

RESOLUTION OF THE  
RESOURCES AND DEVELOPMENT COMMITTEE  
Of the 23rd Navajo Nation Council---First Year 2015

AN ACTION  
RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE; APPROVING  
THE FY 2015 NAVAJO NATION ROAD FUNDING PLAN IN THE AMOUNT  
OF \$2,990,294.49

BE IT ENACTED:

Section One. Findings

- A. The Resources and Development Committee has oversight authority over roads and transportation to oversee planning and coordination of all roads and transportation activities of the Navajo Nation. 2 N.N.C. § 500 (C) (6).
- B. The Navajo Nation Road Fund Management Plan at 12 N.N.C. § 1003 (A) states that "[t]he funding sources of the Navajo Nation Road Fund shall be funds generated from the Navajo Nation Fuel Excise Tax. The Resources and Development Committee may appropriate all Navajo Nation Fuel Excise Taxes Funds for administration cost, preliminary studies, road maintenance, and road improvement activities to provide a common benefit across all Navajo lands." See also, BFMY-16-14 and RDCJN-36-14.
- C. The Navajo Division of Transportation has submitted the FY2015 Navajo Nation Road Fund Plan in the amount of \$2,990,294.49 to the Resources and Development Committee for the Committee's approval; the Plan is attached as Exhibit "A."
- D. It is in the best interest of the Navajo Nation for the Resources and Development Committee to approve the FY2015 Navajo Nation Road Fund Plan.

Section Two. Approval

The Navajo Nation hereby approves the FY2015 Navajo Nation Road Fund Plan in the amount of \$2,990,294.49, attached as Exhibit "A."

CERTIFICATION

I, hereby, certify that the foregoing resolution was duly considered by the Resources and Development Committee of the 23<sup>rd</sup> Navajo Nation Council at a duly called meeting at Tolikan Chapter, Sweetwater, (Navajo Nation) Arizona, at which quorum was present and that same was passed by a vote of 4 in favor, 0 opposed, 0 abstained this 11<sup>th</sup> day of December, 2015.

A handwritten signature in dark ink, appearing to read 'Benjamin Bennett', with a long horizontal stroke extending to the right.

Benjamin Bennett, Vice-Chairperson  
Resources and Development Committee  
Of the 23<sup>rd</sup> Navajo Nation Council

Motion: Honorable Walter Phelps  
Second: Honorable Leonard Pete

**FY2015 Navajo Nation Road Funding Plan**  
**Navajo Nation Division of Transportation - Department of Roads**  
**Last Updated: September 29, 2015**



|    | Road Maintenance and Improvement Activities   | Original Amounts | Revise based on Bids and Cost Estimates | Budget Balance  | Status                                                         |
|----|-----------------------------------------------|------------------|-----------------------------------------|-----------------|----------------------------------------------------------------|
|    | <b>10/1/2014 (RMRI)</b>                       |                  | <b>2,990,294.49</b>                     |                 |                                                                |
| 1  | Window Rock Airport Parking Lot - Mod #1      | \$ 90,550.00     | \$ 90,550.00                            | \$ 2,899,744.49 | Contract has been modified and is at OMB; OMB waiting on list. |
|    | Project Management Preliminaries (\$460,000)  | \$ 460,000.00    |                                         | \$ 2,899,744.49 | Need to prepare contract packet                                |
| 3  | N332 Red Valley (For Arch and BIO)            |                  | \$ 90,000.00                            | \$ 2,809,744.49 | Need to prepare contract packet                                |
| 4  | N547 Shiprock (For EA)                        |                  | \$ 9,000.00                             | \$ 2,800,744.49 | Need to prepare contract packet                                |
| 5  | N8009 Round Rock                              |                  | \$ 37,000.00                            | \$ 2,763,744.49 | Need to prepare contract packet                                |
| 6  | N132 Lukachuaki (BIO)                         |                  | \$ 1,500.00                             | \$ 2,762,244.49 | Need to prepare contract packet                                |
| 7  | N7043 Manuelito (Arch)                        |                  | \$ 65,000.00                            | \$ 2,697,244.49 | Need to prepare contract packet                                |
| 8  | N7128 Pueblo Pintado (Arch)                   |                  | \$ 41,000.00                            | \$ 2,656,244.49 | Need to prepare contract packet                                |
| 9  | N7153 Chichiltah (Bio)                        |                  | \$ 17,500.00                            | \$ 2,638,744.49 | Need to prepare contract packet                                |
| 10 | N125 Red Lake (Arch)                          |                  | \$ 23,000.00                            | \$ 2,615,744.49 | Need to prepare contract packet                                |
| 11 | N21 Kaibeto (Arch)                            |                  | \$ 116,000.00                           | \$ 2,499,744.49 | Need to prepare contract packet                                |
| 12 | N6910 Leupp (EA)                              |                  | \$ 60,000.00                            | \$ 2,439,744.49 | Need to prepare contract packet                                |
| 13 | N61 Whippoorwill                              | \$ 135,683.00    | \$ 135,683.00                           | \$ 2,304,061.49 | Project has been procured                                      |
| 14 | 362 San Juan Nenahnezad (Gravel Project)      | \$ 485,794.04    | \$ 480,940.85                           | \$ 1,823,120.64 | Will submit IGA through 164 Review Process                     |
| 15 | 548 Shiprock 548 (Gravel Project)             | \$ 156,000.00    | \$ 155,380.89                           | \$ 1,667,739.75 | Will submit IGA through 164 Review Process                     |
| 16 | 9102 Skunk Springs Road (Gravel Project)      | \$ 207,000.00    | \$ 206,600.73                           | \$ 1,461,139.02 | Contract packet at OMB.                                        |
| 17 | C566 Many Farms (Material and Delivery Only)  | \$ 523,000.00    | \$ 518,886.11                           | \$ 942,252.91   | Contract packet at OMB.                                        |
| 18 | Navajo County Red Dog Project - 2015          | \$ 200,000.00    | \$ 200,000.00                           | \$ 742,252.91   | IGA/Attachments initiated.                                     |
|    | H and H, Centerline Survey (\$260,295.00)     | \$ 495,805.96    |                                         | \$ 742,252.91   | Need to prepare contract packet                                |
| 19 | CR8 Chichiltah (H&H, Centerline Survey)       |                  | 21,549.50                               | \$ 720,703.41   | Need to prepare contract packet                                |
| 20 | N112 Fort Defiance (H&H, Centerline Survey)   |                  | 56,739.50                               | \$ 663,963.91   | Need to prepare contract packet                                |
| 21 | N131 Lukachukai (H&H, Centerline Survey)      |                  | 15,929.50                               | \$ 648,034.41   | Need to prepare contract packet                                |
| 22 | N485 Casemero Lake (H&H, Centerline Survey)   |                  | 50,079.50                               | \$ 597,954.91   | Need to prepare contract packet                                |
| 23 | N7015 Chichiltah (H&H, Centerline Survey)     |                  | 11,719.50                               | \$ 586,235.41   | Need to prepare contract packet                                |
| 24 | N7128 Pueblo Pintado (H&H, Centerline Survey) |                  | 15,849.50                               | \$ 570,385.91   | Need to prepare contract packet                                |
| 25 | N7573 Chichiltah (H&H, Centerline Survey)     |                  | 7,079.50                                | \$ 563,306.41   | Need to prepare contract packet                                |
| 26 | N8009 Rock Point (H&H, Centerline Survey)     |                  | 5,779.50                                | \$ 557,526.91   | Need to prepare contract packet                                |
| 27 | N8090 Rough Rock (H&H, Centerline Survey)     |                  | 37,089.50                               | \$ 520,437.41   | Need to prepare contract packet                                |

