

## LEGISLATIVE SUMMARY SHEET

Tracking No. 0249-18

**DATE:** July 6, 2018

**TITLE OF RESOLUTION:** AN ACTION RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE; APPROVING AND RECOMMENDING TO THE BUDGET AND FINANCE COMMITTEE THE PROPOSED FISCAL YEAR 2019 BUDGET FOR THE NAVAJO DIVISION OF TRANSPORTATION

**PURPOSE:** The purpose of this resolution, if passed, is to approve and recommend to the Budget and Finance Committee the Proposed Fiscal Year 2019 Budget for the Navajo Division of Transportation.

This written summary does not address recommended amendments as may be provided by the standing committees. The Office of Legislative Counsel requests each Council Delegate to review each proposed resolution in detail.

5-DAY BILL HOLD PERIOD: None  
Website Posting Time/Date: 12:43pm 7/10/18  
Posting End Date: 7/15/2018  
Eligible for Action: 7/16/2018

PROPOSED STANDING COMMITTEE RESOLUTION  
23<sup>rd</sup> NAVAJO NATION COUNCIL – Fourth Year, 2018

INTRODUCED BY



(Prime Sponsor)

TRACKING NO. 0249-18

AN ACTION

RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE; APPROVING  
AND RECOMMENDING TO THE BUDGET AND FINANCE COMMITTEE THE  
PROPOSED FISCAL YEAR 2019 BUDGET FOR THE NAVAJO DIVISION OF  
TRANSPORTATION

BE IT ENACTED:

**SECTION ONE. AUTHORITY**

- A. The Resources and Development Committee is a standing committee of the Navajo Nation Council. 2 N.N.C. § 500(A).
- B. The Resources and Development Committee is the oversight committee for the Division of Transportation. 2 N.N.C. § 501(C)(1).
- C. Each oversight committee shall review and make recommendations to the Budget and Finance Committee concerning the budget in accordance with the annual budget instructions. 12 N.N.C. § 840 (A).

**SECTION TWO. FINDINGS**

- A. The Resources and Development Committee held a budget hearing and took budget testimony regarding the Navajo Nation Division of Transportation's Fiscal Year 2019 Budget. 12 N.N.C. § 840 (A).
- B. The Resources and Development Committee recommends to the Budget

1 and Finance Committee a fiscal year budget for the Division of Transportation. 12  
2 N.N.C. § 840 (A).  
3

4 **SECTION THREE. APPROVING AND RECOMMENDING TO THE BUDGET**  
5 **AND FINANCE COMMITTEE THE PROPOSED FISCAL YEAR 2019 BUDGET**  
6 **FOR THE NAVAJO DIVISION OF TRANSPORTATION**  
7

- 8 A. The Resources and Development Committee hereby acknowledges the  
9 proposed Navajo Nation Fiscal Year (FY) 2019 Budget for the Navajo Division of  
10 Transportation, recommended by the President of the Navajo Nation, as provided on  
11 the Fund Type Budget Summary, attached as **Exhibit A**.  
12 B. The Resources and Development Committee requests that the Budget and Finance  
13 Committee and the Navajo Nation Council adopt the changes to the Budget, as set  
14 forth in the General Fund Comparative Summary, **Exhibit B**, Recommended Changes  
15 to the General Fund Budget, **Exhibit C**, Recommended Conditions of Appropriation  
16 and Legislative Concerns, **Exhibit D**, and Recommended Unmet Needs, **Exhibit E**.  
17 C. The Resources and Development Committee authorizes the Committee Chairperson  
18 or Vice-Chairperson to meet and negotiate with the Budget and Finance Committee  
19 on these recommendations.  
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**EXHIBIT A**

**EXHIBIT A**









**Fiscal Year 2019 Executive Branch Budget Review/ Hearing  
Additional Funds/ Unmet Needs Request Summary**

**EXHIBIT E**

Division/ Office: Navajo Division of Transportation

Ranking	Description of Executive Branch Priority Areas – Four Pillars and Branch Priorities	Business Unit/ Program/ Project	Funding Amount Requested	Brief Justification
1.	4P: Veterans, Youth & Elder, Infrastructure Development, Jobs Creation. 3BP: Infrastructure, Economy, Public Facility, Human Services & Public Safety	121001	\$420,000	Two (2) New Gravel Pit Development Sites.
2.	3BP: Infrastructure, Economy, Public Facility, Human Services & Public Safety	121001	\$1,630,000	20-miles of Dirt Road Improvement (sub-grade prep, 3" gravel, road stabilization)
3.	4P: Veterans, Youth & Elder, Infrastructure Development, Jobs Creation. 3BP: Infrastructure, Economy, Public Facility, Human Services & Public Safety	121003	\$1,250,000	Airport Safety Elements: \$475k to relocate WR A/P fuel farm to safer location. Currently creates hazard to emerg. med. flight movement. \$525k to install perimeter fence at TC A/P to keep it secure from wildlife and illegal activity. \$250k to install security camera system to monitor 3 A/Ps via microwave system to monitor illegal drug drops, drag racing, and other illegal activity.
4.	4P: Veterans, Youth & Elder, Infrastructure Development, Jobs Creation. 3BP: Infrastructure, Economy, Public Facility, Human Services & Public Safety	121004	\$600,000	7 additional positions: one (1) Senior Heavy Equipment Operators, two (2) Heavy Equipment Operators and four (4) Laborers for Department of Roads: With 5 Agencies to cover with road maintenance activities, adequate manpower needs to be in place to address the actual needs in the field and to respond to emergencies and other requests by the traveling public.
5.	3BP: Infrastructure, Economy, Public Facility, Human Services & Public Safety	121004	\$250,000	10-acres on Navajo/Hopi Land Commission parcel (Tse' Bonito) needed for equipment and materials: Department of Roads asset inventory and materials exceed the space available at the existing Tse' Bonito facility.

				Additional space will house the many pieces of equipment and supplies associated with road maintenance activities such as snow plows, salt/cinders stockpiles, barricades
6.	3BP, Infrastructure, Economy, Public Facility, Human Services & Public Safety	121004	\$1,000,000	Replace aging fleet: Equipment and vehicles are beginning to feel the impact of day to day, traveling over primitive roadways while providing the needed service. Motor Graders and other heavy equipment are nearing maximum use and requiring replacement.
7.		TOTAL:	\$5,150,000	
8.				
9.				

\*Legend: 4P = 4 Pillar; 3BP = 3-Branch Pillar





# FY 2019 Navajo DOT Budget Presentation



NDOT  
FY 2019



1	Plan of Operation CAP-13-13
2	Unmet Needs / FY18 Major Accomplishments
3	NDOT Executive 121001
4	Department of Highway Safety 121002
5	Department of Airport Management 121003
6	Department of Airports Management 506011
7	Department of Roads 506007
8	Department of Project Management 506008
9	Capital Projects 121004 / 506005
10	NDOT FET ADMIN 506006
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**1**

RESOLUTION OF THE  
22<sup>ND</sup> NAVAJO NATION COUNCIL  
22<sup>ND</sup> NAVAJO NATION COUNCIL - THIRD YEAR, 2013

AN ACTION

RELATING TO RESOURCES AND DEVELOPMENT, LAW AND ORDER, AND  
NAABIK'ÍYÁTI': AMENDING 2 N.N.C. §1371 AND THE PLAN OF OPERATION  
FOR THE NAVAJO DIVISION OF TRANSPORTATION (NDOT), GSCAU-26-09,  
TO REASSIGN AND TRANSFER THE NAVAJO DEPARTMENT OF HIGHWAY SAFETY  
TO NDOT, AND APPROVING ALL NDOT DEPARTMENT PLANS OF OPERATION

BE IT ENACTED:

Section 1. Amending the Navajo Division of Transportation Plan  
of Operation

1. The Navajo Nation hereby amends the Plan of Operation for the Navajo Division of Transportation, as set forth in Exhibit A, reassigning and transferring all components of the Navajo Department of Highway Safety to the Navajo Division of Transportation.
2. The Navajo Division of Transportation acknowledges and approves the reassignment and transfer of all funding, personnel, and property necessary to maintain full operations of the Navajo Department of Highway Safety. The concurrence of the Executive Director, Division of Public Safety, is attached hereto as Exhibit B. The concurrence of the Division Director, Division of Transportation, is attached hereto as Exhibit C.
3. The oversight authority of the Department of Highway Safety shall transfer from the Law and Order Committee to the Resources and Development Committee.
4. The Plan of Operation for the Navajo Division of Transportation, as amended by this Resolution, shall take immediate effect and shall supersede any and all prior plans of operation for the Navajo Division of Transportation, including Resolution GSCAU-26-09.

## **Section 2. Amending the Navajo Division of Transportation's Department Plans of Operation**

1. The Navajo Nation hereby amends the Plans of Operations for the Department of Airport Management, Department of Highway Safety, Department of Project Management, Department of Transportation Planning, and Department of Roads, as set forth in Exhibit D.
2. The Plans of Operation for the Department of Airport Management, Department of Highway Safety, Department of Project Management, Department of Transportation Planning, and Department of Roads, as amended by this Resolution, shall take immediate effect and shall supersede any and all prior plans of operation for the Department of Airport Management, Department of Highway Safety, Department of Project Management, Department of Transportation Planning, and Department of Roads.

## **Section 3. Amending 2 N.N.C. § 1371**

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\* \* \* \*

### **Title 2**

### **Chapter 5. Executive Branch**

#### **Subchapter 17. Division of Transportation**

#### **§ 1371. Purpose**

The purpose of the Navajo Nation Division of Transportation is to exclusively administer the Navajo Nation transportation programs within the Navajo Nation, to ~~provide~~ ensure an effective and efficient transportation ~~system, to ensure the operation and to promote the~~ improvement of the transportation system and to provide the necessary resources to accomplish the objectives herein. The Division shall operate pursuant to a plan of operation as recommended by the Resources Transportation and Community Development Committee ~~and approved by the Government Services Committee.~~

\* \* \* \*

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**1. Effective Date**

The amendment enacted herein shall be effective pursuant to 2 N.N.C. §221(B).

**2. Codification**


The provision of the act which amend or adopt new sections of the Navajo Nation Code shall be codified by the Office of Legislative Counsel. The Office of Legislative Counsel shall incorporate such amended provisions in the next codification of the Navajo Nation Code.

**3. Savings Clause**

Should any provision of this Act be determined invalid by the Navajo Nation Supreme Court, or the District Courts of the Navajo Nation without appeal to the Navajo Nation Supreme Court, those provisions of the Act which are not determined invalid shall remain the law of the Navajo Nation.

**C E R T I F I C A T I O N**

I hereby certify that the foregoing resolution was duly considered by the Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 22 in favor and 0 opposed, this 16th day of April 2013.



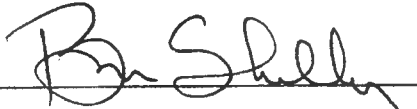
Johnny Naize, Speaker  
Navajo Nation Council

Motion: Honorable Katherine Benally  
Second: Honorable Jonathan Hale



ACTION BY THE NAVAJO NATION PRESIDENT:

1. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. §1005 (C) (10), on this \_\_\_\_\_ day of APR 26 2013 2013.

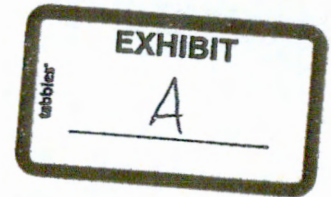


Ben Shelly, President  
Navajo Nation

2. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. § 1005 (C) (10) and 2 N.N.C. § 164 (A) (17), on this \_\_\_\_ day of \_\_\_\_\_, 2013. The justification of my line-item veto is set forth by memorandum.

\_\_\_\_\_  
Ben Shelly, President  
Navajo Nation

EXHIBIT "A"



NAVAJO NATION DIVISION OF TRANSPORTATION  
PLAN OF OPERATION

I. ESTABLISHMENT

There is hereby established the Navajo Nation Division of Transportation within the Executive Branch of the Navajo Nation Government.

II. PURPOSE

The Purpose of the Navajo Nation Division of Transportation is to exclusively administer the Navajo Nation Transportation programs within the Navajo Nation, to ~~provide~~ ensure an effective and efficient transportation ~~system, to ensure the operation, and to promote the~~ improvement of the transportation system, and to provide the necessary resources to accomplish the objectives herein.

III. PERSONNEL AND ORGANIZATION

A. PERSONNEL

1. There is hereby established the position of the Executive Director and such other positions that are necessary and provided in the budget approved by the Navajo Nation Council for the Navajo Nation Division of Transportation.
2. All Navajo Nation Division of Transportation personnel shall be hired and compensated pursuant to the ~~policies and guidelines of the~~ Navajo Nation Personnel Policies and Procedures Manual, except the Executive Director who shall be appointed by and serve at the pleasure of the President of the Navajo Nation and confirmed by the Navajo Nation Council.
3. Pursuant to Navajo Nation laws and regulations, the Executive Director shall be authorized to retain professional and technical highway transportation experts as deemed necessary to carry out the purpose of the Navajo Nation Division of Transportation subject to availability of funds.

B. ORGANIZATION

1. The Navajo Nation Division of Transportation shall comprise of programs and administrative components as may be deemed necessary subject to legislative review and approval of the department's and/or program's Plans of Operation.

43                   The Navajo Division of Transportation shall consist of the following Departments  
44                   and Programs:

- 45                   ▪   Department of Airport Management
- 46                   ▪   Department of Highway Safety
- 47                   ▪   Department of Project Management
- 48                   ▪   Department of Roads
- 49                   ▪   Department of Transportation Planning
- 50                   ▪   Administrative Support

- 51
- 52
- 53                   2. The organizational chart of the Navajo Nation Division of Transportation is
- 54                   attached and incorporated herein as Exhibit "A".
- 55

56   IV.    RESPONSIBILITY AND AUTHORITY

57

58                   The Executive Director of the Navajo Nation Division of Transportation shall have the following

59                   responsibilities and authorities:

- 60
- 61                   1. Be responsible for the efficient management and administration of the Navajo Nation
- 62                   Division of Transportation and its departments and/or programs ~~within Navajo Nation~~
- 63                   ~~Division of Transportation~~ and applicable safety programs.
- 64
- 65                   2. Maintain and operate all Navajo Nation highways, roadways, airports—infrastructure,
- 66                   scenic byways including coordination and collaboration with federal, state, county and
- 67                   local governments with the development and operation of ~~Navajo~~ transportation
- 68                   systems on the Navajo Nation.
- 69
- 70                   3. Provide quarterly reports of all activities within Navajo Nation Division of Transportation
- 71                   and its departments and programs to the President of the Navajo Nation and the
- 72                   ~~Transportation~~ Resources and ~~Community~~ Development Committee of the Navajo
- 73                   Nation Council.
- 74
- 75                   4. Represent the Navajo Nation Government within areas of the Navajo Nation Division of
- 76                   Transportation responsibilities and authorities when dealing with intergovernmental
- 77                   matters, include~~-ing~~ but not limited to, the gathering and submission of transportation
- 78                   data and inventory data to other government entities pursuant to intergovernmental
- 79                   contracts or subcontracts.
- 80
- 81                   5. Supervise and direct all Department and/or Program Managers within the Navajo
- 82                   Nation Division of Transportation.
- 83
- 84                   6. Develop, recommend and implement ~~upon adoption by the appropriate Navajo Nation~~
- 85                   ~~legislative body policies,~~ rules and regulation governing matters delegated to the Navajo

86 Nation Division of Transportation and its departments and programs ~~within Navajo~~  
87 ~~Nation Division of Transportation.~~  
88

89 7. Set priorities and participate in the overall Navajo Nation Division of Transportation  
90 departments and programs budget planning process.  
91

92 8. Design and construct transportation facilities pursuant to Navajo Nation priority plans.  
93

94 9. Negotiate contracts, grants, and agreements appurtenant to the Navajo Nation Division  
95 of Transportation and subject to Navajo Nation laws, rules, and regulations.  
96

97 10. Conduct periodic performance reviews of Navajo Nation Division of Transportation  
98 departments and programs for effectiveness and progress to ensure compliance with  
99 the annual fiscal year budget requirements and applicable contracts.  
100

101 11. Coordinate all ~~with Navajo Nation Division of Transportation Departments and Programs~~  
102 ~~the administrative, financial and operating policies for the Navajo Nation Division of~~  
103 ~~Transportation.~~  
104

105 12. Represent the Navajo Nation Division of Transportation at executive level planning  
106 sessions.  
107

108 V. LEGISLATIVE OVERSIGHT  
109

110 The Navajo Nation Division of Transportation shall operate under the legislative oversight of the  
111 Resources ~~Transportation and Community~~ Development Committee of the Navajo Nation  
112 Council.  
113

114 VI. AMENDMENTS  
115

116 This Plan of Operation may be amended as deemed necessary by the Resources and  
117 Development ~~Government Services~~ Committee of the Navajo Nation Council ~~upon the~~  
118 ~~recommendation of the Transportation and Community Development Committee of the Navajo~~  
119 ~~Nation Council.~~  
120

EXHIBIT A

NAVAJO DIVISION OF TRANSPORTATION  
ORGANIZATION CHART

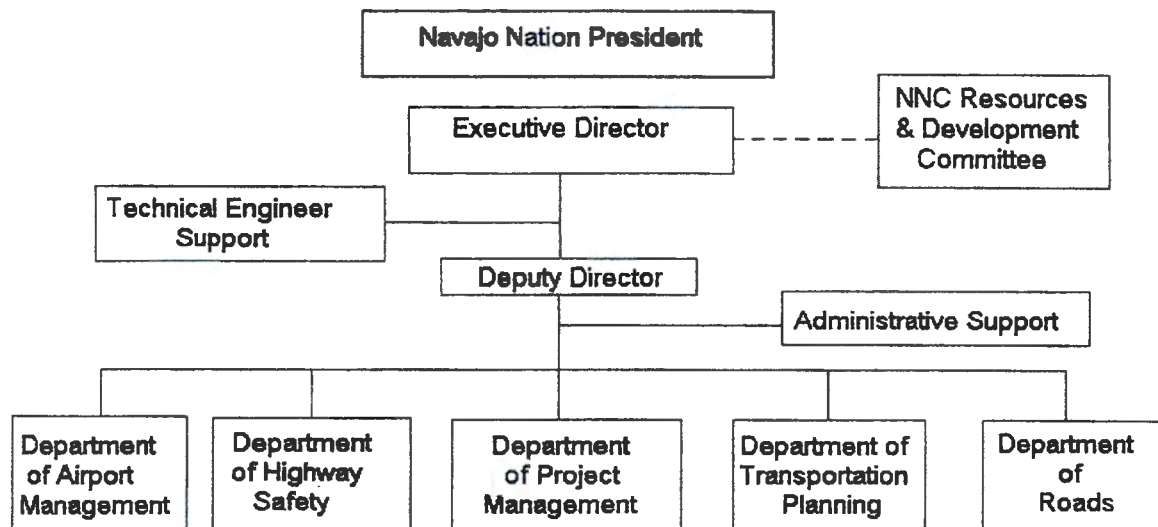
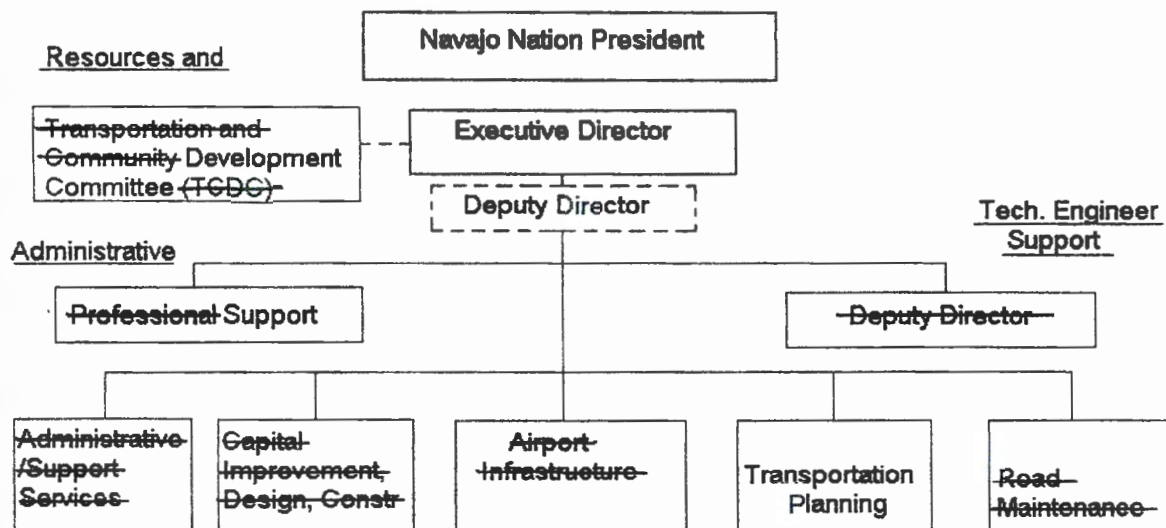


EXHIBIT A

NAVAJO DIVISION OF TRANSPORTATION  
ORGANIZATION CHART

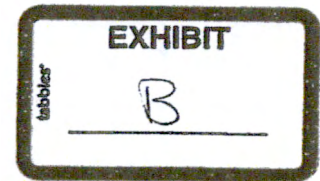




# THE NAVAJO NATION



BEN SHELLY PRESIDENT  
REX LEE JIM VICE PRESIDENT



August 18, 2011

S.A.S Reviewer  
C/O Department of Highway Safety  
Window Rock, Arizona 86515

RE: Letter of Support

Sir/Madam,

The Navajo Nation Division of Public Safety supports of the Department of Highway Safety transition plans to operate under the Division of Transportation; to carry out their initiative in providing on going traffic safety, education, and awareness which is an important component to a Transportation unit. The Division of Transportation is a new Division and through the activities that the Department of Highway Safety perform.

The Department of Highway Safety was established in 1995 under the Division of Public with goals in reducing unnecessary injuries involved in Motor Vehicle crashes throughout the Navajo Nation. The Division of Public Safety continues to keep the working relationship in assisting the department with their efforts on enforcements.

Please do call 928-871-6572 for any questions and in advance, I like to express my gratitude of thanks in all the work that you do in supporting our Division.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John Billison".

8/17/2011

Mr. John Billison, Executive Director  
Navajo Division of Public Safety  
PO Box 3360  
Window Rock, Arizona 86515



# NAVAJO DIVISION OF TRANSPORTATION

POST OFFICE BOX 4620  
WINDOW ROCK, ARIZONA 86515

TEL: 928.871.6498  
FAX: 928.871.7987



September 30, 2011

S.A.S. Reviewers  
The Navajo Nation  
Window Rock, Arizona 86515

Dear Sir/Madam:

The Navajo Nation Division of Transportation (Division) being recently established is processing the amendment to its Plan of Operation to address the reorganization of the Division and the addition of the Navajo Department of Highway Safety from the Navajo Division of Public Safety. The Division proposes to process the approval of the Plan of Operation for the Department of Airport Management, Department of Project Management, Department of Transportation Planning, Department of Roads and the new Department of Highway Safety. The organization chart is attached with the proposed amendments to the Division Plan of Operation.

It is the intent of the Division to accept the Department of Highway Safety from the Division of Public Safety intact with all the resources and assigned Navajo Nation budget accounts and external funds. The Division is still growing and understands the great demand of needs that will require the changes in the Plan of Operation to better address these concerns and responsibilities.

We express our appreciation for your time and effort. If there are any questions, feel free to call me at (928) 871-6498 NDOT.

Sincerely,

Mr. Paulson Chaco, Division Director  
Navajo Nation Division of Transportation  
Window Rock, Arizona 86515



# NAVAJO DIVISION OF TRANSPORTATION

POST OFFICE BOX 4620  
WINDOW ROCK, ARIZONA 86515

TEL: 928.871.6498  
FAX: 928.871.7987

## MEMORANDUM

August 2, 2011.

Mabel Henderson, Director  
Department of Highway Safety  
Navajo Nation  
Window Rock, Arizona

Dear Ms. Henderson:

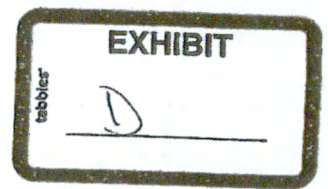
The Highway Safety Department is important to Navajo Nation transportation. As such, we talked previously about the Department's transfer into the Navajo Division of Transportation (Navajo DOT). This is to inform you that in my consultation with both the Chairs of the Resources and Development Committee (RDC) and Law and Order Chair, they fully support the transfer of Highway Safety into Navajo DOT.

To accommodate an orderly transfer into the Division it is necessary that all essential actions to effect the move is covered and planned. The legal and procedural transfer of the Highway Safety Department should be initiated immediately with the physical move to follow as soon as the Navajo Transportation Center Complex is completed at Tse Bonito.

The change will surely be to our mutual benefit as well as to the Navajo Nation. Please contact me to begin planning the transfer; there is much to cover in making the change. 928.871.6498

With Regards,

Paulson Chaco, Division Director  
Navajo Division of Transportation



**DEPARTMENT OF AIRPORT MANAGEMENT**  
**PLAN OF OPERATION**

**I. ESTABLISHMENT**

The Department of Airport Management (Department) is hereby established within the Navajo Nation Division of Transportation (Navajo DOT) of the Executive Branch of the Navajo Nation government (Nation).

**II. PURPOSE AND OBJECTIVE**

A. The purpose of the Department is to provide support to the Navajo Nation Airports in: Tuba City, Arizona; Chinle, Arizona; Window Rock, Arizona; Shiprock, New Mexico; and Crownpoint, New Mexico; as well as future primary/secondary airports and heliports within the Nation.

B. The Department will be guided by the Nation, Federal Aviation Administration (FAA) and State Aeronautics' practical rules and regulations, objective standard operating procedures and sufficient minimum standards in the following areas:

1. Inter-agency Partnerships
2. Airport Management
3. Airport Operations
4. Airport Maintenance
5. Airport Planning.

**III. PERSONNEL AND ORGANIZATION**

A. PERSONNEL – All personnel shall be employed and compensated in accordance with applicable Navajo Nation Personnel Policies Manual. The department will comprise of the following, but not limited to:

1. Program Manager
2. Administration
3. Airport Maintenance and Labor
4. Equipment

32  
33 B. ORGANIZATION

- 34 1. The Program Manager shall report to the Division Director and the  
35 Deputy Director of the Executive Department.  
36 2. The organizational chart of the Department within the Navajo  
37 Nation Division of Transportation is attached as Exhibit "A".  
38

39 IV. RESPONSIBILITY AND AUTHORITY

40 A. The Program Manager shall have the following responsibilities and  
41 authorities:

- 42 1. Report and be accountable to the Division Director and Deputy  
43 Director for the purpose and objectives of the Department.  
44 2. Execute directives of the Navajo DOT administration.  
45 3. Represent the Department at division and executive levels.  
46 4. Promulgate Navajo DOT policies and guidelines to ensure the  
47 proper and timely implementation of Department projects.  
48 5. Administer the policies and procedures of the Nation necessary for  
49 effective management of the Department and to fulfill the program  
50 purpose and objectives.  
51 6. Ensure safe and efficient operation of Nation Airports within the  
52 authority vested through the Nation and in accordance with FAA  
53 Part 5010 and Part 107 regulations.  
54 7. Exercise supervisory control and guidance over program staff and  
55 delegate authority to other staff members.  
56 8. Act as media contact regarding airport activities and responds to all  
57 airport emergencies; major or minor incidents.  
58 9. Ensure seamless customer services.  
59 10. Prepare and plan the annual budget for the Department, conduct  
60 periodic review thereof, and participate in overall program and  
61 budget reviews.



