

RESOLUTION OF THE  
BUDGET AND FINANCE COMMITTEE  
OF THE NAVAJO NATION COUNCIL

23<sup>RD</sup> NAVAJO NATION COUNCIL - Second Year, 2016

AN ACTION

RELATING TO BUDGET AND FINANCE COMMITTEE; APPROVING THE CARRYOVER OF FUNDS IN THE GAMING DEVELOPMENT FUND BUDGET FROM FISCAL YEAR 2015 TO FISCAL YEAR 2016, IN THE AMOUNT OF \$1,792,712.93 FOR BUSINESS UNIT NO. 507007 FOR USE BY THE GAMING REGULATORY OFFICE

BE IT ENACTED:

**Section One. Authority**

- A. The Budget and Finance Committee is established as a Standing Committee of the Navajo Nation Council. 12 N.N.C. § 300(A).
- B. The Budget and Finance Committee "shall exercise oversight authority including but not limited to budget, finance, investment . . . for the following purposes: (1) to coordinate, oversee, [and] regulate the fiscal, financial, investment, contracting, and audit polices of the Navajo Nation." 12 N.N.C. § 300(A) and 300(A)(1).
- C. The Budget and Finance Committee of the Navajo Nation Council "shall provide legislative oversight for the Gaming Development Fund." 12 N.N.C. § 1606.

**Section Two. Findings**

- A. The Budget and Finance Committee approved BFCMA-05-07, the Navajo Nation Gaming Development Fund Policies and Procedures pursuant to 12 N.N.C. § 1604.

B. The Budget and Finance Committee approved the carryover of "any unexpended amount from FY 2015 to FY 2016 for Business Unit No. 507007 to be utilized for the Navajo Nation Gaming Regulatory Office." BFO-24-15, Section Two, B. See BFO-24-15, attached as Exhibit A.

C. At the time BFO-24-15 was approved, the carryover amount had not been determined. The carryover amount has now been determined and is \$1,792,712.93. See the memo from the Office of Management and Budget and Budget Forms, attached as Exhibit B.

### Section Three. Approval

The Budget and Finance committee approves the carryover from FY 2015 to FY 2016 for Business Unit No. 507007 in the amount of \$1,792,712.93 to be utilized for the Navajo Nation Gaming Regulatory Office.

### CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Budget and Finance Committee of the Navajo Nation Council at a duly called meeting held at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 4 in favor, 0 opposed, this 24<sup>th</sup> day of May, 2016.



Honorable Seth Damon, Chairperson  
Budget and Finance Committee

Motion: Honorable Dwight Witherspoon

Second: Honorable Tuchoney Slim, Jr.

RESOLUTION OF THE  
BUDGET AND FINANCE COMMITTEE  
OF THE NAVAJO NATION COUNCIL



23<sup>RD</sup> NAVAJO NATION COUNCIL - First Year, 2015

AN ACTION

RELATING TO BUDGET AND FINANCE COMMITTEE; APPROVING THE GAMING  
DEVELOPMENT FUND BUDGET FOR FISCAL YEAR 2016

BE IT ENACTED:

Section One. Findings.

- A. Pursuant to 12 N.N.C. § 1606 the Budget and Finance Committee of the Navajo Nation Council shall provide oversight for the Gaming Development Fund.
- B. Pursuant to 12 N.N.C. § 1604 the Controller of the Navajo Nation shall have authority to approve fund expenditures pursuant to a budget and operating plan approved by the Budget and Finance Committee. The Office of the Controller shall establish policies and procedures governing the procedure by which parties may make application to the Controller for approval of expenditures.
- C. Pursuant to 12 N.N.C. § 1604, the Budget and Finance Committee approved BFCMA-05-07, the Navajo Nation Gaming Development Fund Policies and Procedures.
- D. Pursuant to a memorandum dated October 12, 2015, Exhibit A attached hereto, from the Office of the Controller, there is an amount of \$8,345,160.00 that is available for budgeting for the FY 2016 Gaming Development Fund Budget.