**FY2015 Navajo Nation Road Funding Plan**  
**Navajo Nation Division of Transportation - Department of Raods**  
**Last Updated: September 29, 2015**

|    |                                          |    |            |              |                     |                                                                                                                        |
|----|------------------------------------------|----|------------|--------------|---------------------|------------------------------------------------------------------------------------------------------------------------|
| 28 | N8085 Many Farms (H&H, Centerline Survey |    | 38,479.50  | \$           | 481,957.91          | Need to prepare contract packet                                                                                        |
| 29 | N2 (For Environmental Assessment)        | \$ | 33,600.00  | \$           | 448,357.91          | Project added to the list.                                                                                             |
| 30 | Whitehorse Lake Maintenance Yard         | \$ | 448,357.91 | \$           | -                   | Project added to the list. The Land has been withdrawn, consultants working on the Conceptual Design for the facility. |
|    |                                          |    | \$         | 2,753,833.00 | <b>2,990,294.49</b> |                                                                                                                        |

**Projects removed from the list because funds were transferred, paid, Purchase Order closed out, etc.**

|   |                          |    |              |                                   |
|---|--------------------------|----|--------------|-----------------------------------|
| 1 | Tuba City Airports Grant | \$ | 220,000.00   | Transferred to Matching Line Item |
| 2 | San Juan County Bridge   | \$ | 200,000.00   | Already Paid                      |
| 3 | NN Red Dog Project       | \$ | 200,000.00   |                                   |
| 4 | NDOT Aggregate Material  | \$ | 34,440.00    | Purchase Order Closed Out         |
|   |                          | \$ | 654,440.00   |                                   |
|   |                          | \$ | 3,408,273.00 |                                   |

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NAVAJO NATION  
Budget Status Report  
As of 9/30/2015

9/21/2015

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| Account Range       | Original Budget | Revised Budget | Actuals    | Encumbrances | Budget Available | % Expensed of Total |
|---------------------|-----------------|----------------|------------|--------------|------------------|---------------------|
| 9020 Infrastructure | 3,408,273.00    | 3,713,403.98   | 541,479.59 | 181,629.90   | 3,713,403.98     |                     |
| 9024 Roads          |                 |                |            |              | 723,109.49       |                     |
| 9020 Infrastructure | 3,408,273.00    | 3,713,403.98   | 541,479.59 | 181,629.90   | 2,990,294.49     | 19.47               |

9050 Building

|                          |              |              |            |            |              |        |
|--------------------------|--------------|--------------|------------|------------|--------------|--------|
| 9001 Real Property       | 3,408,273.00 | 3,713,403.98 | 541,479.59 | 181,629.90 | 2,990,294.49 | 19.47  |
| 9100 Personal Property   |              |              |            |            |              |        |
| 9140 Equipment           |              | 182,055.26   |            |            | 182,055.26   |        |
| 9142 Equipment           |              |              | 19,474.85  | 162,580.41 | 182,055.26   |        |
| 9140 Equipment           |              | 182,055.26   | 19,474.85  | 162,580.41 |              | 100.00 |
| 9160 Vehicles            |              | 11,181.00    |            |            | 11,181.00    |        |
| 9164 Specialized Vehicle |              |              | 11,181.00  |            | 11,181.00    |        |
| 9160 Vehicles            |              | 11,181.00    | 11,181.00  |            |              | 100.00 |
| 9100 Personal Property   |              | 193,236.26   | 30,655.85  | 162,580.41 |              | 100.00 |
| 9000 Capital Outlay      | 3,408,273.00 | 3,906,640.24 | 572,135.44 | 344,210.31 | 2,990,294.49 | 23.46  |

9300 Other Income and Expense  
9310 Other Income

9400 Other Expense  
9490 PC Card Exception Exp

9500 Matching & Indirect Costs  
9501 Matching Funds  
9510 Cash Matching Funds  
9510 Cash Matching Funds  
9501 Matching Funds

9700 Indirect Costs (Overhead)  
9710 IDC

|            |            |            |            |            |
|------------|------------|------------|------------|------------|
| 220,000.00 | 220,000.00 | 220,000.00 | 220,000.00 | 220,000.00 |
|------------|------------|------------|------------|------------|

From EnMorgan



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| Account Range                 | Original Budget | Revised Budget | Actuals    | Encumbrances | Budget Available | % Expensed of Total |
|-------------------------------|-----------------|----------------|------------|--------------|------------------|---------------------|
| 01120 NAVAJO NATION ROAD FUND |                 |                |            |              |                  |                     |
| 506007 NDOT - 17% ROAD MAINT  |                 |                |            |              |                  |                     |
| 2000 Expenses                 |                 |                |            |              |                  |                     |
| 2001 Personnel Expenses       |                 |                |            |              |                  |                     |
| 2100 Permanent                |                 |                |            |              |                  |                     |
| 2110 Regular                  | 628,915.00      | 628,915.00     | 476,609.59 |              | 628,915.00       |                     |
| 2120 Person-Regular FT        |                 |                |            |              | 476,609.59       |                     |
| 2110 Regular                  | 628,915.00      | 628,915.00     | 476,609.59 |              | 152,305.41       | 75.78               |
| 2100 Permanent                | 628,915.00      | 628,915.00     | 476,609.59 |              | 152,305.41       | 75.78               |
| 2300 Temporary                |                 |                |            |              |                  |                     |
| 2310 Temporary                | 45,000.00       | 45,000.00      |            |              | 45,000.00        |                     |
| 2320 Person-Temporary, F      |                 |                | 13,747.62  |              | 13,747.62        |                     |
| 2310 Temporary                | 45,000.00       | 45,000.00      | 13,747.62  |              | 31,252.38        | 30.55               |
| 2300 Temporary                | 45,000.00       | 45,000.00      | 13,747.62  |              | 31,252.38        | 30.55               |
| 2500 Overtime                 |                 |                |            |              |                  |                     |
| 2510 Overtime                 |                 |                |            |              |                  |                     |
| 2600 Holiday Pay              |                 |                |            |              |                  |                     |
| 2610 Regular                  |                 |                |            |              |                  |                     |
| 2700 Merit & Bonus Pay        |                 |                |            |              |                  |                     |
| 2710 Regular                  | 23,079.00       | 23,079.00      |            |              | 23,079.00        |                     |
| 2710 Regular                  | 23,079.00       | 23,079.00      |            |              | 23,079.00        |                     |
| 2700 Merit & Bonus Pay        | 23,079.00       | 23,079.00      |            |              | 23,079.00        |                     |
| 2900 Fringe Benefits          |                 |                |            |              |                  |                     |
| 2910 FICA                     | 289,160.00      | 289,160.00     |            |              | 289,160.00       |                     |
| 2912 FICA                     |                 |                | 30,359.49  |              | 30,359.49        |                     |
| 2914 Medicare                 |                 |                | 7,100.22   |              | 7,100.22         |                     |
| 2910 FICA                     |                 |                | 37,459.71  |              | 37,459.71        |                     |

