

RESOLUTION OF THE
BUDGET AND FINANCE COMMITTEE
OF THE NAVAJO NATION COUNCIL

23RD NAVAJO NATION COUNCIL - Fourth Year, 2018

AN ACTION

RELATING TO BUDGET AND FINANCE COMMITTEE; APPROVING THE NAVAJO
NATION'S REQUEST TO THE UNITED STATES DEPARTMENT OF INTERIOR
OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS TO DRAW
DOWN \$489,435.00 FROM THE NAVAJO TRUST FUND, AND APPROVING THE
ANNUAL BUDGET AND ALLOCATIONS TO THE PHOENIX URBAN NAVAJO
TRUST FUND

BE IT ENACTED:

SECTION ONE. AUTHORITY

- A. The Budget and Finance Committee is established as a standing committee of the Navajo Nation Council and shall exercise oversight authority including but not limited to budget, finance, and investment for the purpose of coordinating, overseeing, and regulating the fiscal, financial, investment, contracting and audit policies of the Navajo Nation. 2 N.N.C. § 300(A) and (C).
- B. The Budget and Finance Committee of the Navajo Nation Council is empowered, to the extent permitted by federal or Navajo Nation laws and regulations, to appropriate, allocate, cancel, re-appropriate, and review the use of the Navajo Nation funds received from all sources, including but not limited to all grants, contracts, gifts, and other funds. 2 N.N.C. § 301(B)(3).
- C. The Budget and Finance Committee is authorized to approve the annual budget and allocation of available principal and income of the Phoenix Urban Navajo Trust Fund pursuant to Budget and Finance Committee Resolution BFO-138-02

SECTION TWO. FINDINGS

- A. In 1988, the United States Congress enacted the Arizona-Florida Land Exchange Act, Title IV of Public Law 100-696, 102 Stat. 4577 (the "1988 Act"), which authorized the land exchange between the United States and the Barron Collier Company ("Collier") whereby Collier transferred environmentally-sensitive Florida Everglades land to the United States in exchange for the United States transferring part of the Phoenix Indian School land to Collier. The Phoenix Indian School land was more valuable than the Florida Everglades land, therefore, Collier also agreed to pay the United States \$34.9 million through a 30-year loan with annual interest payments of \$2,966,500, plus annual payments of \$800,000 to \$900,000 into an annuity account to cover the \$34.9 million balloon payment due at the end of the 30-year loan. See **Exhibit A**, the Arizona-Florida Land Exchange Act of 1988.
- B. Under the 1988 Act, Congress established the Navajo Trust Fund at the United States Treasury to hold the Navajo Nation's 5% share of the annual interest payments from Collier, which funds are to be used for the benefit of the Navajo Nation to support Indian education. Congress also established the Arizona Inter-Tribal Trust Fund at the United States Treasury to hold 95% of the annual interest payments from Collier to be used for the benefit of the Inter-Tribal Council of Arizona, which is comprised of certain Arizona Indian Tribes, but not including the Navajo Nation.
- C. The United States required the Navajo Nation to establish a fund management plan for the Navajo Trust Fund. In 2000, the Navajo Nation established the Phoenix Urban Navajo Trust Fund pursuant to Budget and Finance Committee Resolution Nos. BFN-94-00, attached as **Exhibit B**, and BFO-138-02, attached as **Exhibit C**.
- D. The Phoenix Urban Navajo Trust Fund is funded by the annual drawdowns that the Navajo Nation receives from the Navajo Trust Fund, which historically was 5% of Collier's annual loan interest payment of \$148,325 annually.
- E. The Phoenix Urban Navajo Trust Fund is administered by the Phoenix Indian Center, Inc., and the Navajo Nation historically allocated funds from the Phoenix Urban Navajo Trust Fund to the Phoenix Indian Center, Inc. to fund the annual tribal grant agreements between the

Navajo Nation and Phoenix Indian Center, Inc. in the amount of \$148,325 for the purposes set forth in the 1988 Act and the Phoenix Urban Navajo Trust Fund.