E. Three governmental entities submitted proposals for funding from the Gaming Development Fund pursuant to the policies and procedures. These are the Navajo Nation Gaming Regulatory Office for \$6,328,706, Exhibit C attached hereto, and the Office of the Controller for \$66,454, Exhibit D attached hereto, and Navajo Nation Gaming Enterprise for \$1,950,000.00, Exhibit E;

Section Two. Approval

- A. The Budget and Finance Committee, pursuant to 12 N.N.C § 1604, hereby approves the Gaming Development Fund budget for Fiscal Year 2015 at \$8,345,160 as found in Exhibits C and D attached here to.
- B. The Budget and Finance Committee approves the carryover of any unexpended amount from FY' 15 to FY'16 for Business Unit No. 507007 to be utilized for the Navajo Nation Gaming Regulatory Office.

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Budget and Finance Committee of the Navajo Nation Council at a duly called meeting held at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 4 in favor, 0 opposed, this 13<sup>th</sup> day of October, 2015.



Dwight Witherspoon, Vice Chairperson  
Budget and Finance Committee

Motion: Mel R. Begay

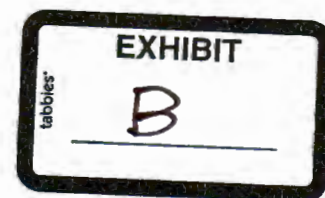
Second: Tom T. Chee





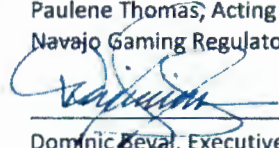
# THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT  
JONATHAN NEZ VICE PRESIDENT



## Memorandum

To: Paulene Thomas, Acting Division Director  
Navajo Gaming Regulatory Office

From:   
Dominic Begay, Executive Director  
Office of Management and Budget

Date: February 29, 2016

Subject: Fiscal Year 2015 Carryover Funds Budget

The Fiscal Year 2016 Budget and Finance Committee resolution, BF0-24-15, authorized carryovers of certain Fiscal Year 2015 year-end budget balances to Fiscal Year 2016. This carryover is in accordance with the paragraphs in Section 2, B, "The Budget and Finance Committee approves the carryover of any unexpended amount from FY '15 to FY '16 for Business Unit No. 507007 to be utilized for the Navajo Nation Gaming Regulatory Office." Accordingly, listed below is the Fiscal Year 2015 carryover amount for the Navajo Gaming Regulatory Office Special Revenue Account.

<u>BU Number:</u>	<u>Program Title:</u>	<u>Type of Carryover:</u>	<u>Amount:</u>
507007	Navajo Gaming Regulatory Office	C/O per BF0-24-15	\$1,792,712.93

Budgets for the above listed program carryover amounts must be prepared and submitted to OMB before these funds are available for expenditures. Complete the carryover budgets on Budget Forms 1, 2, and 4 and submit the budgets to the Office of Management and Budget on or before March 14, 2016 for review and correction, if necessary. Carryover funds are considered nonrecurring, so only nonrecurring items should be budgeted with these funds. Permanent personnel positions, except temporary positions, are considered recurring costs and, therefore, should not be budgeted with the carryover funds.

Budget forms are available on the Navajo Nation OMB website: <http://www.omb.navajo-nsn.gov>. Refer to the Fiscal Year 2016 Budget Instructions Manual for applicable rates, codes, schedules and instructions to prepare the carryover budgets. Budget Form 1, Program Budget Summary in Part III, Budget Summary, columns (A) and (B) should display information listed in accordance with the column titles. In Column (A), show the original Fiscal Year 2016 budget amounts approved by the Budget and Finance Committee resolution, BF0-24-15. In Column (B), show the carryover amounts budgeted in the major object code categories and, in Column (C), show the totals of columns (A) and (B) instead of the difference.