- 62 11. Establishes and maintains effective working relationships with  
63 other employees, officials, and all members of the general public.  
64 12. Establish, implement and administer the Airport Fund  
65 Management Plan.  
66 13. Provide oral and written reports to oversight committee, Nation  
67 Chapters, Federal and State agencies.  
68 14. Provide monthly, quarterly, and annual written reports to the  
69 Division Director and Resources and Development Committee of  
70 the Navajo Nation Council.  
71 15. Coordinate with other departments of Navajo DOT.  
72 16. Conduct annual review of the Department Plan of Operation and  
73 Fund Management Plan and recommend any amendments.  
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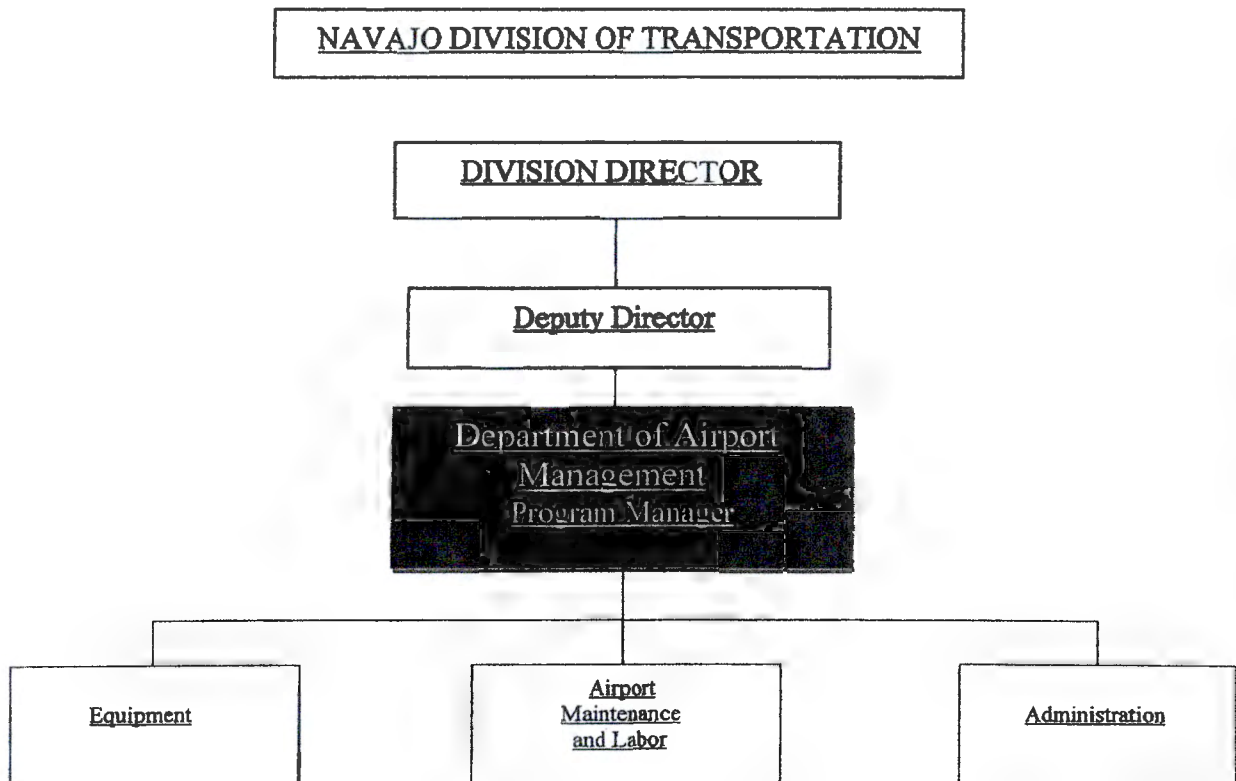
75 V. **LEGISLATIVE OVERSIGHT**

76 The Resources and Development Committee of the Navajo Nation Council  
77 shall serve as the Legislative oversight of the Navajo Division of  
78 Transportation and the Department of Airport Management pursuant to 2  
79 N.N.C. §421 and §423.  
80

81 VI. **AMENDMENTS**

82 The Department Plan of Operation may be amended as deemed necessary by  
83 the Resources and Development Committee of the Navajo Nation Council.  
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EXHIBIT A

**NAVAJO DEPARTMENT OF HIGHWAY SAFETY  
PLAN OF OPERATION**

I. ESTABLISHMENT

There is hereby established the Navajo Department of Highway Safety within the Navajo Division of ~~Public Safety~~ Transportation. The Director of the Navajo Department of Highway Safety shall be under the direct supervision of the Executive Director of the Navajo Division of ~~Public Safety~~ Transportation.

II. PURPOSE AND OBJECTIVES

The purpose of the Navajo Department of Highway Safety is to reduce traffic related fatalities, injuries, and property damage on roadways within the territorial jurisdiction of the Navajo Nation as defined by Title 7 N.T.C. 254 and 18 U.S.C. Section 1151. The Department will work toward establishing and implementing various highway safety standards, and provide driver's improvement programs for students and employees of the Navajo Nation. The applications of these objectives are subject to the availability of funds.

III. STAFFING AND ORGANIZATION

- A. All personnel shall be employed and compensated in accordance with applicable Navajo Nation Personnel Policies Manual, and the Director of the Navajo Department of Highway Safety shall be selected by the Executive Director of the Division of ~~Public Safety~~ Transportation in accordance with the established Navajo Nation Personnel Policies & Procedures Manual.
- B. The Navajo Department of Highway Safety shall be staffed sufficiently to accomplish the purposes and objectives stated herein.
- C. The Department Director shall be authorized to make decisions regarding additional personnel positions as needed to carry out the organizational purpose and objectives as stated herein. Additional positions shall be acquired within applicable rules and policies established for conducting the annual Navajo Nation budget process.

IV. AUTHORITY AND RESPONSIBILITY

- A. The responsibilities of the Navajo Department of Highway Safety shall include, but are not limited to, the following:
  - 1. To approve and provide a Driver's Improvement Program necessary for Navajo Nation employees to obtain a Navajo Nation Vehicle Operator's Permit.

2. To develop and maintain a filing system for Navajo Nation vehicle photographic identification permits.
3. To conduct motor vehicle record's background checks to ensure that holders of Navajo Nation vehicle operator's permit have a valid state driver's license.
4. To regulate and monitor ~~Infant Restraint Free Loaner~~ Child Car Seat Program outlets located within the Territorial jurisdiction of the Navajo Nation.
5. To develop and implement computerize system for maintaining Navajo Nation traffic records.
6. To approve and provide a traffic safety skill development and educational program to Navajo Nation divisions, departments, programs, including High Schools located within the Territorial jurisdiction of the Navajo Nation.

B. The responsibilities of the Director of the Navajo Department of Highway Safety shall include, but are not limited to, the following:

1. To develop and implement policies and procedures necessary to provide effective and efficient highway safety services within the territorial jurisdiction of the Navajo Nation.
2. To administer the Navajo Department of Highway Safety in compliance with all Navajo Nation laws, rules and regulations.
3. To gather information necessary to develop an annual budget for the Navajo Department of Highway Safety.
4. To negotiate contracts, grants and agreements on behalf of the Navajo Department of Highway Safety in compliance with all Navajo Nation laws, rules and regulations.
5. To report directly to the Executive Director regarding all activities of the Navajo Department of Highway Safety on a regular basis.

#### V. LEGISLATIVE OVERSIGHT

The Navajo Department of Highway Safety, Navajo Nation Division of ~~Public Safety~~ Transportation, shall operate under the legislation oversight of the ~~Public Safety~~ Resource Development Committee of the Navajo Nation Council, pursuant to the powers granted that committee in Title 2, N.T.C. 661 et seq.

#### VI. AMENDMENTS

This Plan of Operation may be amended from time to time by the ~~Government Services~~ Nabik'iyati Committee upon recommendation of the ~~Public Safety~~ Resource Development Committee of the Navajo Nation Council as deemed appropriate.

**DEPARTMENT OF PROJECT MANAGEMENT**  
**PLAN OF OPERATION**

**I. ESTABLISHMENT**

The Department of Project Management (Department) is hereby established within the Navajo Nation Division of Transportation (Navajo DOT) of the Executive Branch of the Navajo Nation government (Nation).

**II. PURPOSE**

The purpose of the Department is to provide support to the Navajo DOT in the areas of transportation pre-design, design, survey, cultural resource management, environmental and construction of public roads that are within and provide access to the Nation.

**III. OBJECTIVE**

A. The objectives of the Department shall be to:

1. Provide services for eligible public road projects approved by the Resources and Development Committee of the Navajo Nation Council for the Navajo Nation Road Fund Management Plan.
2. Provide professional services for the development, planning, maintenance or construction of public roads within the Nation.
3. Provide surveying and mapping activities on all public roads within the Nation boundaries in compliance with the latest Navajo DOT Spatial Data Collection Standards.
4. Provide Cultural Resource Management and Environmental clearance activities on all public roads within the Nation boundaries in compliance with all applicable laws and regulations.
5. Review, coordinate and approve the design and specifications for Nation road funds projects to ensure compliance with all applicable State, Federal and Nation regulations, codes and standards.

- 32 6. Ensure that public road projects are constructed with timely,  
33 efficient and cost effective methods by administering and  
34 monitoring construction activities.  
35 7. Enhance coordination with private, State, County and Federal  
36 agencies in the provision of services to public roads within the  
37 Nation.  
38

39 **IV. PERSONNEL AND ORGANIZATION**

40 **A. PERSONNEL**

- 41 1. The Department Manager shall be hired and supervised by the  
42 Division Director and Deputy Director of Navajo DOT, in  
43 accordance with the Navajo Nation Personnel Policies Manual.  
44 2. The Department Manager shall be authorized to recommend  
45 professional, technical and administrative positions as needed to  
46 carry out the organization purposes and objectives stated herein.  
47 Additional positions shall be established in accordance with the  
48 Navajo Nation Personnel Policies Manual pursuant to rules  
49 established for conducting the annual Navajo Nation budget  
50 process.  
51 3. All personnel shall be employed and compensated in accordance  
52 with applicable Navajo Nation Personnel Policies Manual.  
53

54 **B. ORGANIZATION**

- 55 1. The Department shall consist of such sections and functions as  
56 maybe necessary to accomplish the purpose cited as follows:  
57 a. Engineering  
58 b. Surveying  
59 c. Cultural Resource Management  
60 d. Environmental  
61 e. Construction Management  
62 f. Special Projects

- 63 2. The organizational chart of the Department is attached as Exhibit  
64 "A" and incorporated herein.

65

66 V. **RESPONSIBILITY AND AUTHORITY**

67 A. The Department Manager shall have the following responsibilities and  
68 authorities:

- 69 1. Report and be accountable to the Division Director and Deputy  
70 Director for the purpose and objectives of the Department.  
71 2. Formulate administrative operating policies and procedures  
72 necessary for effective management of the Department and  
73 accomplish the program purposes and objectives.  
74 3. Recruit and hire competent individuals in accordance with Navajo  
75 Nation Personnel Policies Manual.  
76 4. Coordinate activities with the other departments of Navajo DOT.  
77 5. Represent the Department in Executive level planning.  
78 6. Prepare and plan the annual budget for the Department and  
79 conduct periodic review and participate in program and budget  
80 reviews.  
81 7. Administer the policies and procedures of the Nation necessary for  
82 effective management of the Department and to fulfill the program  
83 purpose and objectives.  
84 8. Administer the Road Fund Management Plan.  
85 9. Conduct annual review of the Department Plan of Operation and  
86 Road Fund Management Plan.

87 B. The Department shall have the following responsibilities:

- 88 1. Provide project management services regarding Fuel Excise Tax  
89 (FET) projects.  
90 2. Serve as the construction management authority for all public  
91 roads within the Nation that are not addressed by the Federal  
92 government, Indian Reservation Roads Program or State and  
93 County highway maintenance programs.



3. Assist in the application for and administer public road design, maintenance and construction programs through Federal, State or other funding sources.
4. Establish and administer a revolving account system utilizing funds received for chapter and departmental services on public road projects not funded by the FET program.
5. Provide engineering, surveying, cultural resource management, environmental and construction management services for public roads within an established invoice system.
6. Implement an automated network of Nation public road project information, monitoring and management that will ensure effective project development.
7. Protect and manage all assets, funds and properties, including but not limited to, cultural resources and historical properties.
8. Develop a standard Navajo Nation Public Roads project manual for accountability and project coordination.
9. Implement, administer and monitor construction management and alternative delivery process to ensure Navajo Nation public road projects completion on a timely, efficient and cost effective manner.
10. Carry out other responsibilities as assigned by the Division Director.

**V. LEGISLATIVE OVERSIGHT**

The Resources and Development Committee of the Navajo Nation Council shall serve as the Legislative oversight of the Navajo Division of Transportation and the Department of Project Management pursuant to 2 N.N.C. §421 and §423.

**VI. AMENDMENTS**

The Department Plan of Operation may be amended as deemed necessary by the Resources and Development Committee of the Navajo Nation Council.



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EXHIBIT A

NAVAJO DIVISION OF TRANSPORTATION

DIVISION DIRECTOR

Deputy Director

Department of Project  
Management  
Department Manager

Administrative Support

Project Development

Archaeology

Environmental

Survey

**DEPARTMENT OF TRANSPORTATION PLANNING**  
**PLAN OF OPERATION**

**I. ESTABLISHMENT**

The Department of Transportation Planning (Department) is hereby established within the Navajo Nation Division of Transportation (Navajo DOT) of the Executive Branch of the Navajo Nation government (Nation).

**II. PURPOSE**

The purpose of the Department is to provide technical services to the Navajo Nation DOT in the areas of Planning, GIS/Accident, and Indian Reservation Roads Inventory in accordance with the Public Law 93-638 contract with the Bureau of Indian Affairs Navajo Regional Office.

**III. OBJECTIVE**

- A. To be the point of reference for all transportation planning activities.
- B. To provide technical assistance in the area of planning, road inventory, accident data, asset management and GIS.

**IV. PERSONNEL AND ORGANIZATION**

A. PERSONNEL – All personnel shall be employed and compensated in accordance with applicable Navajo Nation Personnel Policies Manual. The Department shall comprise of the following staff:

- 1. Administrative Staff
- 2. Planning Staff
- 3. Inventory/GIS Staff
- 4. GIS/Accident Staff

B. ORGANIZATION

- 1. The Department Manager shall report to the Division Director and the Deputy Director of the Executive Department.

- 31 2. The organizational chart of the Department within the Navajo  
32 DOT is attached and incorporated herein as Exhibit "A".

33  
34 V. **RESPONSIBILITY AND AUTHORITY**

35 The Department Manager shall have the following responsibilities and  
36 authorities:

- 37 1. Report and be accountable to the Division Director and Deputy  
38 Director for the purpose and objectives of the Department.  
39 2. Execute directives of the Division Director.  
40 3. Represent the Department at division and executive levels.  
41 4. Ensure the deliverables of the Department's Public Law 93-638  
42 contract are submitted in accordance with contractual deadlines to  
43 the Bureau of Indian Affairs Navajo Regional Office.  
44 5. Promulgate Navajo DOT policies and guidelines to ensure the  
45 proper and timely implementation of Department projects.  
46 6. Exercise supervisory control and guidance over Department staff  
47 and delegate authority to other staff members.  
48 7. Administer the policies and procedures of the Nation necessary for  
49 effective management of the Department and to fulfill the purpose  
50 and objectives.  
51 8. Prepare the Nation's Public Law 93-638 contract operating budget  
52 in accordance with the Budget Instructions and Policies Manual  
53 prepared by the Office of Management and Budget.  
54 9. Provide monthly, quarterly and annual written reports to the  
55 Division Director and Resources and Development Committee of  
56 the Navajo Nation Council.  
57 10. Coordinate activities with other departments of the Navajo DOT.  
58 11. Conduct annual reviews of the Department Plan of Operation.  
59  
60  
61

62 V. LEGISLATIVE OVERSIGHT

63 The Resources and Development Committee of the Navajo Nation Council shall  
64 serve as the Legislative oversight of the Navajo Division of Transportation and  
65 the Department of Transportation Planning pursuant to 2 N.N.C. §421 and 423.

66  
67 VI. AMENDMENTS

68 The Department Plan of Operation may be amended as deemed necessary by the  
69 Resources and Development Committee of the Navajo Nation Council.

70

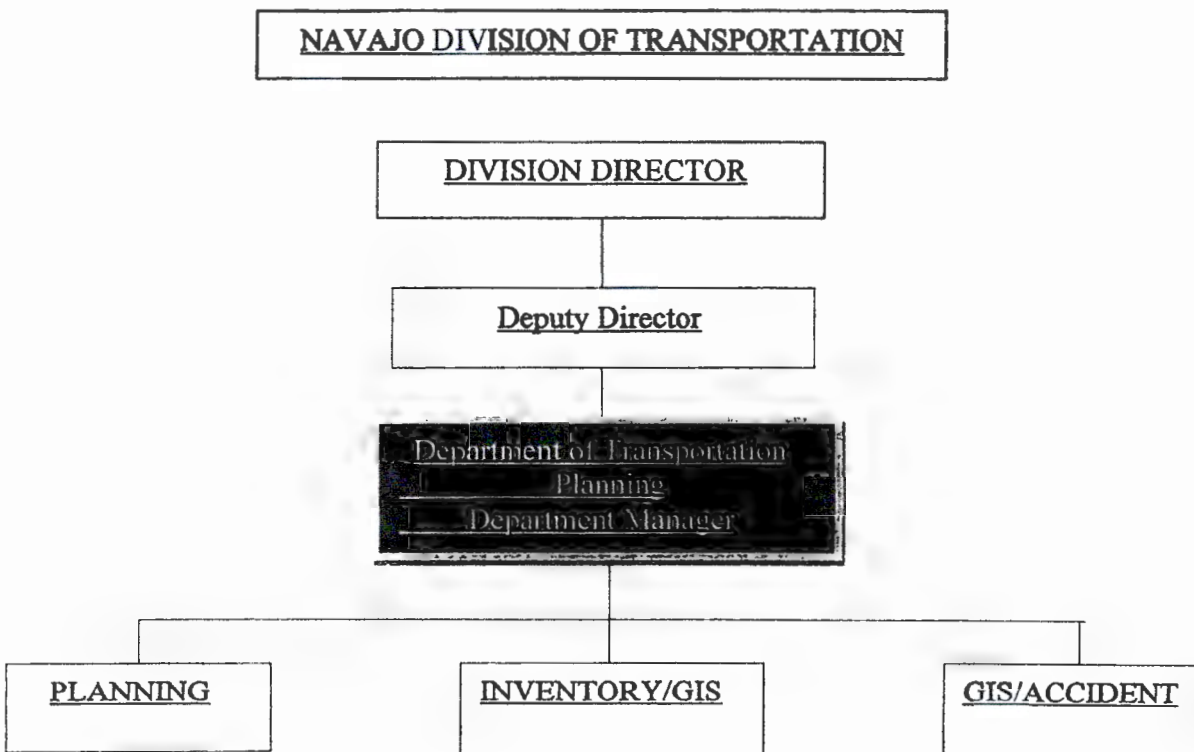
71

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EXHIBIT A



1 DEPARTMENT OF ROADS

2 PLAN OF OPERATION

3  
4 I. ESTABLISHMENT

5 The Department of Roads (Department) is hereby established within the  
6 Navajo Nation Division of Transportation (Navajo DOT) of the Executive  
7 Branch of the Navajo Nation government (Nation).

8  
9 II. PURPOSE

10 The purpose of the Department is to improve, repair, and maintain the Navajo  
11 Nation transportation network of roadways and to provide necessary services  
12 for the safe usage and protection of the roadway investments as designated by  
13 the Navajo Nation approved road inventory.

14  
15 III. OBJECTIVE

16 A. Provide and execute an updated Maintenance Plan on an annual basis.

- 17 1. Develop, update and utilize a Maintenance Plan.  
18 2. Utilize an asset management program to develop and maintain  
19 annual plans with budgets for the Department.  
20 3. Conduct an annual assessment of roadways.  
21 4. Receive recommendations with planners and communities to  
22 establish and prioritize road maintenance activities.

23 B. Maintain roadways in accordance with annual Maintenance Plan.

- 24 1. To assist in road fund projects as needed.

25  
26 IV. PERSONNEL AND ORGANIZATION

27 A. PERSONNEL – All personnel shall be compensated in accordance with  
28 applicable Navajo Nation Personnel Policies Manual. The Department shall  
29 comprise of the following:

- 30 1. Management – Department Manager  
31 2. Administrative Support

32 3. Field Operations

33 4. Equipment

34 B. ORGANIZATION

35 1. The Department Manager shall report to the Division Director and  
36 the Deputy Director of the Executive section.

37 2. The organizational chart of the Department within Navajo DOT is  
38 attached as Exhibit "A".

40 V. RESPONSIBILITY AND AUTHORITY

41 A. The Department Manager shall have the following responsibilities and  
42 authorities:

43 1. Plan and execute the Navajo DOT Department maintenance and  
44 management program.

45 2. Adequately staff and manage the sections with personnel pursuant  
46 to the provisions and guidelines of the Navajo DOT and the  
47 Navajo Nation Personnel Policies Manual.

48 3. Formulate the overall administrative and operating policies and  
49 procedures pertaining to the Department and take such action as  
50 deemed necessary for accomplishment of objectives.

51 4. Establish, implement and administer the fund management plan.

52 5. Seek additional sources of funding and technical assistance to  
53 enhance the Department.

54 6. Recommend and coordinate with the other departments of Navajo  
55 DOT to carry out necessary duties.

56 7. Exercise supervisory control and guidance over Department staff  
57 and delegate authority to other staff members.

58 8. Represent the Department in Executive level planning.

59 9. Conduct special projects and programs as may be assigned.

60 10. Prepare and plan the annual budget for the Department, conduct  
61 periodic review thereof, and participate in overall program and  
62 budget reviews.



63 11. Coordinate and execute services on the Navajo Nation within the  
64 areas of responsibility and authority dealing with regulatory  
65 agencies.

66 12. Review and update the Navajo DOT Department Plan of Operation  
67 on an annual basis.

68 B. Field Operations shall have the following responsibilities:

69 1. Provide road maintenance services on the Nation inventoried  
70 public routes and School Bus Routes under contract or funded by  
71 the Nation.

72 2. Assist in maintenance of County and BIA routes under  
73 Memorandum of Agreements.

74 3. Construct Fuel Excise Tax projects and other improvements as  
75 directed by Division Director.

76 4. Establish and maintain Department to enhance the roads programs  
77 for improved service, safety and staff development.

78 5. Provide submittals for reporting and documentation of Department  
79 activities as required from program and funding sources.

80 6. Care and preventive maintenance on heavy equipment and other  
81 assets to ensure program delivery.

82 7. Provide recommendations and guidance to management as needed  
83 to ensure efficient and effective delivery of services.

84 C. Equipment

85 1. Establish and execute an equipment maintenance program on  
86 heavy equipment and other assets to ensure program delivery.

87 2. Perform diagnostic services; procure parts and supplies to ensure  
88 repairs as needed.

89 3. Maintain current heavy equipment mechanic certification, tools  
90 and licenses.

91 4. Make recommendations to management to ensure optimal  
92 performance of equipment and labor needs.

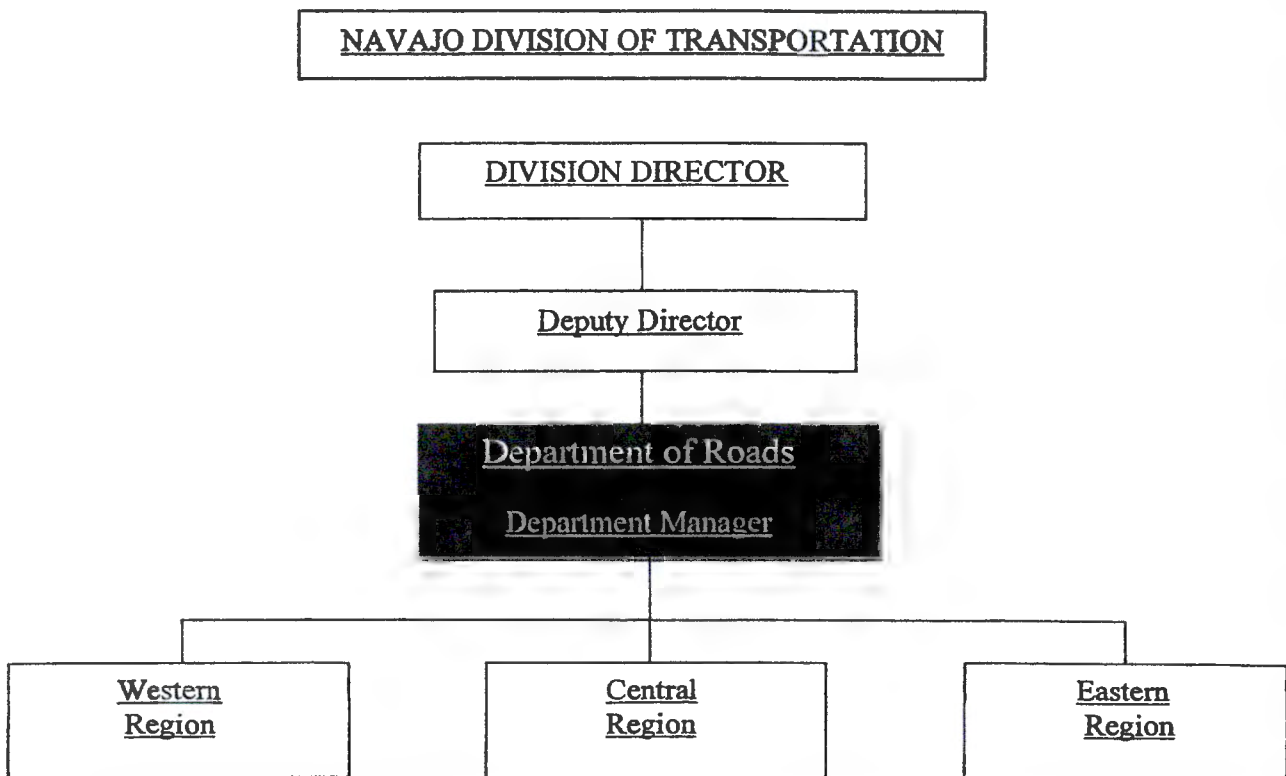
V. LEGISLATIVE OVERSIGHT

The Resources and Development Committee of the Navajo Nation Council shall serve as the Legislative oversight of the Navajo Division of Transportation and the Department of Roads pursuant to 2 N.N.C. §421 and 423, et seq.

VI. AMENDMENTS

The Department Plan of Operation may be amended as deemed necessary by the Resources and Development Committee of the Navajo Nation Council.

EXHIBIT A



**2**

Fiscal Year 2019 Executive Branch Budget Review/ Hearing  
Additional Funds/ Unmet Needs Request Summary

Division/ Office: Navajo Division of Transportation

Ranking	Description of Executive Branch Priority Areas – Four Pillars and Branch Priorities	Business Unit/ Program/ Project	Funding Amount Requested	Brief Justification
1.	4P: Veterans, Youth & Elder, Infrastructure Development, Jobs Creation. 3BP; Infrastructure, Economy, Public Facility, Human Services & Public Safety	121001	\$420,000	Two (2) New Gravel Pit Development Sites.
2.	3BP; Infrastructure, Economy, Public Facility, Human Services & Public Safety	121001	\$1,630,000	20-miles of Dirt Road Improvement (sub-grade prep, 3" gravel, road stabilization)
3.	4P: Veterans, Youth & Elder, Infrastructure Development, Jobs Creation. 3BP; Infrastructure, Economy, Public Facility, Human Services & Public Safety	121003	\$1,250,000	Airport Safety Elements: \$475k to relocate WR A/P fuel farm to safer location. Currently creates hazard to emerg. med. flight movement. \$525k to install perimeter fence at TC A/P to keep it secure from wildlife and illegal activity. \$250k to install security camera system to monitor 3 A/Ps via microwave system to monitor illegal drug drops, drag racing, and other illegal activity.
4.	4P: Veterans, Youth & Elder, Infrastructure Development, Jobs Creation. 3BP; Infrastructure, Economy, Public Facility, Human Services & Public Safety	121004	\$600,000	7 additional positions: one (1) Senior Heavy Equipment Operators, two (2) Heavy Equipment Operators and four (4) Laborers for Department of Roads: With 5 Agencies to cover with road maintenance activities, adequate manpower needs to be in place to address the actual needs in the field and to respond to emergencies and other requests by the traveling public.
5.	3BP; Infrastructure, Economy, Public Facility, Human Services & Public Safety	121004	\$250,000	10-acres on Navajo/Hopi Land Commission parcel (Tse' Bonito) needed for equipment and materials: Department of Roads asset inventory and materials exceed the space available at the existing Tse' Bonito facility.