- F. Pursuant to BFO-138-02, drawdowns from the Navajo Trust Fund at the United States Treasury into the Navajo Nation's Phoenix Urban Navajo Trust Fund are authorized upon a duly enacted resolution of the Budget and Finance Committee approving an annual budget for the Phoenix Urban Navajo Trust Fund, which is then submitted to the United States Department of Interior Office of the Special Trustee for American Indians to accomplish the drawdown.
- G. Pursuant to BFO-138-02, the Navajo Nation may expend funds in the Phoenix Urban Navajo Trust Fund for the benefit of Navajos residing in the Phoenix metropolitan area for education, child welfare, community development, and general administrative purposes, as expressly set forth in Section 405(d) of the 1988 Act and BFO-138-02.
- H. In 2012, following a series of disputes between the United States and Collier, Collier defaulted on his agreement with the United States and did not make the annual interest payments. As a result, the Navajo Nation stopped receiving drawdowns from the Navajo Trust Fund.
- I. In 2014, the United States sued Collier and Collier counter-sued the United States.
- J. In 2017, the United States and Collier entered into a settlement agreement in which Collier agreed to make payments to the United States consisting of cash, the annuity account to cover the balloon payment at the end of the 30-year loan, and proceeds from Collier's sale of the Phoenix Indian School land.
- K. Under the settlement agreement between the United States and Collier, Collier's payment to the United States included: (a) the Navajo Nation's 5% share of the \$16 million cash payment, or \$806,509, which is currently is held in the Navajo Trust Fund at the United States Treasury and is available for drawdown by the Navajo Nation in accordance with the requirements of the 1988 Act and the Phoenix Urban Navajo Trust Fund; and (b) approximately \$31,722,100, which consists of \$13,300,000 from the liquidation of the annuity account plus

\$18,422,098 from Collier's sale of Phoenix Indian School land, which funds are held in the Barron-Collier Settlement Account at the United States Treasury ("Settlement Account"), and of which 5% is for the benefit of the Navajo Nation and 95% is for the benefit of the Inter-Tribal Council of Arizona.

- L. The United States currently invests the funds in the Settlement Account. When the Settlement Account balance reaches \$34.9 million, the United States will invest the funds in perpetuity as required by the 1988 Act, will annually pay 5% of the Nation's share of the income into the Navajo Trust Fund at the United States Treasury, and the Navajo Nation will be entitled annually to drawdown the income from the Navajo Trust Fund into the Phoenix Urban Navajo Trust Fund.
- M. During the years 2014 through 2018, when the Navajo Nation did not receive funds from the Navajo Trust Fund due to Collier's default, the Navajo Nation made appropriations pursuant to Navajo Nation Council Resolution Nos. CS-44-12, attached as **Exhibit D**, CN-53-14, attached as **Exhibit E**, CJY-41-16, attached as **Exhibit F**, and CF-21-18, attached as **Exhibit G**, totaling \$341,110.00 to fund the Navajo Nation's annual tribal grant agreements between the Navajo Nation and Phoenix Indian Center, Inc. for the purposes set forth in the 1988 Act and the Phoenix Urban Navajo Trust Fund.
- N. The Phoenix Indian Center, Inc. has submitted to the Navajo Nation a Grant Application for \$148,325.00 for Fiscal Year 2019 for a one-year grant period beginning October 1, 2018, attached as **Exhibit H**.
- O. The Budget and Finance Committee finds it is in the best interest of the Navajo Nation to authorize the Navajo Nation to request drawdowns totaling \$489,435.00 from the Navajo Trust Fund held at the United States Treasury; to authorize the drawdowns to be deposited into the Phoenix Urban Navajo Trust Fund; and to allocate the drawdowns in the Phoenix Urban Navajo Trust Fund as follows: (a) \$148,325.00 to fund the Tribal Grant Agreement between the Navajo Nation and Phoenix Indian Center, Inc. for Fiscal Year 2019 for a one-year grant period beginning October 1, 2018 for the purposes set forth in the 1988 Act and the Phoenix Urban Navajo Trust Fund; and (b) \$341,110.00 to the Navajo Nation to reimburse the Navajo

Nation for appropriations the Navajo Nation made to the Phoenix Indian Center, Inc. from 2014 through 2018 for the purposes set forth in the 1988 Act and the Phoenix Urban Navajo Trust Fund.