It may be necessary to present carryover budgets to the respective oversight committee if these budgets are determined to deviate from the purposes of the original Fiscal Year 2015 appropriation.

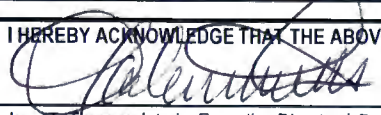
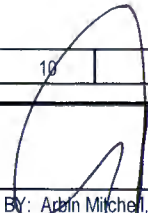
The Office of Management and Budget staff is available to assist Navajo Nation programs, Divisions or Branches with the development of the Fiscal Year 2016 carryover budgets, if needed.

xc: Arbin Mitchell, Chief of Staff, OPVP  
Jim Parris, Controller, OOC  
Robert Willie, General Accounting Supervisor, OOC



NNOMB-BF1

# THE NAVAJO NATION PROGRAM BUDGET SUMMARY

<b>PART I. PROGRAM INFORMATION:</b>										
Business Unit No.: <u>507007</u>			Program Name/Title: <u>Navajo Gaming Regulatory Office - Slot Leases</u>							
<b>PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:</b>										
CO-75-01 established as the Navajo Nation Gaming Ordinance, Chptr 4, Sect 401 of the "Ordinance for the Regulation of Gaming Activities on the Navajo Nation" established the Navajo Gaming Regulatory Office within the Executive Branch of the Navajo Nation Government. The main purpose of the Office are to (1) initiate the highest standards of honesty and integrity in the operation of gaming activities on the Navajo Nation, (2) maintain public confidence and trust in gaming activities on the Navajo Nation and (3) assure compliance with all applicable gaming laws of the United States, AZ and NM state compacts, and Navajo Nation.										
<b>PART III. PROGRAM PERFORMANCE CRITERIA:</b>			1st QTR		2nd QTR		3rd QTR		4th QTR	
			Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Program Performance Area: <u>Ensure all gaming machines meet NGRO requirements</u>										
Goal Statement:										
<u>Gaming Machines Inspected</u>			300		300		300		300	
2. Program Performance Area: <u>Maintain Employee/Vendor Licensing Process</u>										
Goal Statement:										
<u>Tribally License Employees/Vendors</u>			300		300		300		300	
3. Program Performance Area: <u>Monitor compliance internally and on patron activity with NGRO requirements</u>										
Goal Statement:										
<u>Maintain a list of incidents and exclusions for continued regulatory enforcement</u>			50		50		50		50	
4. Program Performance Area: <u>Continuance of NGRO Staff Training</u>										
Goal Statement:										
<u>Educate staff by providing Trng sessions applicable to job requirements (per staff)</u>			15		15		15		15	
5. Program Performance Area: <u>Review all financial reports to see that incompliance with MICs &amp; Tribal Compacts</u>										
Goal Statement:										
<u>Complete Audits to ensure all MICs and Audit requirements are met.</u>			10		10		10		10	
<b>PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.</b>										
 Paulene T. Thomas, Interim Executive Director / Date <u>3/10/16</u>						 APPROVED BY: Arlin Mitchell, Chief of Staff / Date				