NAVAJO NATION  
Budget Status Report  
As of 8/31/2015

8/27/2015

9:07:56

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| Account Range            | Original Budget | Revised Budget | Actuals    | Encumbrances | Budget Available | % Expensed of Total |
|--------------------------|-----------------|----------------|------------|--------------|------------------|---------------------|
| 2920 Group Insurance     |                 |                |            |              |                  |                     |
| 2921 Medical             |                 |                | 45,591.27  |              | 45,591.27-       |                     |
| 2926 Life                |                 |                | 9,159.86   |              | 9,159.86-        |                     |
| 2928 Short-Term Disabil  |                 |                | 1,393.13   |              | 1,393.13-        |                     |
| 2920 Group Insurance     |                 |                | 56,144.26  |              | 56,144.26-       |                     |
| 2940 Retirement          |                 |                |            |              |                  |                     |
| 2942 401K-Nihibeeso Sav  |                 |                | 7,536.59   |              | 7,536.59-        |                     |
| 2944 NN Retirement       |                 |                | 109,619.55 |              | 109,619.55-      |                     |
| 2940 Retirement          |                 |                | 117,156.14 |              | 117,156.14-      |                     |
| 2950 Unemployment Benefi |                 |                |            |              |                  |                     |
| 2951 State Unemployment  |                 |                | 9,666.21   |              | 9,666.21-        |                     |
| 2950 Unemployment Benefi |                 |                | 9,666.21   |              | 9,666.21-        |                     |
| 2960 Worker's Comp       |                 |                |            |              |                  |                     |
| 2970 Annual Leave        |                 |                |            |              |                  |                     |
| 2971 Annual Leave Pay    |                 |                | 2,859.30   |              | 2,859.30-        |                     |
| 2970 Annual Leave        |                 |                | 2,859.30   |              | 2,859.30-        |                     |
| 2900 Fringe Benefits     | 289,160.00      | 289,160.00     | 223,285.62 |              | 65,874.38        | 77.22               |
| 2001 Personnel Expenses  | 986,154.00      | 986,154.00     | 713,642.83 |              | 272,511.17       | 72.37               |
| 3000 Travel Expenses     |                 |                |            |              |                  |                     |
| 3100 Vehicle Use         |                 |                |            |              |                  |                     |
| 3110 Fleet               | 69,600.00       | 69,600.00      |            |              | 69,600.00        |                     |
| 3111 Monthly/Perm        |                 |                | 1,234.80   |              | 1,234.80-        |                     |
| 3113 Mileage             |                 |                | 63,561.01  |              | 63,561.01-       |                     |
| 3110 Fleet               | 69,600.00       | 69,600.00      | 64,795.81  |              | 4,804.19         | 93.10               |
| 3120 Program             |                 |                |            |              |                  |                     |

NAVAJO NATION  
Budget Status Report  
As of 8/31/2015

8/27/2015

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| Account Range             | Original Budget | Revised Budget | Actuals   | Encumbrances | Budget Available | % Expensed of Total |
|---------------------------|-----------------|----------------|-----------|--------------|------------------|---------------------|
| 3130 External Fleet Rent  |                 |                |           |              |                  |                     |
| 3140 GSA                  |                 |                |           |              |                  |                     |
| 3150 Data Com-Ext Veh R   |                 |                |           |              |                  |                     |
| 3100 Vehicle Use          | 69,600.00       | 69,600.00      | 64,795.81 |              | 4,804.19         | 93.10               |
| 3200 Personal Travel Expe |                 |                |           |              |                  |                     |
| 3210 Vehicle Rental off   |                 |                |           |              |                  |                     |
| 3230 Personal Travel      | 111,150.00      | 111,150.00     |           |              | 111,150.00       |                     |
| 3240 Per Diem Meals       |                 |                | 6,710.15  |              | 6,710.15         |                     |
| 3250 Lodging              |                 |                | 17,872.19 |              | 17,872.19        |                     |
| 3260 POW Mileage          |                 |                | 5,606.94  |              | 5,606.94         |                     |
| 3290 Other Travel Expen   |                 |                | 57.00     |              | 57.00            |                     |
| 3230 Personal Travel      | 111,150.00      | 111,150.00     | 30,246.28 |              | 80,903.72        | 27.21               |
| 3200 Personal Travel Expe |                 |                |           |              |                  |                     |
| 3300 Commercial Charter F |                 |                |           |              |                  |                     |
| 3310 Air                  | 9,900.00        | 9,900.00       |           |              | 9,900.00         |                     |
| 3320 Commercial           |                 |                | 1,143.60  |              | 1,143.60         |                     |
| 3330 Charter - Internal   |                 |                | 745.41    |              | 745.41           |                     |
| 3310 Air                  | 9,900.00        | 9,900.00       | 1,889.01  |              | 8,010.99         | 19.08               |
| 3300 Commercial Charter F | 9,900.00        | 9,900.00       | 1,889.01  |              | 8,010.99         | 19.08               |
| 3000 Travel Expenses      | 190,650.00      | 190,650.00     | 96,931.10 |              | 93,718.90        | 50.84               |
| 3500 Meeting Expenses     |                 |                |           |              |                  |                     |
| 3501 NN Council Delegates |                 |                |           |              |                  |                     |
| 3600 Commissions Boards   |                 |                |           |              |                  |                     |

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NAVAJO NATION  
 Budget Status Report  
 As of 8/31/2015

