Hanson
Principal Deputy Director

Enclosures



OFFICE FOR CIVIL RIGHTS

Office of Justice Programs

Department of Justice

810 7th Street, NW
Washington, DC 20531

Tel: (202) 307-0690

TTY: (202) 307-2027

E-mail: askOCR@usdoj.gov

Website: www.ojp.usdoj.gov/ocr

September 22, 2014

The Honorable Ben Shelly
Navajo Nation
P.O. Box 9000
Window Rock, AZ 86515

Dear President Shelly:

Congratulations on your recent award. In establishing financial assistance programs, Congress linked the receipt of federal funding to compliance with federal civil rights laws. The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) is responsible for ensuring that recipients of financial assistance from the OJP, the Office of Community Oriented Policing Services (COPS), and the Office on Violence Against Women (OVW) comply with the applicable federal civil rights laws. We at the OCR are available to help you and your organization meet the civil rights requirements that come with DOJ funding.

Ensuring Access to Federally Assisted Programs

Federal laws that apply to recipients of financial assistance from the DOJ prohibit discrimination on the basis of race, color, national origin, religion, sex, or disability in funded programs or activities, not only in employment but also in the delivery of services or benefits. A federal law also prohibits recipients from discriminating on the basis of age in the delivery of services or benefits.

In March of 2013, President Obama signed the Violence Against Women Reauthorization Act of 2013. The statute amends the Violence Against Women Act of 1994 (VAWA) by including a nondiscrimination grant condition that prohibits discrimination based on actual or perceived race, color, national origin, religion, sex, disability, sexual orientation, or gender identity. The new nondiscrimination grant condition applies to certain programs funded after October 1, 2013. The OCR and the OVW have developed answers to some frequently asked questions about this provision to assist recipients of VAWA funds to understand their obligations. The Frequently Asked Questions are available at <http://ojp.gov/about/ocr/vawafaqs.htm>.

Enforcing Civil Rights Laws

All recipients of federal financial assistance, regardless of the particular funding source, the amount of the grant award, or the number of employees in the workforce, are subject to prohibitions against unlawful discrimination. Accordingly, the OCR investigates recipients that are the subject of discrimination complaints from both individuals and groups. In addition, based on regulatory criteria, the OCR selects a number of recipients each year for compliance reviews, audits that require recipients to submit data showing that they are providing services equitably to all segments of their service population and that their employment practices meet equal opportunity standards.

Providing Services to Limited English Proficiency (LEP) Individuals

In accordance with DOJ guidance pertaining to Title VI of the Civil Rights Act of 1964, 42 U.S.C. § 2000d, recipients of federal financial assistance must take reasonable steps to provide meaningful access to their programs and activities for persons with limited English proficiency (LEP). See U.S. Department of Justice, Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons, 67 Fed. Reg. 41,455 (2002). For more information on the civil rights responsibilities that recipients have in providing language services to LEP individuals, please see the website <http://www.lep.gov>.

Ensuring Equal Treatment for Faith-Based Organizations

The DOJ regulation, Equal Treatment for Faith-Based Organizations, 28 C.F.R. pt. 38, requires State Administering Agencies (SAAs) to treat faith-based organizations the same as any other applicant or recipient. The regulation prohibits SAAs from making awards or grant administration decisions on the basis of an organization's religious character or affiliation, religious name, or the religious composition of its board of directors.

The regulation also prohibits faith-based organizations from using financial assistance from the DOJ to fund inherently (or explicitly) religious activities. While faith-based organizations can engage in non-funded inherently religious activities, they must hold them separately from the program funded by the DOJ, and recipients cannot compel beneficiaries to participate in them. The Equal Treatment Regulation also makes clear that organizations participating in programs funded by the DOJ are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion. For more information on the regulation, please see the OCR's website at http://www.ojp.usdoj.gov/about/ocr/eqal_fbo.htm.

SAAs and faith-based organizations should also note that the Omnibus Crime Control and Safe Streets Act (Safe Streets Act) of 1968, as amended, 42 U.S.C. § 3789d(c); the Victims of Crime Act of 1984, as amended, 42 U.S.C. § 10604(c); the Juvenile Justice and Delinquency Prevention Act of 1974, as amended, 42 U.S.C. § 5672(b); and VAWA, Pub. L. No. 113-4, sec. 3(b)(4), 127 Stat. 54, 61-62 (to be codified at 42 U.S.C. § 13925(b)(13)) contain prohibitions against discrimination on the basis of religion in employment. Despite these nondiscrimination provisions, the DOJ has concluded that it may construe the Religious Freedom Restoration Act (RFRA) on a case-by-case basis to permit some faith-based organizations to receive DOJ funds while taking into account religion when hiring staff, even if the statute that authorizes the funding program generally forbids recipients from considering religion in employment decisions. Please consult with the OCR if you have any questions about the regulation or the application of RFRA to the statutes that prohibit discrimination in employment.