				Additional space will house the many pieces of equipment and supplies associated with road maintenance activities such as snow plows, salt/cinders stockpiles, barricades
6.	3BP; Infrastructure, Economy, Public Facility, Human Services & Public Safety	121004	\$1,000,000	Replace aging fleet: Equipment and vehicles are beginning to feel the impact of day to day, traveling over primitive roadways while providing the needed service. Motor Graders and other heavy equipment are nearing maximum use and requiring replacement.
7.		<b>TOTAL:</b>	<b>\$5,150,000</b>	
8.				
9.				

\*Legend: 4P = 4 Pillar; 3BP = 3-Branch Pillar

## Navajo DOT Budget Information for Fiscal Year 2019

Office of the President and Vice President Budget Review/Hearing

### FY 2018 Major Accomplishments

FY 2018 has proven to be an exceptional year for the Nation's transportation infrastructure. With the supplemental General Funds provided by OPVP, the Division made considerable progress on the development of gravel pits across the Nation. Namely, Navajo DOT is finalizing its efforts with the Lower Greasewood Gravel Pit; the Nation is in the last stage of finalization. Navajo DOT is currently awaiting Bureau of Indian Affairs (BIA) Navajo Region approval of this gravel pit Lease Application. Additional potential Gravel Pit development sites pursued within FY 2018 include:

- Carrizo Pit – west of Shiprock, NM, partnership effort with Apache County District 1 (ACD1). Navajo DOT and ACD1 are collectively pursuing a 5-10 year Gravel Pit Lease.
- S-Hill – south of Shiprock, NM, continuously working on the development of this site, pursuing a community supporting Chapter House Resolution and other preliminary engineering activities.
- San Juan Sand & Gravel – located in Hogback, NM; currently working with a private developer, Tallsalt Advisors, for the potential of purchasing their committed work into this development thus far.
- Indian Wells – Indian Wells, AZ, an existing successful pit in operation since 1990. The Nation has begun discussions for the eventual purchase of this existing 1,270 acres site.

Supplemental gravel pit development funds will promote Navajo DOT's efforts toward procurement that would otherwise come from funds slated for road maintenance/improvement projects.

Navajo DOT consistently progressed throughout the fiscal year towards the improvement of BIA and Nation inventoried dirt routes. Significant improvements were made in some of these inventoried routes within each of the Nation's agencies. Briefly, improvement efforts were made with the dirt road subgrade preparation, placement of gravel and soil stabilization products; all through the coordinated efforts with local Chapter Houses. Supplemental dirt road improvement funds will continue to enhance the Nation and BIA inventoried route's safety aspect and upgrading efforts.

Additionally, for FY2018 Navajo DOT transitioned the following activities from BIA – Navajo Region: 1) Planning; 2) Inventory (TIFI); 3) Asset Management; 4) Preliminary Engineering Activities (Arch/Environmental & Survey); 5) Construction and 6) Construction Management. Supplemental funds will support additional staffing, such as project managers; technical staff; and/or engineers toward the Nation's transportation system improvement.



Navajo DOT successfully conducted public outreach, through Navajo DOT's Transportation Planning Department, for all three (3) states. Approximately 450 participants attended these Transportation Symposiums that address Navajo DOT's processes; project updates and development; archeological/environmental information; road maintenance information; Long-Range Transportation Plan (LRTP) presentation; Tribal Transportation Improvement Plan (TTIP) presentation and the Fuel Excise Tax (FET) presentation. Supplemental funding in FY'2019 would support additionally public outreach efforts for our communities to grasp processes and approach to support their community transportation planning.

Navajo DOT implemented the Navajo Nation LRTP goals, strategies and procedures, after Navajo Nation Council Oversight approved the plan in November 2016. These goals and strategies were implemented to develop the 2018 annual TTIP and will serve as a basis for FY 2019's TTIP development, and other transportation-related project(s) development. These strategies and specifications will be implemented in the annual Fuel Excise Tax (FET) - funded projects. Thus, supplemental funds will promote outreach efforts and resources of this effort.

The 2018 TTIP and 2018 FET project listings total in the amount of approximately \$63.9 million dollars and both were approved by Navajo Nation Council Oversight in May 2018, further supporting Navajo Nation transportation connectivity. Supplemental funding to hire additional staffing to manage and administer these projects for the betterment of the Nation's transportation system.

#### **FY 2019 Priorities** *(How GF appropriation will impact priorities)*

The FY 2019 budget allocation will support one of the Division's priorities to operate the first Nation owned gravel pit in Lower Greasewood by subsidizing a procured contractor to operate a Navajo-lead gravel pit. Also, the continued development of two additional gravel pit sites in FY 2019. Secondly, the allocation will support the Division's priority to procure capital assets to address direct services throughout the Navajo Nation. This includes, and does not limit, processing and completing road improvements, such as community-based transportation planned school bus routes. Thirdly, the allocation will assist in outreach efforts addressing highway safety and airport system maintenance. Finally, Navajo DOT has plans to advance the development of airport site improvements, i.e., security measures, perimeter fencing, etc.

#### **FY2019 Unmet Needs** *(see excel sheet for format)*

**3**

THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

FY 2019

PART I. Business Unit No		121001		Program Title NN Division of Transportation		Division/Branch		NDOT / Executive	
Prepared By:		Melissa Peshlakai, OS		Phone No		505-371-8300		Email Address	
								mpeshlakai@navajodot.org	


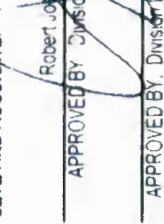
PART II. FUNDING SOURCE(S)			Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			
General Funds	Indirect Cost					Fund Type Code	NNC Original Budget	Proposed Budget	Difference (Column B - A)
			10/1/18-9/30/19	1,153,873.00	19%	1	409,079	420,517.00	11,438
			10/1/18-9/30/19	168,242.00	3%	1	49,030	39,933.00	(9,097)
FHWA - K161008			10/1/15-12/31/18	411,727.00	7%	1			0
FHWA - K171001			10/1/16-12/31/18	1,313,744.00	22%	1			0
FHWA - K1910XX			10/1/19-9/30/19	3,013,230.00	50%	1	38,220	44,220.00	6,000
						1	4,100	27,624.00	23,524
						1	16,800	197,628.00	180,828
						1	156,330	340,191.00	183,861
						1	74,287	80,105.00	5,818
						1	60,401	110,897.00	50,496
						1			0
						1	54,160	61,000.00	6,840
						1			0
						1			0
						TOTAL	\$862,407.00	1,322,115.00	459,708



PART IV. POSITIONS AND VEHICLES		PART V. POSITIONS AND VEHICLES	
		Total # of Positions Budgeted	Total # of Permanently Assigned Vehicles
		6	6
		2	2

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE

SUBMITTED BY: Program Manager's Printed Name  Garret Silversmith, Division Director	SUBMITTED BY: Division Director/Branch Chief's Signature and Date  Robert Job, Delegated Chief of Staff
--	--

THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:		Business Unit No.: 121001								Program Name/Title: NN Navajo Division of Transportation							
<b>PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:</b> As enacted by CAP 13-13 The Navajo Nation Division of Transportation shall exclusively administer the Navajo Nation Transportation programs within the Navajo Nation, to provide an effective and efficient transportation system, to ensure the operation and improvement to the transportation system and to provide the necessary resources to accomplish the objectives herein																	
<b>PART III. PROGRAM PERFORMANCE CRITERIA:</b>																	
1. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR									
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual								
Prepare and compile 164 Review Packets 4 per quarter																	
<b>Program Performance Measure:</b> Administer, monitor & maintain all contracts on behalf of Navajo DOT		4		4		4		4									
2. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR									
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual								
4 advocacy per quarter																	
<b>Program Performance Measure:</b> Advocating on behalf of Navajo DOT at all level of government and non-government (Funding, sources, local/external governmental agencies, review legislation)		4		4		4		4									
3. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR									
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual								
FHWA semi Annual, NN Qtrly Reports, FAA Status, NM JPA Capital Outlay, RDC Review 1 per qtr																	
<b>Program Performance Measure:</b> Submit required reports to all level of government and non-government		1		1		1		1									
4. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR									
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual								
will ensure that required reports are met for Agency Council mtgs, AZ DOT Partnerships Mtgs, FAA Coop Mtgs, Local Chapter, NN Programs, 4 per each qtr.																	
<b>Program Performance Measure:</b> Administer and monitor all NDOT programs to ensure they are in compliance with regulation funding & expenditure, project implementation, etc.		4		4		4		4									
5. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR									
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual								
Submit required monthly status report and weekly 164 status @ 12 per qtr.																	
<b>Program Performance Measure:</b> Manage and maintain Navajo DOT external grants, contracts & ensures external projects are implemented on time		12		12		12		12									
<b>PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.</b>																	
Program Manager's Printed Name: Garret Silversmith Division Director  Program Manager's Signature and Date: 6/29/2018										Division Director/Blanch Chief's Printed Name: Robert Joe, Delegated Chief of Staff  Division Director/Blanch Chief's Signature and Date:							



FY 2019

**The Navajo Nation**  
**Listing of Positions and Assignments by Business Unit**

*Sammy Smith 06/22/18*

DPM VERIFICATION      DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 ACTUAL		FY 2019 PROPOSED	
						G/S	SALARY	HOURS	BUDGET PERIOD
121001			DOT - EXECUTIVE						
1003	242451	1260	ADMINISTRATIVE ASSISTANT	115000	TSE A162A		34,881.60	2,080	10/01/2018 09/30/2019
1009	151264	2017	SR CONTRACT ANALYST	153186	TSE AB65A		44,054.40	2,080	10/01/2018 09/30/2019
1050	234413	1191	DEPUTY DIVISION DIR	249368	TSE A170C		72,592.00	1,040	10/01/2018 09/30/2019
1101	204755	0301	DIVISION DIR	323368	WIN		94,848.00	2,080	10/01/2018 09/30/2019
1105	242835	2040	SR PUBLIC INFORMATION OFCR	322608	TSE A164C		43,451.20	1,040	10/01/2018 09/30/2019
1132	241940	2016	PRIN CONTRACT ANALYST	10772	FDA A167B		54,392.00	2,080	10/01/2018 09/30/2019
<b>2110 SUBTOTAL:</b>								<b>286,198.00</b>	
<b>BUSINESS UNIT TOTAL:</b>								<b>286,198.00</b>	

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:		Business Unit No.: 121001	
Program Name/Title:		NN Division of Transportation	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
2001 - PERSONNEL EXPENSES			420,517
2110	Regular 2120 - Regular Full Time position	286,198.00	
2900	Fringe Benefit 2900 - Political Appointee @ \$94848.00 X 53.15% 2900 - Regular @ \$191350 x 43.85%	134,319.00	
3000 - TRAVEL EXPENSES	Monthly mileage and fleet rates. Meals, lodging & air fare directly related to program business and other miscellaneous travel expenses.		39,933
3110	Fleet 3111 - Monthly Perm @ (P B, XIII Mid Size Utility and P B, II 1/2 TON) 1/2 Ton Truck/ Mid Size SUV x 108/yr x 2 X12 3113 - Mileage (Group B, Class II Pickup) 3000 mi x 12 mos x .28	13,433	
3210	Vehicle Rental 3220 Vehicle Rental (Off Reservation)	1,000	
3230	Travel Expense 3240 Per Diem @ 3 staff x 7 trips X 5 days x 100/day 3250 Lodging 3 staff x 7 trips X 5 days x 100/day	21,000	
3310	Air 3320 Commercial Air @ 1500/hrp x 3 trips	4,500	
TOTAL		460,450	460,450



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	121001
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOO 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
4000 - SUPPLIES	Desk top supplies, IT supplies, small equipment supplies. Computer, printer, toner cartridges, paper, materials, brochure binding, first aid kit replenishment, publication subscription.		44,220
4120	Office Supplies		
4130	General Office Supplies 2000/qr x 4 qtrs 8000	8,000	
4200	Non-Capital Asset		
4210	4 Mobile Workstation Computers @ 4500.00 Computer upgrade 18,000	18,000	
4410	Operating Supplies		
4420	General Operating Supplies 6,000		
4440	Non-Cap Computer Software 1,500		
4450	Postage, Courier Shipping \$150/qr 600		
4450	Postage, Courier Shipping \$120 box rental 120		
4500	Medical Supplies (1st Aid) 1,500		
4530	Printing/Binding/Photocopying 3,000		
4540	Books/Periodicals/Subscription 1,500		
4610	Supplies		
4630	Tires & Tubes \$250 * 4 tires * 4 trucks 4,000	4,000	
5000 - LEASE AND RENTAL			27,524
	Storage space rental, rental of meeting rooms for worksessions, committee meetings and training. Equipment lease as needed.		
5130	Land		
5140	Land Lease NDOT Complex Lease \$25000/annual 25,000	25,000	
5310	Building / Space Rental		
5320	Meeting spaces @ 500 x 4 qtrs 2,000	2,000	
5360	Equipment / Supplies		
5370	Equipment Rental - NDOT water dispensers @ \$52/mo x 12 624	624	
TOTAL		71,844	71,844

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

PART I. PROGRAM INFORMATION:			
Program Name/Title:		NN Division of Transportation	Business Unit No.: 121001
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
5500	5500 - COMMUNICATION AND UTILITIES Basic telecommunication services, hardware installation. Services for DSL and Internet connectivity. Wireless communication services.		197,828
5570	Internet DSL: \$334/mo x 12 months 4,008 Internet Services \$15500/mo x 12 months 186,000 (Services for Main NDOT Complex, Dillon, Farmington, Crownpoint)	190,008	
5610	Wireless Cellular @ 360/mo x 12 months 4,320 Satellite services @ 250/mo x 12 3,000	7,320	
5750	Services Water NN Water code charges 0.135 per 1,000 gals 300 6000 - REPAIRS AND MAINTENANCE Annual trash disposal fees, fees for the alarm/system, custodial services and IT R&M services.	300	340,191
6040	Services Bldg R&M Services- NDOT Maintenance Contract 166,500 41625 x 4 qtrs	166,500	
6130	Services Furniture/Equipment Repair & Maintenance 5,000 2500 x 2 repairs	5,000	
6200	External Contractors Electrical 3000 x 4 qtrs 12,000 HVAC \$20000/yr x 4 80,000 Waste Disposal @ \$350/mo x 2 loads x 4 qtrs 2,800 General Contractor 50,891 (Alarm system/ Sprinkler System/Door system and light, locksmith/electrician, elevators etc.)	145,891	
6300	Technology Computer Hardware R&M 20,000 (Replacement of IT Hardware @ 5000 x 4 qtrs)	20,000	
6410	Vehicle R&M - External Automobile 3,000	3,000	
TOTAL		537,819	537,819

**THE NAVAJO NATION**  
**DETAILED BUDGET AND JUSTIFICATION**

PART I. PROGRAM INFORMATION:			
Program Name/Title:	NN Division of Transportation	Business Unit No.:	121001
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD #)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
6500 - CONTRACTUAL SERVICES	Professional services for various program initiatives, contractual services for specialized projects.		80,105
6520 Consulting	Fees / As needed for consulting services	78,105.00	
6910 Other Consulting Services	Traditional Services - Division Wide @ 2000/annual	2,000.00	
7100 - SPECIAL TRANSACTION	Materials & supplies needed for Navajo DOT sponsored meetings & events. Printing of legal advertisements bids, other media related to Navajo DOT, all required Navajo Nation insurance premiums.		110,887
7110 Programs	Public relations / program outreach.	20,500	
7310 Contingencies & Losses	Judgements & Settlements	50,000.00	
7410 Media	Print Advertising - Public Hearing, Legal Adv, Magazine, Bill Bid	17,962	
7510 Training and Professional Dues	Training/Registration @ 5 staff x 4 trngs @ 500 ea	10,000.00	
7710 Insurance Premiums	Property Contents @ 10,000,000/1000 x .79	7,900.00	
	Vehicle - Auto Liability \$105.14 x 2	210.00	
	Vehicle - Auto Physical Damage \$117.63 x 2	235.00	
	Policy Payment @ \$462108/100x.34	1,571.00	
	Workers Comp @ \$286198 x .88/100	2,519.00	
	Deductible		
<b>TOTAL</b>		<b>191,002</b>	<b>191,002</b>

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: _____ Business Unit No.: 121001	
<b>PART II. DETAILED BUDGET:</b>	
(A)	(B)
Object Code (LOD 6)	Object Code Description and Justification
9140	9000 Capital Outlay INDOT main complex Camera Server and Camera System Equipment 9144 Analytical Equipment 61000
	Total by DETAILED Object Code: 61,000 Total by MAJOR Object Code: 61,000
TOTAL 61,000	





PART I. PROGRAM INFORMATION:

Program Name/Title:

FHWA - NDOT Executive

Funding Period:

10/1/18-9/30/19

Contract/Grant No.:

DTFH69-19-H-00028

K #:

K1910XX

Prepared by:

Melissa Peshlakal, OS

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

To plan, develop, operate and sustain a variety of transportation services and programs that address the needs of the NN Chapters communities. Navajo sovereignty is a key component that provides the basis for the Division's government to government relationship with federal, state and county governments. These partnerships are develop to administer funding, partnerships and contractual agreements.

PART III. BUDGET INFORMATION:

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year 18	Anticipated Funding Fiscal Year 19	Difference Columns (C) - (B)
2001	Personnel Expenses		833,886.00	833,886.00
	Travel Expenses		54,016.00	54,016.00
3500	Meeting Expenses			
4000	Supplies		31,500.00	31,500.00
5000	Lease and Rental		25,000.00	25,000.00
5500	Communication and Utilities		28,500.00	28,500.00
6000	Repairs and Maintenance		229,714.00	229,714.00
6500	Contractual Services		1,129,685.00	1,129,685.00
7000	Special Transaction		13,057.00	13,057.00
8000	Assistance			
9000	Capital Outlay		426,781.00	426,781.00
9510	Matching - Cash		241,091.00	241,091.00
9610	Matching - In - Kind			
9710	Indirect Cost (Overhead) Allocation			
TOTALS:			3,013,230.00	3,013,230.00

PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:

Required GF In-Kind Match:

Required GF % Match:

CONCURRED BY:

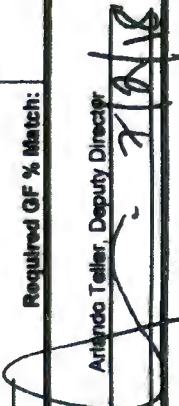
Contracting Officer's Signature / Date:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Arlando Teller, Deputy Director


Signature/Date:

 7/2/2019

Approved by (print):

Garnet Silversmith, Division Director

Signature/Date:

 7/2/2019



PART I. PROGRAM INFORMATION:

Funding Period:

10/1/2018-12/31/2018

Program Name/Title:

FHWA - NDOT Executive

K #:

K171001

Contract/Grant No.:

DTFH09-17-H-00028

Prepared by:

Melissa Peshlak, OS

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

To plan, develop, operate and sustain a variety of transportation services and programs that address the needs of the NN Chapters communities. Navajo sovereignty is a key component that provides the basis for the Division's government to government relationship with federal, state and county governments. These partnerships are develop to administer funding, partnerships and contractual agreements.

PART III. BUDGET INFORMATION:

(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	433,707	400,179	(33,527.32)
3000 Travel Expenses	40,871	13,145	(27,725.64)
3500 Meeting Expenses			
4000 Supplies	8,066	23,434	15,367.98
5000 Lease and Rental		25,000	25,000.00
5500 Communication and Utilities	4,277	24,223	19,945.28
6000 Repairs and Maintenance	5,255	224,459	219,203.50
6500 Contractual Services	1,129,685		(1,129,685.00)
7000 Special Transaction	5,663	7,394	1,730.28
8000 Assistance			
9000 Capital Outlay		426,781	426,781.00
9510 Matching - Cash	71,962	169,129	97,167.24
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
TOTALS:	1,699,496	1,313,744	(385,742.66)

PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:

Required GF In-Kind Match:

Required GF % Match:

CONCURRED BY:

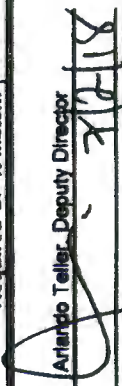
Contracting Officer's Signature / Date:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Arlando Teller, Deputy Director


Signature/Date:

 7/2/18

Approved by (print):

Garret Silversmith, Division Director

Signature/Date:

 7/2/2018

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 2019

PART I. PROGRAM INFORMATION:		Funding Period: 10/1/15-12/31/18	
Program Name/Title: FHWA - NDOT Executive		K #: K161008	
Contract/Grant No.: DTFH89-16-H-00028		Prepared by: Melissa Peshlakel, OS	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
To plan, develop, operate and sustain a variety of transportation services and programs that address the needs of the NN Chapters communities. Navajo sovereignty is a key component that provides the basis for the Division's government to government relationship with federal, state and county governments. These partnerships are develop to administer funding, partnerships and contractual agreements.			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	660,329	34,437	(625,891.83)
3000 Travel Expenses	55,140	1	(55,139.58)
3500 Meeting Expenses			
4000 Supplies	76,328	50,407	(25,921.16)
5000 Lease and Rental	27,000	2,000	(25,000.00)
5500 Communication and Utilities	33,447	24,619	(8,828.26)
6000 Repairs and Maintenance	267,962	57,200	(210,761.68)
6500 Contractual Services	1,499,999	1	(1,499,998.04)
7000 Special Transaction	35,557	8,726	(26,830.40)
8000 Assistance			
9000 Capital Outlay	20,181	10,954	(9,226.55)
9510 Matching - Cash	263,566	223,382	(40,183.32)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
TOTALS:	2,939,507	411,727	(2,527,780.82)
PART IV.			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
CONCURRED BY:			
Contracting Officer's Signature / Date:			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print):		Approved by (print):	
Signature/Date:		Signature/Date:	

Garret Silversmith, Division Director

7/2/2018

Arlando Teller, Deputy Director

7/2/18

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THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: 121002		Program Title: Department of Highway Safety		Division/Branch: NDOT/Executive	
Prepared By: Norma Bowman		Phone No.: 505.371.8391		Email Address: nbowman@navajodot.org	

PART II. FUNDING SOURCE(S)		Fiscal Year	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
Navajo Nation General Funds		10/1/18-9/30/19	340,925.00	31%	2001	Personnel Expenses	1	165,879	176,959	11,080	
FHWA - K141005		10/1/13-12/31/18	293,219.00	27%	3000	Travel Expenses	1	21,761	13,509	(8,252)	
FHWA - K151008		10/1/14-12/31/18	52,154.00	5%	3500	Meeting Expenses				0	
FHWA - K171006		10/1/16-12/31/18	134,018.00	12%	4000	Supplies	1	9,452	22,159	12,707	
FHWA - K1910X		10/1/18-9/30/19	282,449.00	26%	5000	Lease and Rental	1		7,200	7,200	
					5500	Communications and Utilities				0	
					6000	Repairs and Maintenance				0	
					6500	Contractual Services				0	
					7000	Special Transactions	1	6,874	121,098	114,224	
					8000	Public Assistance				0	
					9000	Capital Outlay				0	
					9500	Matching Funds				0	
					9500	Indirect Cost				0	
					TOTAL			\$203,966.00	340,925.00	136,959	

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		4	4
Total # of Permanently Assigned Vehicles:		1	1

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Norma K. Bowman, Program Manager SUBMITTED BY: Program Manager's Printed Name <i>N Bowman</i> 6/28/18 SUBMITTED BY: Program Manager's Signature and Date	Garret Silversmith, Division Director APPROVED BY: Division Director/Branch Chief's Printed Name <i>Garret Silversmith</i> APPROVED BY: Division Director/Branch Chief's Signature and Date
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FY 2019

**THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA**

Page      of       
**BUDGET FORM 2**

**PART I. PROGRAM INFORMATION:**

Business Unit No.: 121002 Program Name/Title: Department of Highway Safety

**PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:**

As enacted by CAP-13-13, the Navajo Division of Transportation shall exclusively administer the Navajo Nation Transportation Program within the Navajo Nation to provide an effective and efficient transportation system, to ensure the operation and improvement of the transportation system and to provide the necessary resources to accomplish the objectives herein

**PART III. PROGRAM PERFORMANCE CRITERIA:**

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

**1. Goal Statement:**

Provide Traffic Education to Elem., Middle & High Schools (Occ. Prot., Impaired Driving, Dist. Driving)

**Program Performance Measure:**

Provide education for 200 Students per Quarter @ Schools throughout the Navajo Nation

**2. Goal Statement:**

Provide Traffic Education throughout the Navajo Nation (i.e., Occ. Prot., Impaired & Distracted Driving)

**Program Performance Measure:**

75 Chapter Houses & Senior Citizen Centers will be contacted for presentations to community members.

**3. Goal Statement:**

Provide Child Passenger Safety Initiatives throughout the Communities of the Navajo Nation

**Program Performance Measure:**

Conduct 30 Car Seat Clinics and Safety Checkpoints w/Law Enforcement throughout the Navajo Nation

**4. Goal Statement:**

Prepare, present and report Department's progress through Reports on behalf of Highway Safety.

**Program Performance Measure:**

Submit 4 Performance/Progress Reports per Quarter to OP/VP/Council/Chapters/Agencies

**5. Goal Statement:**

Maintain, community and partner with community stakeholders on Highway Safety Initiatives.

