LEGISLATIVE SUMMARY SHEET
Tracking No. 0250-18

DATE: July 6, 2018

TITLE OF RESOLUTION: AN ACTION RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE; APPROVING AND RECOMMENDING TO THE BUDGET AND FINANCE COMMITTEE THE PROPOSED FISCAL YEAR 2019 BUDGET FOR THE NAVAJO GAMING REGULATORY OFFICE

PURPOSE: The purpose of this resolution, if passed, is to approve and recommend to the Budget and Finance Committee the Proposed Fiscal Year 2019 Budget for the Navajo Gaming Regulatory Office.

This written summary does not address recommended amendments as may be provided by the standing committees. The Office of Legislative Counsel requests each Council Delegate to review each proposed resolution in detail.

5-DAY BILL HOLD PERIOD: [illegible]
Website Posting Time/Date: 12:45pm 7/10/18
Posting End Date: 7/15/2018
Eligible for Action: 7/16/2018

PROPOSED STANDING COMMITTEE RESOLUTION

23rd NAVAJO NATION COUNCIL – Fourth Year, 2018

INTRODUCED BY

(Prime Sponsor)

TRACKING NO. 0250-18

AN ACTION

RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE; APPROVING AND RECOMMENDING TO THE BUDGET AND FINANCE COMMITTEE THE PROPOSED FISCAL YEAR 2019 BUDGET FOR THE NAVAJO GAMING REGULATORY OFFICE

BE IT ENACTED:

SECTION ONE. AUTHORITY

A. The Resources and Development Committee is a standing committee of the Navajo Nation Council. 2 N.N.C. § 500(A).

B. The Resources and Development Committee is the oversight committee for the Navajo Gaming Regulatory Office. 2 N.N.C. § 501(C)(1).

C. Each oversight committee shall review and make recommendations to the Budget and Finance Committee concerning the budget in accordance with the annual budget instructions. 12 N.N.C. § 840 (A).

SECTION TWO. FINDINGS

A. The Resources and Development Committee held a budget hearing and took budget testimony regarding the Navajo Gaming Regulatory Office's Fiscal Year 2019 Budget. 12 N.N.C. § 840 (A).

B. The Resources and Development Committee recommends to the Budget

and Finance Committee a fiscal year budget for the Navajo Gaming Regulatory Office. 12 N.N.C. § 840 (A).

SECTION THREE. APPROVING AND RECOMMENDING TO THE BUDGET AND FINANCE COMMITTEE THE PROPOSED FISCAL YEAR 2019 BUDGET FOR THE NAVAJO GAMING REGULATORY OFFICE

A. The Resources and Development Committee hereby acknowledges the proposed Navajo Nation Fiscal Year (FY) 2019 Budget for the Navajo Gaming Regulatory Office, recommended by the President of the Navajo Nation, as provided on the Fund Type Budget Summary, attached as **Exhibit A.**

B. The Resources and Development Committee authorizes the Committee Chairperson or Vice-Chairperson to meet and negotiate with the Budget and Finance Committee on these recommendations.

Fiscal Year 2019

The Navajo Nation
Fund Type Budget Summary

EXHIBIT A

Business Unit Number	Program	FY 2017 General Fund Budget	Fiscal Year 2018 Navajo Nation Funds: Proposed General Fund Budget	Indirect Cost Fund	External Funds Cash Match	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
120001	Navajo Nation Gaming Regulatory Office	350,000				350,000				350,000
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
										0
	Total	350,000	0	0	0	350,000	0	0	0	350,000

FY 2019

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: 120001 Program Title: Navajo Nation Gaming Regulatory Office Division/Branch: Office of the President & Vice-President

Prepared By: Michele T. Jones Phone No.: 928-871-6715/6712 Email Address: michelejones@navajo-nsn.gov

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total
Licensing Fee Fund Management Plan	FY 2019	350,000.00	100%
TOTAL:		$350,000.00	100%

PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
2001 Personnel Expenses				0
3000 Travel Expenses				0
3500 Meeting Expenses				0
4000 Supplies	1	70,000	39,500	(30,500)
5000 Lease and Rental	1	2,000	9,000	7,000
5500 Communications and Utilities				0
6000 Repairs and Maintenance	1		5,500	5,500
6500 Contractual Services	1	133,000	274,000	141,000
7000 Special Transactions	1	45,000	22,000	(23,000)
8000 Public Assistance				0
9000 Capital Outlay				0
9500 Matching Funds				0
9500 Indirect Cost				0
	TOTAL	$250,000.00	350,000.00	100,000