**The Navajo Nation**  
**Listing of Positions and Assignments by Business Unit**

OMB Form 3  
3 8

	SUB	POS	JOB			WRKSITE	PROPOSED			NEW FY 2016
	ACCT	NO	TYPE			CODE	G/S	HOURS	FY 2015 BUDGET	BUDGET
1	1104	240694	3453	Gaming Regulatory Investigator	Vacant	WR	AB63A	520	9,266.40	9,266.00
2	1105	240697	3454	Gaming License Technician	202713	WR	AB61A	1,136	17,028.64	17,029.00
3	1107	240769	3451	Gaming Enforcement Manager	Vacant	WR	AB69C	1040	32,812.00	32,812.00
4	1108	240966	3458	Gaming Agent	252257	CHR	AB64B	207	40,331.00	4,141.00
5	1115	240699	3455	Slot Compliance Manager	225719	WR	AB68A	135	55,078.00	3,677.00
6	1117	240972	3456	Slot Compliance Assistant	239560	CHR	AB64C	180	41,558.00	3,695.00
7	1118	241371	3459	Gaming Surveillance Manager	Vacant	CHR	AB68A	1,200	32,724.00	32,724.00
8	1119	241372	3460	Gaming Surveillance Observer	303233	CHR	AB62B	184	33,030.00	3,101.00
9	1122	241375	3460	Gaming Surveillance Observer	240180	CHR	AB62A	1040	17,014.40	17,014.00
10	1123	241376	3461	Gaming Surveillance Technician	161572	CHR	AC62D	231	40,248.00	4,738.00
11	1126	241728	1848	Network Specialist	16109	WR	AC64A	1040	23,119.20	23,119.00
12	1127	241729	3458	Gaming Agent	264606	CHR	AB64C	184	40,331.00	3,791.00
13	1131	241733	3453	Gaming Regulatory Investigator	233298	WR	AB63D	284	38,147.00	5,521.00
14	1132	241734	3453	Gaming Regulatory Investigator	16928	WR	AB63D	222	38,147.00	4,317.00
15	1133	241735	3453	Gaming Regulatory Investigator	16453	WR	AB63D	286	38,147.00	5,576.00
16	1136	241738	3457	Gaming Auditor	309108	WR	AB65A	162	42,765.00	3,431.00
17	1137	242482	1192	Deputy Executive Director	10814	WR	AB70A	1040	33,716.80	33,717.00
18	1146	242037	3454	Gaming License Technician	256512	WR	AB61C	185	32,115.00	2,937.00
19	1148	242129	3458	Gaming Agent	276172	HOG	AB64B	159	40,331.00	3,166.00
20	1149	242130	3458	Gaming Agent	Vacant	HOG	AB64A	1040	20,768.80	20,769.00
21	1150	242131	3458	Gaming Agent	161429	HOG	AB64C	196	40,331.00	4,035.00
22	1152	242133	3458	Gaming Agent	291090	HOG	AB64A	158	39,229.00	3,061.00
23	1154	242135	3460	Gaming Surveillance Observer	291025	HOG	AB62A	166	33,030.00	2,723.00
24	1173	242375	3456	Slot Compliance Assistant	296801	FRL	AB64B	189	39,229.00	3,765.00
25	1174	242376	3458	Gaming Agent	152540	FRL	AB64A	177	39,229.00	3,445.00
26	1180	242382	3458	Gaming Agent	289779	FRL	AB64A	162	39,229.00	3,154.00
27	1181	242383	3458	Gaming Agent	174577	FRL	AB64A	152	39,229.00	2,955.00
28	1182	242384	3458	Gaming Agent	291023	FRL	AB64A	158	39,229.00	3,065.00
29	1184	242386	3462	Senior Gaming Surveillance Observer	296521	FRL	AB64B	191	39,229.00	3,807.00
30	1186	242388	3460	Gaming Surveillance Observer	Vacant	FRL	AB62A	1040	17,014.40	17,014.00



## The Navajo Nation

OMB Form 3  
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[illegible]