**Program Performance Measure:**

Attend 4 Community Meetings (Chapters, Coalitions, etc) on a quarterly basis

4			4		4		4	
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**PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED:**

Norma Bowman, Program Manager  
Program Manager's Printed Name

Garret Silversmith, Division Director  
Division Director/Branch Chief's Printed Name

*[Signature]* 6/27/18  
Program Manager's Signature and Date

*[Signature]*  
Division Director/Branch Chief's Signature and Date

FY 2019

**The Navajo Nation**  
**Listing of Positions and Assignments by Business Unit**

*Summary Sheet 06/22/18*

DPM VERIFICATION      DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 G/S	FY 2018 ACTUAL SALARY	FY 2019 HOURS	PROPOSED BUDGET PERIOD	BUDGET
121002			DEPARTMENT OF HIGHWAY SAFETY							
1001	211445	1235	PROGRAM MGR I	15599	WIN	AI67A	52,852.80	2,080	10/01/2018 09/30/2019	52,853.00
1002	151894	4027	SAFETY TECH	267849	WIN	AI59A	26,936.00	2,080	10/01/2018 09/30/2019	26,936.00
1003	212707	1367	OFFICE AIDE	233734	WIN	AI54A	17,534.40	2,080	10/01/2018 09/30/2019	17,534.00
1006	211045	2059	GRAPHIC DESIGNER	347917	TSE	AI57A	22,692.80	2,080	10/01/2018 09/30/2019	22,693.00
1200	240388	0500	TEMPORARY EMPLOYEE	VACANT	WIN			1,040	10/01/2018 09/30/2019	10,000.00
<b>SUBTOTAL:</b>										<b>130,016.00</b>
<b>BUSINESS UNIT TOTAL:</b>										<b>130,016.00</b>



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
Department of Highway Safety		121002	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
<b>2001 - PERSONNEL EXPENSES</b>			
Employee salary and fringe benefits. Salary adjustments not paid by the Personnel Lapse Fund. Merit payment for eligible personnel.			
2110	Regular	120,016.00	176,999.00
2120	Four (4) Regular Full-Time Positions		
2200	Salary Adjustment	3,000.00	
2900	Fringe Benefits		
	Regular @ 43.85% x \$123,016.00	53,943	
<b>3000 - TRAVEL EXPENSES</b>			
Monthly mileage and fleet rental. Meals, lodging and air fare directly related to program business and other miscellaneous travel expenses.			
3110	Fleet	13,509.00	13,509.00
3111	Monthly / Perm		
3112	Mileage		
	1 VehX\$432/mox12 mos	5,184	
	1 VehX3000 mil/mox21X12 mos	7,560	
		12,744	
	6% Tax		
	(Group A, Class XIII Sedan)	311	
	(Group A, Class XIII Sedan)	454	
		765	
	TOTAL		
		5,495	
		8,014	
		13,509	
<b>TOTAL</b>		<b>190,468</b>	<b>190,468</b>

**THE NAVAJO NATION**  
**DETAILED BUDGET AND JUSTIFICATION**

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: _____ Department of Highway Safety _____ Business Unit No.: 121002			
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
4000	<b>SUPPLIES</b> Desktop supplies, folders, envelopes, pens, pencils, power point projectors, laptop and desktop computers. Computer/copier toner cartridges, Printing of Manuals, brochures, binding, photocopying and software subscription.		22,159.00
4120	Office Supplies 4130 - General Office Supplies	4,089.00	
4200	Non Capital Assets 4230 - Non Cap Computer Equipment	5,000.00	
4410	Operating Supplies 4420 - General Operating Supplies 4440 - Non Cap Asset Computer Software 4450 - Postage, Courier, Shipping 4460 - Postale, Annual Box Rental	13,070.00	
5310	Building/Space 5350 - Rental of Billboard Space on Hwy. 264 for 2 billboards @ 3600/annually	7,200.00	
	<b>5000 - LEASE AND RENTAL</b> Rental of Billboard being utilized for traffic safety messaging.		7,200.00
<b>TOTAL</b>		29,359	29,359

**THE NAVAJO NATION**  
**DETAILED BUDGET AND JUSTIFICATION**

<b>PART I. PROGRAM INFORMATION:</b>		Department of Highway Safety	Business Unit No.: 121002
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	<b>7000 - SPECIAL TRANSACTIONS</b>		121,098.00
	Promote and Advertise Program's Traffic Safety Initiative. Catering and refreshments for Department's special events. Media advertising and employee's training fees. Insurance Premiums.		
7110	Programs 7135 - Public Relation/Prgrm. Outreach	65,000	65,000.00
	Items are printed with traffic safety messaging for various campaigns such as: No Texting & Driving, Impaired Driving, Seatbelt Usage, Child Restraint Usage, etc		
7410	Media 7440 - Print Advertising 7470 - Display Advertising	25,000 14,000	39,000.00
	Items are given at outreach activities throughout the communities of the Navajo Nation		
7510	Training and Professional Dues 7520 - Training and Registration Fees 7530 - Training Supplies 7550 - Mandatory Professional Dues	4000 10000 650	14,650.00
7710	Insurance Premiums 7720 - Property - Contents \$50,000/1,000 x .79 7740 - Vehicle - Auto Liability @ 105.14 * 1 7750 - Vehicle - Auto Physical Damage (under 1 ton) 7765 - Policy Payment (General Liability) \$178959.00/100x.34 7767 - Workers Comp (less fringe) 123016/100x.88= 7766 - Deductible: Vehicle Under 1 ton	40.00 105.00 118.00 602.00 1,083.00 500.00 2,448.00	2,448.00
<b>TOTAL</b>		121,098	121,098

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: Highway Safety Dept. FY18 Contract/Grant No.: DTFH69-19-H-00028		Funding Period: 10/1/18-9/30/19 K #: K1910XX Prepared by: Norma Bowman	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> Provide Defensive Driving course classes for the NN employees and provide education to the general public on proper seat belt usage and child restraint usage, education to the schools, Car Seat Clinics and providing data on traffic related incidents.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses		173,760	173,759.80
3000 Travel Expenses		35,857	35,857.00
3500 Meeting Expenses			
4000 Supplies		12,220	12,220.00
5000 Lease and Rental		3,573	3,573.00
5500 Communication and Utilities		875	875.00
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction		14,753	14,753.20
8000 Assistance			
9000 Capital Outlay			
9510 Matching - Cash		41,411	41,411.00
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>		<b>282,449</b>	<b>282,449.00</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): Norma Bowman, Program Manager		Approved by (print): Garret Silversmith, Division Director	
Signature/Date: <i>Norma</i> 9/28/18		Signature/Date: <i>Garret</i> 9/28/18	



<b>PART I. PROGRAM INFORMATION:</b>			
Funding Period: 10/1/18-9/30/19			
Program Name/Title: Highway Safety Dept.	K #: K171006		
Contract/Grant No.: DTFH69-17-H-00028	Prepared by: Norma Bowman		
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b>			
Provide Defensive Driving course classes for the NN employees and provide education to the general public on proper seat belt usage and child restraint usage, education to the schools, Car Seat Clinics and providing data on traffic related incidents.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	100,893	72,867	(28,025.40)
3000 Travel Expenses	25,632	10,225	(15,406.98)
3500 Meeting Expenses			
4000 Supplies	1,205	11,015	9,810.40
5000 Lease and Rental		3,573	3,573.00
5500 Communication and Utilities	260	615	355.40
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction	1,033	13,720	12,686.70
8000 Assistance			
9000 Capital Outlay			
9510 Matching - Cash			
9610 Matching - In - Kind	19,408	22,003	2,594.80
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>148,431</b>	<b>134,018</b>	<b>(14,412.08)</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): Norma Bowman, Program Manager		Approved by (print): Garret Silversmith, Division Director	
Signature/Date: <u>Norma Bowman</u> 6/28/19		Signature/Date: <u>Garret Silversmith</u> 6/29/18	

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

<b>PART I. PROGRAM INFORMATION:</b>		Funding Period: 10/1/18-9/30/19	
Program Name/Title: Highway Safety Dept.		K #: K151008	
Contract/Grant No.: DTFH69-15-H-00028		Prepared by: Norma Bowman	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation - Traffic Safety Education FY15.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			
3000 Travel Expenses	3,695	3,805	110.82
3500 Meeting Expenses			
4000 Supplies	19,036	25,964	6,927.56
5000 Lease and Rental	8,100	8,100	
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction	13,385	5,415	(7,970.00)
8000 Assistance			
9000 Capital Outlay			
9510 Matching - Cash	5,961	8,870	2,908.45
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>50,177</b>	<b>52,154</b>	<b>1,976.83</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:		Approved by (print): Sarret Silversmith, Division Director	
Submitted by (print): Norma Bowman, Program Manager		Signature/Date: <i>[Signature]</i> 6/29/18	
<b>PART V. ACKNOWLEDGEMENT:</b>			



THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

<b>PART I. PROGRAM INFORMATION:</b>		Funding Period: 10/1/18-9/30/19	
Program Name/Title: Highway Safety Dept.		K #: K141005	
Contract/Grant No.: DTFH69-14-H-00028		Prepared by: Norma Bowman	

<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b>			
FHWA - HIGHWAY SAFETY TRACS LICENSE. Provide Defensive Driving course classes for the NN employees and provide education to the general public on proper seat belt usage and child restraint usage, education to the schools, Car Seat Clinics and providing data on traffic related incidents.			

<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			
3000 Travel Expenses		12,551	12,551.36
3500 Meeting Expenses			
4000 Supplies	1,028	26,472	25,444.80
5000 Lease and Rental			
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction		12,541	12,540.77
8000 Assistance			
9000 Capital Outlay		232,900	232,900.00
9510 Matching - Cash	160	8,754	8,593.75
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>1,188</b>	<b>293,219</b>	<b>292,030.68</b>

<b>PART IV.</b>	
<b>CONCURRED BY:</b>	MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:

<b>PART V. ACKNOWLEDGEMENT:</b>	
Contracting Officer's Signature / Date:	Approved by (print): Garret Silversmith, Division Director
Submitted by (print): Norma Bowman, Program Manager	Signature/Date: <i>[Signature]</i> 6/28/18



THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

FY 2019

PART I. Business Unit No.: 121002		Department of Highway Safety		Division/Branch: NDOT/Executive	
Prepared By: Norma Bowman		Phone No.: 505-371-8391		Email Address: nbowman@navajodot.org	
PART II. FUNDING SOURCE(S)		Fiscal Year	Amount	% of Total	
NIN General Funds Proprietary		10/1/16-9/30/19	42,000.00	100%	
PART III. BUDGET SUMMARY		Fund Type Code	NMC Approved Original Budget	Proposed Budget	Difference (Column B - A)
		2001 Personnel Expenses	10,995	10,995	0
		3000 Travel Expenses	4,340	21,700	17,360
		3500 Meeting Expenses			0
		4000 Supplies	8,578	5,180	(3,398)
		5000 Lease and Rental			0
		5500 Communications and Utilities			0
		6000 Repairs and Maintenance			0
		6500 Contractual Services			0
		7000 Special Transactions	21,087	4,125	(16,962)
		8000 Public Assistance			0
		9000 Capital Outlay			0
		9500 Matching Funds			0
		9500 Indirect Cost			0
		TOTAL	\$45,000.00	42,000.00	(3,000)
PART IV. POSITIONS AND VEHICLES		(D)		(E)	
		Total # of Positions Budgeted:			
		Total # of Permanently Assigned Vehicles:			
TOTAL:		\$42,000.00	100%		
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE					
SUBMITTED BY: Norma K. Bowman, Program Manager		Garret Silversmith, Division Director			
SUBMITTED BY: Program Manager's Printed Name		APPROVED BY: Division Director/Branch Chief's Printed Name			
SUBMITTED BY: Program Manager's Signature and Date		APPROVED BY: Division Director/Branch Chief's Signature and Date			



THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA

FY 2019

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>121002</u>		Program Name/Title: <u>Department of Highway Safety</u>					
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM: As enacted by CAP-13-13, the Navajo Division of Transportation shall exclusively administer the Navajo Nation Transportation Program within the Navajo Nation to provide an effective and efficient transportation system, to ensure the operation and improvement of the transportation system and to provide the necessary resources to accomplish the objectives herein									
PART III. PROGRAM PERFORMANCE CRITERIA:									
1. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR	
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
To supplement Program General Funds									
Program Performance Measure:									
Establish additional revenue for the Program in the amount of \$2000 per quarter		2,000		2,000		2,000		2,000	
2. Goal Statement:									
Provide Defensive Driving Courses to the Navajo Nation Employees									
Program Performance Measure:									
24 Defensive Driving Courses will be conducted for the Navajo Nation Employees		6		6		6		6	
3. Goal Statement:									
Provide Defensive Driving Courses to Young Drivers Ages 15-24									
Program Performance Measure:									
Provide 6 Alive at 25 Defensive Driving Courses for the Navajo Nation Youth		1		1		2		2	
4. Goal Statement:									
Community Outreach Activities to the Communities throughout the Navajo Nation									
Program Performance Measure:									
Education 2,100 Community Members throughout the Navajo Nation will be educated on traffic safety initiatives		150		150		900		900	
5. Goal Statement:									
Program Performance Measure:									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.									
<u>Norma Bowman</u> Program Manager's Printed Name				<u>Garnet Silversmith</u> Division Director/Branch Chief's Printed Name					
<u>[Signature]</u> Program Manager's Signature and Date				<u>[Signature]</u> Division Director/Branch Chief's Signature and Date					

THE NAVAJO NATION

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WRKSITE CODE	FY 2018 ACTUAL		FY 2019 PROPOSED	
						G/S	SALARY	HOURS	BUDGET

121002 Dept. of Highway Safety

1200	240388	500	Temporary Employee		TSE	1040	\$ 10,000.00	1040	\$ 10,000.00
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2110	SUBTOTAL						\$ 10,000.00		\$ 10,000.00
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THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.: 121002	
Department of Highway Safety			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
<b>2001 - PERSONNEL EXPENSES</b>			
	Employee salary and fringe benefits. Salary adjustments not paid by the Personnel Lapse Fund. Merit payment for eligible personnel.		10,995.00
2310	Temporary Employment	11,000.00	
2320	Temporary Employment		
2900	Fringe Benefits	995.00	
	Temporary @9.95%		
<b>3000 - TRAVEL EXPENSES</b>			
	Monthly mileage and fleet rental. Meals, lodging and air fare directly related to program business and other miscellaneous travel expenses.		21,700.00
3230	Personal Travel	19,700.00	
	3240 - Per Diem Meals (\$71/daily per diem x 25days x 4 staff)		
	3250 - Lodging (\$106/night per diem x 25 days x 4 staff)		
	3290 - Other Travel Expense		
3310	Air	3,000.00	
	3320 - Commercial		
	3000 6 round trips @500		
<b>TOTAL</b>		<b>32,695</b>	<b>32,695</b>



**THE NAVAJO NATION**  
**DETAILED BUDGET AND JUSTIFICATION**

**PART I. PROGRAM INFORMATION:**

Program Name/Title: Department of Highway Safety Business Unit No.: 121002

**PART II. DETAILED BUDGET:**

(A) Object Code (LOD 6)	(B) Object Code Description and Justification (LOD 7)	(C) Total by DETAILED Object Code (LOD 6)	(D) Total by MAJOR Object Code (LOD 4)
4000 - SUPPLIES	Desktop supplies, folders, envelopes, pens, pencils, Power Point Projector, laptops and printers, Computer/cooper toner cartridges, Printing of Manuals brochures, binding photocopying and publication subscription		5,180.00
4120	Office Supplies 4130 - General Office Supplies 1500	15,000.00	
4410	Operating Supplies 4420 - General Operating Supplies 3,680	3,680.00	
7000 - SPECIAL TRANSACTIONS	Promote and Advertise Program's Initiative Calling and refreshments for Department's special events Media advertising and employee's training fees Insurance Premiums		4,125.00
7110 Programs 7190 - Refreshments	1000 Funds used for Quarter Coalition Meetings held throughout the year for the Coalition Meetings Safety Courses hosted by the Department	1,000.00	
<b>TOTAL</b>		<b>6,180</b>	<b>9,305</b>

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: _____		Business Unit No.: 121002	
Department of Highway Safety			
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
7410	Media 7440 - Print Advertising 7450 - Radio Advertising	3,000.00	
	1500 Advertisements on traffic safety messages in the newspapers and advertised on the radio throughout the year.		
	1500		
7710	Insurance Premiums 7757 - Workers Comp (less fringe) 10000/100x 88= 7755 - Policy Payment (General Liability) \$10,995/100x 34	125.00	
	88.00		
	37.00		
<b>TOTAL</b>		<b>3,125</b>	

**5**

THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

FY 2019

PART I. Business Unit No.: 121003		Program Title: Department of Airports Management		Division/Branch: Transportation / Executive	
Prepared By: Carl Slater, Acting Manager		Phone No.: 505-371-8320		Email Address: cslater@navajodot.org	

PART II. FUNDING SOURCE(S)		Fiscal Year	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
	Term										
General Funds	10/1/18-9/30/19		316,436.00	6%				1	127,461	133,567	6,106
FHWA K161012	10/1/15-12/31/18		59,089.00	1%				1	4,400	4,400	0
FHWA K171005	10/1/16-12/31/18		107,228.00	2%	2001	Personnel Expenses					
FAA K171017	9/21/17-9/20/21		2,586,913.00	48%	3000	Travel Expenses					
FAA K171018	9/1/17-8/30/21		1,863,027.00	34%	3500	Meeting Expenses					
NMDOT K171035	9/13/17-9/12/19		243,648.00	5%	4000	Supplies		15,703	15,703	0	
FHWA K1910XX	10/1/18-9/30/19		227,385.00	4%	5000	Lease and Rental					
					5500	Communications and Utilities		5,760	6,760	1,000	
					6000	Repairs and Maintenance		1,100	500	(600)	
					6500	Contractual Services		11,933	121,420	109,487	
					7000	Special Transactions		8,643	5,086	(3,557)	
					8000	Public Assistance				0	
					9000	Capital Outlay			29,000	29,000	
					9500	Matching Funds				0	
					9500	Indirect Cost				0	
					TOTAL				\$175,000.00	316,436.00	141,436

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		2	2
Total # of Permanently Assigned Vehicles:			

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: <u>Carl R Slater</u> Program Manager's Printed Name SUBMITTED BY: <u>[Signature]</u> 6/28/18 Program Manager's Signature and Date	APPROVED BY: <u>[Signature]</u> Division Director/Branch Chief's Printed Name APPROVED BY: <u>Garret Silversmith</u> Division Director/Branch Chief's Signature and Date
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FY 2019

The Navajo Nation  
Listing of Positions and Assignments by Business Unit

*Summary Sheet 06/20/18*

DPM VERIFICATION DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 ACTUAL		FY 2019 PROPOSED		BUDGET
						G/S	SALARY	HOURS	BUDGET PERIOD	
121003			DEPT OF AIRPORT MANAGEMENT							
1001	242453	1235	PROGRAM MGR I		VACANT	TSE AI67A	52,852.80	2.080	10/01/2018 09/30/2019	52,853.00
1124	241085	1260	ADMINISTRATIVE ASSISTANT	15558	WIN AI62E		38,854.40	2.080	10/01/2018 09/30/2019	38,854.00
SUBTOTAL:										91,707.00
BUSINESS UNIT TOTAL:										91,707.00



**THE NAVAJO NATION**  
**DETAILED BUDGET AND JUSTIFICATION**

<b>PART I. PROGRAM INFORMATION:</b>		Business Unit No.: <u>121003</u>	
Program Name/Title: _____		Department of Airports Management	
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	<b>2001 PERSONNEL EXPENSES</b>		<b>133,567</b>
	Employee salary, salary adjustment for eligible personnel, and fringe benefits		
2110	Regular	91,707	
	Two (2) Regular Full-Time Position		
2200	Salary Adjustment	1,144	
	2220		
	Step increases for Eligible Employees		
	1001 Admin. Assistant = .55 x 2080 =	1,144	
2900	Fringe Benefit		
	2900	40,716	
	Regular 91707 x 43.85%		
	2900	502	
	Salary Adjustment 1144 x 43.85%		
	<b>3000 TRAVEL EXPENSES</b>		<b>4,400</b>
	Monthly mileage and fleet rates. Meals, lodging, and airfare directly related to program business and other miscellaneous expenses.		
3230	Travel Expense		
	3240	3,400	
	Per Diem \$64/daily x 2 days/week x 6.25 weeks for 2 staff		
	3250	1,000	
	Lodging \$128/night x 1 night/week x 6.25 weeks for 2 staff		
3310	Air		
	3320	1,000	
	Commercial Air		
<b>TOTAL</b>		<b>137,967</b>	<b>137,967</b>

**THE NAVAJO NATION**  
**DETAILED BUDGET AND JUSTIFICATION**

<b>PART I. PROGRAM INFORMATION:</b>		Business Unit No.: 121003	
Program Name/Title: Department of Airports Management			
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	<b>4000 SUPPLIES</b>		<b>15,703</b>
	Desk top supplies, IT supplies, small equipment supplies. Computer, printer, toner cartridges, paper, materials, brochure binding, publication subscription		
4120	Office Supplies	2,300	
4130	General Office Supplies @ \$575/qtr x 4 qtrs		
4200	Non Capital Asset		
4230	Non Capital Computer Equipment @ 2000/each x 2 Laptop upgrades	4,000	
4410	Operating Supplies		
4420	General Operating Supplies 2350.75 x 4 qtrs	9,403	
	<b>5500 COMMUNICATION AND UTILITIES</b>		<b>6,760</b>
	Internet service and satellite connectivity for monitoring airports for illegal activities (drug drops, drag racing, etc.) w/ security camera system. Installation and ongoing services.		
5610	Wireless		
5620	Cellular/Tablets and Security Camera Services @ 280x12 mos.	3,360	
5640	Satellite (airport security camera service @ 200/12 months	2,400	
5710	Energy		
5720	Electricity (airport lighting, power safety and nav equipment)	1,000	
<b>TOTAL</b>		<b>22,463</b>	<b>22,463</b>

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.: 121003	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6200	<b>6000 REPAIRS AND MAINTENANCE</b> Annual waste disposal and pest control fees for airport properties		500
	External Contractors		
6240	Pest Control (500 x 1 airport x 1 time)	500	
	<b>6500 CONTRACTUAL SERVICES</b> Fees required for on-call engineering/planning services for airports, specifically UAV Policy Phase II, security camera design and installation, hell system plan		121,420
6520	Consulting Services	121,420	
6530	Consulting Fees		
	<b>7000 SPECIAL TRANSACTION</b> Training fees/expenses, program needs and insurance required. Dues for airports accreditation (SCAAAE, SWAAAE, NAMA, AZAA)		5,086
7510	Training Professional Dues		
7520	Training/registration for 2 staff @ 500 x 4 session	2,000	
7550	Mandatory Professional Dues	1,025	
7710	Insurance Premiums		
7720	Property - Contents @ 1,000,000 x .79	790	
7765	Policy Payment (General Liability) 133,566/100 x .34	454	
7767	Workers Comp (less fringe) 92851/100 x .88	817	
<b>TOTAL</b>		<b>127,006</b>	<b>127,006</b>

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: _____		Business Unit No.: 121003	
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
9020	9000 CAPITAL OUTLAY Window Rock Runway Reconstruction  Infrastructure 9022  Airports 29,000	29,000	29,000
TOTAL		29,000	29,000



PART I. PROGRAM INFORMATION:

Funding Period: 10/1/15-12/31/18

Program Name/Title: Dept. of Airports Management FY18

K #: K161012

Contract/Grant No.: DTFH69-16-H-00028

Prepared by: Carl Slater, (A) Airports Manager

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

Provide continual airfield maintenance to each primary airport through frequent airfield mowing, airport electrical maintenance, pavement preservation & repaving, perimeter fencing, control livestock/wildlife & addressing snow removal on airport runways/taxiways. Partner with FAA, State, Local & NN to maintain/monitor primary Airport Capital Improvement Plan, AP Layout Plan, AP Maintenance Plan.

PART III. BUDGET INFORMATION:

(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	100,632	8,791	(91,840.64)
3000 Travel Expenses	26,171	1,329	(24,841.20)
3500 Meeting Expenses			
4000 Supplies	18,276	2,046	(16,230.58)
5000 Lease and Rental			
5500 Communication and Utilities	1,901	499	(1,402.04)
6000 Repairs and Maintenance	2,000		(2,000.00)
6500 Contractual Services	7,910	(1,730)	(9,640.00)
7000 Special Transaction	7,643	483	(7,160.18)
8000 Assistance			
9000 Capital Outlay	50,741	29,246	(21,495.72)
9510 Matching - Cash	25,559	18,425	(7,133.96)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
TOTALS:	240,833	59,089	(181,744.32)

PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:

Required GF In-Kind Match:

Required GF % Match:

CONCURRED BY:

Contracting Officer's Signature / Date:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): Carl Slater, Acting Mgr.

Signature/Date: [Signature] 4/24/18

Approved by (print): GARRET SILVERSMITH, DN.DIR.

Signature/Date: [Signature] 4/27/18



PART I. PROGRAM INFORMATION:

Program Name/Title:

Dept. of Airports Management FY18

Funding Period:

10/1/2016 - 12/31/2018

Contract/Grant No.:

DTFH69-17-H-00028

K #:

K171005

Prepared by:

Carl Slater, (A) Airports Manager

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

Provide continual airfield maintenance to each primary airport through frequent airfield mowing, airport electrical maintenance, pavement preservation & repairing, perimeter fencing, control livestock/wildlife & addressing snow removal on airport runways/taxways Partner with FAA, State, Local & NN to maintain/monitor primary Airport Capital Improvement Plan, AP Layout Plan, AP Maintenance Plan.

PART III. BUDGET INFORMATION:

(A)		(B)		(C)		(D)
Major Object Code and Description		Current Award	Anticipated Funding	Difference		Columns (C) - (B)
		Fiscal Year 18	Fiscal Year 19			
2001	Personnel Expenses	79,584	39,190			(40,393.14)
3000	Travel Expenses	24,436	7,894			(16,541.92)
3500	Meeting Expenses					
4000	Supplies	1,794	24,130			22,335.52
5000	Lease and Rental		3,500			3,500.00
5500	Communication and Utilities	1,129	3,221			2,092.16
6000	Repairs and Maintenance	203	9,747			9,544.00
6500	Contractual Services					
7000	Special Transaction	413	1,877			1,463.20
8000	Assistance					
9000	Capital Outlay					
9510	Matching - Cash	15,669	17,669			1,999.96
9610	Matching - In - Kind					
9710	Indirect Cost (Overhead) Allocation					
TOTALS:		123,228	107,228			(16,000.22)

PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:

Required GF In-Kind Match:

Required GF % Match:

CONCURRED BY:

Contracting Officer's Signature / Date:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Carl Slater, Acting Mgr.