SECTION THREE. APPROVAL OF REQUEST FOR DRAWDOWNS FROM NAVAJO TRUST FUND INTO THE PHOENIX URBAN NAVAJO TRUST FUND AND APPROVAL OF ALLOCATIONS FROM THE PHOENIX URBAN NAVAJO TRUST

- A. The Budget and Finance Committee hereby approves and authorizes the Navajo Nation's request to the United States Department of Interior Office of Special Trustee for American Indians to drawdown \$489,435.00 from the Navajo Trust Fund, pursuant to and in compliance with the 1988 Act and the Phoenix Urban Navajo Trust Fund under BFO-138-02.
- B. The Budget and Finance Committee authorizes the drawdowns to be deposited into the Phoenix Urban Navajo Trust Fund.
- C. The Budget and Finance Committee approves and authorizes allocations from the Phoenix Urban Navajo Trust Fund for the following:
 - 1. \$148,325.00 to fund the Tribal Grant Agreement between the Navajo Nation and Phoenix Indian Center, Inc. for Fiscal Year 2019 for a one-year grant period beginning October 1, 2018, attached as **Exhibit H**, for the purposes set forth in the 1988 Act and the Phoenix Urban Navajo Trust Fund under BFO-138-02; and
 - 2. \$341,110.00 to reimburse the Navajo Nation for appropriations made by the Navajo Nation to fund the Phoenix Indian Center, Inc. in the years 2014 through 2018, for the purposes set forth in the 1988 Act and the Phoenix Urban Navajo Trust Fund under BFO-138-02.


SECTION FOUR. AUTHORIZATION AND DIRECTIVE TO THE OFFICE OF THE CONTROLLER

The Office of the Controller is authorized and directed to take all reasonable and necessary action consistent with this Resolution to request and obtain the drawdowns from the Navajo Trust Fund, deposit the drawdowns in the Phoenix Urban Navajo

Trust Fund, and allocate the drawdowns as provided in this resolution.

CERTIFICATION

I, hereby, certify that the foregoing resolution was duly considered by the Budget and Finance Committee of the Navajo Nation Council at a duly called meeting held at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 3 in favor, 0 opposed and Chairman not voting this 27th day of December 2018.



Seth Damon, Chairperson
Budget and Finance Committee

Motion: Honorable Jimmy Yellowhair
Second: Honorable Lee Jack, Sr.

EFFECT ON EXISTING FACILITIES

SEC. 305. Nothing in this title shall affect electrical generating and transmission and irrigation pumping and transmission facilities in existence within the boundaries of the monument, or the right to operate, maintain, repair, upgrade, and modify such facilities. Such facilities are hereby expressly determined to be compatible and consistent with the purposes of this title.

CONTINUING PALEONTOLOGICAL RESEARCH

SEC. 306. In order to provide for continuing paleontological research, the Secretary shall incorporate in the general management plan provisions for the orderly and regulated use of and research in the monument by qualified scientists, scientific groups, and students under the jurisdiction of such qualified individuals and groups.

MINING PROHIBITION

SEC. 307. Subject to valid existing rights, Federal lands and interests therein, within the monument, are hereby withdrawn from disposition under the public land laws and from entry or appropriation under the mining laws of the United States, from the operation of the mineral leasing laws of the United States, and from operation of the Geothermal Steam Act of 1970, as amended.

AUTHORIZATION OF APPROPRIATIONS

SEC. 308. There are hereby authorized to be appropriated not to exceed \$5,000,000 to carry out the purposes of this title.

TITLE IV—ARIZONA-FLORIDA LAND EXCHANGE**DEFINITIONS**

SEC. 401. For purposes of this title:

(1) The term "Administrator" means the Administrator of Veterans' Affairs.

(2) The term "Arizona InterTribal Trust Fund" means the fund established pursuant to section 405(a)(1) of this title in the Treasury of the United States for the benefit of Arizona Tribes that were members of the InterTribal Council of Arizona on January 1, 1988, and the members of such tribes.

(3) The term "Arizona Tribe" means an Indian tribe that has a reservation located partially or totally in the State of Arizona.

(4) The term "City" means the City of Phoenix, Arizona.

(5) The term "Collier" means the nongovernmental parties to the Exchange Agreement identified in the Exchange Agreement as Barron Collier Company, Collier Development Corporation, and Collier Enterprises.

(6) The term "Exchange Agreement" means the Agreement Among the United States, Collier Enterprises, Collier Development Corporation, and the Barron Collier Company, executed on May 15, 1988, and subsequently submitted to the Committee on Interior and Insular Affairs of the House of Representatives and the Committee on Energy and Natural Resources of the Senate.