Using Arrest and Conviction Records in Making Employment Decisions

The OCR issued an advisory document for recipients on the proper use of arrest and conviction records in making hiring decisions. See Advisory for Recipients of Financial Assistance from the U.S. Department of Justice on the U.S. Equal Employment Opportunity Commission's Enforcement Guidance: Consideration of Arrest and Conviction Records in Employment Decisions Under Title VII of the Civil Rights Act of 1964 (June 2013), available at http://www.ojp.usdoj.gov/about/ocr/pdfs/UseofConviction_Advisory.pdf. Recipients should be mindful that the misuse of arrest or conviction records to screen either applicants for employment or employees for retention or promotion may have a disparate impact based on race or national origin, resulting in unlawful employment discrimination. In light of the Advisory, recipients should consult local counsel in reviewing their employment practices. If warranted, recipients should also incorporate an analysis of the use of arrest and conviction records in their Equal Employment Opportunity Plans (EEOs) (see below).

Complying with the Safe Streets Act

An organization that is a recipient of financial assistance subject to the nondiscrimination provisions of the Safe Streets Act, must meet two obligations: (1) complying with the federal regulation pertaining to the development of an EEO (see 28 C.F.R. pt. 42, subpt. E) and (2) submitting to the OCR findings of discrimination (see 28 C.F.R. §§ 42.204(c), .205(c)(5)).

Meeting the EEOP Requirement

If your organization has less than fifty employees or receives an award of less than \$25,000 or is a nonprofit organization, a medical institution, an educational institution, or an Indian tribe, then it is exempt from the EEOP requirement. To claim the exemption, your organization must complete and submit Section A of the Certification Form, which is available online at <http://www.ojp.usdoj.gov/about/ocr/pdfs/cert.pdf>.

If your organization is a government agency or private business and receives an award of \$25,000 or more, but less than \$500,000, and has fifty or more employees (counting both full- and part-time employees but excluding political appointees), then it has to prepare a Utilization Report (formerly called an EEOP Short Form), but it does not have to submit the report to the OCR for review. Instead, your organization has to maintain the Utilization Report on file and make it available for review on request. In addition, your organization has to complete Section B of the Certification Form and return it to the OCR. The Certification Form is available at <http://www.ojp.usdoj.gov/about/ocr/pdfs/cert.pdf>.

If your organization is a government agency or private business and has received an award for \$500,000 or more and has fifty or more employees (counting both full- and part-time employees but excluding political appointees), then it has to prepare a Utilization Report (formerly called an EEOP Short Form) and submit it to the OCR for review within sixty days from the date of this letter. For assistance in developing a Utilization Report, please consult the OCR's website at <http://www.ojp.usdoj.gov/about/ocr/eeop.htm>. In addition, your organization has to complete Section C of the Certification Form and return it to the OCR. The Certification Form is available at <http://www.ojp.usdoj.gov/about/ocr/pdfs/ccrt.pdf>.

To comply with the EEOP requirements, you may request technical assistance from an EEOP specialist at the OCR by telephone at (202) 307-0690, by TTY at (202) 307-2027, or by e-mail at EEOSubmission@usdoj.gov.

Meeting the Requirement to Submit Findings of Discrimination

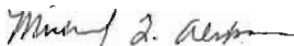
If in the three years prior to the date of the grant award, your organization has received an adverse finding of discrimination based on race, color, national origin, religion, or sex, after a due-process hearing, from a state or federal court or from a state or federal administrative agency, your organization must send a copy of the finding to the OCR.

Ensuring the Compliance of Subrecipients

SAA's must have standard assurances to notify subrecipients of their civil rights obligations, written procedures to address discrimination complaints filed against subrecipients, methods to monitor subrecipients' compliance with civil rights requirements, and a program to train subrecipients on applicable civil rights laws. In addition, SAA's must submit to the OCR every three years written Methods of Administration (MOA) that summarize the policies and procedures that they have implemented to ensure the civil rights compliance of subrecipients. For more information on the MOA requirement, see http://www.ojp.usdoj.gov/funding/other_requirements.htm.

If the OCR can assist you in any way in fulfilling your organization's civil rights responsibilities as a recipient of federal financial assistance, please contact us.