8/27/2015

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| Account Range             | Original Budget | Revised Budget | Actuals   | Encumbrances | Budget Available | % Expensed of Total |
|---------------------------|-----------------|----------------|-----------|--------------|------------------|---------------------|
| 3700 Chapter Officials    |                 |                |           |              |                  |                     |
| 3710 Meetings             |                 |                |           |              |                  |                     |
| 3800 Other Non Employees  |                 |                |           |              |                  |                     |
| 3810 Meetings             |                 |                |           |              |                  |                     |
| 4000 Supplies             |                 |                |           |              |                  |                     |
| 4100 Office Supplies & Eq | 10,000.00       | 10,000.00      | 2,423.01  | 765.55       | 10,000.00        |                     |
| 4120 Office Supplies      |                 |                |           |              | 3,188.56         |                     |
| 4130 General Office Sup   |                 |                |           |              | 6,811.44         | 31.89               |
| 4120 Office Supplies      | 10,000.00       | 10,000.00      | 2,423.01  | 765.55       |                  |                     |
| 4200 Non Capital Assets   | 38,400.00       | 90,800.00      |           |              | 90,800.00        |                     |
| 4210 Non Cap Furniture    |                 |                | 25,816.09 |              | 25,816.09        |                     |
| 4200 Non Capital Assets   | 38,400.00       | 90,800.00      | 25,816.09 |              | 64,983.91        | 28.43               |
| 4100 Office Supplies & Eq | 48,400.00       | 100,800.00     | 28,239.10 | 765.55       | 71,795.35        | 28.77               |
| 4400 Operating Supplies   |                 |                |           |              |                  |                     |
| 4410 Operating Supplies   | 53,220.00       | 100,720.00     |           |              | 100,720.00       |                     |
| 4420 General Operating    |                 |                | 25,570.65 | 49,489.26    | 75,059.91        |                     |
| 4440 Non Cap Computer S   |                 |                | 1,149.00  |              | 1,149.00         |                     |
| 4450 Postage, Courier,    |                 |                |           | 627.08       | 627.08           |                     |
| 4460 Food Supplies        |                 |                | 109.18    |              | 109.18           |                     |
| 4470 Uniforms             |                 |                | 1,674.04  |              | 1,674.04         |                     |
| 4490 Custodial Supplies   |                 |                | 11.20     |              | 11.20            |                     |
| 4530 Printing Binding P   |                 |                | 5.45      |              | 5.45             |                     |
| 4410 Operating Supplies   | 53,220.00       | 100,720.00     | 28,519.52 | 50,116.34    | 22,084.14        | 78.07               |
| 4400 Operating Supplies   | 53,220.00       | 100,720.00     | 28,519.52 | 50,116.34    | 22,084.14        | 78.07               |
| 4600 Transportation Suppl |                 |                |           |              |                  |                     |
| 4610 Supplies             | 171,195.00      | 171,195.00     |           |              | 171,195.00       |                     |

| Account Range              | Original Budget | Revised Budget | Actuals    | Encumbrances | Budget Available | % Expensed of Total |
|----------------------------|-----------------|----------------|------------|--------------|------------------|---------------------|
| 4620 Parts & Supplies      |                 |                | 72,689.07  | 26,318.43    | 99,007.50-       |                     |
| 4630 Tires & Tubes         |                 |                | 100.00     |              | 100.00-          |                     |
| 4610 Supplies              | 171,195.00      | 171,195.00     | 72,789.07  | 26,318.43    | 72,087.50        | 57.89               |
| 4700 Fuel                  |                 | 211,781.00     |            |              | 211,781.00       |                     |
| 4710 Gasoline              | 311,681.00      |                | 35.50      |              | 35.50-           |                     |
| 4720 Diesel                |                 |                | 100,413.35 | 50,979.08    | 151,392.43-      |                     |
| 4700 Fuel                  | 311,681.00      | 211,781.00     | 100,418.85 | 50,979.08    | 60,353.07        | 71.50               |
| 4600 Transportation Suppl  |                 | 382,976.00     | 173,237.92 | 77,297.51    | 132,440.57       | 65.42               |
| 4000 Supplies              |                 | 584,496.00     | 229,996.54 | 128,179.40   | 226,320.06       | 61.28               |
| 5000 Lease & Rental        |                 |                |            |              |                  |                     |
| 5100 Lease                 |                 |                |            |              |                  |                     |
| 5110 Building              |                 |                |            |              |                  |                     |
| 5130 Land                  |                 |                |            |              |                  |                     |
| 5300 Rental                |                 |                |            |              |                  |                     |
| 5310 Building Space        | 800.00          | 800.00         |            |              | 800.00           |                     |
| 5320 Meeting Space         |                 |                | 556.92     |              | 556.92-          |                     |
| 5340 Booth Trade Show R    |                 |                | 350.00     |              | 350.00-          |                     |
| 5310 Building Space        | 800.00          | 800.00         | 906.92     |              | 106.92-          | 113.37              |
| 5360 Equipment Supplies    | 800.00          | 800.00         |            |              | 800.00           |                     |
| 5360 Equipment Supplies    | 800.00          | 800.00         |            |              | 800.00           |                     |
| 5300 Rental                |                 | 1,600.00       | 906.92     |              | 693.08           | 56.68               |
| 5000 Lease & Rental        |                 | 1,600.00       | 906.92     |              | 693.08           | 56.68               |
| 5500 Communications & Util |                 |                |            |              |                  |                     |
| 5510 Communications        |                 |                |            |              |                  |                     |