(7) The term "Florida Lands" means the lands that would be conveyed to the United States by Collier under the terms of the Exchange Agreement or this title, and other lands owned by Collier and located within the boundaries of the Florida Panther National Wildlife Refuge to be acquired by purchase by the United States and managed as part of such Refuge, other than those lands identified for conveyance to the United States pursuant to agreements for purchase and sale of such lands executed by Collier prior to January 1, 1988.

(8) The term "InterTribal Council of Arizona" or "ITCA" means the corporation organized and existing under the laws of the State of Arizona under the name InterTribal Council of Arizona, Inc., or a successor to such corporation organized and existing under the laws of the State of Arizona, the membership of which includes thirteen or more of the Arizona Tribes that were members of the ITCA on January 1, 1988.

(9) The term "Land Exchange" means the transaction providing for the acquisition by the United States of title to lands in Florida owned by Collier and the receipt by the United States of Monetary Proceeds in exchange for the acquisition by Collier of title to land within the School Property.

(10) The term "Monetary Proceeds" means either—

(A) the cash amount required to be paid to the United States by Collier upon closing of the Land Exchange, or

(B) the amount required to be paid to the United States by a Purchaser other than Collier upon closing of the Purchase Transaction, less the amount required to be paid from the account for acquisition of the Florida Lands and reimbursement of costs established under section 402(i) of this title.

(11) The term "Navajo Trust Fund" means the fund established pursuant to section 405(a)(2) of this title in the Treasury of the United States for the benefit of the Navajo Tribe and its members.

(12) The term "Phoenix Exchange Property" means the land within the School Property to be conveyed to a Purchaser under the Land Exchange or the Purchase Transaction, which land shall be the School Property less any parcel of land to be conveyed to the City of Phoenix or transferred to the Veterans' Administration upon closing of the Land Exchange or Purchase Transaction pursuant to section 402 of this title.

(13) The term "Planning and Development Agreement" means the Memorandum of Agreement between the City of Phoenix, Arizona, Collier Enterprises and Barron Collier Company approved by the City Council of Phoenix, Arizona, on July 1, 1987, including any amendments or modifications of such Memorandum of Agreement subsequently agreed to by the parties, or, as the context may require, an agreement between the City of Phoenix, Arizona, and a Purchaser other than Collier that is identical in all material respects to such Memorandum of Agreement.

(14) The term "Public Planning Process" means the land use planning and zoning process applicable to the School Property under the Planning and Development Agreement or other State or local law and regulation applicable to the planning and zoning of such property.

(15) The term "Purchase Transaction" means the cash purchase of the Phoenix Exchange Property by a Purchaser other than Collier under section 402(h) of this title.

(16) The term "Purchaser" means Collier or, in the event that Collier does not accept the offer of the United States to acquire the Phoenix Exchange Property under either section 402(h)(1) or section 402(h)(6) and (7) of this title, any other person that acquires the Phoenix Exchange Property under a Purchase Transaction.

(17) The term "School Property" means the real property used by the Secretary on January 1, 1988, for the Phoenix Indian High School in Phoenix, Arizona.

(18) The term "Secretary" means the Secretary of the Interior.

(19) The term "Trust Fund Payment" means the payment to the United States of the Monetary Proceeds for deposit into, as the context requires, the Arizona InterTribal Trust Fund or the Navajo Trust Fund, in the form of a lump sum payment or annual payments as determined under section 403 of this title.

(20) The term "Trust Fund Payment Agreement" means an agreement providing for payment by the Purchaser of annual Trust Fund Payments for deposit into the Arizona InterTribal Trust Fund or the Navajo Trust Fund or, as the context may require, an agreement between the United States and a Purchaser other than Collier that is identical in all material respects to such Trust Fund Payment Agreement.

(21) The term "Trust Income" to the Arizona InterTribal Trust Fund or the Navajo Trust Fund means the interest earned on amounts deposited into each such trust fund and any amounts paid into each such trust fund in the form of annual Trust Fund Payments.

(22) The term "Veterans' Administration Property" means the property adjacent to the School Property owned by the United States and under the jurisdiction and control of the Veterans' Administration on January 1, 1988.

DISPOSITION OF SCHOOL PROPERTY

SEC. 402. (a) **AUTHORIZATION OF DISPOSAL.**—The Secretary is authorized to dispose of the School Property and use the Monetary Proceeds only in accordance with this title. The provisions of this title shall govern the disposal of such property and other provisions of law governing the disposal of Federal property shall not apply to the disposal of the School Property.