Sincerely,



Michael L. Alston
Director

cc: Grant Manager
Financial Analyst

 <p>Department of Justice Office on Violence Against Women</p>	<h2 style="margin: 0;">Grant</h2>	<p>PAGE 1 OF 9</p>																
<p>1. RECIPIENT NAME AND ADDRESS (Including Zip Code)</p> <p>Navajo Nation P.O. Box 9000 Window Rock, AZ 86515</p>	<p>4. AWARD NUMBER: 2014-TW-AX-0053</p> <p>5. PROJECT PERIOD: FROM 10/01/2014 TO 09/30/2017 BUDGET PERIOD: FROM 10/01/2014 TO 09/30/2017</p> <p>6. AWARD DATE 09/22/2014</p> <p>7. ACTION Initial</p>																	
<p>1A. GRANTEE IRS/VENDOR NO. 860092335</p>	<p>8. SUPPLEMENT NUMBER 00</p> <p>9. PREVIOUS AWARD AMOUNT \$ 0</p>																	
<p>3. PROJECT TITLE Grants to Indian Tribal Governments Program</p>	<p>10. AMOUNT OF THIS AWARD \$ 450,000</p> <p>11. TOTAL AWARD \$ 450,000</p>																	
<p>12. SPECIAL CONDITIONS</p> <p>THE ABOVE GRANT PROJECT IS APPROVED SUBJECT TO SUCH CONDITIONS OR LIMITATIONS AS ARE SET FORTH ON THE ATTACHED PAGE(S).</p>																		
<p>13. STATUTORY AUTHORITY FOR GRANT</p> <p>This project is supported under Omnibus Crime Control and Safe Streets Act of 1968, Title I, Part T, Section 2015, Public Law 90-351, as amended, 42 U.S.C. 3796gg-10</p>																		
<p>15. METHOD OF PAYMENT</p> <p>GPRS</p>																		
<div style="display: flex; justify-content: space-between; width: 100%;"> AGENCY APPROVAL GRANTEE ACCEPTANCE </div>																		
<p>16. TYPED NAME AND TITLE OF APPROVING OFFICIAL</p> <p>Bca Hanson Principal Deputy Director</p>	<p>18. TYPED NAME AND TITLE OF AUTHORIZED GRANTEE OFFICIAL</p> <p>Ben Shelly President</p>																	
<p>17. SIGNATURE OF APPROVING OFFICIAL</p> <p><i>[Signature]</i></p>	<p>19. SIGNATURE OF AUTHORIZED RECIPIENT OFFICIAL</p> <p><i>[Signature]</i></p>	<p>19A. DATE</p> <p>MAR 02 2015</p>																
<p>AGENCY USE ONLY</p>																		
<p>20. ACCOUNTING CLASSIFICATION CODES</p> <table border="1" style="width: 100%; border-collapse: collapse; font-size: small;"> <thead> <tr> <th>FISCAL YEAR</th> <th>FUND CODE</th> <th>BUD. ACT.</th> <th>OFC.</th> <th>DIV. REG.</th> <th>SUB.</th> <th>POMS</th> <th>AMOUNT</th> </tr> </thead> <tbody> <tr> <td>X</td> <td>A</td> <td>TW</td> <td>29</td> <td>00</td> <td>00</td> <td></td> <td>450000</td> </tr> </tbody> </table>	FISCAL YEAR	FUND CODE	BUD. ACT.	OFC.	DIV. REG.	SUB.	POMS	AMOUNT	X	A	TW	29	00	00		450000	<p>21. TW14D00005</p>	
FISCAL YEAR	FUND CODE	BUD. ACT.	OFC.	DIV. REG.	SUB.	POMS	AMOUNT											
X	A	TW	29	00	00		450000											



Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET
Grant**

PAGE 2 OF 9

PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

1. The recipient agrees to comply with the financial and administrative requirements set forth in the current edition of the Office on Violence Against Women (OVW) Financial Grants Management Guide.
2. The recipient acknowledges that failure to submit an acceptable Equal Employment Opportunity Plan (if recipient is required to submit one pursuant to 28 C.F.R. Section 42.302), that is approved by the Office for Civil Rights, is a violation of its Certified Assurances and may result in suspension or termination of funding, until such time as the recipient is in compliance.
3. The recipient agrees to comply with the organizational audit requirements of OMB Circular A-133, Audit of States, Local Governments, and Non-Profit Organizations, and further understands and agrees that funds may be withheld, or other related requirements may be imposed, if outstanding audit issues (if any) from OMB Circular A-133 audits (and any other audits of DOJ grant funds) are not satisfactorily and promptly addressed as further described in the current edition of the OVW Financial Grants Management Guide.
4. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of the enactment, repeal, modification or adoption of any law, regulation or policy, at any level of government without the express prior written approval of OVW, in order to avoid violation of 18 USC § 1913. The recipient may, however, use federal funds to collaborate with and provide information to federal, state, local, tribal and territorial public officials and agencies to develop and implement policies and develop and promote state, local, or tribal legislation or model codes designed to reduce or eliminate domestic violence, dating violence, sexual assault, and stalking (as those terms are defined in 42 USC 13925(a)) when such collaboration and provision of information is consistent with the activities otherwise authorized under this grant program.
5. The recipient must promptly refer to the DOJ OIG any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has either 1) submitted a false claim for grant funds under the False Claims Act; or 2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving grant funds. This condition also applies to any subrecipients. Potential fraud, waste, abuse, or misconduct should be reported to the OIG by -

mail:

Office of the Inspector General
U.S. Department of Justice
Investigations Division
950 Pennsylvania Avenue, N.W.
Room 4706
Washington, DC 20530

e-mail: oig.hotline@usdoj.gov

hotline: (contact information in English and Spanish): (800) 869-4499

or hotline fax: (202) 616-9881

Additional information is available from the DOJ OIG website at www.usdoj.gov/oig.

6. Recipient understands and agrees that it cannot use any federal funds, either directly or indirectly, in support of any contract or subaward to either the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries, without the express prior written approval of OVW.



Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET
Grant**

PAGE 3 OF 9

PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

7. The recipient agrees to comply with any additional requirements that may be imposed during the grant performance period if the agency determines that the recipient is a high-risk grantee. Cf. 28 C.F.R. parts 66, 70.
8. The recipient agrees to comply with applicable requirements regarding registration with the System for Award Management (SAM) (or with a successor government-wide system officially designated by OMB and OVW). The recipient also agrees to comply with applicable restrictions on subawards to first-tier subrecipients that do not acquire and provide a Data Universal Numbering System (DUNS) number. The details of recipient obligations are posted on the Office on Violence Against Women web site at <http://www.ovw.usdoj.gov/docs/sam-award-term.pdf> (Award condition: Registration with the System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here. This special condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).
9. Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), the Department encourages recipients and sub recipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this grant, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.
10. The recipient understands and agrees that any training or training materials developed or delivered with funding provided under this award must adhere to the OVW Training Guiding Principles for Grantees and Subgrantees, available at <http://www.ovw.usdoj.gov/grantees.html>.
11. The recipient understands and agrees that award funds may not be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.
12. The recipient understands and agrees that - (a) No award funds may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography, and (b) Nothing in subsection (a) limits the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.
13. The Violence Against Women Reauthorization Act of 2013 added a new civil rights provision that applies to all OVW grants issued in FY 2014 or after. This provision prohibits OVW grantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. The grantee acknowledges that it will comply with this provision.
14. The grantee agrees that funds will be used to supplement, not supplant, non-federal funds that would otherwise be available for the activities under this grant.
15. The recipient agrees to comply with all applicable laws, regulations, policies, and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, trainings, and other events), including the provision of food and/ or beverages at such events, and costs of attendance at such events. Information on pertinent laws, regulations, policies, and guidance is available at <http://www.ovw.usdoj.gov/grantees.html>.

BS



Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET
Grant**

PAGE 4 OF 9

PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

16. The grantee agrees to comply with all relevant statutory and regulatory requirements which may include, among other relevant authorities, the Violence Against Women Act of 1994, P.L. 103-322, the Violence Against Women Act of 2000, P.L. 106-386, the Omnibus Crime Control and Safe Streets Act of 1968, 42 U.S.C 3711 et seq., the Violence Against Women and Department of Justice Reauthorization Act of 2005, P.L. 109-162, the Violence Against Women Reauthorization Act of 2013, P.L. 113-4, and OVW's implementing regulations at 28 CFR Part 90.
17. The grantee must be in compliance with specifications outlined in the solicitation under which the approved application was submitted. The program solicitation is hereby incorporated by reference into this award.
18. The recipient understands and agrees that misuse of award funds may result in a range of penalties, including suspension of current and future funds, suspension or debarment from federal grants, recoupment of monies provided under an award, and civil and/or criminal penalties.
19. The recipient understands and agrees that grant funds may be frozen if the recipient does not respond in a timely fashion to requests to address Office of the Inspector General audit findings and financial or programmatic monitoring findings.
20. Grant funds may be used only for the purposes in the recipient's approved application. The recipient shall not undertake any work or activities that are not described in the grant application, and that use staff, equipment, or other goods or services paid for with OVW grant funds, without prior written approval from OVW.
21. The Director of OVW, upon a finding that there has been substantial failure by the recipient to comply with applicable laws, regulations, and/or the terms and conditions of the award or relevant solicitation, will terminate or suspend until the Director is satisfied that there is no longer such failure, all or part of the award, in accordance with the provisions of 28 CFR Part 18, as applicable mutatis mutandis.
22. The grantee agrees that if they receive any funding that is duplicative of funding received under this grant, they will notify their OVW grant manager as soon as possible so that a Grant Adjustment Notice (GAN) can be issued modifying the budget and project activities to eliminate the duplication. Further, the grantee agrees and understands that any duplicative funding that cannot be re-programmed to support non-duplicative activities within the program's statutory scope will be deobligated from this award and returned to OVW.
23. The grantee agrees to comply with the provisions of 42 U.S.C. 13925(b)(2), nondisclosure of confidential or private information, which includes creating and maintaining documentation of compliance, such as policies and procedures for release of victim information. The grantee also agrees to ensure that any subgrantees meet these requirements.
24. The grantee agrees to submit semiannual progress reports that describe project activities during the reporting period. Progress reports must be submitted within 30 days after the end of the reporting periods, which are January 1 - June 30 and July 1 - December 31 for the duration of the award. Future awards may be withheld if progress reports are delinquent. Grantees are required to submit this information online, through the Grants Management System (GMS), on the semi-annual progress report for the relevant OVW grant programs.
25. Under the Government Performance and Results Act (GPRA), VAWA 2000 and subsequent legislation, grantees are required to collect and maintain data that measure the effectiveness of their grant-funded activities. Accordingly, the grantee agrees to submit semi-annual electronic progress reports on program activities and program effectiveness measures. Grantees are required to collect the information that is included on the Measuring Effectiveness Progress Report for the OVW Program under which this award is funded.

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Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET
Grant**