NAVAJO NATION  
Budget Status Report  
As of 8/31/2015

8/27/2015

9,07,56

| Account Range              | Original Budget | Revised Budget | Actuals   | Encumbrances | Budget Available | % Expensed of Total |
|----------------------------|-----------------|----------------|-----------|--------------|------------------|---------------------|
| 5520 Telephone             | 11,800.00       | 11,800.00      |           |              | 11,800.00        |                     |
| 5530 Basic Services        |                 |                | 5,466.65  |              | 5,466.65         |                     |
| 5550 Optional Charges      |                 |                | 35.55     |              | 35.55            |                     |
| 5560 Hardware Install      |                 |                |           | 1,279.10     | 1,279.10         |                     |
| 5520 Telephone             | 11,800.00       | 11,800.00      | 5,502.20  | 1,279.10     | 5,018.70         | 57.47               |
| 5570 Internet              | 3,000.00        | 3,000.00       |           |              | 3,000.00         |                     |
| 5580 DSL                   |                 |                | 716.70    |              | 716.70           |                     |
| 5570 Internet              | 3,000.00        | 3,000.00       | 716.70    |              | 2,283.30         | 23.89               |
| 5610 Wireless              | 26,400.00       | 26,400.00      |           |              | 26,400.00        |                     |
| 5620 Cellular              |                 |                | 15,499.66 |              | 15,499.66        |                     |
| 5640 Satellite             |                 |                | 531.97    |              | 531.97           |                     |
| 5610 Wireless              | 26,400.00       | 26,400.00      | 16,031.63 |              | 10,368.37        | 60.73               |
| 5510 Communications        | 41,200.00       | 41,200.00      | 22,250.53 | 1,279.10     | 17,670.37        | 57.11               |
| 5700 Utilities             |                 |                |           |              |                  |                     |
| 5710 Energy                |                 |                |           |              |                  |                     |
| 5720 Electric              |                 |                | 5,895.01  |              | 5,895.01         |                     |
| 5710 Energy                |                 |                | 5,895.01  |              | 5,895.01         |                     |
| 5750 Services              | 20,000.00       | 20,000.00      |           |              | 20,000.00        |                     |
| 5760 Water                 |                 |                |           |              |                  |                     |
| 5770 Sewage                |                 |                | 5,198.10  |              | 5,198.10         |                     |
| 5750 Services              | 20,000.00       | 20,000.00      | 5,198.10  | 105.00       | 105.00           | 26.52               |
| 5700 Utilities             | 20,000.00       | 20,000.00      | 11,093.11 | 105.00       | 8,801.89         | 55.99               |
| 5500 Communications & Util | 61,200.00       | 61,200.00      | 33,343.64 | 1,384.10     | 26,472.26        | 56.74               |
| 6000 Repairs & Maintenance |                 |                |           |              |                  |                     |
| 6010 Building              |                 |                |           |              |                  |                     |
| 6020 Supplies              |                 |                |           |              |                  |                     |

| Account Range              | Original Budget | Revised Budget | Actuals   | Encumbrances | Budget Available | % Expensed of Total |
|----------------------------|-----------------|----------------|-----------|--------------|------------------|---------------------|
| 6100 Plant, Property & Eq  |                 |                |           |              |                  |                     |
| 6110 Supplies              | 4,200.00        | 4,200.00       |           |              | 4,200.00         |                     |
| 6110 Supplies              | 4,200.00        | 4,200.00       |           |              | 4,200.00         |                     |
| 6130 Services              |                 |                |           |              |                  |                     |
| 6200 External Contractor   | 10,800.00       | 10,800.00      |           |              | 10,800.00        |                     |
| 6290 General Contractor    |                 |                | 1,804.64  |              | 1,804.64         |                     |
| 6200 External Contractor   | 10,800.00       | 10,800.00      | 1,804.64  |              | 8,995.36         | 16.71               |
| 6300 Technology            | 20,000.00       | 20,000.00      |           |              | 20,000.00        |                     |
| 6320 Software Support      |                 |                | 3,630.56  |              | 3,630.56         |                     |
| 6300 Technology            | 20,000.00       | 20,000.00      | 3,630.56  |              | 16,369.44        | 18.15               |
| 6100 Plant, Property & Eq  | 35,000.00       | 35,000.00      | 5,435.20  |              | 29,564.80        | 15.53               |
| 6400 Transportation        |                 |                |           |              |                  |                     |
| 6410 Vehicle R&M - Exter   | 100,000.00      | 100,000.00     |           |              | 100,000.00       |                     |
| 6420 Automobile            |                 |                | 358.69    |              | 358.69           |                     |
| 6430 Specialized Vehicle   |                 | 80,000.00      | 86,498.01 | 2,000.00     | 8,498.01         | 110.62              |
| 6410 Vehicle R&M - Exter   | 100,000.00      | 180,000.00     | 86,856.70 | 2,000.00     | 91,143.30        | 49.36               |
| 6400 Transportation        | 100,000.00      | 180,000.00     | 86,856.70 | 2,000.00     | 91,143.30        | 49.36               |
| 6000 Repairs & Maintenance | 135,000.00      | 215,000.00     | 92,291.90 | 2,000.00     | 120,708.10       | 43.86               |
| 6500 Contractual Services  |                 |                |           |              |                  |                     |
| 6510 Professional Service  |                 |                |           |              | 250,000.00       |                     |
| 6520 Consulting            | 250,000.00      | 250,000.00     |           |              | 39,753.00        |                     |
| 6530 Fees                  |                 |                | 1,472.00  | 38,281.00    |                  |                     |
| 6540 Expenses              |                 |                |           | 3,155.00     |                  |                     |
| 6520 Consulting            | 250,000.00      | 250,000.00     | 1,472.00  | 41,436.00    | 207,092.00       | 17.16               |
| 6660 Attorneys             |                 |                |           |              |                  |                     |

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NAVAJO NATION  
 Budget Status Report  
 As of 8/31/2015

8/27/2015

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| Account Range              | Original Budget | Revised Budget | Actuals   | Encumbrances | Budget Available | % Expensed of Total |
|----------------------------|-----------------|----------------|-----------|--------------|------------------|---------------------|
| 6510 Professional Service  | 250,000.00      | 250,000.00     | 1,472.00  | 41,436.00    | 207,092.00       | 17.16               |
| 6800 Technical Services    |                 |                |           |              |                  |                     |
| 6830 Other Technical Ser   | 8,000.00        | 8,000.00       | 180.00    |              | 8,000.00         |                     |
| 6865 Technical Services    |                 |                |           |              | 180.00-          |                     |
| 6830 Other Technical Ser   | 8,000.00        | 8,000.00       | 180.00    |              | 7,820.00         | 2.25                |
| 6800 Technical Services    | 8,000.00        | 8,000.00       | 180.00    |              | 7,820.00         | 2.25                |
| 6900 Other Contractual Se  |                 |                |           |              |                  |                     |
| 6910 Other Contractual S   | 3,000.00        | 3,000.00       |           |              | 3,000.00         |                     |
| 6921 Other Services        |                 |                | 902.66    |              | 902.66-          |                     |
| 6910 Other Contractual S   | 3,000.00        | 3,000.00       | 902.66    |              | 2,097.34         | 30.09               |
| 6900 Other Contractual Se  | 3,000.00        | 3,000.00       | 902.66    |              | 2,097.34         | 30.09               |
| 6950 Subcontracted Service |                 |                |           |              |                  |                     |
| 6960 Subcontracted Servi   |                 |                |           |              |                  |                     |
| 6500 Contractual Services  | 261,000.00      | 261,000.00     | 2,554.66  | 41,436.00    | 217,009.34       | 16.85               |
| 7000 Special Transactions  |                 |                |           |              |                  |                     |
| 7100 Programs              |                 |                |           |              |                  |                     |
| 7110 Programs              | 21,200.00       | 21,200.00      | 4,000.00  |              | 21,200.00        |                     |
| 7150 Charitable Contrib    |                 |                | 9,958.51  |              | 4,000.00-        |                     |
| 7180 Catering              |                 |                | 6,899.16  | 2,246.13     | 9,958.51-        |                     |
| 7190 Refreshments          |                 |                | 20,857.67 | 2,246.13     | 9,145.29-        |                     |
| 7110 Programs              | 21,200.00       | 21,200.00      | 20,857.67 | 2,246.13     | 1,903.80-        | 108.98              |
| 7100 Programs              | 21,200.00       | 21,200.00      | 20,857.67 | 2,246.13     | 1,903.80-        | 108.98              |
| 7300 Contingencies & Loss  |                 |                |           |              |                  |                     |
| 7310 Contingencies & Los   |                 |                |           |              |                  |                     |