Signature/Date:

6/23/18

Approved by (print):

GARRET SILVERSMITH

Signature/Date:

6/27/18

Division Director

**PART I. PROGRAM INFORMATION:**

Program Name/Title:	Dept. of Airports Management FY18	Funding Period:	9/21/17-9/20/21
Contract/Grant No.:	3-35-0049-005-2017	K #:	K171017
		Prepared by:	Carl Slater, (A) Airports Manager

**PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT**

Federal Aviation Administration grant to reconstruct runway 02/20 at Shiprock Airport

**PART III. BUDGET INFORMATION:**

(A) Major Object Code and Description		(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses				-
3000 Travel Expenses				-
3500 Meeting Expenses				-
4000 Supplies				-
5000 Lease and Rental				-
5500 Communication and Utilities				-
6000 Repairs and Maintenance				-
6500 Contractual Services				-
7000 Special Transaction				-
8000 Assistance				-
9000 Capital Outlay		1,841,052	3,076,200	1,235,147.20
9510 Matching - Cash		(2,440)	(489,286)	(486,846.92)
9610 Matching - In - Kind				-
9710 Indirect Cost (Overhead) Allocation				-
<b>TOTALS:</b>		<b>1,838,613</b>	<b>2,586,913</b>	<b>748,300.28</b>

**PART IV.**

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:

Required GF In-Kind Match:

Required GF % Match:

CONCURRED BY:

Contracting Officer's Signature / Date:

**PART V. ACKNOWLEDGEMENT:**

Submitted by (print):

*Carl Slater, Acting Mgr.*

Approved by (print):

*GARRET SILVERSMITH*  
DIVISION DIRECTOR

Signature/Date:

*Carl Slater* 6/27/18

Signature/Date:

*[Signature]* 6/27/18

<b>PART I. PROGRAM INFORMATION:</b> Funding Period: 9/1/17-8/30/21 Program Name/Title: Dept. of Airports Management FY18 K #: K171018 Contract/Grant No.: 3-04-0051-015-2017 Prepared by: Carl Slater, (A) Airports Manager			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> Federal Aviation Administration grant to reconstruct 3,500 feet of runway 02/20 at Window Rock Airport			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			-
3000 Travel Expenses			-
3500 Meeting Expenses			-
4000 Supplies			-
5000 Lease and Rental			-
5500 Communication and Utilities			-
6000 Repairs and Maintenance			-
6500 Contractual Services			-
7000 Special Transaction			-
8000 Assistance			-
9000 Capital Outlay	277,854	2,073,213	1,795,358.10
9510 Matching - Cash		(210,186)	(210,186.00)
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation			-
<b>TOTALS:</b>	<b>277,854</b>	<b>1,863,027</b>	<b>1,585,172.10</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): Carl Slater, Acting Mgr.		Approved by (print): GARRET SILVERSMITH DIVISION DIRECTOR	
Signature/Date: May 6/24/18		Signature/Date: 6/27/18	



<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: Dept. of Airports Management FY18		Funding Period: 9/13/17-9/12/19	
Contract/Grant No.: 5V5-18-01		K #: K173015	
		Prepared by: Carl Slater, (A) Airports Manager	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b>			
NMDOT matching grant to FAA grant 3-35-0049-005-2017 to reconstruct runway 02/20 at Shiprock Airport			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			-
3000 Travel Expenses			-
3500 Meeting Expenses			-
4000 Supplies			-
5000 Lease and Rental			-
5500 Communication and Utilities			-
6000 Repairs and Maintenance			-
6500 Contractual Services			-
7000 Special Transaction			-
8000 Assistance			-
9000 Capital Outlay	1,220	243,648	242,428.46
9510 Matching - Cash			-
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation			-
<b>TOTALS:</b>	<b>1,220</b>	<b>243,648</b>	<b>242,428.46</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			-
MATCH FUNDS - Required GF Cash Match:			-
Required GF In-Kind Match:			-
Required GF % Match:			-
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): Carl Slater, Acting Mgr.		Approved by (print): GARRET SILVERSMITH	
Signature/Date: [Signature] 6/27/18		Signature/Date: [Signature] 6/27/18	

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: Dept. of Airports Management FY18		Funding Period: 10/1/18-9/30/18	
Contract/Grant No.: DTFH69-18-H-00028		K #: K1910XX	
		Prepared by: Carl Slater, (A) Airports Manager	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b>			
Provide continual airfield maintenance to each primary airport through frequent airfield mowing, airport electrical maintenance, pavement preservation & repaving, perimeter fencing, control livestock/wildlife & addressing snow removal on airport runways/taxiways. Partner with FAA, State, Local & NN to maintain/monitor primary Airport Capital Improvement Plan, AP Layout Plan, AP Maintenance Plan.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses		118,774	118,774.00
3000 Travel Expenses		29,259	29,259.00
3500 Meeting Expenses			-
4000 Supplies		25,924	25,924.00
5000 Lease and Rental		3,500	3,500.00
5500 Communication and Utilities		4,350	4,350.00
6000 Repairs and Maintenance		9,950	9,950.00
6500 Contractual Services			-
7000 Special Transaction		2,290	2,290.00
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash		33,338	33,338.00
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation			-
<b>TOTALS:</b>		<b>227,385</b>	<b>227,385.00</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): Carl Slater, Acting Mgr.		Approved by (print): GARRET SILVERSMITH	
Signature/Date: July 6/27/18		Signature/Date: [Signature] 6/27/18	



**6**


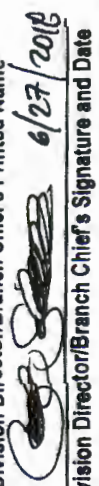
## THE NAVAJO NATION PROGRAM BUDGET SUMMARY

FY 2019

PART I. Business Unit No.: 506011		Program Title:		Department of Airports Management		Division/Branch: Transportation / Executive	
Prepared By: Carl Slater, Acting Manager		Phone No.: 505-371-8320		Email Address: cslater@navajodot.org			
PART II. FUNDING SOURCE(S)	Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY			
FET ROAD FUND / Airport	10/1/18-9/30/19	150,000.00	100%	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
				2001 Personnel Expenses			0
				3000 Travel Expenses	5	4,590	0
				3500 Meeting Expenses			0
				4000 Supplies	5	36,946	12,480
				5000 Lease and Rental	5	20,000	(10,500)
				5500 Communications and Utilities	5	15,320	(6,000)
				6000 Repairs and Maintenance	5	16,980	20
				6500 Contractual Services	5	19,501	19,501
				7000 Special Transactions		11,663	5,499
				8000 Public Assistance			0
				9000 Capital Outlay	5	29,000	29,000
				9500 Matching Funds			0
				9500 Indirect Cost			0
				<b>TOTAL</b>		\$100,000.00	50,000
				<b>PART IV. POSITIONS AND VEHICLES</b>			
				(D)		(E)	
				Total # of Positions Budgeted:		0	
				Total # of Permanently Assigned Vehicles:			
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.							
SUBMITTED BY: Program Manager's Printed Name Carl R Slater				APPROVED BY: Division Director/Branch Chief's Printed Name Garret Silversmith			
SUBMITTED BY: Program Manager's Signature and Date <i>[Signature]</i> 6/27/18				APPROVED BY: Division Director/Branch Chief's Signature and Date <i>[Signature]</i> 6/27/2018			

THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA

FY 2019

PART I. PROGRAM INFORMATION:		Business Unit No.: 506011      Program Name/Title: Dept. of Airports Management																																																																																																																					
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM: As enacted by CAP 13-13 The Navajo Nation Division of Transportation shall exclusively administer the Navajo Nation Transportation programs within the Navajo Nation, to provide an effective and efficient transportation system, to ensure the operation and improvement of the transportation system and to provide the necessary resources to accomplish the objectives herein.																																																																																																																							
PART III. PROGRAM PERFORMANCE CRITERIA:		<table border="1"> <thead> <tr> <th colspan="2">1st QTR</th> <th colspan="2">2nd QTR</th> <th colspan="2">3rd QTR</th> <th colspan="2">4th QTR</th> </tr> <tr> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> <th>Goal</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td colspan="2">1. Goal Statement: Maintain &amp; Monitor all existing NN Airports to ensure they are in safe operating conditions</td> <td colspan="6"></td> <td></td> </tr> <tr> <td colspan="2">Program Performance Measure: Perform 10 Airport/Airfield In-house Maint. Inspection activities per quarter.</td> <td>10</td> <td></td> <td>10</td> <td></td> <td>10</td> <td></td> <td>10</td> </tr> <tr> <td colspan="2">2. Goal Statement: Safeguard NN airport property; install security camera systems at airports</td> <td colspan="6"></td> <td></td> </tr> <tr> <td colspan="2">Program Performance Measure: Create initial plan &amp; procure contractor Q1, install (1) system Q2, monitor system Q3, write report Q4</td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> </tr> <tr> <td colspan="2">3. Goal Statement: Conduct tribal airports symposium to increase aid to NN and tribal airports from FAA</td> <td colspan="6"></td> <td></td> </tr> <tr> <td colspan="2">Program Performance Measure: Perform 3 planning/outreach initiatives per quarter to accomplish symposium within FY</td> <td>3</td> <td></td> <td>3</td> <td></td> <td>3</td> <td></td> <td>3</td> </tr> <tr> <td colspan="2">4. Goal Statement: Foster &amp; support high level of airports/aviation knowledge for program staff</td> <td colspan="6"></td> <td></td> </tr> <tr> <td colspan="2">Program Performance Measure: Conduct or attend 1 training/professional conference per quarter</td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> </tr> <tr> <td colspan="2">5. Goal Statement: Develop NN Unmanned Aerial Vehicles Code</td> <td colspan="6"></td> <td></td> </tr> <tr> <td colspan="2">Program Performance Measure: Mtg. w/ FAA/states Q1, obtain experts/consultant Q2, coordinate w/ stakeholders Q3, draft code</td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> <td></td> <td>1</td> </tr> </tbody> </table>												1st QTR		2nd QTR		3rd QTR		4th QTR		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	1. Goal Statement: Maintain & Monitor all existing NN Airports to ensure they are in safe operating conditions									Program Performance Measure: Perform 10 Airport/Airfield In-house Maint. Inspection activities per quarter.		10		10		10		10	2. Goal Statement: Safeguard NN airport property; install security camera systems at airports									Program Performance Measure: Create initial plan & procure contractor Q1, install (1) system Q2, monitor system Q3, write report Q4		1		1		1		1	3. Goal Statement: Conduct tribal airports symposium to increase aid to NN and tribal airports from FAA									Program Performance Measure: Perform 3 planning/outreach initiatives per quarter to accomplish symposium within FY		3		3		3		3	4. Goal Statement: Foster & support high level of airports/aviation knowledge for program staff									Program Performance Measure: Conduct or attend 1 training/professional conference per quarter		1		1		1		1	5. Goal Statement: Develop NN Unmanned Aerial Vehicles Code									Program Performance Measure: Mtg. w/ FAA/states Q1, obtain experts/consultant Q2, coordinate w/ stakeholders Q3, draft code		1		1		1		1
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PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.																																																																																																																							
Program Manager's Printed Name Carl R Slater		Division Director/Branch Chief's Printed Name Garnet Silversmith																																																																																																																					
Program Manager's Signature and Date  6/27/18		Division Director/Branch Chief's Signature and Date  6/27/2018																																																																																																																					

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
Department of Airports Management		506011	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
3230	<b>3000 TRAVEL EXPENSES</b> Meals, lodging and air fare directly related to program business and other miscellaneous travel expenses.		4,590
	Travel Expense		
3240	Per Diem 3 Staff @ 75/day X 6 trips	1,350.00	
3250	Lodging 3 staff @ 180/day x 3 trips	3,240.00	
	<b>4000 SUPPLIES</b> Computer, printer, copiers toner cartridges, paper, printing of documents, brochures, etc., supplies for small equipment owned by Airports ie; tractor, mower, trailers, etc. and fuel for generators, tractor & 1 ton truck; supplies for airfields, including lightbulbs, electrical wiring/components, as needed; printed materials for chapter presentations and the tribal symposium; etc.		49,426
4200	Non Capital Asset		
4210	Non Cap Furniture & Equipment	12,026	
			12,026
4410	Operating Supplies		
4420	General Operating Supplies	16,500	
4440	Non Cap Computer Software	1,000	
4470	Uniforms (steel toe shoes/safety vests etc)	1,000	
4500	Medical Supplies (1st Aide)	1,500	
4530	Printing/Binding/Photocopying	2,000	
			22,000
4610	Supplies		
4620	Parts & Supplies	7,000	
4630	Tires & Tubes	2,500	
4640	Lubricants	2,500	
	for tractors and small airports equipment	12,000	
			12,000
<b>TOTAL</b>		<b>50,616</b>	<b>54,016</b>



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: _____ Department of Airports Management Business Unit No.: 506011			
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
<b>4000 SUPPLIES (cont'd.)</b>			
4710	Fuel		
4720	Diesel For small airport equipments	3,000	
4730	Propane For small airport equipments	400	
<b>5000 LEASE AND RENTAL</b>			
Annual lease on airport allotted land, room rental for strategic planning, community meetings, and Tribal Airport Symposium			
5130	Land		9,500
5140	Land Lease	6,000	
5310	Building/Space		
5320	Meeting Space	3,500	
<b>5500 COMMUNICATIONS AND UTILITIES</b>			
Basic telephone services and line charges, installation of telephone hardware. Installation and services charges for DSL line.			
Internet service & connectivity. Wireless communications services.			
5610	Wireless		
5630	Two-way Radios @ \$500/unit x 4 ea.	2,000	
5640	Satellite (Airport Security Camera Service @ 610/mo x 12 months)	7,320	
		9,320	
<b>TOTAL</b>		22,220	18,820



**THE NAVAJO NATION**  
**DETAILED BUDGET AND JUSTIFICATION**

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: _____ Department of Airports Management Business Unit No.: 506011			
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	<b>6000 REPAIRS AND MAINTENANCE</b> Annual repair & maintenance expense for airfield equipment, electrical contractors, to ensure safe operations with well maintained lighting and navigational aids		17,000
6200	External Contractors		
6220	Electrical	15,000	
6250	Waste Disposal (Outhouse service at the AP @ 200/mo x 3 AP)	2,000	
	<b>6500 CONTRACTUAL SERVICES</b> Mandatory inspection by the FAA of airports safety and navigational equipment; Unmanned Aerial Vehicles policy		19,501
6520	Consulting		
6520	Consulting-Fees	19,501	
	<b>7000 SPECIAL TRANSACTIONS</b> Fees for staff development & training; funds for delivering the Tribal Airports Symposium, including limited meals, media publicity, program design, outreach items re: Navajo Nation airspace and UAV operations safety materials		11,663
7110	Programs		
7130	Promotional Items		
7160	Artistic Services		
7180	Catering		
	Proposed tribal aviation symposium	6,663	
			17,000
			19,501
			11,663
	<b>TOTAL</b>	43,164	48,164

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: Department of Airports Management		Business Unit No.: 506011	
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
7410	<b>7000 SPECIAL TRANSACTIONS (cont'd.)</b>		
Media			
7440	Print Advertising	1,000	
7510	Training and Professional Dues		
7520	Training/Registration @ 4 staff x 1000/session	2,000	
7550	Mandatory Professional Dues	2,000	
	<b>9000 CAPITAL OUTLAY</b>		29,000
	WR Runway Reconstruction Project		
9020	Infrastructure	29,000	
9022	Airports		
<b>TOTAL</b>		<b>34,000</b>	<b>29,000</b>

**7**

**THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY**

Page 1 of 32  
**BUDGET FORM 1**

**FY 2019**

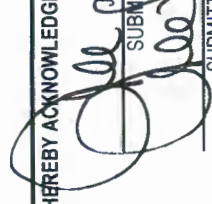

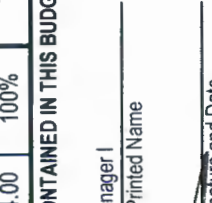

<b>PART I.</b> Business Unit No.: 506007		Program Title: Road Fund / Department of Roads		Division/Branch: Navajo DOT / Executive Branch	
Prepared By: Sheila Clyde, Sr. Office Specialist		Phone No.: 505-371-8335		Email Address: <a href="mailto:sclyde@navajodot.org">sclyde@navajodot.org</a>	

PART II. FUNDING SOURCE(S)	Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	NNC Approved Original Budget	Proposed Budget	(C) Difference (Column B - A)
Road Funds - 506007	10/1/18-9/30/19	2,454,091.00	4%				5	1,144,414	1,240,178	95,764
FHWA - K161009	10/1/15-12/31/18	381,072.00	1%				5	90,009	56,451	(33,558)
FHWA - K171002	10/1/16-12/31/18	1,915,419.00	3%				5	0		0
FHWA - K19100X	10/1/17-10/1/19	4,113,987.00	6%				5	258,000	562,960	304,960
FHWA - K141009	10/1/13-12/31/18	780,437.00	1%				5	8,800	47,796	38,996
FHWA - K141011	10/1/13-12/31/18	395,544.00	1%				5	143,767	47,660	(96,107)
FHWA - K151007	10/1/14-12/31/18	5,000,000.00	7%				5	102,000	310,372	208,372
FHWA - K151009	10/1/14-12/31/18	1,956,347.00	3%				5	46,000	11,400	(34,600)
FHWA - K151010	10/1/14-12/31/18	1,350,000.00	2%				5	54,754	77,274	22,520
FHWA - K161014	10/1/15-12/31/18	650,000.00	1%				5	0	0	0
FHWA - K161016	10/1/15-12/31/18	3,112,376.00	5%				5	60,000	100,000	40,000
FHWA - K161017	10/1/15-12/31/18	10,000,000.00	15%				5	0	0	0
FHWA - K161018	10/1/15-12/31/18	1,508,000.00	2%				5	0	0	0
FHWA - K161019	10/1/15-12/31/18	500,000.00	1%				5	0	0	0
FHWA - K171007	10/1/16-12/31/18	619,876.00	1%				5	0	0	0
FHWA - K171008	10/1/16-12/31/18	9,400,000.00	14%				<b>TOTAL</b>	\$1,907,744.00	2,454,091	546,347
FHWA - K171009	10/1/16-12/31/18	10,238,160.00	15%				<b>PART IV. POSITIONS AND VEHICLES</b>			
FHWA - K171010	10/1/16-12/31/18	14,548,725.00	21%				Total # of Positions Budgeted:			
							Total # of Permanently Assigned Vehicles:			
	<b>TOTAL:</b>	\$68,924,034.00	100%				(D)			
							(E)			
							27			
							4			
							35			
							0			

**PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.**

Submitted By:  Joseph Peterman, Department Manager I SUBMITTED BY: Program Manager's Printed Name	Approved By:  Garret Silversmith, Division Director APPROVED BY: Division Director/Branch Chief's Printed Name
Submitted By:  Sheila Clyde, Sr. Office Specialist SUBMITTED BY: Program Manager's Signature and Date	Approved By:  Garret Silversmith, Division Director APPROVED BY: Division Director/Branch Chief's Signature and Date



THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

FY 2019

PART I. Business Unit No.: 506007		Program Title: Road Fund / Department of Roads		Division/Branch: Navajo DOT / Executive Branch	
Prepared By: Sheila Clyde, Sr. Office Specialist		Phone No.: 505-371-8335		Email Address: <a href="mailto:sclcyde@navajodot.org">sclcyde@navajodot.org</a>	

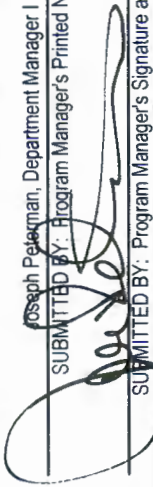

PART II. FUNDING SOURCE(S)	Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
FHWA -K171011	10/1/16-12/31/18	1,670,000.00	10%					
FHWA -K171014	10/1/16-12/31/18	500,000.00	3%					
FHWA -K171015	10/1/16-12/31/18	72,000.00	0%	2001 Personnel Expenses				
FHWA -K171016	10/1/16-12/31/18	13,828,328.00	83%	3000 Travel Expenses				
FHWA -K151002	10/1/14-12/31/18	526,066.00	3%	3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services				
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
<b>TOTAL:</b>						\$0.00	0.00	0

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:		
Total # of Permanently Assigned Vehicles:		0

**PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.**

SUBMITTED BY: <u>Joseph Pederman, Department Manager I</u> Program Manager's Printed Name Signature: 	Garret Silversmith, Division Director APPROVED BY: <u>Garret Silversmith</u> Division Director/Chief's Printed Name Signature:  7/2/18 APPROVED BY: Division Director/Chief's Signature and Date
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**THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY**

<b>PART I. PROGRAM INFORMATION:</b> Business Unit No.: 506007		Program Name/Title: Road Fund/Department of Roads							
<b>PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:</b> As enacted by CAP-13-13: The Navajo Nation Division of Transportation shall exclusively administer the Navajo Nation Transportation programs within the Navajo Nation, to provide an effective and efficient transportation system, to ensure the operation and improvement of the transportation system and to provide necessary resources to accomplish the objective herein.									
<b>PART III. PROGRAM PERFORMANCE CRITERIA:</b>		1st QTR		2nd QTR		3rd QTR		4th QTR	
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
<b>1. Goal Statement:</b> Provide Road Maintenance Services and activities for inventoried Navajo Nation Roads <b>Program Performance Measure:</b> Complete 500 miles of road blading per quarter.		500		500		500		500	
<b>2. Goal Statement:</b> To repair washouts and maintenance services, low water crossings, bypasses, culvert installations, etc.									
<b>Program Performance Measure:</b> Complete 15 repairs and maintenance services per quarter as needed		15		15		15		15	
<b>3. Goal Statement:</b> To provide snow/ice/sand mud removal during monsoon and other weather related clearances, including emergencies.									
<b>Program Performance Measure:</b> To complete 135 miles of road maintenance services per quarter to address weather related issues.		135		135		135		135	
<b>4. Goal Statement:</b> To repair four (4) road maintenance special projects.									
<b>Program Performance Measure:</b> Complete four (4) projects throughout the year		0		2		0		2	
<b>5. Goal Statement:</b> To restabilize graveled roads and/or treat other graveled roads.									
<b>Program Performance Measure:</b> Complete five (5) restabilization project per year		0		1		2		2	

**PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.**

Joseph Peterman, Department Manager I

Program Manager's Printed Name

Garett Silversmith, Division Director

Division Director/Branch Chief's Printed Name

Program Manager's Signature and Date

Division Director/Branch Chief's Signature and Date

*[Signature]* 7/2/18

FY 2019

# The Navajo Nation Listing of Positions and Assignments by Business Unit

*Summary Sheet 06/27/18*

DPM VERIFICATION DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 ACTUAL		FY 2019 PROPOSED		BUDGET
						G/S	SALARY	HOURS	BUDGET PERIOD	
506007			DOT - ROAD MAINTENANCE - ADMIN							
1010	241022	4027	SAFETY TECH	VACANT	TSE	AI59A	26,936.00	2,080	10/01/2018 09/30/2019	26,936.00
1011	241037	4149	SR HEAVY EQUIPMENT OPERATOR	126821	TSE	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1012	241035	4149	SR HEAVY EQUIPMENT OPERATOR	232886	TSA	AI62F	39,998.40	1,040	10/01/2018 09/30/2019	19,999.00
1013	241036	4149	SR HEAVY EQUIPMENT OPERATOR	150300	WIN	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1014	241184	4041	FLEET COORDINATOR	321813	TSE	AI60A	29,307.20	2,080	10/01/2018 09/30/2019	29,307.00
1015	242287	4150	HEAVY EQUIPMENT OPERATOR	232109	PNO	AI61A	31,948.80	1,040	10/01/2018 09/30/2019	15,974.00
1016	240853	4143	LABORER	199052	DLC	AI55A	19,073.60	1,040	10/01/2018 09/30/2019	9,537.00
1023	240156	4149	SR HEAVY EQUIPMENT OPERATOR	225411	TNP	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1027	241502	4143	LABORER	290784	PTD	AI55A	19,073.60	1,040	10/01/2018 09/30/2019	9,537.00
1030	241540	4149	SR HEAVY EQUIPMENT OPERATOR	153539	BCL	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1031	241541	4052	EQUIPMENT MECHANIC	238834	TSE	AI62C	36,628.80	1,040	10/01/2018 09/30/2019	18,314.00
1035	241710	4149	SR HEAVY EQUIPMENT OPERATOR	229418	GAP	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1037	241712	4149	SR HEAVY EQUIPMENT OPERATOR	231729	INH	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1038	241713	4149	SR HEAVY EQUIPMENT OPERATOR	253920	GAD	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1039	241714	4149	SR HEAVY EQUIPMENT OPERATOR	356240	TWH	AI62F	39,998.40	1,040	10/01/2018 09/30/2019	19,999.00
1040	241715	4149	SR HEAVY EQUIPMENT OPERATOR	309109	RKP	AI62A	34,881.60	1,040	10/01/2018 09/30/2019	17,441.00
1054	243529	2020	CONTRACT COMPLIANCE OFCR	VACANT	TSE	AI64A	41,017.60	2,080	10/01/2018 09/30/2019	41,018.00
1060	241188	1252	PROGRAMS & PROJECTS SPEC	VACANT	TSE	AI63A	37,627.20	2,080	10/01/2018 09/30/2019	37,627.00
1061	243530	4081	SR BLDG MAINTENANCE WORKER	355023	DLC	AI60A	29,307.20	2,080	10/01/2018 09/30/2019	29,307.00
1064	243531	4143	LABORER	308770	THT	AI55A	19,073.60	1,040	10/01/2018 09/30/2019	9,537.00

FY 2019

# The Navajo Nation Listing of Positions and Assignments by Business Unit

*Sandra Smith 06/29/18*  
DPM VERIFICATION DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 ACTUAL G/S	FY 2019 PROPOSED HOURS	BUDGET PERIOD	BUDGET
1065	243532	3311	CIVIL ENGINEER	323324	TSE AJ65B	52,665.60	2,080	10/01/2018 09/30/2019	52,666.00
1066	244268	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1067	244269	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1068	244270	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1069	244271	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1070	244272	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1071	244273	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1072	244274	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1073	244275	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	19,074.00
1074	244276	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	31,949.00
1075	244277	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	31,949.00
1076	244278	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	31,949.00
1077	244279	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	31,949.00
1078	244280	0599	UNCLASSIFIED TITLE	VACANT	NNW		2,080	10/01/2018 09/30/2019	31,949.00
1079	241536	1252	PROGRAMS & PROJECTS SPEC	225882	TSE AI63D	41,100.80	2,080	10/01/2018 09/30/2019	41,101.00
<b>2110 SUBTOTAL:</b>									<b>812,724.00</b>
1200	241597	0500	TEMPORARY EMPLOYEE	VACANT	WIN		2,080	10/01/2018 09/30/2019	45,000.00
<b>2310 SUBTOTAL:</b>									<b>45,000.00</b>
<b>BUSINESS UNIT TOTAL:</b>									<b>857,724.00</b>



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.: 506007	
Road Fund / Department of Roads			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
2001 - PERSONNEL EXPENSES			1,240,178
2110	Regular 2120 - Regular Full Time 35 (15 C/S W/FHWA)	812,724	812,724
2200	Salary Adjustment 2220 - Salary Adjustment 506007-1010 Reclassified to Equipment Mechanic	5,013	5,013
2310	Temporary 2310 - Temporary Employment on needed basis	45,000	45,000
2510	Overtime 2520 - Regular Overtime Pay	8,000	8,000
2610	Holiday Pay 2620 - Regular	2,000	2,000
2900	Fringe Benefits 2900 - Regular 2900 - Temporary	362,963 45,000	367,441
3000 - Travel Expenses	Regular @ 43.85% x 35 staff (15 C/S W/FHWA) Temporary @ 9.95% x 2 EO and 2 Laborers	827,737 4,478	
3110	Monthly Mileage and fleet rental. Meals, Lodging, and air fare directly related to road maintenance, program business and other miscellaneous travel expense. Inter-agency road maintenance & improvement activities, snow/ice removal, emergency R & M Services, hauling of heavy equipment, heavy equipment training as required for road maintenance personnel.		56,451
3111	Fleet Monthly/Perm (Group B, Class II) 4 x 108 x 12 mths	5,184.00	
3113	Mileage (Group B, Class II PIU Truck) 4 x 1500mi x .28 x 12 mths	20,160.00	26,865
3210	Vehicle Rental 47 /day x 5 days x 2 weeks x 2 Vehicles Each	940	996
3230	Travel Expense 3240 Per Diem Meals (\$71/daily x 14 days x 10 staff) 3250 Lodging (\$91/night x 15 days x 10 staff) 3290 Other Travel Expense	9,940 13,650 5,000	28,590
TOTAL		1,296,629	1,296,629

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:		Road Fund / Department of Roads		Business Unit No.: 506007	
Program Name/Title:					
PART II. DETAILED BUDGET:					
(A)	(B)	(C)	(D)		
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code		
4000	SUPPLIES Folders: envelopes, pens, pencils for two maintenance yards office, two way radios, Printers, Copiers, Toner, Cartridges, & Paper. Printing of Road Maintenance manuals, brochures, binding of Daily/Field Report Forms, reports, photocopying and publication subscription. Subscription Fee for software update and GPS update. Transportation supplies, parts, filters, bolts, wrenches, etc. Diesel Fuel for all Heavy Machinery and fuel for propane tanks at yards.		562,960		
4120	Office Supplies 4130 - General Office Supplies 2 Maintenance Yards + Transportation Complex	9,000			
4200	Non-Cap Asset 4210 - Non Cap Furniture/Equip Additional Fuel tanks, AED Devices	30,000			
4410	Operating Supplies 4420 - General Operating Supplies Cobble Stoned Rocks, Rip Rap Material @ \$24/ton, Pond Liner, etc. 4470 - Uniform Supplies PPE: Vests/Helmets/Gloves/Boots 4490 - Custodial Supplies 2 Maintenance Yards @4000 a year	80,000 7,000 8,000			
4610	Transportation Supplies Supplies for Heavy Equipment Machinery: Oil/Air Filters, Nuts, Bolts, Mirrors, Pins, Adapters, Fuses, Spark Plugs, Locks, Mud Flaps, Screens, Additives, Solvents, etc. Parts/Supplies 4620 - Parts/Supplies Motor Grader/Water Trucks/Trailer Tires 4630 - Tires/Lubes 4640 - Lubricants 15W40 for Graders/Antifreeze/Oil/Grease Tubes, etc.	200,000			
4700	Fuel 4720 - Diesel Diesel Fuel @ 36k/mo. X 6 mths (C/S with FHWA) (Heavy Equipment and Vehicle Transfer Tanks)	228,960			
TOTAL		562,960			



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: _____ Road Fund / Department of Roads Business Unit No.: 506007			
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
5000 LEASE AND RENTAL			47,796
5110	Building 5120 - Office Space Lease Agreement with Aayani Nez 5986/mth x 6 mths (C/S w FHWA)	35,916	
5360	Equipment Rental 5370 - Rental Porta John @990/mth for 2 Toilets x 3 mths for 2 Projects	11,880	
5500 - COMMUNICATION AND UTILITIES			47,660
	Basic telephone services and line charges, installation of telephone hardware. Installation and services for DSL Line. Internet service & Connectivity. Wireless communication services for Heavy Equipment Operators, Civil Engineers, and Safety of Personnel Staff.		
5610	Wireless 5620 - Cellular Cellular Services @350.00/mth x 12 mths (Additional lines)	4,200	
5710	Energy 5720 - Electric Utilities - Street Lights in Shiprock/Window Rock 3500/mth x 6 mths	22,260	
5750	Services 5760 - Water Water Permits for Projects, Dust Control and Road improvement projects \$25 application fee, 2000/project X 10 projects + 6% tax	21,200	
TOTAL		95,456	95,456