PAGE 5 OF 9

PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

26. A final report, which provides a summary of progress toward achieving the goals and objectives of the award, significant results, and any products developed under the award, is due 90 days after the end of the award. The Final Progress Report should be submitted to the Office on Violence Against Women through the Grants Management System with the Report Type indicated as "Final".
27. The recipient agrees that it will submit quarterly financial status reports to OVW on-line (at <https://grants.ojp.usdoj.gov>) using the SF 425 Federal Financial Report form (available for viewing at www.whitehouse.gov/omb/grants/standard_forms/ff_report.pdf), not later than 30 days after the end of each calendar quarter. The final report shall be submitted not later than 90 days following the end of the award period.
28. The grantee agrees to attend and participate in OVW-sponsored technical assistance. Technical assistance includes, but is not limited to, national and regional conferences, audio conferences, webinars, peer-to-peer consultations, and workshops conducted by OVW-designated technical assistance providers. All training will be coordinated by OVW-designated technical assistance providers.
29. Funds allocated for OVW-sponsored technical assistance may not be used for any other purpose without prior approval by OVW. To request approval, grantees must submit a Program Office Approval Grant Adjustment Notice (GAN) via the Grants Management System (GMS). The grantee must include a copy of the event's brochure, curriculum and/or agenda, a description of the hosts or trainers, and an estimated breakdown of costs should be attached to the GAN. The GAN request must be submitted to OVW at least 20 days prior to registering for the event. Approval to attend non-OVW sponsored events will be considered on a case-by-case basis. This prior approval process also applies to requests for the use of OVW-designated technical assistance funds to pay a consultant or contractor not designated as an OVW technical assistance provider to develop and/or provide training and/or technical assistance.
30. First-time grantees must agree to send key staff members to the OVW grantee orientation seminar. Additionally, if there is a change in the project director/coordinator during the grant period, the grantee agrees, at the earliest opportunity, to send the new project director/coordinator, regardless of prior experience with this or any other federal award, to an OVW grantee orientation seminar.
31. Approval of this award does not indicate approval of any consultant rate in excess of \$650 per day or \$81.25 per hour. A detailed justification must be submitted to and approved by the Office on Violence Against Women prior to obligation or expenditure of such funds. Although prior approval is not required for consultant rates below these specified amounts, grantees are required to maintain documentation to support all daily or hourly rates.
32. The recipient agrees to submit one copy of all required reports and any other written materials or products that are funded under the project to OVW not less than twenty (20) days prior to public release. If the written material is found to be outside the scope of the program, or in some way to compromise victim safety, it will need to be revised to address these concerns or the grantee will not be allowed to use project funds to support the further development or distribution of the materials.
33. All materials and publications (written, visual, or sound) resulting from award activities shall contain the following statements: "This project was supported by Grant No. _____ awarded by the Office on Violence Against Women, U.S. Department of Justice. The opinions, findings, conclusions, and recommendations expressed in this publication/program/exhibition are those of the author(s) and do not necessarily reflect the views of the Department of Justice, Office on Violence Against Women."



Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET
Grant**

PAGE 6 OF 9

PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

34. The grantee agrees to comply with the applicable requirements of 28 C.F.R. Part 38, the Department of Justice regulation governing "Equal Treatment for Faith Based Organizations" (the "Equal Treatment Regulation"). The Equal Treatment Regulation provides in part that Department of Justice grant awards of direct funding may not be used to fund any inherently religious activities, such as worship, religious instruction, or proselytization. Recipients of direct grants may still engage in inherently religious activities, but such activities must be separate in time or place from the Department of Justice funded program, and participation in such activities by individuals receiving services from the grantee or a sub-grantee must be voluntary. The Equal Treatment Regulation also makes clear that organizations participating in programs directly funded by the Department of Justice are not permitted to discriminate in the provision of services on the basis of a beneficiary's religion.
35. The grantee agrees that grant funds will not support activities that compromise victim safety and recovery, such as: procedures or policies that exclude victims from receiving safe shelter, advocacy services, counseling, and other assistance based on their actual or perceived sex, age, immigration status, race, religion, sexual orientation, gender identity, mental health condition, physical health condition, criminal record, work in the sex industry, or the age and/or sex of their children; pre-trial diversion programs not approved by OVW or the placement of offenders in such programs; mediation, couples counseling, family counseling or any other manner of joint victim-offender counseling; mandatory counseling for victims, penalizing victims who refuse to testify, or promoting procedures that would require victims to seek legal sanctions against their abusers (e.g., seek a protection order, file formal complaint); the placement of perpetrators in anger management programs; or any other activities outlined in the solicitation under which the approved application was submitted.
36. Pursuant to 28 CFR §66.34, the Office on Violence Against Women reserves a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, and to authorize others to use, in whole or in part (including in the creation of derivative works), for Federal Government purposes:
- (a) any work that is subject to copyright and was developed under this award, subaward, contract or subcontract pursuant to this award; and
- (b) any work that is subject to copyright for which ownership was purchased by a recipient, subrecipient or a contractor with support under this award.
- In addition, the recipient (or subrecipient, contractor or subcontractor) must obtain advance written approval from the Office on Violence Against Women program manager assigned to this award, and must comply with all conditions specified by the program manager in connection with that approval before: 1) using award funds to purchase ownership of, or a license to use, a copyrighted work; or 2) incorporating any copyrighted work, or portion thereof, into a new work developed under this award.
- It is the responsibility of the recipient (and of each subrecipient, contractor or subcontractor as applicable) to ensure that this condition is included in any subaward, contract or subcontract under this award.
37. The recipient agrees to comply with applicable requirements to report first-tier subawards of \$25,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients of award funds. Such data will be submitted to the FFATA Subaward Reporting System (FSRS). The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the Office on Violence Against Women web site at: <http://www.ovw.usdoj.gov/docs/ffata-award-term.pdf> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here. This condition, and its reporting requirement, does not apply to grant awards made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own and/or operate in his or her name).



Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
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Grant**

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PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

38. The funds for this award come from the current Fiscal Year Grants to Indian Tribal Governments (Tribal Governments Program). The funding for this award is not intended to supplement any other Tribal Governments Program award that it received in the past. The grantee is prohibited from commingling the funds for this award with the funds from any previous award from the Tribal Governments Program, and the grantee will maintain a separate accounting of the funds from each of its Tribal Governments Program awards.
39. The grantee agrees to maintain a collaborative relationship with a qualified nonprofit partner agency or an advisory committee comprised of American Indian or Alaska Native women from the community to be served for the duration of the grant award period. The grantee agrees to submit to OVW for review and approval any anticipated addition, removal, or change in its collaborating nonprofit partner agency.
40. The grantee agrees that its officials and personnel will avoid using grant award funds in a manner which might result in, or create an appearance of: using his/her position for private gain; giving preferential treatment to any individual; losing complete independence or impartiality; making an official decision outside of official channels; or adversely affecting the public's confidence in the integrity of the Federal Government or the grant program.
41. The grantee agrees that its officials and personnel shall recuse themselves from being personally involved with any decision related to the hiring of individuals to fill grant-funded positions, or the award of sub-grants or contracts with grant award funds, where they know that an immediate family member, business partner, or any person or organization with whom they are negotiating or has any arrangement concerning prospective employment, has a financial interest, or has less than an arms-length transaction. Furthermore, the recipient will notify OVW in writing if it decides to hire an individual to fill a grant-funded position, or to receive, or otherwise derive direct financial gain from, a sub-grant or contract that is made with award funds, where the individual is either an immediate family member or business partner of an official or employee of the recipient.
42. The grantee agrees not to impose sanctions against victims of domestic violence, dating violence, sexual assault, or stalking. Victims should not be ordered to attend therapy or other specific services or penalized for choosing not to participate in the criminal justice system.
43. The grantee agrees that any mental health services offered are voluntary to clients and not a condition for receiving other services.
44. The grantee agrees to observe the following guidelines when organizing or facilitating focus groups, conducting interviews, or administering surveys for purposes of general information gathering on domestic violence, dating violence, sexual assault, or stalking: (1) all participants will receive notice that their participation in the information-gathering activity is voluntary, and that they may refuse to answer any questions that make them uncomfortable; (2) all participants must be assured that their personally identifying information will not be included in any summary report that may be produced; (3) all participants must agree to maintain the confidentiality of any disclosures that are made by other participants in the activity; (4) the grantee agrees to avoid using a government employee or licensed professional who is subject to mandatory reporting laws to gather the information, or will not do so without providing prior notice to the participants of the individual's obligation to report; (5) the grantee will consider victim safety in the planning of the information-gathering activities and will not engage in activities that could compromise victim safety; and (6) the grantee agrees that the individuals who are leading the information-gathering activities will be trained on how to assist participants with developing a safety plan, and to have resources available to assist participants who are in need of immediate assistance.
45. Grant funds may be used to assist victims of domestic violence, dating violence, sexual assault, or stalking with repairs to an automotive vehicle; however, the grantee agrees that such expenses will be reasonable, and will be offered only to victims who are in need of a primary mode of transportation.

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Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
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Grant**

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PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

46. The recipient agrees that it will not allocate any grant funds for building renovations. This includes such seemingly minor activities as painting, or carpeting.
47. The grantee agrees that while grant funds may be used to provide transitional housing assistance to eligible victims of domestic violence, dating violence, sexual assault, and stalking, the grant funds will not be used to make direct cash payments to such victims to assist them with their transitional living expenses.
48. The grantee agrees that grant funds will not be used to carry out law reform initiatives. Such initiatives include, but are not limited to, lobbying, initiating "test" cases, and pursuing appellate litigation that does not arise directly out of a lower court case for which representation was provided with grant funds.
49. The grantee agrees not to use grant award funds to pay for back rent, other delinquent debts, or criminal or civil fines or judgments that a victim of domestic violence, dating violence, sexual assault, or stalking may have incurred.
50. The grantee agrees that education materials and prevention programs developed with grant funds will not promote alcohol or substance abuse as a primary cause of domestic violence, dating violence, sexual assault or stalking.
51. Grants funds cannot be used to convene fundraising activities or events in connection with or during any grant-funded activity.
52. Within 60 days of the new grantee orientation, the grantee agrees to submit the final policies, procedures, and rules governing the provision of the transitional housing and related supportive services to OVW for review and approval. These documents should also include a description of the intended use of financial assistance provided to transitional housing clients, if applicable. The grantee further agrees to work with the OVW-sponsored technical assistance provider(s) to develop or enhance rules, policies, and procedures, as needed.
53. Prior to providing any direct financial assistance to victims of domestic violence, dating violence, sexual assault or stalking, the grantee agrees to submit to OVW for review and approval: (1) a written copy of its client eligibility guidelines; (2) written explanation of the accounting practices it will use to protect client confidentiality, and; (3) a description of the intended use of financial assistance which pursuant to 42 USC 3796gg-10(a)(7) may include "rental or utilities payments assistance and assistance with related expenses such as security deposits and other costs incidental to relocation to transitional housing."
54. Prior to providing any educational assistance to victims of domestic violence, dating violence, sexual assault, or stalking, the grantee agrees to submit to OVW for review and approval: (1) a written copy of its client eligibility guidelines; (2) written explanation of the accounting practices it will use to protect client confidentiality, and; (3) a description of the intended use of financial assistance. Additionally, the grantee agrees to limit the use of grant funds to pay for costs associated with educational attainment to tuition, fees, books, and necessary supplies for victims of domestic violence, dating violence, sexual assault, or stalking that are not otherwise covered by merit-based or need-based financial assistance.
55. The grantee agrees that grant funds will be used to provide transitional housing assistance for a minimum of 6 months and not more than 24 months. The grantee may waive this 24-month restriction for not more than an additional 6 month period if the participant has made a good-faith effort to acquire permanent housing and has been unable to acquire permanent housing. Additionally, participants may leave the program and then return to complete the 24-month maximum length of stay.
56. The grantee agrees to submit to OVW for review and approval case selection criteria used to select clients to receive grant funded legal assistance services.