**THE NAVAJO NATION -  
PROGRAM BUDGET SUMMARY**

PART I. PROGRAM INFORMATION:				
Program Name/Title: _____		Navajo Gaming Regulatory Office - Slot Leases		Business Unit No.: _____ 507007
PART II. DETAILED BUDGET:				
(A)	(B)		(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification		Total by DETAILED Object Code	Total by MAJOR Object Code
	2001 PERSONNEL EXPENSES			1,101,115
2110	Employment, salary and fringe benefits. Overtime and Holiday Pay for staff on shift at Navajo Casinos; Navajo Casinos open on NN Holidays; 24/7 coverage OT. Regular			
	2120 - Personnel Regular Full-time		522,887	
			-	
	PERSONNEL CHANGES - Budget for New position @ 1040 hours		117,874	
2510	Overtime 2520		65,500	
2610	Double Holiday Pay - coverage during holidays		50,000	
2900	Fringe Benefits			
	Fringe Benefits for Personnel Regular Full-time 45.60 x	522,887 238,436	238,436	
		- -	-	
	Fringe Benefits for PERSONNEL CHANGES - New positions 45.60%	117,874 53,750	53,750	
	Fringe Benefits for Overtime 45.60% x	65,500 29,868	29,868	
	Fringe Benefits for Double Holiday 45.60% X	50,000 22,800	22,800	
	3000 TRAVEL EXPENSES			144,190
3130	Six (6) Tribal Vehicles, including maintenance, meals and lodging directly related to NGRO Official Regulatory Business, meetings and authorized training, conferences and seminars NGRO staff members. Regular mtgs include NMAIGC, ATGRA and AIGA, etc.			
	Fleet		-	
	3131 - External Fleet Rental at \$32/day x 60 days		1,920	
	3133 - Mileage .30 x 2,500 miles		750	
	3111 - month/perm: two additional (2) vehicles Sedan and GMC 3/4 ton 2WD, \$432.00 x 6 months x 2 vehicles = \$		5,184	
3210	Vehicle Rental (Off-Res)		6,336	
TOTAL			1,115,305	1,245,305

**THE NAVAJO NATION -  
PROGRAM BUDGET SUMMARY**

PART I. PROGRAM INFORMATION:				
Program Name/Title:		Navajo Gaming Regulatory Office	Business Unit No.:	507007
PART II. DETAILED BUDGET:				
(A)	(B)	(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code	
	<b>TRAVEL EXPENSES (Continued)</b>			
3230	<b>Personal Travel - travel for NGRO staff to attend meetings, trainings &amp; travel between NGRO office and sub-offices located at Casinos.</b>	122,000		
	3240 Per Diem Meals- \$ 30,000			
	3250 Lodging - \$ 60,000			
	3260 POV Mileage - \$ 30,000			
	3290 Other Travel Expense - \$ 2,000			
3310	<b>Air</b>	8,000		
	3320 Commercial \$ 3,000			
	3330 Charter - \$ 5,000			
4000	<b>Supplies</b>		60,000	
	NGRO Has six(6) office locations. Stationary, envelopes, folders, pens, and pencils, copy paper, desk supplies, courier supplies, and basic office supplies, Non-capital assets include 10 key calculators, filing cabinets, shelving, workstation accessories, etc., Operating supplies include those expenses that are necessary for the day to day operation of the program including such items as evidence bags counterfeit detection tools, recorded DVDs and badge cards.			
4120	<b>Office Supplies</b>	20,000		
	4130 General Operating Supplies			
4200	<b>Non Capital Assets</b>	20,000		
	4210 Non Cap furniture & Equipment 4230 non cap computer equipment - new servers for Admin; PC's for new staff & replacements			
4410	<b>Operating Supplies</b>	20,000		
	4420 General Operating Supplies 4440 Non Cap computer software, 4450 postage, courier, shipping, 4470 uniforms 4490 custodial supplies, 4520 bulk paper, 4530 printing, binding copying 4540 books, periodicals subscriptions			
5000	<b>Lease &amp; Rental</b>		94,000	
	<b>NGRO Main office at Hogan Tso Building and Office near Twin Arrows Navajo Casino and Resort.</b>			
5110	<b>Building</b>	92,000		
	5120 Office Space \$183,859/yr (@ 2 of 4 quarters) for Window Rock (includes new 5% tax)			
5310	<b>Building Space</b>	2,000		
	5320 Meeting Space \$ 2,000			
	5330 Storage Space			
<b>TOTAL</b>		284,000	154,000	