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Road Fund / Department of Roads	Business Unit No.: 506007
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
6000	<b>6000 - REPAIRS AND MAINTENANCE</b> Annual repair and maintenance fees for equipment (heavy and small equipment) services, external contractors as needed for specialized facilities services, computer upgrade, hardware and preventive maintenance, heavy repairs for equipment, water trucks, semi trucks, transport trucks, trailers, etc. Building maintenance supplies and services for Dikoni and Pinon Maintenance yards.		310,372
6020	Supplies 6030 - Building R & M Supplies HVAC lines, Bay Door Handles, Floor Covering for Maintenance Yards	8,000	
6130	Services 6140 - Furniture/Equipment R & M Fire Extinguisher Services: Annual Inspection, Refilling 100 Extinguishers @ \$7 per refill 700 5 new Extinguisher w/brackets @560 2800	2,192 3,500	5,692
6200	External Contractors 6250 - Waste Disposal Oil/Lube Disposal 750/quarter x 4 quarters/year 6290 - General Contractors Fire Monitor/Alarm Services, Building Inspections @1200/qr x 2 yards x 4/year Including Surveillance Cameras at Both Maintenance Yards 300/mth for 4/Qtrs. 1200	3,000 10,800	13,800
6300	Technology 6310 - Computer Hardware R & M Supplies for Laptop/Computer Repair 6320 - Software Support Software Subscription Fee Service: Teletrac @30k/year (C/S w FHWA)	5,000 15000	20,000
6410	Vehicle R & M - External 6430 - Specialized Vehicles Motor Graders, Semi, Etc. @ 33000/mth x 6 mths (C/S with FHWA Existing Contract w/Empire Southwest (C/S w/FHWA Repairs/Maintenance Services on Non Contract Equipment's	198,000 50,000	262,880 53,000
6500	<b>6500 - CONTRACTUAL SERVICES</b> Professional Services for various program initiatives. Contractual services for specialized services (Clean Water Act, Drainage Analysis, Design, Etc.) and professional conceptual master plans for street light contracts, Maintenance yards etc. Traditional Ceremonies for Field Staff and Maintenance Yards on a needed basis.		11,400
6520	Consulting 6530 - Consulting Fees Fees for Services: Clean Water Act, Drainage Analysis, Design, etc. 10,000.00		10,000
6910	Other Contractual Services Blessing way/Protection Prayer for both Maintenance Yards @ 700/each 1,400		1,400
TOTAL		321,772	321,772

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Road Fund / Department of Roads	Business Unit No.: 506007
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
	<b>7000 - SPECIAL TRANSACTIONS</b>		<b>77,274</b>
	Printing of legal advertisements for bids, projects, etc.; Required insurance premiums, Training for all fields staff for annual renewal of certifications (first aid/cpr, flagger training certification classes, Hazmat/Hazwoper, Customer Services, etc.		
7410	Media		
	7440 - Print Advertising      Advertisements of Projects \$150.00 x 8 RFP/RFQ (newspaper ads)	5,000	
7510	Training & Professional Dues		
	7520 - Training/Registration Fees		
	CDL/Flagger/OSHA/HazMat etc. @300/staff x 34	10,200	
	CDL Training 2 Staff @ 3000 each	6,000	
7710	Insurance Premiums		
	7720 - Property		
	Contents @1,800,000.00/1000 x \$0.79	1,422	
	7740 - Vehicle Auto Liability		
	Liability 4 Vehicles @ 105.14/5 semi/ 5 dump truck @105.14	1,472	
	7750 - Vehicle Auto Physical		
	Physical Damage @ 4 Vehicles @ 216.12 and 10 Trucks @583.49	7,283	
	7765 - General Liability		
	Policy Payment @1,240/178.00 /100 x 0.34	4,217	
	7766 - Deductible		
	4 Trucks @1000 and 10 Semi @ 3000	34,000	
	7767 - Workers Comp		
	Workman's Comp @872737.00*0.88/100	7,680	
	<b>9000 - CAPITAL OUTLAY</b>		<b>100,000</b>
	Road Improvement Projects, Soil Stabilization, Graveling, Snow/Ice Removal, Culvert Installations, and Equipment needs.		
9020	Infrastructure		
	9024 - Roads		
	Road Improvement materials		
	Soil Stabilizer @ 5500/Ton x 7/per mile x 2 miles	50,000	
	Gravel/Cinder/Asphalt @24/Ton x1400 tons	50,000	
	<b>TOTAL</b>	<b>177,274</b>	<b>177,274</b>

THE NAVAJO NATION  
SUMMARY OF CHANGES TO BUDGETED POSITIONS

PART I. PROGRAM INFORMATION:									
Program Name/Title: Road Fund / Department of Roads					Business Unit No.: 506007				
PART II. PERSONNEL/POSITION CHANGES:									
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	
Type of Change	Sub Acct Object Code	Position Number	Job Type / Class Code	Position Title	Employee ID No. or Vacant	Salary	Fringe Benefit	Total (Col. G + H)	
Reclass	1010	241022	004027	Safety Tech	Vacant	26,936	11,519	38,455	
				(reclass to equip.mechanic)				-	
Cost Share	1011	241037	004149	Sr. Heavy Equipment Operator	126821	17,441	7,648	25,089	
Cost Share	1012	241035	004149	Sr. Heavy Equipment Operator	232886	19,999	8,770	28,769	
Cost Share	1013	214036	004149	Sr. Heavy Equipment Operator	150300	17,441	7,648	25,089	
Cost Share	1015	242287	004150	Heavy Equipment Operator	232109	15,974	7,005	22,979	
Cost Share	1016	240853	004143	Laborer	199052	9,537	4,182	13,719	
Cost Share	1023	240156	004149	Sr. Heavy Equipment Operator	225411	17,441	7,648	25,089	
Cost Share	1027	241502	004143	Laborer	290874	9,537	4,182	13,719	
Cost Share	1030	241540	004149	Sr. Heavy Equipment Operator	153539	17,441	7,648	25,089	
Cost Share	1031	241541	004052	Equipment Mechanic	238834	18,314	8,031	26,345	
Cost Share	1035	241710	004149	Sr. Heavy Equipment Operator	229418	17,441	7,648	25,089	
Cost Share	1037	241712	004149	Sr. Heavy Equipment Operator	231729	17,441	7,648	25,089	
Cost Share	1038	241713	004149	Sr. Heavy Equipment Operator	253920	17,441	7,648	25,089	
Cost Share	1039	241714	004149	Sr. Heavy Equipment Operator	356240	19,999	8,770	28,769	
Cost Share	1040	241715	004149	Sr. Heavy Equipment Operator	Vacant	17,441	7,648	25,089	
Cost Share	1064	243531	004143	Laborer	308770	9,537	4,182	13,719	
Transfer In	1079	241536	001252	Program & Project Specialist	225882	41,101	18,023	59,124	
NEW (13)	1066-1078	244268-244280		Unclassified	Vacant	312,337	136,960	449,297	
				*Position 241037 Transferred in from 506008 account.				-	
*Cost Share Employees with FHWA						622,798	272,808	1,833,886	
PAGE TOTAL:									




<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>FHWA - DEPT OF ROADS</u> Contract/Grant No.: <u>DTFH69-19-H-00028</u> Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K19100X</u> Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> No Match Funds Required. Department of Roads is primarily vested the authority and responsibility to improve, repair & maintain the NN Transportation network of roadways and to improve necessary services for safe usage and protection of the roadway investments as designated by the Navajo Nation approved road inventory			
<b>PART III. BUDGET INFORMATION:</b>			
<b>(A)</b> Major Object Code and Description	<b>(B)</b> Current Award Fiscal Year 18	<b>(C)</b> Anticipated Funding Fiscal Year 19	<b>(D)</b> Difference Columns (C) - (B)
2001 Personnel Expenses		2,246,769	2,246,769.00
3000 Travel Expenses		358,703	358,702.70
3500 Meeting Expenses			
4000 Supplies		225,175	225,175.00
5000 Lease and Rental		118,674	118,674.00
5500 Communication and Utilities		122,500	122,500.00
6000 Repairs and Maintenance		229,900	229,900.35
6500 Contractual Services		59,209	59,209.30
7000 Special Transaction		48,281	48,280.77
8000 Assistance			
9000 Capital Outlay		246,039	246,039.00
9510 Matching - Cash		458,737	458,736.65
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>		<b>4,113,987</b>	<b>4,113,986.77</b>
<b>PART IV.</b> MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
<b>PART V. ACKNOWLEDGEMENT:</b> Contracting Officer's Signature / Date: _____ Submitted by (print): <u>Joseph Peterman, Department Manager I</u> Signature/Date: <u>[Signature] 4/27/18</u> Approved by (print): <u>Garret Silversmith, Division Director</u> Signature/Date: <u>[Signature] 4/27/18</u>			

<b>PART I. PROGRAM INFORMATION:</b> Funding Period: 10/1/18-9/30/19 Program Name/Title: FHWA - DEPT OF ROADS K #: K171002 Contract/Grant No.: DTFH69-17-H-00028 Prepared by: Sheila Clyde, Sr. Office Specialist			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> No Match Funds Required. Department of Roads is primarily vested the authority and responsibility to improve, repair & maintain the NN Transportation network of roadways and to improve necessary services for safe usage and protection of the roadway investments as designated by the Navajo Nation approved road inventory			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	1,111,948	1,134,821	22,873.76
3000 Travel Expenses	246,680	112,023	(134,656.94)
3500 Meeting Expenses			
4000 Supplies	170,045	55,130	(114,914.71)
5000 Lease and Rental	95,081	23,593	(71,488.50)
5500 Communication and Utilities	99,820	22,680	(77,140.06)
6000 Repairs and Maintenance	180,985	48,915	(132,070.33)
6500 Contractual Services		59,209	59,209.30
7000 Special Transaction	19,080	29,200	10,119.93
8000 Assistance			
9000 Capital Outlay	29,268	216,771	187,502.34
9510 Matching - Cash	245,660	213,076	(32,583.81)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>2,198,568</b>	<b>1,915,419</b>	<b>(283,149.02)</b>
<b>PART IV.</b> MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
<b>CONCURRED BY:</b> Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b> Submitted by (print): Joseph Peleman, Department Manager I Signature/Date: <i>[Signature]</i> 6/27/18 Approved by (print): Garret Silversmith, Division Director Signature/Date: <i>[Signature]</i> 6/27/18			

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 2019

PART I. PROGRAM INFORMATION:		Funding Period: 10/1/18-9/30/19	
Program Name/Title: FHWA - DEPT OF ROADS		K #: K161009	
Contract/Grant No.: DTFH69-16-H-00028		Prepared by: Sheila Clyde, Sr. Office Specialist	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT No Match Funds Required. Department of Roads is primarily vested the authority and responsibility to improve, repair & maintain the NN Transportation network of roadways and to improve necessary services for safe usage and protection of the roadway investments as designated by the Navajo Nation approved road inventory			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	1,822,314	80,672	(1,741,641.29)
3000 Travel Expenses	343,140		(343,139.72)
3500 Meeting Expenses			-
4000 Supplies	582,309	104,765	(477,544.47)
5000 Lease and Rental	224,695	13,666	(211,029.80)
5500 Communication and Utilities	97,222		(97,222.39)
6000 Repairs and Maintenance	108,500	29	(108,471.28)
6500 Contractual Services			-
7000 Special Transaction	42,516	520	(41,995.41)
8000 Assistance			-
9000 Capital Outlay	66,587	36,576	(30,010.68)
9510 Matching - Cash	460,495	144,844	(315,651.58)
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation			-
<b>TOTALS:</b>	<b>3,747,779</b>	<b>381,072</b>	<b>(3,366,706.62)</b>
PART IV.			
CONCURRED BY:			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
Contracting Officer's Signature / Date:			
PART V. ACKNOWLEDGEMENT:		Approved by (print): Garret Silversmith, Division Director	
Submitted by (print): Joseph Peleman/Department Manager I		Signature/Date:  4/27/18	
Signature/Date:			



PART I. PROGRAM INFORMATION:

Program Name/Title:

FWHA - N6461 DENNEHOTSO

Contract/Grant No.:

DTFH69-14-H-00028

Funding Period:

10/1/18-9/30/19

K #:

K141009

Prepared by:

Sheila Clyde, Sr. Office Specialist

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

FWHA allocation for TTIP Road Projects.

PART III. BUDGET INFORMATION:

(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			
3000 Travel Expenses			
3500 Meeting Expenses			
4000 Supplies			
5000 Lease and Rental			
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services	825,000		(825,000.00)
7000 Special Transaction			
8000 Assistance			
9000 Capital Outlay		750,000	750,000.00
9510 Matching - Cash	109,401	30,437	(78,963.94)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
TOTALS:	934,401	780,437	(153,963.94)

PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:

MATCH FUNDS - Required GF In-Kind Match:

Required GF % Match:

CONCURRED BY:


Contracting Officer's Signature / Date:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Joseph Peterman, Department Manager I


Signature/Date:

 6/27/18

Approved by (print):

Garret Silversmith, Division Director


Signature/Date:

 6/27/18



THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: GRAVEL PUJN251 TSELANI-CTNWD		Funding Period: 10/1/18-9/30/19	
Contract/Grant No.: DTFH69-14-H-00028		K #: K141011	
		Prepared by: Sheila Clyde, Sr. Office Specialist	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			-
3000 Travel Expenses			-
3500 Meeting Expenses			-
4000 Supplies			-
5000 Lease and Rental			-
5500 Communication and Utilities			-
6000 Repairs and Maintenance			-
6500 Contractual Services			-
7000 Special Transaction			-
8000 Assistance			-
9000 Capital Outlay	104,459	395,544	291,084.50
9510 Matching - Cash			-
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation			-
<b>TOTALS:</b>	<b>104,459</b>	<b>395,544</b>	<b>291,084.50</b>
<b>PART IV.</b>			
<b>CONCURRED BY:</b>			
MATCH FUNDS - No. of Positions:			-
MATCH FUNDS - Required GF Cash Match:			-
Required GF In-Kind Match:			-
Required GF % Match:			-
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): Joseph Palermo, Department Manager I		Approved by (print): Garret Silversmith, Division Director	
Signature/Date: _____		Signature/Date: _____ 6/27/18	

<b>PART I. PROGRAM INFORMATION:</b> <div> <div>Program Name/Title:</div> <div>DENNEHOTSO BRIDGE PROJ</div> </div> <div> <div>Funding Period:</div> <div>10/1/18-9/30/19</div> </div> <div> <div>Contract/Grant No.:</div> <div>DTFH69-15-H-00028</div> </div> <div> <div>K #:</div> <div>K151007</div> </div> <div> <div>Prepared by:</div> <div>Sheila Clyde Sr. Office Specialist</div> </div>			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		5,000,000	5,000,000.00
<b>TOTALS:</b>		5,000,000	5,000,000.00
<b>PART IV.</b> <div> <div>MATCH FUNDS - No. of Positions:</div> <div>MATCH FUNDS - Required GF Cash Match:</div> <div>Required GF In-Kind Match:</div> <div>Required GF % Match:</div> </div>			
<b>CONCURRED BY:</b> <div> <div>Contracting Officer's Signature / Date:</div> <div>Joseph Peferman, Department Manager I</div> </div>			
<b>PART V. ACKNOWLEDGEMENT:</b> <div> <div>Submitted by (print):</div> <div>Garret Silvernith, Division Director</div> </div> <div> <div>Signature/Date:</div> <div> 6/27/18</div> </div>			





**PART I. PROGRAM INFORMATION:**

Funding Period: 10/1/18-9/30/19

Program Name/Title: N7119 N481 LITTLEWATER K #: K151010

Contract/Grant No.: DTFH69-15-H-00028 Prepared by: Sheila Clyde, Sr. Office Specialist

**PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT**  
 FHWA allocation for TTIP Road Projects.

**PART III. BUDGET INFORMATION:**

(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			-
3000 Travel Expenses			-
3500 Meeting Expenses			-
4000 Supplies			-
5000 Lease and Rental		198,450	198,450.00
5500 Communication and Utilities			-
6000 Repairs and Maintenance			-
6500 Contractual Services			-
7000 Special Transaction			-
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash		1,151,550	1,151,550.00
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation			-
<b>TOTALS:</b>		<b>1,350,000</b>	<b>1,350,000.00</b>

**PART IV.**

**MATCH FUNDS - No. of Positions:**

**MATCH FUNDS - Required GF Cash Match:**

**Required GF In-Kind Match:**

**Required GF % Match:**

**CONCURRED BY:**

Contracting Officer's Signature / Date:

**PART V. ACKNOWLEDGEMENT:**

Submitted by (print): Joseph Peterman, Department Manager I

Signature/Date: [Signature] 6/27/18

Approved by (print): Garret Silversmith, Division Director

Signature/Date: [Signature] 6/27/18



<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>DENNEHOTSO LOOP</u> Contract/Grant No.: <u>DTFH69-16-H-00028</u>		Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K161014</u> Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		650,000	650,000.00
<b>TOTALS:</b>		650,000	650,000.00
<b>PART IV.</b>			
<b>CONCURRED BY:</b>			
MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): <u>Joseph Peterman, Department Manager I</u> Signature/Date: <u>[Signature] 6/27/18</u>		Approved by (print): <u>Garret Silversmith, Division Director</u> Signature/Date: <u>[Signature] 6/27/18</u>	

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>LITTLEWATER</u> Contract/Grant No.: <u>DTFH69-16-H-00028</u> Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K161016</u> Prepared by: <u>Sheila Clyde Sr. Office Specialist</u>			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> No Match Funds Required. Department of Roads is primarily vested the authority and responsibility to improve, repair & maintain the NIN Transportation network of roadways and to improve necessary services for safe usage and protection of the roadway investments as designated by the Navajo Nation approved road inventory.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses		599,775	599,775.00
3000 Travel Expenses		145,458	145,458.00
3500 Meeting Expenses			
4000 Supplies	260,519	389,051	128,531.89
5000 Lease and Rental	2,549	59,852	57,303.00
5500 Communication and Utilities		68,800	68,800.00
6000 Repairs and Maintenance	500	3,500	3,000.00
6500 Contractual Services		250,000	250,000.00
7000 Special Transaction		23,665	23,665.00
8000 Assistance			
9000 Capital Outlay	99,735	1,288,092	1,188,357.30
9510 Matching - Cash	16,837	284,183	267,345.96
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>380,140</b>	<b>3,112,376</b>	<b>2,732,236.15</b>
<b>PART IV.</b> MATCH FUNDS - No. of Positions: - MATCH FUNDS - Required GF Cash Match: - Required GF In-Kind Match: - Required GF % Match: -			
<b>PART V. ACKNOWLEDGEMENT:</b> Contracting Officer's Signature / Date: _____ Submitted by (print): <u>Joseph Peterman, Department Manager I</u> Signature/Date: <u>[Signature] 6/27/18</u> Approved by (print): <u>Garet Silversmith, Division Director</u> Signature/Date: <u>[Signature] 6/27/18</u>			

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: <u>WHEATFIELDS</u>		Funding Period: <u>10/1/18-9/30/19</u>	
Contract/Grant No.: <u>DTFH69-16-H-00028</u>		K #: <u>K161017</u>	
		Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			
3000 Travel Expenses			
3500 Meeting Expenses			
4000 Supplies			
5000 Lease and Rental			
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction			
8000 Assistance			
9000 Capital Outlay			
9510 Matching - Cash			
9610 Matching - In - Kind		10,000,000	10,000,000.00
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>		<b>10,000,000</b>	<b>10,000,000.00</b>
<b>PART IV.</b>			
<div> <div>CONCURRED BY:</div> <div> <div>MATCH FUNDS - No. of Positions:</div> <div>MATCH FUNDS - Required GF Cash Match:</div> <div>Required GF In-Kind Match:</div> <div>Required GF % Match:</div> </div> </div>			
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): <u>Joseph Pterman, Department Manager I</u>		Approved by (print): <u>Garret Silversmith, Division Director</u>	
Signature/Date: <u>[Signature] 6/27/18</u>		Signature/Date: <u>[Signature] 6/27/18</u>	



THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 2019

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: <u>BIRDSPRINGS</u>		Funding Period: <u>10/1/18-9/30/19</u>	
Contract/Grant No.: <u>DTFH69-16-H-00028</u>		K #: <u>K161018</u>	
		Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b>			
FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			
3000 Travel Expenses			
3500 Meeting Expenses			
4000 Supplies			
5000 Lease and Rental			
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction			
8000 Assistance			
9000 Capital Outlay			
9510 Matching - Cash			
9610 Matching - In - Kind		1,508,000	1,508,000.00
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>		<b>1,508,000</b>	<b>1,508,000.00</b>
<b>PART IV.</b>			
<b>MATCH FUNDS - No. of Positions:</b>			
<b>MATCH FUNDS - Required GF Cash Match:</b>			
<b>Required GF In-Kind Match:</b>			
<b>Required GF % Match:</b>			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): <u>Joseph Peterman, Department Manager I</u>		Approved by (print): <u>Garret Silversmith, Division Director</u>	
Signature/Date: <u>[Signature]</u>		Signature/Date: <u>[Signature]</u>	



<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: RD PR 16 / N251 TSELANI-COTNWD		Funding Period: 10/1/18-9/30/19	
Contract/Grant No.: DTFH69-16-H-00028		K #: K161019	
		Prepared by: Sheila Clyde, Sr. Office Specialist	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			
3000 Travel Expenses			
3500 Meeting Expenses			
4000 Supplies			
5000 Lease and Rental			
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction			
8000 Assistance			
9000 Capital Outlay			
9510 Matching - Cash			
9610 Matching - In - Kind		500,000	500,000.00
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>		<b>500,000</b>	<b>500,000.00</b>
<b>PART IV.</b>			
<b>MATCH FUNDS - No. of Positions:</b>			
<b>MATCH FUNDS - Required GF Cash Match:</b>			
<b>Required GF In-Kind Match:</b>			
<b>Required GF % Match:</b>			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): Joseph Peterman, Department Manager I		Approved by (print): Garret Silvernith, Division Director	
Signature/Date: _____		Signature/Date: _____ 6/27/18	

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>NDOT N6461 DENNEHOTSO</u> Contract/Grant No.: <u>DTFH69-17-H-00028</u>		Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K171007</u> Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		619,876	619,876.31
<b>TOTALS:</b>		<b>619,876</b>	<b>619,876.31</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
MATCH FUNDS - Required GF In-Kind Match:			
Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): <u>Joseph Peterman, Department Manager I</u> Signature/Date: <u>[Signature] 6/27/18</u>		Approved by (print): <u>Garret Silvermith, Division Director</u> Signature/Date: <u>[Signature] 6/27/18</u>	

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 2019

<b>PART I. PROGRAM INFORMATION:</b> Funding Period: 10/1/18-9/30/19 Program Name/Title: N12(19-4) WHEATFIELDS / TSAILE K #: K171008 Contract/Grant No.: DTFH69-17-H-00028 Prepared by: Sheila Clyde, Sr. Office Specialist			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		9,400,000	9,400,000.00
<b>TOTALS:</b>		9,400,000	9,400,000.00
<b>PART IV.</b> MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
<b>PART V. ACKNOWLEDGEMENT:</b> Contracting Officer's Signature / Date: _____ Submitted by (print): Joseph Peterman, Department Manager I Signature/Date: Joe Peterman 6/27/18 Approved by (print): Garret Silversmith, Division Director Signature/Date: Garret Silversmith 6/27/18			



THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

## PART I. PROGRAM INFORMATION:

Program Name/Title: NDOT N71 (3) BIRDSPRINGS Funding Period: 10/1/18-9/30/19  
Contract/Grant No.: DTFH69-17-H-00028 K #: K171009  
Prepared by: Sheila Clyde, Sr. Office Specialist

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT  
FHWA allocation for TTIP Road Projects.

## PART III. BUDGET INFORMATION:

(A) Major Object Code and Description		(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses				-
3000 Travel Expenses				-
3500 Meeting Expenses				-
4000 Supplies				-
5000 Lease and Rental				-
5500 Communication and Utilities				-
6000 Repairs and Maintenance				-
6500 Contractual Services				-
7000 Special Transaction				-
8000 Assistance				-
9000 Capital Outlay				-
9510 Matching - Cash				-
9610 Matching - In - Kind				-
9710 Indirect Cost (Overhead) Allocation			10,838,160	10,838,160.00
TOTALS:		-	10,838,160	10,838,160.00

## PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:

Required GF In-Kind Match:

Required GF % Match:

CONCURRED BY:

Contracting Officer's Signature / Date:

## PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Joseph Peterman, Department Manager I

Signature/Date:

Approved by (print):

Garret Silversmith, Division Director

Signature/Date:

6/27/18



<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>NDOT M15 CONSTRUCTION</u> Contract/Grant No.: <u>DTFH69-17-H-00028</u>		Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K171010</u> Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		14,548,725	14,548,724.73
<b>TOTALS:</b>	-	14,548,725	14,548,724.73
<b>PART IV.</b>			
<b>CONCURRED BY:</b>			
MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
<b>PART V. ACKNOWLEDGEMENT:</b> Contracting Officer's Signature / Date: _____ Submitted by (print): <u>Joseph Peteman, Department Manager I</u> Signature/Date: <u>[Signature] 6/27/19</u> Approved by (print): <u>Garret Silversmith, Division Director</u> Signature/Date: <u>[Signature] 6/27/19</u>			

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>NDOT N101 OJO AMARELLO</u> Contract/Grant No.: <u>DTFH69-17-H-00028</u>		Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K171011</u> Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		1,670,000	1,670,000.00
<b>TOTALS:</b>	-	1,670,000	1,670,000.00
<b>PART IV.</b>			
<b>CONCURRED BY:</b>			
MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): <u>Joseph Peterman, Department Manager I</u>		Approved by (print): <u>Garret Silversmith, Division Director</u>	
Signature/Date: <u>[Signature]</u>		Signature/Date: <u>[Signature] 6/27/18</u>	

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 2019

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>NDOT N15 FENCING</u> Contract/Grant No.: <u>DTFH69-17-H-00028</u> Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K171014</u> Prepared by: <u>Sheila Clyde Sr. Office Specialist</u>			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		500,000	500,000.00
TOTALS:		500,000	500,000.00
<b>PART IV.</b> MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
CONCURRED BY:			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b> Submitted by (print): <u>Joseph Peterman, Department Manager I</u> Signature/Date: <u>[Signature] 6/27/18</u>		Approved by (print): <u>Garet Silversmith, Division Director</u> Signature/Date: <u>[Signature] 6/27/18</u>	



<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>NDOT N36 SAFETY PROJECT</u> Contract/Grant No.: <u>DTFH69-17-H-00028</u>		Funding Period: <u>10/1/18-9/30/19</u> K #: <u>K171015</u> Prepared by: <u>Sheila Clyde, Sr. Office Specialist</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for TTIP Road Projects.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses 3000 Travel Expenses 3500 Meeting Expenses 4000 Supplies 5000 Lease and Rental 5500 Communication and Utilities 6000 Repairs and Maintenance 6500 Contractual Services 7000 Special Transaction 8000 Assistance 9000 Capital Outlay 9510 Matching - Cash 9610 Matching - In - Kind 9710 Indirect Cost (Overhead) Allocation		72,000	72,000.00
<b>TOTALS:</b>	-	72,000	72,000.00
<b>PART IV.</b> MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: Required GF In-Kind Match: Required GF % Match:			
<b>PART V. ACKNOWLEDGEMENT:</b> Contracting Officer's Signature / Date: _____ Submitted by (print): <u>Joseph Peterman, Department Manager I</u> Signature/Date: <u>[Signature] 6/27/18</u> Approved by (print): <u>Garret Silversmith, Division Director</u> Signature/Date: <u>[Signature] 6/27/18</u>			



THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 2019

**PART I. PROGRAM INFORMATION:**

Program Name/Title: NDOT N6331 N6330 N 21 KAIBETO Funding Period: 10/1/18-9/30/19

Contract/Grant No.: DTFH69-17-H-00028 K #: K171016

Prepared by: Shella Clyde Sr. Office Specialist

**PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT**  
FHWA allocation for TTIP Road Projects.

**PART III. BUDGET INFORMATION:**

(A) Major Object Code and Description		(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001	Personnel Expenses			
3000	Travel Expenses			
3500	Meeting Expenses			
4000	Supplies			
5000	Lease and Rental			
5500	Communication and Utilities			
6000	Repairs and Maintenance			
6500	Contractual Services			
7000	Special Transaction			
8000	Assistance			
9000	Capital Outlay			
9510	Matching - Cash		13,828,328	13,828,328.00
9610	Matching - In - Kind			
9710	Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>		-	13,828,328	13,828,328.00