Department of Justice
Office on Violence Against Women

**AWARD CONTINUATION
SHEET
Grant**

PAGE 9 OF 9

PROJECT NUMBER 2014-TW-AX-0053

AWARD DATE 09/22/2014

SPECIAL CONDITIONS

57. The grantee agrees that any safe home, domestic violence shelter, or transitional housing facility that will be operated with grant funds will be staffed by trained staff on a 24-hour basis; feature adequate security measures; and use operational standards and policies that promote victim confidentiality.
58. The grantee agrees that batterer intervention programs supported with grant funds must be part of a range of graduated sanctions that use the coercive power of the criminal justice system to hold batterers accountable for their criminal actions and help keep victims safe. Programs that focus on anger management for batterers or couples' counseling will not be supported with grant funds.
59. Grant funds may not be used to directly address child abuse, or other family violence issues such as violence perpetrated by a child against a parent, or violence perpetrated by a sibling against another sibling. Grant funds also may not be used for caregiver abuse of elders and other vulnerable adults.
60. The grantee agrees that any victim service provider (except tribal governmental organizations or governmental rape crisis centers not in territories) or population specific organization meeting the mandatory partnership requirement will be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code.
61. The grantee agrees that the legal assistance eligibility requirements, as set forth below, are a continuing obligation on the part of the grantee. The legal assistance eligibility requirements are: (1) any person providing legal assistance through a program funded under this Grant Program (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, or stalking in the targeted population; or (B) (i) is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); and (ii) has completed or will complete training in connection with domestic violence, stalking or sexual assault and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide; (2) any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a State, local, territorial, or tribal domestic violence, dating violence, sexual assault or stalking victim service provider or coalition, as well as appropriate State, local, territorial and tribal law enforcement officials; (3) any person or organization providing legal assistance through this Program has informed and will continue to inform State, local, territorial or tribal domestic violence, dating violence, stalking or sexual assault programs and coalitions, as well as appropriate State and local law enforcement officials of their work; and (4) the grantee's organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, dating violence, domestic violence, stalking or child sexual abuse is an issue.
62. The recipient's budget is pending review and approval. The recipient may obligate, expend and draw down funds for travel related expenses to attend OVW-sponsored technical assistance events up to \$10,000. Remaining funds will not be available for draw down until the Office on Violence Against Women, Grants Financial Management Division has approved the budget and budget narrative, and a Grant Adjustment Notice has been issued removing this special condition. Any obligations or expenditures incurred by the recipient prior to the budget being approved are made at the recipient's own risk.
63. The grantee may not obligate, expend, or drawdown funds until a collaborative letter of support from a qualified nonprofit partner agency or an advisory committee comprised of American Indian or Alaska Native women from the community has been submitted to OVW for review and approval and a Grant Adjustment Notice (GAN) has been issued to remove this special condition.
64. The recipient agrees not to obligate, expend, or draw down any funds until the recipient provides to the grant manager a signed current authorizing resolution, or equivalent legal enactment, of the Tribal Council or comparable governing body of the Tribal entity authorizing the application on behalf of the Tribe, and a Grant Adjustment Notice has been issued removing this condition.



Department of Justice

Office on Violence Against Women

Washington, D.C. 20531

Memorandum To: Official Grant File

From: Marnie Shiels, Attorney Advisor

Subject: Categorical Exclusion for Navajo Nation

The Grants to Indian Tribal Governments Program (Tribal Governments Program) was created in Title IX of the Violence Against Women Act of 2005 and was reauthorized and amended by the Violence Against Women Act of 2013. The Tribal Governments Program is designed to fulfill the three goals of Title IX: (1) to decrease the incidence of violent crime against Indian women; (2) to strengthen the capacity of Indian tribes to exercise their sovereign authority to respond to violent crimes committed against Indian women; and (3) to ensure that perpetrators of violent crimes committed against Indian women are held accountable for their criminal behavior.

None of the following activities will be conducted under the OVW federal action:

1. New construction.
2. Any renovation or remodeling of a property either (a) listed on or eligible for listing on the National Register of Historic Places or (b) located within a 100-year floodplain.
3. A renovation which will change the basic prior use of a facility or significantly change its size.
4. Research and technology whose anticipated and future application could be expected to have an effect on the environment.
5. Implementation of a program involving the use of chemicals. Consequently, the subject federal action meets the criteria for a categorical exclusion as contained in paragraph 4.(b) of Appendix D to Part 61 of the Code of Federal Regulations (adopted by OVW at 28 CFR § 0.122(b)).