**THE NAVAJO NATION -  
PROGRAM BUDGET SUMMARY**

PART I. PROGRAM INFORMATION:				
Program Name/Title: Navajo Gaming Regulatory Office		Business Unit No.: 507007		
PART II. DETAILED BUDGET:				
(A)	(B)	(C)	(D)	
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code	
5500	COMMUNICATIONS & UTILITIES			6,000
	Basic Telephone services charges, Internet service and connectivity. Handheld radios for surveillance and enforcement depts at 4 Navajo Casinos At 6 months.			
5570	Internet 5590 TI	3,000		
5610	Wireless 5620 Cellular	3,000		
6000	REPAIRS & MAINTENANCE			30,000
	Annual Repair & maintenance Agreements on Copiers Repair and Maintenance on other equipment such as printers, typewriters, etc.			
6130	Services 6140 Furn & Equip R&M services, Xerox maint., NENC copier lease	25,000		
6300	Technology 6319 Computer Hardware R&M 6320 Software Support 6330 Communication R&M	5,000		
6500	Contractual Services			180,818
	Consulting Audit Services other Contractural Services			
6520	Consulting 6530 Fees 6540 Expenses Contracts (Audit, Slot Compliance Consulting)	65,000		
6910	Other Contractual Services (training on Gaming Machines, conducting investigations, game protection, etc.)	65,818		
6921	Other Services - investigation documents	50,000		
7000	SPECIAL TRANSACTIONS			111,589
	Promote, Advertise and educate NGROS regulatory purpose. Catering and refreshements for NGRO staff mtg and special events. Print advertising for job vacancies. Payment of Training Conferences workshops and seminars as directly related to NGRO duties to regulate gaming on the Navajo Nation Required Insurance.			
7110	Programs 7130 Promotional Items, 7140 Gifts & awards, 7180 Catering 7190 refreshments	35,000		
7410	Media 7440 Print Adverting	5,000		
7510	Training & Professional Dues 7520 Training & registration Fees 7550 Mandatory Professional Dues, AIGA/ATGRA/NTGCR/NAGRA/NIGA Membership fees	68,033		
7710	Insurance Premiums			
	General Liability (payroll) \$ 1,101,115.43 /100 x .19 = \$ 2,092		2,092	
	7740 vehicle auto liability for vehicles 2 x \$106.12 = \$ 212.24		212	
	7750 Vehicle Physical Damage For vehicles 2 x \$125.96 = \$ 251.92		252	
	7766 Deductible Expense 2 vehicles x \$500=\$1,000 (one fiscal year)		1,000	
TOTAL		328,407		328,407





Document No. 005642Date Issued: 03/11/2016**EXECUTIVE OFFICIAL REVIEW**Title of Document: FY 2016 Carry Over Budget BU #507007 Contact Name: THOMAS, PAULENE T.Program/Division: OFFICE OF GAMING REGULATORYEmail: paulenethomas@navajo-nsn.gov Phone Number: 9288716712/6827☐ **Business Site Lease** Sufficient    Insufficient

- |   |             |   |   |
|---|-------------|---|---|
| 1. Division: _____  | Date: _____ | □ | □ |
| 2. Office of the Controller: _____  | Date: _____ | □ | □ |
| (only if Procurement Clearance is not issued within 30 days of the initiation of the E.O. review) |             |   |   |
| 3. Office of the Attorney General: _____  | Date: _____ | □ | □ |

☐ **Business and Industrial Development Financing, Veteran Loans, (i.e. Loan, Loan Guarantee and Investment) or Delegation of Approving and/or Management Authority of Leasing transactions**

- |  |             |   |   |
|--|-------------|---|---|
| 1. Division: _____                       | Date: _____ | □ | □ |
| 2. Office of the Attorney General: _____ | Date: _____ | □ | □ |

☒ **Fund Management Plan, Expenditure Plans, Carry Over Requests, Budget Modifications**