**PART IV.**

**CONCURRED BY:**

MATCH FUNDS - No. of Positions: \_\_\_\_\_

MATCH FUNDS - Required GF Cash Match: \_\_\_\_\_

Required GF In-Kind Match: \_\_\_\_\_

Required GF % Match: \_\_\_\_\_

Contracting Officer's Signature / Date: \_\_\_\_\_

**PART V. ACKNOWLEDGEMENT:**

Submitted by (print): Joseph Pelenan Department Manager I

Signature/Date: [Signature] \_\_\_\_\_

Approved by (print): Garret Silversmith, Division Director

Signature/Date: [Signature] 6/27/18



THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 19

**PART I. PROGRAM INFORMATION:**

Funding Period: 10/1/18-9/30/19

Program Name/Title: FHWA - DEPT OF ROADS K #: K151002

Contract/Grant No.: DTFH69-15-H-00028 Prepared by: Sheila Clyde, Sr. Office Specialist

**PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT**

No Match Funds Required. Department of Roads is primarily vested the authority and responsibility to improve, repair & maintain the NN Transportation network of roadways and to improve necessary services for safe usage and protection of the roadway investments as designated by the Navajo Nation approved road inventory

**PART III. BUDGET INFORMATION:**

(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	1,759,782	252	(1,759,530.09)
3000 Travel Expenses	497,879		(497,878.74)
3500 Meeting Expenses			
4000 Supplies	323,084	391,102	68,018.51
5000 Lease and Rental	1,500		(1,500.00)
5500 Communication and Utilities	15,917		(15,917.19)
6000 Repairs and Maintenance	20,136		(20,136.11)
6500 Contractual Services			
7000 Special Transaction	42,397	4,747	(37,650.53)
8000 Assistance			
9000 Capital Outlay	390,924		(390,924.00)
9510 Matching - Cash	386,253	129,955	(256,298.13)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>3,437,872</b>	<b>526,056</b>	<b>(2,911,816.28)</b>

**PART IV.**

**CONCURRED BY:**

MATCH FUNDS - No. of Positions: -

MATCH FUNDS - Required GF Cash Match: -

Required GF In-Kind Match: -

Required GF % Match: -

Contracting Officer's Signature / Date: \_\_\_\_\_

**PART V. ACKNOWLEDGEMENT:**

Submitted by (print): Joseph Peterman / Department Manager i

Signature/Date:  6/23/18

Approved by (print): Garret Silversmith, Division Director

Signature/Date:  6/23/18

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## THE NAVAJO NATION PROGRAM BUDGET SUMMARY

FY 2019

<b>PART I. Business Unit No.:</b> 506008		<b>Program Title:</b> Road Fund / Department of Project Management		<b>Division/Branch:</b> Transportation / Executive	
<b>Prepared By:</b> Cynthia Todachne, Sr. Accountant		<b>Phone No.:</b> 505-371-8300		<b>Email Address:</b> ctodachne@navajodot.org	

PART II. FUNDING SOURCE(S)		Fiscal Year / Term	Amount	% of Total
FET - Dept of Project Mgmt		10/1/18-9/30/19	700,001.00	23%
FHWA - Project Mgmt: K161011		10/1/15-12/31/18	304,537.00	10%
FHWA - Project Mgmt: K171004		10/1/16-12/31/18	708,427.00	24%
FHWA - Project Mgmt: K19100X		10/1/18-12/31/18	1,282,137.00	43%

PART III. BUDGET SUMMARY		Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
2001	Personnel Expenses		528,908	567,303	38,395
3000	Travel Expenses		44,975	52,534	7,559
3500	Meeting Expenses				0
4000	Supplies		23,704	29,863	6,159
5000	Lease and Rental		1,000	540	(460)
5500	Communications and Utilities		4,800	13,740	8,940
6000	Repairs and Maintenance		10,743	10,650	(93)
6500	Contractual Services		750	7,220	6,470
7000	Special Transactions		30,636	18,151	(12,485)
8000	Public Assistance				0
9000	Capital Outlay				0
9500	Matching Funds				0
9500	Indirect Cost				0
<b>TOTAL</b>			<b>\$645,516.00</b>	<b>700,001</b>	<b>54,485</b>

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		18	21
Total # of Permanently Assigned Vehicles:		4	4

**PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.**

**SUBMITTED BY: Program Manager's Printed Name**  
*Maie S. Dineen* 6/29/18

**SUBMITTED BY: Program Manager's Signature and Date**  
*Maie S. Dineen* 6/29/18

**SUBMITTED BY: Division Director's Printed Name**  
*Garret Silversmith* 6/29/18

**SUBMITTED BY: Division Director's Signature and Date**  
*Garret Silversmith* 6/29/18





FY 2019

# The Navajo Nation Listing of Positions and Assignments by Business Unit

DPM VERIFICATION DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 ACTUAL		FY 2019 PROPOSED	
						G/S	SALARY	HOURS	BUDGET PERIOD
506008			NDOT - 5% PRELIMINARY STUDIES						
1001	931778	3626	HISTORIC PRESERVATION PRGM MGR	15156	TSE AI67D		57,740.80	1,040	10/01/2018 09/30/2019
1004	240457	3616	ARCHAEOLOGIST	155847	TSE AI64B		42,161.60	1,040	10/01/2018 09/30/2019
1005	240199	3033	ENVIRONMENTAL SPEC	155969	WIN AI65B		46,051.20	1,040	10/01/2018 09/30/2019
1010	939041	1518	SR ACCOUNTANT	129552	TSE AI65E		50,065.60	1,040	10/01/2018 09/30/2019
1013	243171	3616	ARCHAEOLOGIST	VACANT	TSE AI64A		40,414.40	520	10/01/2018 09/30/2019
1015	243011	3312	ASSOCIATE CIVIL ENGINEER	VACANT	TSE AJ63A		42,619.20	520	10/01/2018 09/30/2019
1016	243172	3325	ENGINEERING TECH	117768	TSE AI58A		24,731.20	1,040	10/01/2018 09/30/2019
1019	150610	3323	PRIN ENGINEERING TECH	15936	WIN AI63B		38,688.00	1,040	10/01/2018 09/30/2019
1022	248901	3032	SR ENVIRONMENTAL SPEC	12119	CHI AI66B		49,920.00	1,040	10/01/2018 09/30/2019
1024	154415	3324	SR ENGINEERING TECH	118355	WIN AI60B		30,180.80	1,040	10/01/2018 09/30/2019
1025	240169	3616	ARCHAEOLOGIST	39868	TSE AI64A		41,017.60	1,040	10/01/2018 09/30/2019
1035	933132	1366	OFFICE SPEC	163372	FAR AI58D		26,977.60	1,040	10/01/2018 09/30/2019
1054	240390	3615	SR ARCHAEOLOGIST	238593	WIN AI66B		49,920.00	1,040	10/01/2018 09/30/2019
1055	241867	3033	ENVIRONMENTAL SPEC	255116	TSE AI65B		46,051.20	1,040	10/01/2018 09/30/2019
1101	243173	3033	ENVIRONMENTAL SPEC	VACANT	DLC AI65A		44,054.40	520	10/01/2018 09/30/2019
1102	946791	3033	ENVIRONMENTAL SPEC	VACANT	FAR AI65A		44,054.40	520	10/01/2018 09/30/2019
1107	241345	3614	PRIN ARCHAEOLOGIST	VACANT	DLC AI67A		52,062.40	520	10/01/2018 09/30/2019
1108	243174	3616	ARCHAEOLOGIST	16089	TSE AI64C		43,451.20	1,040	10/01/2018 09/30/2019
1009	933113	3613	SR ARCH (CONTRACT REP)	10171	FAR AI66B		49,920.00	1,040	10/01/2018 09/30/2019
1109	243175	3616	ARCHAEOLOGIST	351119	TSE AI64A		41,017.60	1,040	10/01/2018 09/30/2019

*Sammy Smith 06/27/18*

FY 2019

The Navajo Nation  
Listing of Positions and Assignments by Business Unit

*Summary Sheet 06/27/18*  
DPM VERIFICATION DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 ACTUAL G/S	FY 2019 PROPOSED HOURS	BUDGET PERIOD	BUDGET
2110 SUBTOTAL:									
BUSINESS UNIT TOTAL:									375,592.00
									375,592.00



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:		Business Unit No.: 506008	
Program Name/Title: Road Fund / Department of Project Management			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	<b>2001 - PERSONNEL EXPENSES</b>		567,304
	Employee salary and fringe benefits. Salary adjustments not paid by the Personnel Lapse Fund. Merit payment for eligible personnel.		
2110	Regular	375,592	375,592
2120 - Regular			
2200	Salary Adjustment	18,780	18,780
2220 - Salary Adjustment 5%			
2900	Fringe Benefits	172,932	172,932
2900 - Regular @ 43.85%			
	<b>3000 - TRAVEL EXPENSES</b>		52,534
	Monthly mileage and fleet rental. Meals, lodging and air fare directly related to program business and other miscellaneous travel expenses. Project Management staff are required to be out in field conducting environmental, archaeological, ROW surveys, other clearances as required for all road improvement preliminaries.		
3110	Fleet		10,634
3111	Monthly/Fleet 4 * 108/mo * 6mos = * 6% NNT	2,592.00	2,748
3113	Mileage - 4 * 1000 mi/ * \$.31/mi * 6 mo = * 6% NNT	7,440.00	7,886
	NNT @ 6%		
3230	Travel Expense		41,900
3240 - Per Diem Meals	10 staff * \$54/day * 25 days	16,000.00	
3250 - Lodging	10 staff * \$100/day * 25 days	25,000.00	
3290 - Other Travel Expense	Miscellaneous - supplemental for lodging increases	900.00	
<b>TOTAL</b>		<b>619,838</b>	<b>619,838</b>

PART I. PROGRAM INFORMATION:

Program Name/Title:

Road Fund / Department of Project Management

Business Unit No.:

506008

PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(U)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
4000 - SUPPLIES			29,863
	Stationary, envelopes, binders, folders, labels, pens, toner, printer supplies, power duster, field equipment, Geo Tech material, tools, screens, road signs, jump drive, SD cards, backpacks, walking sticks, fluorescent shirts Non-capital items that has value less than \$5,000 and other supplies that are necessary for day-to-day operation		
4120	Office Supplies		
4130 - General Office Supplies	1000 x 4 qtrs	4,000	4,000
4200	Non - Capital Asset		
4210 - Non Capital Furniture / Equipment	Arch / Environment Sections 988	2,988	2,988
4230 - Non Capital Computer Equipment	Lap tops, digital / video cameras 2,000		
4410	Operating Supplies		
4420 - General Operating Supplies	Operational supplies	4,600	18,679
4440 - Computer Software	software upgrade / compatible, Adobe Acrobat, GIS, Illustrator	3,500	
4450 - Postage, Courier, Shipping	\$150/qtr	600	
4450 - Postage, Courier, Shipping	Annual box rental @ \$134	134	
4470 - Uniforms	PPE, quality steel toe boots, hard hats, protective outer wear, reflective vests, helmets	2,545	
4490 - Custodial Supplies	Wipes, disinfectant spray, cleansing needs	1,500	
4500 - Medical Supplies	4 vehicle first aid kits, first aid kits travel pack, replenishing / qtr	1,300	
4520 - Bulk Paper		2,000	
4530 - Printing/Binding/Photocopying		1,000	
4540 - Books, Periodicals, Subscription	Annual subscriptions for Arch / Environmental / Standards	1,000	
4550 - Media Supplies	Presentation video for camera equipment	500	
4610	Transportation Supplies		
4620 - Parts & Supplies	tow chains, tire gauge, fire extinguishers 400 * 4 qtrs	1,600	3,200
4630 - Tires & Tubes	ATV vehicle tires / wheels 400 * 4 qtrs	1,600	
4700	Fuel		
4710 - Fuel for gas	ATV gasoline purchase 83/mo * 12	996	996
TOTAL		29,863	29,863

**THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION**

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Road Fund / Department of Project Management	Business Unit No.: 506008
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(U)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
5310	<b>5000 - LEASE AND RENTAL</b> Lease of office space / meeting space / storage Building / Space 5320 - Meeting Space 5330 - Storage Space Rental unit \$90/mo * 12 / 2 (cost share) 540	540	540
5610	<b>5500 - COMMUNICATION AND UTILITIES</b> Basic telephone services and line charges Internet services and connectivity Wireless communication services Wireless 5620 - Cellular 22 cellular phones @ \$95/mo * 12 / 2 (cost share) 12540 5630 10 cell phone/cell boosters @ \$20/mo * 12 / 2 (cost share) 1200	13,740	13,740
6300	<b>6000 - REPAIRS AND MAINTENANCE</b> Annual repair and maintenance for furniture, equipment, computer upgrade hardware and compliance equipment (GPR) Technology 6310 - Computer Hardware Repair field/office Firewall & Switches \$4500 * 2 9000 6320 - Software Support Office 365 @ \$150 * 22 staff / 2 (cost share) 1650	10,650	10,650
6820	<b>6500 - CONTRACTUAL SERVICES</b> Geo Tech Services (Non cap) 6823 - Geo Tech fees 6824 - Geo Tech expenses Other Technical Services 6850 - Diagnosis /Test /Evaluation	5,000 2,220	7,220
6830			
<b>TOTAL</b>		<b>32,150</b>	<b>32,150</b>

**PART I. PROGRAM INFORMATION:**

Program Name/Title:	Road Fund / Department of Project Management	Business Unit No.:	506008
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**PART II. DETAILED BUDGET:**

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	<b>7000 - SPECIAL TRANSACTION</b>		18,151
	Accommodations for Navajo DOT sponsored meetings and Oversight Committee meetings/events. Printing of legal advertisements of bids, other media related to Navajo DOT.		
7510	Training and Professional Dues	3750	
	7520 - Training / Registration Fees      10 staff * \$750/each / 2 [cost share]		
7710	Insurance Premiums	14401	
	7720 - Property Contents @ 9,000,000/1000 * .79		
	7740 - Vehicle - Auto Liability @ 4 ea @ 105.14		
	7750 - Vehicle - Auto Physical Damage @ 4 @ 117.63/yr		
	7765 - Policy Payment / General Liability @ 567304/100 * \$.34		
	7766 - Deductible; 2 vehicles @ 500/ea		
	7767 - Workman Comp @ \$394372 * \$.88/100		
	TOTAL	18,151	18,151



**THE NAVAJO NATION**  
**SUMMARY OF CHANGES TO BUDGETED POSITIONS**

**PART I. PROGRAM INFORMATION:**

Program Name/Title: Road Fund / Department of Project Management

Business Unit No.: 506008

**PART II. PERSONNEL/POSITION CHANGES:**

(A) Type of Change	(B) Sub Acct Object Code	(C) Position Number	(D) Job Type / Class Code	(E) Position Title	(F) Employee ID No. or Vacant	(G) Salary	(H) Fringe Benefit	(I) Total (Col. G + H)
transfer in	1001	931778	002636	Program Manager	15156	28,870	12,660	41,530
CS	1004	240457	003616	Archaeologist	155847	21,081	9,244	30,325
CS	1005	240199	003033	Environmental Specialist	155969	23,026	10,097	33,123
CS	1009	933113	003613	Sr. Archaeologist	10171	24,960	10,945	35,905
transfer in	1010	939041	001518	Senior Accountant	129552	25,033	10,977	36,010
transfer out	1011	241536	001252	Prog. Proj. Specialist	225882			-
CS	1013	243171	003616	Archaeologist	Vacant	10,254	4,497	14,751
CS	1015	243011	003312	Assoc. Civil Engineer	Vacant	10,816	4,743	15,559
CS	1016	243172	003325	Engineering Tech	117768	12,366	5,422	17,788
CS	1019	150610	003323	Principal Engineering Tech	15936	19,344	8,482	27,826
CS	1022	248901	003032	Sr. Environmental Specialist	12119	24,960	10,945	35,905
CS	1024	154415	003324	Sr. Engineering Tech	118355	15,090	6,617	21,707
transfer in	1035	933132	001366	Office Specialist	163372	13,489	5,915	19,404
CS	1054	240390	003615	Sr. Archaeologist	238593	24,960	10,945	35,905
CS	1055	241867	003033	Environmental Specialist	255116	23,026	10,097	33,123
CS	1101	243173	003033	Environmental Specialist	Vacant	11,180	4,902	16,082
CS	1102	946791	003033	Environmental Specialist	Vacant	11,180	4,902	16,082
CS	1107	241345	003616	Principal Archaeologist	Vacant	13,213	5,794	19,007
CS	1108	243174	003616	Archaeologist	16089	21,726	9,527	31,253
CS	1109	243175	003616	Archaeologist	351119	20,509	8,993	29,502
CS	1025	240169	003616	Archaeologist	39868	20,509	8,993	29,502
*1 position TRANS OUT-506007; 3 positions TRANS IN-K171004; 5 positions @ 520 hrs/ea. [2080/4]. ALL Positions @ 50% cost share with K171004 [FHWA]								-
<b>PAGE TOTAL:</b>						375,591	164,697	540,288

THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 2019

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: <u>FHWA - Project Management Dept</u> Contract/Grant No.: <u>DTFH69-16-H-00028</u>		Funding Period: <u>10/1/15-12/31/18</u> K #: <u>K161011</u> Prepared by: <u>Cynthia Todachine, Senior Accountant (per notice Rarviso/CGS)</u>	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> No Match Funds Required. Dept. of Project Mgmt provides professional and technical services to the Chapters, Regions & communities in the area of project planning, mapping, archaeological & environmental compliance for road activities, road maintenance improvements & proposed construction of roads. The critical component of public participation and involvement is to attend chapter, regional & council meetings to address transportation needs.			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 16	(C) Anticipated Funding Fiscal Year 17	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	623,342	44,587	(578,755.00)
3000 Travel Expenses	75,607	19,589	(56,018.00)
3500 Meeting Expenses			
4000 Supplies	61,723	26,477	(35,246.00)
5000 Lease and Rental	9,742	1,058	(8,684.00)
5500 Communication and Utilities	7,704	3,661	(4,043.00)
6000 Repairs and Maintenance	62,745	49,980	(12,765.00)
6500 Contractual Services	750	750	
7000 Special Transaction	26,262	(12,779)	(39,041.00)
8000 Assistance			
9000 Capital Outlay	132,726	103,377	(29,349.00)
9510 Matching - Cash	135,283	67,836	(67,447.00)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>1,135,884</b>	<b>304,536</b>	<b>(831,348.00)</b>
<b>PART IV.</b> MATCH FUNDS - No. of Positions: <u>23</u> MATCH FUNDS - Required GF Cash Match: <u>23</u> Required GF In-Kind Match: <u>23</u> Required GF % Match: <u>23</u>			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date: _____			
<b>PART V. ACKNOWLEDGEMENT:</b> Submitted by (print): <u>Taft Blackhorse, Department Manager</u> Signature/Date: <u>Maui S. Diney for 6-29-18</u> Approved by (print): <u>Barrel Silversmith, Division Director</u> Signature/Date: <u>6/29/18</u>			



PART I. PROGRAM INFORMATION:

Program Name/Title:

FHWA - Project Management Dept

Funding Period:

10/1/2016-12/31/18

Contract/Grant No.:

DTFH69-1-H-00028

K #:

K171004

Prepared by:

Cynthia Todachine Senior Accountant (per notice Ran/iso/CGS)

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

No Match Funds Required. Dept. of Project Mgmt provides professional and technical services to the Chapters, Regions & communities in the area of project planning, mapping, archaeological & environmental compliance for road activities, road maintenance improvements & proposed construction of roads. The critical component of public participation and involvement is to attend chapter, regional & council meetings to address transportation needs.

PART III. BUDGET INFORMATION:

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year 17	Anticipated Funding Fiscal Year 18	Difference Columns (C) - (B)
2001	Personnel Expenses	439,380	393,964	(45,416.00)
3000	Travel Expenses	31,893	64,913	33,020.00
3500	Meeting Expenses	-	-	-
4000	Supplies	13,856	31,362	17,506.00
5000	Lease and Rental	10,000	2,700	(7,300.00)
5500	Communication and Utilities	4,742	12,258	7,516.00
6000	Repairs and Maintenance	-	-	-
6500	Contractual Services	-	46,823	46,823.00
7000	Special Transaction	1,917	23,285	21,368.00
8000	Assistance	-	-	-
9000	Capital Outlay	-	24,295	24,295.00
9510	Matching - Cash	71,921	108,828	36,907.00
9610	Matching - In - Kind	-	-	-
9710	Indirect Cost (Overhead) Allocation	-	-	-
TOTALS:		573,709	708,428	134,719.00

PART IV.

MATCH FUNDS - No. of Positions:

23

MATCH FUNDS - Required GF Cash Match:

-

Required GF In-Kind Match:

-

Required GF % Match:

-

CONCURRED BY:

Contracting Officer's Signature / Date:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Taft Blackhorse, Department Manager

Signature/Date:

Marie S. Doney 6-29-18

Approved by (print):

Garret Silversmith, Division Director

Signature/Date:

6/29/18

PART I. PROGRAM INFORMATION:

Program Name/Title:

FHWA - Project Management Dept.

Funding Period:

10/1/18-12/31/2019

Contract/Grant No.:

DTFH69-19-H-00028

K #:

K19100X

Prepared by:

Cynthia Todachine, Senior Accountant [per notice Ranviso/CGS]

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

No Match Funds Required. Dept. of Project Mgmt provides professional and technical services to the Chapters, Regions & communities in the area of project planning, mapping, archaeological & environmental compliance for road activities, road maintenance improvements & proposed construction of roads. The critical component of public participation and involvement is to attend chapter, regional & council meetings to address transportation needs.

PART III. BUDGET INFORMATION:

(A) Major Object Code and Description	(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Columns (C) - (B)
2001 Personnel Expenses		833,344	833,344.00
3000 Travel Expenses		96,806	96,806.00
3500 Meeting Expenses		-	-
4000 Supplies		45,218	45,218.00
5000 Lease and Rental		12,700	12,700.00
5500 Communication and Utilities		17,000	17,000.00
6000 Repairs and Maintenance		-	-
6500 Contractual Services		46,823	46,823.00
7000 Special Transaction		25,202	25,202.00
8000 Assistance		-	-
9000 Capital Outlay		24,295	24,295.00
9510 Matching - Cash		180,749	180,749.00
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
TOTALS:		1,282,137	1,282,137.00

PART IV.

CONCURRED BY:

MATCH FUNDS - No. of Positions:

23

MATCH FUNDS - Required GF Cash Match:

-

Required GF In-Kind Match:

-

Required GF % Match:

-

PART V. ACKNOWLEDGEMENT:

Contracting Officer's Signature / Date:

Submitted by (print):

Taft Blackhorse, Department Manager

Signature/Date:

Approved by (print):

Garret Silversmith, Division Director





## NAVAJO DIVISION OF TRANSPORTATION

POST OFFICE BOX 3690  
WINDOW ROCK, ARIZONA 86515

TEL: 505-371-8300  
FAX: 505-371-8399

### M-E-M-O-R-A-N-D-U-M

**TO:** All Concerned  
**THRU:**  9/18/2017  
Garret Silversmith, Division Director  
**FROM:**   
Taft Blackhorse, Jr., Department Manager  
Department of Project Management  
**RE:** STANDING DELEGATION OF AUTHORITY - FISCAL YEAR 2018  
**DATE:** September 18, 2017

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This standing delegation will serve as the chain of command to oversee activities of the Navajo Division of Transportation (NDOT), Department of Project Management (DPM), in my absence:

1. Marie S. Dineyazhe, Program Manager
2. Curtis Yazzie, Archaeologist
3. Arlando S. Teller, Deputy Director

The delegated individual will be responsible to review, approve, and/or decline documents that are of a 'routine' nature, and all other documents considered 'significantly questionable' (e.g., Personnel Action Forms, etc.) will be referred to the Department Manager upon return. This document authorizes re-delegation of signature authority and authority to direct routine office operations if duties require absence away from the office.

Your cooperation with delegated staff is appreciated.