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:	0	0
Total # of Permanently Assigned Vehicles:	0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Paulene T. Thomas, Executive Director
SUBMITTED BY: Program Manager's Printed Name

[signature] 6/17/18
SUBMITTED BY: Program Manager's Signature and Date

Robert Joe, Delegated Chief of Staff
APPROVED BY: Division Director/Branch Chief's Printed Name

[signature]
APPROVED BY: Division Director/Branch Chief's Signature and Date

FY 2019

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: 120001 **Program Name/Title:** Navajo Nation Gaming Regulatory Office

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

CO-75-01 established as the Navajo Nation Gaming Ordinance, Chptr 4, Sect 401 of the "Ordinance for the Regulation of Gaming Activities on the Navajo Nation" established the Navajo Gaming Regulatory Office within the Executive Branch of the Navajo Nation Government. The main purpose of the Office are to (1) initiate the highest standards of honest and integrity in the operation of gaming activities on the Navajo Nation, (2) maintain public confidence and trust in activites on the Navajo Nation and (3) assure compliance with all applicable gaming laws of the United States, AZ and NM state compacts, and Navajo Nation.

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: Ensure all gaming machines meet NGRO requirements								
Program Performance Measure: Gaming Machines Inspected	300		300		300		300	
2. Goal Statement: Maintain Employee/Vendor Licensing Process								
Program Performance Measure: Tribally License Employee/Vendors	150		150		150		150	
3. Goal Statement: Monitor compliance internally and on patron activity with NGRO requirements								
Program Performance Measure: Maintain a list of incidents and exclusions for continued regulatory enforcement	50		50		50		50	
4. Goal Statement: Continuance of NGRO Staff Training								
Program Performance Measure: Educate staff by providing Trng sessions applicable to job requirements (per staff)	15		15		15		15	
5. Goal Statement: Review all financial reports to see that incompliance with MICs & Tribal Compacts								
Program Performance Measure: Complete Audits to ensure all MICs and Audit requirements are met.	8		8		8		8	

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Paulene T.Thomas, Executive Director
Program Manager's Printed Name

6/17/18
Program Manager's Signature and Date

Robert Joe, Delegated Chief of Staff
Division Director/Branch Chief's Printed Name

Division Director/Branch Chief's Signature and Date

FY 2019

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

BUDGET FORM 4

PART I. PROGRAM INFORMATION:

Program Name/Title: Navajo Nation Gaming Regulatory Office Business Unit No.: 120001

PART II. DETAILED BUDGET:

(A) Object Code (LOD 6)	(B) Object Code Description and Justification (LOD 7)			(C) Total by DETAILED Object Code (LOD 6)	(D) Total by MAJOR Object Code (LOD 4)
	4000 SUPPLIES				39,500
	NNGRO conducts licensing duties at all casino locations and the WR main office. Folders, pens, pencils, copy paper, envelops, stationary, desk supplies, courier supplies staplers, post it pads, calendars, computer and printer toner/cartridges and other basic office supplies. Professional printing and copier services, postage and shipping of licensing documents to NIGC, AZDOG and other required entities. Purchase of books, publication suscriptions and manuals. Non Cap assets and computer softare to upgrade and maintain system to send and receive confidential information electroncially. Purchase of shelves, filing cabinets and badges.				
4120	Office Supplies			4,000	
	4130	General Office Supplies	$ 4,000		
4200	Non Capital Assests			5,000	
	4210	Non Cap Furniture & Equip	$ 3,000		
	4230	Non Cap Computer Equip	$ 2,000		
4410	Operating Supplies			30,500	
	4420	General Operating Supplies	$ 18,000		
	4440	Non Cap Computer Software	$ 1,000		
	4450	Postage, Courier, Shipping	$ 2,000		
	4520	Bulk Paper	$ 4,000		
	4530	Printing/Binding/Photocopying	$ 5,000		
	4540	Books/Periodicals/Subscription	$ 500		
	5000 LEASE & RENTAL				9,000
	Copier machine lease for 12 months for licensing office in Twin Arrows.				
5160	Equipment			9,000	
	5170	Office Equipment	$ 9,000		
	6000 REPAIRS & MAINTENANCE				5,500
	Annual repair and maintenance fees for office equipment/copier machines and computer upgrade				
6130	Services			3,000	
	6140	Furn & Equip R&M Services	$ 3,000		
6300	Technology			2,500	
	6320	Software Support (10 staff X $250)	$ 2,500		
			TOTAL	54,000	54,000