- |   |                     |   |   |
|---|---------------------|---|---|
| 1. Office of Management and Budget: <u>OB</u> | Date: <u>4-4-16</u> | □ | □ |
| 2. Office of the Controller: <u>UP</u>        | Date: <u>4-5-16</u> | □ | □ |
| 3. Office of the Attorney General: <u>LIB</u> | Date: <u>4/7/16</u> | □ | □ |

☐ **Navajo Housing Authority Request for Release of Funds**

- |  |             |   |   |
|--|-------------|---|---|
| 1. NNEPA: _____                          | Date: _____ | □ | □ |
| 2. Office of the Attorney General: _____ | Date: _____ | □ | □ |

☐ **Lease Purchase Agreements**

- |  |             |   |   |
|--|-------------|---|---|
| 1. Office of the Controller: _____       | Date: _____ | □ | □ |
| (recommendation only)                    |             |   |   |
| 2. Office of the Attorney General: _____ | Date: _____ | □ | □ |

☐ **Grant Applications**

- |   |             |   |   |
|---|-------------|---|---|
| 1. Office of Management and Budget: _____ | Date: _____ | □ | □ |
| 2. Office of the Controller: _____        | Date: _____ | □ | □ |
| 3. Office of the Attorney General: _____  | Date: _____ | □ | □ |

☐ **Five Management Plan of the Local Governance Act, Delegation of an Approving Authority from a Standing Committee, Local Ordinances (Local Government Units), or Plans of Operation/Division Policies Requiring Committee Approval**

- |  |             |   |   |
|--|-------------|---|---|
| 1. Division: _____                       | Date: _____ | □ | □ |
| 2. Office of the Attorney General: _____ | Date: _____ | □ | □ |

☐ **Relinquishment of Navajo Membership**

- |  |             |   |   |
|--|-------------|---|---|
| 1. Land Department: _____                | Date: _____ | □ | □ |
| 2. Elections: _____                      | Date: _____ | □ | □ |
| 3. Office of the Attorney General: _____ | Date: _____ | □ | □ |

☐ **Land Withdrawal or Relinquishment for Commercial Purposes**

Sufficient    Insufficient

- |                                    |       |             |                          |                          |
|------------------------------------|-------|-------------|--------------------------|--------------------------|
| 1. Division:                       | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Office of the Attorney General: | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |

☐ **Land Withdrawals for Non-Commercial Purposes, General Land Leases and Resource Leases**

- |             |       |             |                          |                          |
|-------------|-------|-------------|--------------------------|--------------------------|
| 1. NLD      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. F&W      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. HPD      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Minerals | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. NNEPA    | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. DNR      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. DOJ      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |

☐ **Rights of Way**

- |                                    |       |             |                          |                          |
|------------------------------------|-------|-------------|--------------------------|--------------------------|
| 1. NLD                             | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. F&W                             | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. HPD                             | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Minerals                        | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. NNEPA                           | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. Office of the Attorney General: | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. OPVP                            | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |

☐ **Oil and Gas Prospecting Permits, Drilling and Exploration Permits, Mining Permit, Mining Lease**

- |             |       |             |                          |                          |
|-------------|-------|-------------|--------------------------|--------------------------|
| 1. Minerals | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. OPVP     | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. NLD      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |

☐ **Assignment of Mineral Lease**

- |             |       |             |                          |                          |
|-------------|-------|-------------|--------------------------|--------------------------|
| 1. Minerals | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. DNR      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. DOJ      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |

☐ **ROW (where there has been no delegation of authority to the Navajo Land Department to grant the Nation's consent to a ROW)**

- |             |       |             |                          |                          |
|-------------|-------|-------------|--------------------------|--------------------------|
| 1. NLD      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. F&W      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. HPD      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Minerals | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. NNEPA    | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 6. DNR      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 7. DOJ      | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. OPVP     | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |

☐ **OTHER:**

- |    |       |             |                          |                          |
|----|-------|-------------|--------------------------|--------------------------|
| 1. | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |
| 5. | _____ | Date: _____ | <input type="checkbox"/> | <input type="checkbox"/> |



**NAVAJO NATION DEPARTMENT OF JUSTICE**  
**OFFICE OF THE ATTORNEY GENERAL**

ETHEL B. BRANCH  
ATTORNEY GENERAL

RODGERICK T. BEGAY  
ACTING DEPUTY ATTORNEY GENERAL

M E M O R A N D U M

TO: Paulene Thomas, Interim Executive Director  
Navajo Nation Gaming Regulatory Office

FROM: 

LaTonia B. Johnson, Acting Assistant Attorney General  
Economic and Community Development Unit

DATE: April 7, 2016

SUBJECT: Document No. 5642: FY'2016 Carryover Budget Business  
Unit # 507007

On October 13, 2015, the Budget and Finance Committee (BFC) approved the Gaming Development Fund Budget for Fiscal Year 2016 in compliance with the Navajo Nation Gaming Development Fund, 12 N.N.C. § 1604. At that time, BFC did not approve the carryover amount for the Navajo Nation Gaming Regulatory Office because the exact amount had yet to be determined by the Office of the Controller. While BFC approved the budget, BFC also included an amendment that would ensure that the carryover amount from FY'15 to FY'16 would also be approved at a later time. When you seek a sponsor for the carryover amount, it is recommended that you obtain a copy of the BFC resolution that initially approved "Legislation No. 0348-15: Relating to Budget and Finance Committee; Approving the Gaming Development Fund Budget for Fiscal Year 2016." The BFC resolution should support this request.

If you have any questions regarding this memorandum, please contact me at 928-871-6933.

LBJ/ah/77

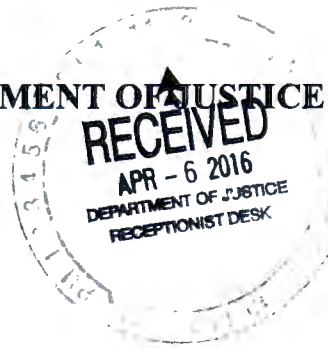




# NAVAJO NATION DEPARTMENT OF JUSTICE

## DOCUMENT REVIEW REQUEST FORM

☐ RESUBMITTAL



DOJ
4-6-16 @ 1120a
DATE / TIME
<input type="checkbox"/> 7 Day Deadline
DOC # 005642
SAS #:
UNIT: ECDU

\*\*\* FOR NNDJ USE ONLY - DO NOT CHANGE OR REVISE FORM. VARIATIONS OF THIS FORM WILL NOT BE ACCEPTED. \*\*\*

CLIENT TO COMPLETE			
DATE OF REQUEST: 4-6-16	ENTITY/DIVISION: NARD		
CONTACT NAME: Paulene Thomas	DEPARTMENT: NARD		
PHONE NUMBER: 928-871-6712	E-MAIL:		
TITLE OF DOCUMENT: Review for sufficient FY2016 Carry Over Budget BU#507007			
DOJ SECRETARY TO COMPLETE			
DATE/TIME IN UNIT: 4-6-16 11:48am	REVIEWING ATTORNEY/ADVOCATE: Latonia Johnson		
DATE/TIME OUT OF UNIT:			
DOJ ATTORNEY / ADVOCATE COMMENTS			
sufficient. see memo			
REVIEWED BY: (PRINT) LBJ	DATE / TIME 4/7/16 9/19am	SURNAMED BY: (PRINT) LBJ	DATE / TIME 4/7/16 9/19am
DOJ Secretary Called: Erin		for Document Pick Up on 4/7/16 at 927 <sup>am</sup> By: al	
PICKED UP BY: (PRINT)		DATE / TIME:	

NNDJ/DRRF-July 2013