NAVAJO NATION  
Budget Status Report  
As of 8/31/2015

8/27/2015

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| Account Range             | Original Budget | Revised Budget | Actuals   | Encumbrances | Budget Available | % Expended of Total |
|---------------------------|-----------------|----------------|-----------|--------------|------------------|---------------------|
| 7400 Media                |                 |                |           |              |                  |                     |
| 7410 Media                | 6,000.00        | 6,000.00       |           |              | 6,000.00         |                     |
| 7440 Print Advertising    |                 |                | 5,629.38  | 424.00       | 6,053.38-        |                     |
| 7410 Media                | 6,000.00        | 6,000.00       | 5,629.38  | 424.00       | 53.38-           | 100.89              |
| 7400 Media                | 6,000.00        | 6,000.00       | 5,629.38  | 424.00       | 53.38-           | 100.89              |
| 7500 Employee Special Tra |                 |                |           |              |                  |                     |
| 7510 Training & Professi  | 15,000.00       | 15,000.00      |           |              | 15,000.00        |                     |
| 7520 Training Registrat   |                 |                | 3,577.50  |              | 3,577.50-        |                     |
| 7510 Training & Professi  | 15,000.00       | 15,000.00      | 3,577.50  |              | 11,422.50        | 23.85               |
| 7500 Employee Special Tra | 15,000.00       | 15,000.00      | 3,577.50  |              | 11,422.50        | 23.85               |
| 7700 Insurance & Benefits |                 |                |           |              |                  |                     |
| 7710 Insurance Premiums   | 37,700.00       | 37,700.00      |           |              | 37,700.00        |                     |
| 7710 Insurance Premiums   | 37,700.00       | 37,700.00      |           |              | 37,700.00        |                     |
| 7768 Data Conv-Ins Prem   |                 |                |           |              |                  |                     |
| 7770 Insurance Payouts    |                 |                |           |              |                  |                     |
| 7700 Insurance & Benefits | 37,700.00       | 37,700.00      |           |              | 37,700.00        |                     |
| 7000 Special Transactions | 79,900.00       | 79,900.00      | 30,064.55 | 2,670.13     | 47,165.32        | 40.97               |
| 8000 Assistance           |                 |                |           |              |                  |                     |
| 8010 Public               |                 |                |           |              |                  |                     |
| 8700 Grants               |                 |                |           |              |                  |                     |
| 8800 Scholarships         |                 |                |           |              |                  |                     |
| 9000 Capital Outlay       |                 |                |           |              |                  |                     |
| 9001 Real Property        |                 |                |           |              |                  |                     |

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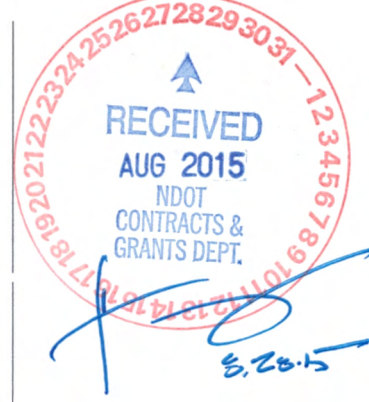
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NAVAJO NATION  
Budget Status Report  
As of 8/31/2015

8/27/2015

9:07:56

| Account Range              | Original Budget | Revised Budget | Actuals       | Encumbrances | Budget Available | % Expensed of Total |
|----------------------------|-----------------|----------------|---------------|--------------|------------------|---------------------|
| 9020 Infrastructure        | 3,408,273.00    | 3,713,403.98   |               |              | 3,713,403.98     |                     |
| 9024 Roads                 |                 |                | 541,479.59    | 45,946.10    | 587,425.69       |                     |
| 9020 Infrastructure        | 3,408,273.00    | 3,713,403.98   | 541,479.59    | 45,946.10    | 3,125,978.29     | 15.82               |
| 9050 Building              |                 |                |               |              |                  |                     |
| 9001 Real Property         | 3,408,273.00    | 3,713,403.98   | 541,479.59    | 45,946.10    | 3,125,978.29     | 15.82               |
| 9100 Personal Property     |                 |                |               |              |                  |                     |
| 9140 Equipment             |                 | 182,055.26     |               |              | 182,055.26       |                     |
| 9142 Equipment             |                 |                | 19,474.85     | 26,171.35    | 45,646.20        |                     |
| 9140 Equipment             |                 | 182,055.26     | 19,474.85     | 26,171.35    | 136,409.06       | 25.07               |
| 9160 Vehicles              |                 | 11,181.00      |               |              | 11,181.00        |                     |
| 9164 Specialized Vehicle   |                 |                |               | 11,181.00    | 11,181.00        |                     |
| 9160 Vehicles              |                 | 11,181.00      |               | 11,181.00    |                  | 100.00              |
| 9100 Personal Property     |                 | 193,236.26     | 19,474.85     | 37,352.35    | 136,409.06       | 29.41               |
|                            | <b>Original</b> | <b>Revised</b> | <b>Actual</b> | <b>enc.</b>  | <b>Bal Avail</b> |                     |
| 9000 Capital Outlay        | 3,408,273.00    | 3,906,640.24   | 560,954.44    | 83,298.45    | 3,262,387.35     | 16.49               |
| 9300 Other Income and Expe |                 |                |               |              |                  |                     |
| 9310 Other Income          |                 |                |               |              |                  |                     |
| 9400 Other Expense         |                 |                |               |              |                  |                     |
| 9490 PC Card Exception Exp |                 |                |               |              |                  |                     |
| 9500 Matching & Indirect C |                 |                |               |              |                  |                     |
| 9501 Matching Funds        |                 | 220,000.00     |               |              | 220,000.00       |                     |
| 9510 Cash Matching Funds   |                 | 220,000.00     |               |              | 220,000.00       |                     |
| 9510 Cash Matching Funds   |                 | 220,000.00     |               |              | 220,000.00       |                     |
| 9501 Matching Funds        |                 |                |               |              |                  |                     |
| 9700 Indirect Cost (Overh  |                 |                |               |              |                  |                     |
| 9710 IDC                   |                 |                |               |              |                  |                     |



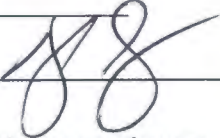
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NAVAJO NATION  
Budget Status Report  
As of 8/31/2015