**ACKNOWLEDGEMENT:**

  
Marie S. Dineyazhe, Program Manager

**ACKNOWLEDGEMENT:**

  
Curtis Yazzie, Archaeologist

**ACKNOWLEDGEMENT:**

 9.18.17  
Arlando S. Teller, Deputy Director

9

THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: 121004		Program Title: Department of Roads - GF		Division/Branch: NDOT / Executive	
Prepared By: Melissa Peshiakai, Office Specialist		Phone No.: 505-371-8300		Email Address: mpeshiakai@navajodot.org	

PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B + A)
General Funds		10/1/18-9/30/2019	527,429.00	100.00%				1	0.00	0	0
					2001 Personnel Expenses			1			0
					3000 Travel Expenses			1			0
					3500 Meeting Expenses			1			0
					4000 Supplies			1			0
					5000 Lease and Rental			1			0
					5500 Communications and Utilities			1			0
					6000 Repairs and Maintenance			1			0
					6500 Contractual Services			1	191,923	110,000.00	(81,923)
					7000 Special Transactions			1			0
					8000 Public Assistance			1			0
					9000 Capital Outlay			1	300,000	417,429.00	117,429
					9500 Matching Funds			1			0
					9500 Indirect Cost			1			0
					<b>TOTAL</b>				\$491,923.00	527,429.00	35,506

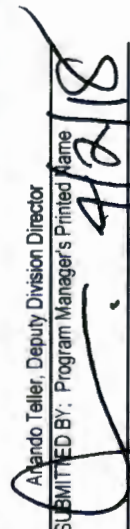

  

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		0	0
Total # of Permanently Assigned Vehicles:		0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Arlando Teller, Deputy Division Director SUBMITTED BY: Program Manager's Printed Name  4/2/18	Garret Silversmith, Division Director APPROVED BY: Division Director/Branch Chief's Printed Name  7/2/2018
SUBMITTED BY: Program Manager's Signature and Date	APPROVED BY: Division Director/Branch Chief's Signature and Date

THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA

FY 2019

PART I. PROGRAM INFORMATION:											
Business Unit No.:	121004	Program Name/Title:	Department of Roads - GF								
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:											
As enacted by CAP 13-13 The Navajo Nation Division of Transportation shall exclusively administer the Navajo Nation Transportation programs within the Navajo Nation, to provide an effective and efficient transportation system, to ensure the operation and improvement of the transportation system and to provide the necessary resources to accomplish the objectives herein.											
PART III. PROGRAM PERFORMANCE CRITERIA:											
		1st QTR		2nd QTR		3rd QTR		4th QTR			
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual		
1. Goal Statement:											
Road maintenance activities such as soil stabilization, culvert installation, drainage improvements											
Program Performance Measure:											
Road repair and maintenance services											
2. Goal Statement:											
Program Performance Measure:											
3. Goal Statement:											
Program Performance Measure:											
4. Goal Statement:											
Program Performance Measure:											
5. Goal Statement:											
Program Performance Measure:											
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.											
						Garret Silversmith					
						Division Director/Branch Chief's Printed Name					
						7/2/2019					
						Division Director/Branch Chief's Signature and Date					



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:		Business Unit No.: 121004	
Program Name/Title: Department of Roads - GF			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)		Total by DETAILED Object Code	Total by MAJOR Object Code
6500 - Contractual Services	Site assessment, preliminary engineering services, survey, testing, biological/environmental assessments, permits, planning & coordination with local chapter.		110,000
6520	Consulting		
	6530 - fees	110,000	
	6540 - expense		
9000 - CAPITAL OUTLAY	Road Infrastructure: Navajo DOT Road Dept will be assigned special projects through out the year, these funds will be used to cover the cost of materials (Road Stabilizer, culverts, gravel, stripping material, cattle guards, fencing material) and services needed to complete those special projects.		417,429
9020	Infrastructure	417,429	
	9024 - Roads		
TOTAL		527,429	527,429



THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

FY 2019

PART I. Business Unit No.: 506005		Program Title: Road Funds / FET Capital Projects		Division/Branch: NDOT / Executive	
Prepared By: Melissa Peshlakai, Office Specialist		Phone No.: 505-371-8318		Email Address: mpeshlakai@navajodot.org	

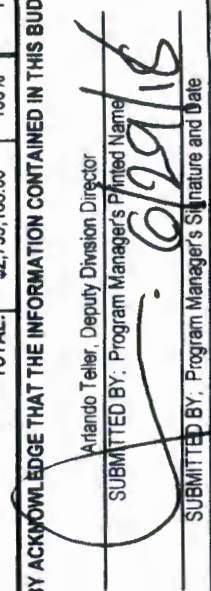

PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference (Column B - A)
Road Funds/FET Projects		10/1/18-9/30/2019	2,799,168.00	100.00%				5			0
					2001 Personnel Expenses			5			0
					3000 Travel Expenses			5			0
					3500 Meeting Expenses			5			0
					4000 Supplies			5			0
					5000 Lease and Rental			5			0
					5500 Communications and Utilities			5			0
					6000 Repairs and Maintenance			5			0
					6500 Contractual Services			5			0
					7000 Special Transactions			5			0
					8000 Public Assistance			5			0
					9000 Capital Outlay			5	3,000,000	2,799,168.00	(200,832)
					9500 Matching Funds			5			0
					9500 Indirect Cost			5			0
					TOTAL				\$3,000,000.00	2,799,168.00	(200,832)

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:			
Total # of Permanently Assigned Vehicles:			

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Armando Teller, Deputy Division Director SUBMITTED BY: Program Manager's Printed Name  SUBMITTED BY: Program Manager's Signature and Date 6/29/2018	Garret Silversmith, Division Director APPROVED BY: Division Director/Branch Chief's Printed Name  APPROVED BY: Division Director/Branch Chief's Signature and Date 6/29/2018
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THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

BUDGET FORM 4

<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: _____ Business Unit No.: 506005			
<b>PART II. DETAILED BUDGET:</b>			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
9000 - CAPITAL OUTLAY Capital Project funds as awarded to local communities per RDC legislation.			2,799,168
9020 Infrastructure 9024 - Roads	2,799,168.00	2,799,168	
TOTAL		2,799,168	2,799,168



**10**

THE NAVAJO NATION  
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: 506006		Program Title: ROAD FUNDS / DEPT OF TRANSPORTATION PLANNING		Division/Branch: NDOT / Executive	
Prepared By: Melissa Peshlakai, Office Specialist		Phone No.: 505-371-8318		Email Address: mpeshlakai@navajodot.org	

PART II. FUNDING SOURCE(S)			PART III. BUDGET SUMMARY			Fund Type Code		(A) NNC Approved Original Budget		(B) Proposed Budget		(C) Difference (Column B - A)	
	Fiscal Year Term	Amount	% of Total										
ROAD FUNDS 10%	10/1/18-9/30/2019	678,140.00	25.49%										
FHWA - K151003	10/1/14-12/31/18	121,979.00	4.58%										
FHWA - K161010	10/1/15-12/31/18	240,834.00	9.05%										
FHWA - K171003	10/1/16-12/31/18	427,448.00	16.06%										
FHWA - K19100X	10/1/18-9/30/19	1,192,498.00	44.82%										
				2001 Personnel Expenses		5	470,945	482,801.00			11,856		
				3000 Travel Expenses		5	43,468	45,120.00			1,652		
				3500 Meeting Expenses		5					0		
				4000 Supplies		5	45,881	49,120.00			3,239		
				5000 Lease and Rental		5	4,100	1,601.00			(2,499)		
				5500 Communications and Utilities		5	19,200	25,100.00			5,900		
				6000 Repairs and Maintenance		5	50,375	46,314.00			(4,061)		
				6500 Contractual Services		5	2,000	1,000.00			(1,000)		
				7000 Special Transactions		5	42,171	27,084.00			(15,087)		
				8000 Public Assistance		5					0		
				9000 Capital Outlay		5					0		
				9500 Matching Funds		5					0		
				9500 Indirect Cost		5					0		
				TOTAL			\$678,140.00	678,140.00			0		

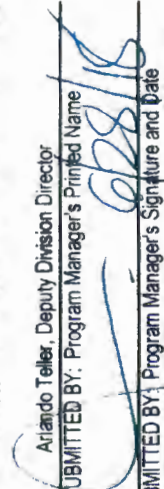

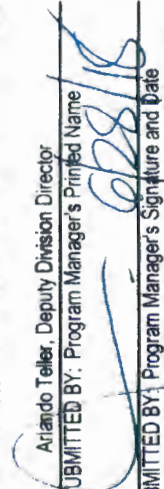

  

PART IV. POSITIONS AND VEHICLES		(D)		(E)	
Total # of Positions Budgeted:		11		11	
Total # of Permanently Assigned Vehicles:		3		3	

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Arlando Teller, Deputy Division Director SUBMITTED BY: Program Manager's Printed Name 	Garnet Silversmith, Division Director APPROVED BY: Division Director/Branch Chief's Printed Name 
SUBMITTED BY: Program Manager's Signature and Date 	APPROVED BY: Division Director/Branch Chief's Signature and Date 

THE NAVAJO NATION  
PROGRAM PERFORMANCE CRITERIA

FY 2019

PART I. PROGRAM INFORMATION:		ROAD FUNDS / DEPT OF TRANSPORTATION PLANNING									
Business Unit No.:	506006	Program Name/Title:									
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:											
As enacted by CAP 13-13 The Navajo Nation Division of Transportation shall exclusively administer the Navajo Nation Transportation programs within the Navajo Nation, to provide an effective and efficient transportation system, to ensure the operation and improvement to the transportation system and to provide the necessary resources to accomplish the objectives herein.											
PART III. PROGRAM PERFORMANCE CRITERIA:											
1. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR			
Complete 20 outreach activities on transportation related topics.		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual		
Program Performance Measure:											
Provide transportation related outreach FET activities to local government/agencies.		5		5		5		5			
2. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR			
Compile & issue to 10 members all qtrly data to Navajo Transportation stakeholders on FET projects		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual		
Program Performance Measure:											
Update the Navajo Transportation Partnership (FET) on qtrly basis		10		10		10		10			
3. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR			
By end of year provide 40 transportation related guidance to local communities		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual		
Program Performance Measure:											
Provide 40 transportation related FET technical assistance/guidance support with chapters and communities		10		10		10		10			
4. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR			
By year end all property inventory of capital asset and equipment will be updated		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual		
Program Performance Measure:											
Conduct physical property inventory for the Division by Departments per quarter		2		2		2		2			
5. Goal Statement:		1st QTR		2nd QTR		3rd QTR		4th QTR			
Provide a quarterly financial status reports to OPNP, RDC and OMB on FET		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual		
Program Performance Measure:											
Administer and monitor the FET funded programs		3		3		3		3			
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.											
Program Manager's Printed Name					Garret Silversmith, Division Director						
Arlando Teller, Deputy Director					Division Director/Branch Chief's Printed Name						
6/28/18					6/29/2018						
Program Manager's Signature and Date					Division Director/Branch Chief's Signature and Date						

Summary Smith 06/22/18

FY 2019

The Navajo Nation  
Listing of Positions and Assignments by Business Unit

DPM VERIFICATION DATE

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WKSITE CODE	FY 2018 ACTUAL		FY 2019 PROPOSED		BUDGET
						G/S	SALARY	HOURS	BUDGET PERIOD	
506006			NDOT - 3% ADMIN. ACCT.							
1005	242452	1366	OFFICE SPEC	VACANT	WIN	AI58A	24,731.20	2.080	10/01/2018 09/30/2019	24,731.00
1006	240799	1965	PROPOSAL WRITER	23432	WIN	AI65A	44,720.00	2.080	10/01/2018 09/30/2019	44,720.00
1007	210609	1518	SR ACCOUNTANT	VACANT	WIN	AI65A	44,720.00	2.080	10/01/2018 09/30/2019	44,720.00
1008	242456	1230	DEPARTMENT MGR I	233329	WIN	AI68A	57,574.40	1.040	10/01/2018 09/30/2019	28,787.00
1012	242459	1366	OFFICE SPEC	153379	TSE	AI58B	25,480.00	1.040	10/01/2018 09/30/2019	12,740.00
1024	240796	1251	SR PROGRAMS & PROJECTS SPEC	VACANT	TSE	AI67A	52,852.80	2.080	10/01/2018 09/30/2019	52,853.00
1026	241501	1230	DEPARTMENT MGR I	353516	TSE	AI68F	66,768.00	1.040	10/01/2018 09/30/2019	33,384.00
1032	241543	1364	OFFICE ASSISTANT	177941	TSE	AI66B	21,424.00	1.040	10/01/2018 09/30/2019	10,712.00
1036	242921	1251	SR PROGRAMS & PROJECTS SPEC	12393	TSE	AI67C	56,014.40	1.040	10/01/2018 09/30/2019	28,007.00
1114	242024	3309	PRIN CIVIL ENGINEER	270133	WIN	AJ69F	83,678.40	1.040	10/01/2018 09/30/2019	41,839.00
1130	242002	4016	SR PROPERTY CLERK	13573	WIN	AB59A	26,270.40	1.040	10/01/2018 09/30/2019	13,135.00
2110 SUBTOTAL:										335,628.00
BUSINESS UNIT TOTAL:										335,628.00



THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
ROAD FUNDS / DEPT OF TRANSPORTATION PLANNING		506006	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
2001 - PERSONNEL EXPENSES			482,801
2110	Regular		
	2120 - Regular Full Time position	335,628	
2900	Fringe Benefit	147,173	
	2900 - Regular @ \$335628 x 43.65%		
	147173		
3000 - TRAVEL EXPENSES			45,120
	Monthly mileage and fleet rental. Meals, lodging and air fare directly related to program business and other transportation related travel expenses. Navajo DOT FET Admin often requested to cover travel expenses for OP/MP, DOJ and others when NDOT event/ mtgs requires their attendance.		
3110	Fleet		
	3111 - Monthly Perm (Group A Class XIII sedan) 108 x 12 x 3	3888	
	3113 - Mileage (Group A Class XIII) 2000 mi/mo x .28x12x3	20160	
	rate 6% 233 1210	21,370	
	Total 4,121	25,491	
3230	Travel Expense		
	3240 Per Diem @ 5 staff x 5 trips X 5 days x 60/day	7,500	
	3250 Lodging 5 staff x 5 trips X 5 days x 60/day	7,500	
	3260 POV @ 1 staff x 200mi/mo x .545 x 6/mo	654	
	3290 Other Incidental Travel Expense	975	
3310	Air		
	3320 Commercial Air @ 1500/trip x 2 trips	3,000	
TOTAL		527,921	527,921

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
ROAD FUNDS / DEPT OF TRANSPORTATION PLANNING		506006	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
4000 - SUPPLIES			49,120
	Desk top supplies, IT supplies, small equipment supplies. Computer, printer, toner cartridges, paper, materials, brochure binding, publication subscription, custodial supplies, HR Data Base Software		
4120	Office Supplies		
4130	General Office Supplies 2500/qr x 4 qtrs	10,000	
4200	Non-Capital Asset		
4210	3 Mobile Workstation Computers @ 4500.00	13,500	
4210	Computer upgrade		
4210	Two-Way Radios @ \$1200 x 5 ea.	6,000	
4410	Operating Supplies		
4420	General Operating Supplies \$2000/dept x 3 depts	6,000	
4440	Non-Cap Computer Software HR Data Base Software	4,000	
4450	Postage, Courier Shipping \$125/qr x 4 qtrs	500 (Postal Stamps, fed ex, ups & normal rates)	
4450	Postage, Courier Shipping \$120 box rental	120	
4490	Custodial Supplies \$1000/qr * 4 qtrs	4,000	
4500	Medical Supplies (1st Aide)	1,500	
4530	Printing/Binding/Photocopying	2,000	
4540	Books/Periodicals/Subscription	1,500	
5000 - LEASE AND RENTAL			1,601
	Storage space rental, rental of meeting rooms for workseasons, committee meetings and training. Equipment lease as needed.		
5310	Building/Space Rental		
5320	Meeting spaces @ 200/per quarter * 4 qtrs	800	
5330	Storage Space @ \$63/unit x 1 x 12	801	
TOTAL		50,721	50,721

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.: 506006	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
5500 - COMMUNICATION AND UTILITIES	Basic telecommunication services, hardware installation. Services for DSL and internet connectivity. Wireless communication services.		25,100
5520	Telephone		
5530	Basic Services: \$30 x 30lines x 12 months	13,100	
5540	Long Distance \$150/mo x 12 mos		
5560	Hardware Install		
5570	Internet		
5580	DSL: \$100/mo x 12 months x 3 depts	7,200	
5600	Internet Services		
5610	Wireless		
5620	Cellular Services @ 200/mo x 12 months	4,800	
5640	satellite services @ 200/mo x 12		
6000 - REPAIRS AND MAINTENANCE			46,314
	Quarterly fees for electricians. HVAC, Pest Control, Alarm System, Sprinkler System/ Door System and light service, locksmith elevators, etc.		
	Emergency repairs on elevators.		
6040	Services		
6050	Building R&M Services (Gate repair services)	2,500	
6200	External Contractors		
6220	Electrical \$3750/qtr x 4	28,830	
6240	Pest Control \$2000/qtr x 4		
6290	General Contractor		
	(Alarm System/Sprinkler System/Doorsystem and light, locksmith/electrician, elevators etc.)		
6300	Technology		
	Navajo DOT complex IT upgrade		
6310 - Computer Hardware R&M			
6320 - Software Support VM annual Licensing/ Microsoft 365 annual subscription		14,984	
		71,414	71,414

THE NAVAJO NATION  
DETAILED BUDGET AND JUSTIFICATION

FY 2019

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	506006
ROAD FUNDS / DEPT OF TRANSPORTATION PLANNING			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD #)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
6910	6600 - CONTRACTUAL SERVICES Professional services for various program initiatives, contractual services for specialized projects. Other Consulting Services 6912 Native Traditional Services @ 1000/annual 1,000.00 Staff traditionally health	1,000	1,000
7110	7000 - SPECIAL TRANSACTION Public Relations / Program outreach for Navajo DOT FET sponsored meetings & events. Printing of legal advertisements bids, other media related to Navajo DOT all required Navajo Nation Insurance premiums. Programs 7135 Public Relation / Program outreach 2,000.00 (NDOT cost for public hearings & materials) 7180 Catering @ \$1000/qtr x 2 qtrs 2,000.00 (NDOT sponsored event ie; partnership mtgs, project mtgs, etc) 7190 Refreshment @ \$500/qtr x 4 2,000.00 (NDOT hosted mtgs with chapters, partnerships, divisions, etc.)	6,000	27,084.00
7410	Media 7440 Print Advertising - NDOT to publicize/advertise the FET 1,500.00 (Newspaper ad bill boards, mass media.) 7450 Radio Advertising @ 750/qtr x 2 1,500.00 (Solicit FET fuel purchases on the reservation)	3,000	
7510	Training and Professional Dues 7520 Training/Registration @ \$1500/yr x 3 depts Mandatory Fees 4,500.00 2,000.00 (Professional Licenses/Fees, Safety Certification)	6,500	
7710	Insurance Premiums 7720 Property Contents @ 8,000,000/1000 x .79 6,320 7740 Vehicle - Auto Liability \$105,14x3 315 7750 Vehicle - Auto Physical Damage \$117.63 x 3 353 7765 Policy Payment @482801/100x.34 1,642 7767 Workmans Comp @335628/100x.88 2,954	11,584	
TOTAL		28,084	28,084





<b>PART I. PROGRAM INFORMATION:</b> Program Name/Title: Road Fund/Dept. of Transportation of Planning Contract/Grant No.: DTFH69-19-H-00028 Funding Period: 10/1/18-9/30/19 K #: K19100X Prepared by: Melissa Peshlakai			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for Transportation Planning			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses		965,891	965,891.00
3000 Travel Expenses			
3500 Meeting Expenses		52,569	52,569.39
4000 Supplies			
5000 Lease and Rental			
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction		6,132	6,132.00
8000 Assistance		167,905	167,905.12
9000 Capital Outlay			
9510 Matching - Cash			
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>		<b>1,192,498</b>	<b>1,192,497.51</b>
<b>PART IV.</b> MATCH FUNDS - No. of Positions: MATCH FUNDS - Required GF Cash Match: MATCH FUNDS - Required GF In-Kind Match: Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b> Submitted by (print): Armando Teller, Deputy Director Signature/Date: 0/28/18			
Approved by (print): Garret Silversmith, Division Director Signature/Date: 6/29/18			

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: <u>Roads Funds/ Dept. of Transportation Planning</u>		Funding Period: <u>10/1/18-12/31/18</u>	
Contract/Grant No.: <u>DTFH69-17-H-00028</u>		K #: <u>K171003</u>	
Prepared by: <u>Melissa Peshlakai, OS</u>			
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for Transportation Planning			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	609,635	356,256	(253,378.74)
3000 Travel Expenses	53,956	(1,387)	(55,343.35)
3500 Meeting Expenses			
4000 Supplies	22	(22)	(43.58)
5000 Lease and Rental			
5500 Communication and Utilities			
6000 Repairs and Maintenance			
6500 Contractual Services			
7000 Special Transaction	2,616	3,516	900.84
8000 Assistance			
9000 Capital Outlay			
9510 Matching - Cash	98,821	69,084	(29,737.68)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>765,050</b>	<b>427,448</b>	<b>(337,602.51)</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
<b>CONCURRED BY:</b>			
Contracting Officer's Signature / Date:			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Submitted by (print): <u>Arlando Teller, Deputy Director</u>		Approved by (print): <u>Garret Silversmith, Division Director</u>	
Signature/Date: <u>[Signature] 6/28/18</u>		Signature/Date: <u>[Signature] 6/29/18</u>	



<b>PART I. PROGRAM INFORMATION:</b>			
Funding Period: 10/1/15-12/31/18		Program Name/Title: Road Fund - Dept. of Transportation Planning	
Contract/Grant No.: DTFH69-16-H-00028		K #: K161010	
Prepared by: Melissa Peshlakai, OS		K #:	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for Transportation Planning			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	772,325	5,124	(767,200.36)
3000 Travel Expenses	108,907	24,172	(84,735.30)
3500 Meeting Expenses			
4000 Supplies	95,417	16,523	(78,893.80)
5000 Lease and Rental	43,245	2,775	(40,470.45)
5500 Communication and Utilities	30,608	14,503	(16,104.90)
6000 Repairs and Maintenance	6,364	36	(6,328.46)
6500 Contractual Services			
7000 Special Transaction	16,197	3,885	(12,312.44)
8000 Assistance			
9000 Capital Outlay		122,200	122,199.67
9510 Matching - Cash	165,243	51,617	(113,625.52)
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation			
<b>TOTALS:</b>	<b>1,238,306</b>	<b>240,834</b>	<b>(997,471.56)</b>
<b>PART IV.</b>			
MATCH FUNDS - No. of Positions:		MATCH FUNDS - Required GF Cash Match:	
MATCH FUNDS - Required GF In-Kind Match:		Required GF % Match:	
<b>CONCURRED BY:</b>			
<b>PART V. ACKNOWLEDGEMENT:</b>			
Contracting Officer's Signature / Date:		Submitted by (print): Arlando Teller, Deputy Director	
Signature/Date:		Approved by (print): Garret Silversmith, Division Director	
Signature/Date:		Signature/Date:	



THE NAVAJO NATION  
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

<b>PART I. PROGRAM INFORMATION:</b>			
Program Name/Title: Road Fund/ Dept. of Transportation Planning		Funding Period: 10/1/14-12/31/18	
Contract/Grant No.: DTFH69-15-H-00028		K #: K151003	
		Prepared by: Melissa Peshlakai, OS	
<b>PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT</b> FHWA allocation for Transportation Planning			
<b>PART III. BUDGET INFORMATION:</b>			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	695,814		(695,814.29)
3000 Travel Expenses	101,438	(243)	(101,681.75)
3500 Meeting Expenses			-
4000 Supplies	59,380		(59,379.60)
5000 Lease and Rental	6,998		(6,997.50)
5500 Communication and Utilities	38,370		(38,370.05)
6000 Repairs and Maintenance	287		(287.49)
6500 Contractual Services			-
7000 Special Transaction	27,795		(27,794.99)
8000 Assistance			-
9000 Capital Outlay		103,075	103,074.74
9510 Matching - Cash	139,632	19,147	(120,485.04)
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation			-
<b>TOTALS:</b>	<b>1,069,715</b>	<b>121,979</b>	<b>(947,735.97)</b>
<b>PART IV.</b>			
<b>MATCH FUNDS - No. of Positions:</b>			
<b>MATCH FUNDS - Required GF Cash Match:</b>			
<b>Required GF In-Kind Match:</b>			
<b>Required GF % Match:</b>			
<b>CONCURRED BY:</b>			
<b>Contracting Officer's Signature / Date:</b>			
<b>PART V. ACKNOWLEDGEMENT:</b>			
<b>Submitted by (print):</b> Arlando Teller, Deputy Director		<b>Approved by (print):</b> Garret Silversmith, Division Director	
<b>Signature/Date:</b> <u>Arlando Teller</u> 6/28/18		<b>Signature/Date:</b> <u>Garret Silversmith</u> 6/20/18	



## MEMORANDUM

TO: Honorable Alton Shepherd  
Jeddito, Cornfields, Ganado, Kinlichee, Steamboat Chapters

FROM: Mariana Kahn  
Mariana Kahn, Attorney  
Office of Legislative Counsel

DATE: July 6, 2019

SUBJECT: A PROPOSED STANDING COMMITTEE RESOLUTION; AN ACTION  
RELATING TO RESOURCES AND DEVELOPMENT COMMITTEE;  
APPROVING AND RECOMMENDING TO THE BUDGET AND FINANCE  
COMMITTEE THE PROPOSED FISCAL YEAR 2019 BUDGET FOR THE  
NAVAJO DIVISION OF TRANSPORTATION

As requested, I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. Based on existing law and review of documents submitted, the resolution as drafted is legally sufficient. As with any action of government however, it can be subject to review by the courts in the event of proper challenge.

The Office of Legislative Counsel confirms the appropriate standing committee(s) based on the standing committees powers outlined in 2 N.N.C. §§301, 401, 501, 601 and 701. Nevertheless, "the Speaker of the Navajo Nation Council shall introduce [the proposed resolution] into the legislative process by assigning it to the respective oversight committee(s) of the Navajo Nation Council having authority over the matters for proper consideration." 2 N.N.C. §164(A)(5).

If the proposed resolution is unacceptable to you, please contact me at the Office of Legislative Counsel and advise me of the changes you would like made to the proposed resolution.

THE NAVAJO NATION  
LEGISLATIVE BRANCH  
INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: \_0249-18\_\_\_\_\_ SPONSOR: Alton JoeShepherd/Benjamin Bennett

TITLE: An Action Relating to Resources and Development Committee; Approving and  
Recommending to the Budget and Finance Committee the Proposed Fiscal Year 2019  
Budget for the Navajo Division of Transportation

*Date posted:* July 10, 2018 at 12:43pm

Digital comments may be e-mailed to [comments@navajo-nsn.gov](mailto:comments@navajo-nsn.gov)

Written comments may be mailed to:

Executive Director  
Office of Legislative Services  
P.O. Box 3390  
Window Rock, AZ 86515  
(928) 871-7590

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

**Please note:** This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 *et. seq.*

**THE NAVAJO NATION  
LEGISLATIVE BRANCH  
INTERNET PUBLIC REVIEW SUMMARY**

**LEGISLATION NO.: 0249-18**

**SPONSOR: Honorable Alton Joe Shepherd**

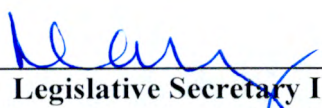
**TITLE: An Action Relating to Resources and Development Committee; Approving and Recommending to the Budget and Finance Committee the Proposed Fiscal Year 2019 Budget for the Navajo Division of Transportation**

**Posted: July 10, 2018 at 12:43pm**

**5 DAY Comment Period Ended: July 15, 2018**

**Digital Comments received:**

<b>Comments Supporting</b>	<i>None</i>
<b>Comments Opposing</b>	<i>None</i>
<b>Inconclusive Comments</b>	<i>None</i>

  
\_\_\_\_\_  
**Legislative Secretary II  
Office of Legislative Services**

7/16/2018 8:26am  
\_\_\_\_\_  
**Date/Time**



**RESOURCES AND DEVELOPMENT COMMITTEE  
23rd NAVAJO NATION COUNCIL**

**FOURTH YEAR 2018**

**COMMITTEE REPORT**

Mr. Speaker,

The **RESOURCES AND DEVELOPMENT COMMITTEE** to whom has been assigned:

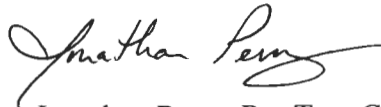
**Legislation # 0249-18:** Action Relating to Resources and Development and Budget and Finance Committees; Approving and Recommending to the Budget and Finance Committee the Proposed Fiscal Year 2019 Budget for the Navajo Division of Transportation *Sponsor: Honorable Benjamin Bennett*

Has had it under consideration and reports a DO PASS with the following amendment:

Delete Exhibits A through E and incorporated revised Exhibits A – E as the new exhibits to the Budget legislation

And thereafter referred the resolution was approved.

Respectfully submitted,



Jonathan Perry, Pro Tem Chairperson  
Resource and Development Committee of  
the 23<sup>rd</sup> Navajo Nation Council

Date: July 23, 2018

Meeting Location: NDOT – Tse Bonito, New Mexico

**Main Motion:** Davis Filfred                      Second; Leonard Pete                      Vote: 4-0-1 (CNV)

YEAS: Benjamin Bennett, Leonard Pete, Walter Phelps and Davis Filfred

EXCUSED: Alton Joe Shepherd

**Amendment # 1 – Swap out Exhibits A – E and attached the corrected Exhibits A - E**

**Motion:** Walter Phelps                      Second; Davis Filfred                      Vote: 4-0-1 (CNV)

YEAS: Benjamin Bennett, Leonard Pete, Walter Phelps and Davis Filfred

EXCUSED: Alton Joe Shepherd

[illegible]



## Recommended Changes to the General Fund Budget

**Branch:** Executive  
**Division:** Navajo Division of Transportation

[illegible]





**The Navajo Nation  
Recommended Unmet Needs Budgets**

Branch: \_\_\_\_\_

Executive \_\_\_\_\_

Division: Navajo Division of Transportation

(A) Business Unit Number	(B) Program Title	(C) Amount of Unmet Need	(D) Explanation of Recommended UNMET NEEDS to the FY 2019 General Fund Budget
121004	Dept. of Roads - GF	70,000	* Two (2) New Gravel Pit Development Sites.
121004	Dept. of Roads - GF	1,630,000	* 20 - miles of Dirt Road Improvement (Sub Grade prep, 3" gravel, road stabilization)
121003	Department of Airports	1,250,000	* Airport Safety Elements: \$475K to relocate WR A/P fuel farm to safer location. Currently creates hazard to emerg. Med. Flight movement. \$525K to install perimeter fence at TC A/P to keep it secure from wildlife and illegal activity. \$250K to install security camera system to monitor 3 A/Ps via microwave system to monitor illegal drug drops, drag racing, and other illegal activity.
121004	Dept. of Roads - GF	600,000	7 additional positions: one (1) Sr. HEO, (2) HEO and (4) Laborers for Dept. of Roads: with 5 agencies to cover with road maintenance activities, adequate manpower needs to be in place to address the actual needs in the field and to respond to emergencies and other requests by the traveling public.
121004	Dept. of Roads - GF	250,000	* 10 - acres on Navajo/Hopi Land Commission parcel (Tse Bonito) needed for equipment and materials: department of Roads asset inventory materials exceed the space available at the existing Tse Bonito facility. Additional space will house the many pieces of equipment and supplies associated with road maintenance activities such as snow plows, salt/cinders stockpiles, barricades
121004	Dept. of Roads - GF	1,000,000	* Replace aging fleet: Equipment and vehicles are beginning to feel the impact of day to day, traveling over primitive roadway while providing the needed service. Motor Graders and other heavy equipment are nearing maximum use and requiring replacement.
<b>TOTAL:</b>		<b>4,800,000</b>	*Capital/non-recurring