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 2014

Page 1 of 5

PART I. Business Unit No. <u>K140804</u>		Program Title: <u>CTAS 2014 VAW</u>		Division/Branch: <u>Grants to Indian Tribal Governments Program</u>		Judicial Branch: _____	
Prepared By: <u>Roberta Sam</u>		Phone No.: <u>(928) 871-7025</u>		Email Address: <u>robertasam@navajo-nsn.gov</u>			

PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			
					Fund Type Code	NNC Approved Original Budget	Proposed Budget	Difference (Column B - A)
US Department of Justice		10/1/14 - 9/30/17	450,000.00	100%			55,229	55,229
					2001 Personnel Expenses			
					3000 Travel Expenses			
					3500 Meeting Expenses			
					4000 Supplies			
					5000 Lease and Rental			
					5500 Communications and Utilities			
					6000 Repairs and Maintenance			
					6500 Contractual Services		385,409	385,409
					7000 Special Transactions			
					8000 Public Assistance			
					9000 Capital Outlay			
					9500 Matching Funds		9,362	9,362
					9500 Indirect Cost			
					TOTAL	\$0.00	450,000	450,000

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:			
Total # of Permanently Assigned Vehicles:			

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.



APPROVED BY: [Signature] Date: 12/16/14

SUBMITTED BY: [Signature] Director of Special Projects Date: 12/16/14



NNOMB-BF1

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

PART I. PROGRAM INFORMATION:

Business Unit No.:

K140804

Program Name/Title:

CTAS 2014 JAW
Grants to Indian Tribal Governments Program

PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:

Develop a Family Advocacy Center program in the Window Rock/Fort Defiance community to improve the handling of sexual assault & domestic violence cases, provide forensic service locally, provide victim advocacy services, and enhance collaboration between community agencies.

PART III. PROGRAM PERFORMANCE CRITERIA:

1. Program Performance Area:

Planning

Goal Statement:

Develop Scope of Work for TMC.

2. Program Performance Area:

Planning

Goal Statement:

Contract TMC to provide services.

3. Program Performance Area:

Service Delivery

Goal Statement:

Provide services to women and children.

4. Program Performance Area:

Evaluation

Goal Statement:

Evaluate program effectiveness.

5. Program Performance Area:

Planning

Goal Statement:

Adjust plans based on evaluation.

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

for 12/16/14 Date
M Teresa Hopkins, Director of Special Projects

Herb Hazzie, Chief Justice

Date



THE NAVAJO NATION

FY 2014

LISTING OF POSITIONS AND ASSIGNMENTS BY BUSINESS UNITS

Page 3 of 5

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WRKSITE CODE	FY 2013 ACTUAL		FY 2014 PROPOSED	
						G/S	SALARY	HOURS	BUDGET
1st Year									
1001			Criminal Prosecutor					2080	12,200.00
2nd Year									
1001			Criminal Prosecutor					2080	12,688.00
3rd Year									
1001			Criminal Prosecutor					2080	13,196.00

Total: 38,084.00



THE NAVAJO NATION DETAILED LINE ITEM BUDGET AND JUSTIFICATION

FY 2014

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PART I. PROGRAM INFORMATION:		Business Unit No.: K140804	
Program Name/Title: CTAS 2014 VAW Grants to Indian Tribal Governments Program			
PART II. DETAILED BUDGET:		(B)	(D)
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
2110	2001 PERSONNEL EXPENSES REGULAR Employment salary and fringe benefits 1st Year: \$12,200 2nd Year: \$12,688 3rd Year: \$13,196	38,084	55,229
	2900 FRINGE BENEFITS Permanent \$38,084.00 x 45.01% = \$17,145	17,145	
	6500 CONTRACTUAL SERVICES Tso Ho Tso Medical Center will hire a Program Support Assistant, Victim Advocate and Sexual Assault Examiner to staff the advocacy center, buy supplies and equipment for three years at a cost of \$387,800.		385,409
6520	CONSULTING 6530 Fees 6540 Expenses	385,409	
9710	9500 MATCHING AND INDIRECT COST \$9,362.00 for Navajo Nation Indirect Cost at 16.95% on a base that does not include equipment cost of \$5,000 or more. INDIRECT COST Base: \$450,000 - \$385,409 = \$64,591.1695 = \$55,229.59 x .1695 = \$9,361.42	9,362	9,362
TOTAL		450,000	450,000

POSTED
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NNOMB-BF4

PART I. PROGRAM INFORMATION:		Funding Period: 10/01/2014 - 09/30/2017	
Program Name/Title: Grants to Indian Tribal Governments Program		K #: K140804	
Contract/Grant No.: 2014-TW-AX-0053		Prepared by: Roberta Sam	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 2013	(C) Anticipated Funding Fiscal Year 2014	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			-
3000 Travel Expenses		55,229	55,229
3500 Meeting Expenses			-
4000 Supplies		-	-
5000 Lease and Rental		-	-
5500 Communication and Utilities			-
6000 Repairs and Maintenance			-
6500 Contractual Services		385,409	385,409
7000 Special Transaction			-
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation		9,362	9,362
TOTALS:	-	450,000	450,000
PART IV. FTEs/MATCH FUNDS:			
No. of Positions/ FTEs:			-
MATCHING FUND REQUIRED:			
Required GF Cash Match:			-
CONCURRED BY:			
Required GF In - Kind Match:			-
Required GF % Match:			-
Contracting Officer's Signature / Date:			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): M. Teresa Hopkins, Director of Special Projects		Approved by (print): Herb Yazzie, Chief Justice	
Signature/Date: [Signature] 12/16/14		Signature/Date: [Signature] 12/16/14	