8/27/2015

9,075.56

| Account Range                 | Original Budget | Revised Budget | Actuals      | Encumbrances | Budget Available | % Expensed of Total |
|-------------------------------|-----------------|----------------|--------------|--------------|------------------|---------------------|
| 9500 Matching & Indirect C    |                 | 220,000.00     |              |              | 220,000.00       |                     |
| 2000 Expenses                 | 5,708,273.00    | 6,506,640.24   | 1,760,686.58 | 258,968.08   | 4,486,985.58     | 31.04               |
| 506007 NDOT - 17% ROAD MAINT  | 5,708,273.00    | 6,506,640.24   | 1,760,686.58 | 258,968.08   | 4,486,985.58     | 31.04               |
| 01120 NAVAJO NATION ROAD FUND | 5,708,273.00    | 6,506,640.24   | 1,760,686.58 | 258,968.08   | 4,486,985.58     | 31.04               |

Document No. 004434Date Issued: 07/24/2015**SECTION 164 REVIEW FORM**Title of Document: FY2015 NN Road Funding Plan Contact Name: BEGAY, ARDANIEL JOEProgram/Division: DIVISION OF TRANSPORTATIONEmail: abegay@navajodot.org Phone Number: 505-371-8351/8460Division Director Approval for 164A: 

**Check document category: only submit to category reviewers. Each reviewer has a maximum 7 working days, except Business Regulatory Department which has 2 days, to review and determine whether the document(s) are sufficient or insufficient. If deemed insufficient, a memorandum explaining the insufficiency of the document(s) is required.**

**Section 164(A) Final approval rests with Legislative Standing Committee(s) or Council**

| <input type="checkbox"/>            | Statement of Policy or Positive Law:                                                                                              |                      | Sufficient                          | Insufficient                        |
|-------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----------------------|-------------------------------------|-------------------------------------|
|                                     | 1. OAG: _____                                                                                                                     | Date: _____          | <input type="checkbox"/>            | <input type="checkbox"/>            |
| <input checked="" type="checkbox"/> | IGA, Budget Resolutions, Budget Reallocations or amendments: (OMB and Controller sign ONLY if document expends or receives funds) |                      |                                     |                                     |
|                                     | 1. OMB: <u>DBegay - See Memo</u>                                                                                                  | Date: <u>8-13-15</u> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
|                                     | 2. OOC: <u>Review - See memo</u>                                                                                                  | Date: <u>8-27-15</u> | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |
|                                     | 3. OAG: <u>W. Blue Pl</u>                                                                                                         | Date: <u>9-30-15</u> | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |

**Section 164(B) Final approval rests with the President of the Navajo Nation**

|                          |                                                                                     |             |                          |                          |
|--------------------------|-------------------------------------------------------------------------------------|-------------|--------------------------|--------------------------|
| <input type="checkbox"/> | Grant/Funding Agreement or amendment:                                               |             |                          |                          |
|                          | 1. Division: _____                                                                  | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 2. OMB: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 3. OOC: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 4. OAG: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | Subcontract/Contract expending or receiving funds or amendment:                     |             |                          |                          |
|                          | 1. Division: _____                                                                  | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 2. BRD: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 3. OMB: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 4. OOC: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 5. OAG: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | Letter of Assurance/M.O.A./M.O.U./Other agreement not expending funds or amendment: |             |                          |                          |
|                          | 1. Division: _____                                                                  | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 2. OAG: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| <input type="checkbox"/> | M.O.A. or Letter of Assurance expending or receiving funds or amendment:            |             |                          |                          |
|                          | 1. Division: _____                                                                  | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 2. OMB: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 3. OOC: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
|                          | 4. OAG: _____                                                                       | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |



# NAVAJO NATION DEPARTMENT OF JUSTICE

## DOCUMENT REVIEW REQUEST FORM



|                                                    |        |
|----------------------------------------------------|--------|
| DOJ                                                |        |
| 09-28-15 @ 1028a                                   |        |
| DATE / TIME                                        |        |
| <input checked="" type="checkbox"/> 7 Day Deadline |        |
| DOC #:                                             | 004434 |
| SAS #:                                             |        |
| UNIT:                                              | Hsqn   |

☐ RESUBMITTAL

\*\*\* FOR NNDOJ USE ONLY - DO NOT CHANGE OR REVISE FORM. VARIATIONS OF THIS FORM WILL NOT BE ACCEPTED. \*\*\*

### CLIENT TO COMPLETE

|                                                                                                                                                                                                |              |             |                       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|-------------|-----------------------|
| DATE OF REQUEST:                                                                                                                                                                               | 7/24/2015    | DIVISION:   | Transportation        |
| CONTACT NAME:                                                                                                                                                                                  | Ray Russell  | DEPARTMENT: | Department of Roads   |
| PHONE NUMBER:                                                                                                                                                                                  | 505-371-8392 | E-MAIL:     | russell@navajodot.org |
| TITLE OF DOCUMENT: 164 (A) Review #004434 - Proposed Resolution of the Resources & Development Committee of the Navajo Nation Council - Approving the FY 2015 Navajo Nation Road Funding Plan. |              |             |                       |

### DOJ SECRETARY TO COMPLETE

|                        |              |                              |    |
|------------------------|--------------|------------------------------|----|
| DATE/TIME IN UNIT:     | 9/28 @ 10:34 | REVIEWING ATTORNEY/ADVOCATE: | VB |
| DATE TIME OUT OF UNIT: | 9/30 @ 218   |                              | LS |

### DOJ ATTORNEY / ADVOCATE COMMENTS

legally sufficient. Amts corrected in proposed resolution and plan list.

|                      |             |                      |                  |
|----------------------|-------------|----------------------|------------------|
| REVIEWED BY: (Print) | Date / Time | SURNAMED BY: (Print) | Date / Time      |
|                      |             | Vernica Blackhat     | 9/30/15 11:00 AM |

DOJ Secretary Called: Left VM for Document Pick Up on 9/30 at 218 By Smith

|                       |              |
|-----------------------|--------------|
| PICKED UP BY: (Print) | DATE / TIME: |
|-----------------------|--------------|

NNDOJ/DRRF-July 2013





# THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT  
JONATHAN NEZ VICE PRESIDENT



## MEMORANDUM

TO : Executive Order/164 Reviewers

FROM : Darlene Sam  
Darlene Sam, Senior Budget Analyst  
Office of Management and Budget

DATE : August 13, 2015

SUBJECT : **Document No. 004434:** Review and Approval of the FY 2015 Navajo Nation Road Funding Plan for \$3,408,273.