FY 2019

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

BUDGET FORM 4

PART I. PROGRAM INFORMATION:

Program Name/Title: Navajo Nation Gaming Regulatory Office Business Unit No.: 120001

PART II. DETAILED BUDGET:

(A) Object Code (LOD 6)	(B) Object Code Description and Justification (LOD 7)			(C) Total by DETAILED Object Code (LOD 6)	(D) Total by MAJOR Object Code (LOD 4)
	6500 CONTRACTUAL SERVICES				274,000
	Fees for federal, state, county munipcal and/or tribal criminal history reports, background checks, police reports and/or court documention. Fees collected from employees and applicable person pursuant to Navajo Tribal Gaming Ordiance, State Compacts, Indian Gaming Regulatory.				
6910	Other Constractual Services			274,000	
	6921	Other Services	$ 274,000		
	7000 SPECIAL TRANSACTIONS				22,000
	Fees for Arizona Indian Gaming Association, ATG2 are due each calendar year. Notary training and regulatory training for staff.				
7510	Training & Professional Dues			7,000	
	7520	Training/Registration Fees	$ 5,000		
	7550	Madatory Professional Dues	$ 2,000		
7600	Employee Related Expenses			15,000	
	7650	Background Check	$ 15,000		
			TOTAL	296,000	296,000

Office of Legislative Counsel
Telephone: (928) 871-7166
Fax # (928) 871-7576

Honorable LoRenzo Bates
Speaker
23rd Navajo Nation Council

MEMORANDUM

TO: Honorable Alton Shepherd
Jeddito, Cornfields, Ganado, Kinlichee, Steamboat Chapters

FROM: Mariana Kahn
Mariana Kahn, Attorney
Office of Legislative Counsel

DATE: July 6, 2018

SUBJECT: A PROPOSED STANDING COMMITTEE RESOLUTION; AN ACTION RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE; APPROVING AND RECOMMENDING TO THE BUDGET AND FINANCE COMMITTEE THE PROPOSED FISCAL YEAR 2019 BUDGET FOR THE NAVAJO GAMING REGULATORY OFFICE

As requested, I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. Based on existing law and review of documents submitted, the resolution as drafted is legally sufficient. As with any action of government however, it can be subject to review by the courts in the event of proper challenge.

The Office of Legislative Counsel confirms the appropriate standing committee(s) based on the standing committees powers outlined in 2 N.N.C. §§301, 401, 501, 601 and 701. Nevertheless, "the Speaker of the Navajo Nation Council shall introduce [the proposed resolution] into the legislative process by assigning it to the respective oversight committee(s) of the Navajo Nation Council having authority over the matters for proper consideration." 2 N.N.C. §164(A)(5).

If the proposed resolution is unacceptable to you, please contact me at the Office of Legislative Counsel and advise me of the changes you would like made to the proposed resolution.

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION

LEGISLATION NO: _0250-18_____ SPONSOR: Alton JoeShepherd/Benjamin Bennett

TITLE: An Action Relating to Resources and Development Committee; Approving and Recommending to the Budget and Finance Committee the Proposed Fiscal Year 2019 Budget for the Navajo Gaming Regulatory Office

***Date posted*: July 10, 2018 at 12:47pm**

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7590

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. *§374 et. seq.*

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW SUMMARY

LEGISLATION NO.: 0250-18

SPONSOR: Honorable Alton Joe Shepherd

TITLE: An Action Relating to Resources and Development Committee; Approving and Recommending to the Budget and Finance Committee the Proposed Fiscal Year 2019 Budget for the Navajo Gaming Regulatory Office

Posted: July 10, 2018 at 12:47pm

5 DAY Comment Period Ended: July 15, 2018

Digital Comments received:

Comments Supporting	***None***
Comments Opposing	***None***
Inconclusive Comments	***None***

Legislative Secretary II
Office of Legislative Services

7/16/2018 8:21am
Date/Time