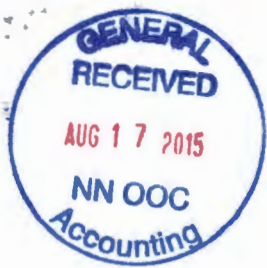
Pursuant to Executive Order No. 07-2013, the Office of Management and Budget (OMB) reviewed the proposed document and deems the document to be Sufficient based on the following:

1. The attached document is being processed as required by the Navajo Nation Road Fund, Fund Management Plan as approved by resolution no. BFMY-16-14.
2. OMB had a series of meetings with Navajo Division of Transportation. Meetings were held separately with Department of Roads, Project Management and Dept of Airport Management. Each department had its own separate list of projects.
3. With the attached Road Maintenance and Improvement Activities List, there will only be one list to follow, as required by the Fund Management Plan.

Contact OMB at 871-6470 if there are any questions and/or concerns regarding this memorandum.

CONCURRENCE:

Dominic Beyer, Executive Director - OMB



Navajo Nation - Division of Finance  
Office of the Controller

Signature Authorization Sheet (SAS or 164) Sign-Off Sheet for OOC



(Attach sign off sheet to SAS document to ensure each section within OOC signs off on SAS)



4434

| OOC - Section | Signature | Date |
|---------------|-----------|------|
|---------------|-----------|------|

- 1 Accounts Receivable:
- 2 General Accounting:
- 3 Contract Accounting:
- 4 Contract Administration:

Comments:



# THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT  
JONATHAN NEZ VICE-PRESIDENT

## MEMORANDUM:

TO : 2 NNC § 164 Reviewers  
Delegates & 2 NNC '164 Reviewers  
Navajo Nation Government

FROM : Robert Willie  
Robert Willie, Acting Controller  
Office of the Controller

DATE : August 27, 2015

SUBJECT : 164-004434-FY 15 Navajo Nation Road Funding Plan for \$3,408,273



The Office of the Controller has reviewed the above referenced document.

1. The original budget balance of \$3,408,273 was set up in Business Unit 506007. The revised budget in 506007 is \$3,906,640.24. There were actual expenditures of \$560,954.44 and encumbrances of \$83,298.45 which leaves a remaining budget of \$3,262,387.35 which, is short \$145,885.65 of the 3,408,273.00 that is requested to set up new projects.
2. This would be a budget reallocation from BU 506007 to project business units for projects listed.

If you should have any questions you can contact me at tribal extension X6125.



## NAVAJO DIVISION OF TRANSPORTATION

POST OFFICE BOX 4620  
WINDOW ROCK, ARIZONA 86515

TEL: 505-371-8300  
FAX: 505-371-8399

### MEMORANDUM

To : Robert Willie, Supervisor  
DOF / GENERAL ACCOUNTING

From :   
Ray Russell, Department Manager  
NNDOT/Department of Roads

Date : September 16, 2015

Subject : **164-004434-FY15 NN Road Fund Plan**

This is in response to your inquiry on the amount of Road Funds on the 164 SAS Review of \$3,408,273. This is the amount that Navajo DOT had originally allocated in FY 2015 budget. Thereafter Navajo DOT department received an additional \$718,367.24 through a Reallocation. Subsequently thereafter, we processed three (3) budget transfers from this 9020 Infrastructure line item as follows and drastically changed the original balance.

- |                 |      |                   |
|-----------------|------|-------------------|
| 1. \$182,055.26 | into | 9140 - Equipment  |
| 2. \$11,181.00  | into | 9160 - Vehicles   |
| 3. \$220,000.00 | into | 9510 - Cash Match |

There was a new balance of \$3,906,640.24 in the LOD 6 9020 Infrastructure line item. Following all the changes in this line item, including the encumbrance and payment of 2 projects, the new "Project Listing" should total \$2,990,294.49. Attached is a new listing that will match with the amount available in the infrastructure line item. A summarized budget sheet is attached for your review.

CONCURRENCE:

  
Darryl Bradley, Acting Division Director



# NAVAJO DIVISION OF TRANSPORTATION

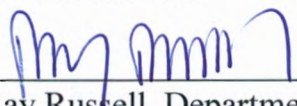
POST OFFICE BOX 4620, WINDOW ROCK, AZ 86515

TEL: 505-371-8300/8301 FAX: 505-371-8399

## MEMORANDUM

Date : July 22, 2015

To : SAS Reviewers

From :   
Ray Russell, Department Manager  
Department of Roads  
Division of Transportation

Subject : FY2015 Navajo Nation Road Funding Plan

The Navajo Division of Transportation (Navajo DOT) – Department of Roads (DOR), respectfully request your prompt review and approval of the attached FY2015 Navajo Nation Road Funding Plan, \$3,408,273.00.

The Navajo Nation Road Funding Plan is submitted in accordance to the Navajo Nation Road Fund Management Plan, Resolution #BFMY-16-14, which states,

- 1) *1003.C, The Navajo Division of Transportation shall have the authority and responsibility to use the funds for eligible road maintenance and road improvement, equipment, and as matching funds.... With concurrence by the Resources and Development of the Navajo Nation Council.*
- 2) *1004. C.1, The Navajo Division of Transportation shall submit the Navajo Nation Road Funding Plan to the Resources and Development Committee for annual approval in accordance with annual established budget policies and procedures.*

The Navajo Nation Road Funding Plan includes: road maintenance and road improvement activities for airports infrastructure; preliminary engineering (archaeological and biological assessments); drainage analysis; centerline surveys; gravel application projects; purchase of aggregate materials and culverts; and matching funds to Counties that support the Navajo Nation transportation systems.

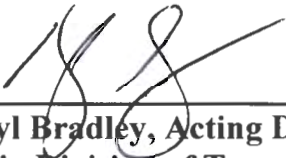
The activities have been procured and/or in the process of being outsource to Counties or to third party contractors. Some of the financial documentations have been submitted and are at the Navajo Nation Office of Management and Budget (OMB). The documents cannot be approved without an approved Navajo Nation Road Funding Plan, which is used for accountability and tracking purposes within the Navajo Nation Financial Information System (FMIS).

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Therefore, OMB has requested the Navajo Division of Transportation to submit the Navajo Nation Road Funding Plan, but it requires approval by the Resources and Development Committee (RDC). A Resolution has been drafted and submitted for review and consideration by the RDC.

The Navajo Nation Road Funding Plan is attached for your review and approval. If you have any questions, please contact Mr. Ray Russell, Department Manager or Marlinda Littleman, SPPS at (505) 371-8392/8372. Thank you.

**CONCURRENCE:**

A handwritten signature in black ink, appearing to read 'D. Bradley', is written over a horizontal line.

**Darryl Bradley, Acting Division Director  
Navajo Division of Transportation**

xc: Marlinda Littleman, SPPS/ROADS  
Edith Morgan, Senior Accountant/ROADS