(b) **EXCHANGE AGREEMENT.**—The Exchange Agreement is ratified and confirmed and sets forth the obligations, duties, and responsibilities of the parties to the Exchange Agreement. The Secretary shall implement the Exchange Agreement in accordance with its terms and conditions; except that, the Secretary may, with the concurrence of Collier, make minor and technical amendments in land descriptions and instruments of conveyance, as set forth in the agreement, upon 30 days prior written notice to the House Interior and Insular Affairs and Senate Energy and Natural Resources Committees.

(c) **CONVEYANCE OF LANDS; TRANSFER OF JURISDICTION.**—If the Phoenix Exchange Property is conveyed under the Land Exchange

or a Purchase Transaction, the Secretary is authorized and directed, subject to the requirements of this section, to—

(1) convey to the City by quitclaim deed a parcel of 20 acres of the School Property upon election by the City to accept such conveyance under subsection (e);

(2) transfer jurisdiction and control of a parcel of 11.5 acres of the School Property to the Veterans' Administration pursuant to subsection (f); and

(3) transfer jurisdiction and control of a parcel of 4.5 acres of the School Property to the Veterans' Administration pursuant to subsection (g).

(d) **PRELIMINARY NOTICE.**—(1) On a date no later than 135 days prior to acceptance by Collier of the offer of the United States under the Exchange Agreement, Collier shall provide preliminary notice in writing of its intent to accept such offer to—

(A) the Secretary;

(B) the Mayor of the City;

(C) the Administrator of Veterans' Affairs;

(D) the InterTribal Council of Arizona;

(E) the governing body of the Navajo Tribe; and

(F) the Governor of the State of Arizona.

The provision of this preliminary notice by Collier shall not affect Collier's right to accept or not to accept the offer of the United States under the Exchange Agreement and in accordance with subsection (h) (1) or (7).

(2) Notwithstanding any provision of the Exchange Agreement, Collier may not provide preliminary notice under paragraph (1) prior to the later of one year following the date of enactment of this title or the submission of a Specific Plan for the Phoenix Exchange Property as provided in the Planning and Development Agreement.

(e) **ELECTION BY CITY.**—(1) Within 15 days after receipt of notice to the Mayor of the City under subsection (d), the City may advise the Secretary in writing that it elects to accept conveyance of a parcel of 20 acres of land within the School Property identified for conveyance to the City by mutual agreement with Collier in accordance with the Public Planning Process.

(2) On or after conveyance of the Phoenix Exchange Property under the Land Exchange or Purchase Transaction, the Secretary shall convey to the City such parcel of 20 acres of the School Property as the City may elect to receive under paragraph (1), subject to the requirements of this section: *Provided*, That if the City and the Purchaser have not identified 20 acres for conveyance to the City in accordance with the Public Planning Process at the time of closing of the Land Exchange or the Purchase Transaction, the Secretary shall convey to the city a parcel of land consisting of the northernmost 20 acres of the School Property.

(3) Nothing in this title shall be construed as a limitation on the authority of the Purchaser and the City to enter into agreements to exchange, on an acre-for-acre basis, land within the School Property conveyed to the Purchaser for land conveyed by the United States to the City or owned by the City contiguous to the School Property.

(4) Any conveyance to the City by the United States under this subsection shall include the requirement for a right of reverter in favor of the United States restricting the use of such land perpetually to provide for public open space and recreation.

(5) Any conveyance by the Purchaser to the City of land within the School Property pursuant to exchange shall include a right of

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reverter in favor of the United States restricting the use of such land perpetually to provide for public open space and recreation. The conveyance by exchange of land to the Purchaser from the City shall extinguish any right of reverter restricting the use of land so conveyed to the Purchaser.

(6) Nothing in this subsection shall be construed to alter any right of the City to purchase additional acres of land within the School Property from the Purchaser pursuant to the Planning and Development Agreement or as may otherwise be agreed to by the City and the Purchaser.

(f) **TRANSFER TO THE VETERANS' ADMINISTRATION.**—(1) Upon the closing of the Land Exchange or the Purchase Transaction, the Secretary shall transfer to the Veterans' Administration jurisdiction and control of a parcel of 11.5 acres (including improvements located thereon) within the School Property to be used for expansion of the Veterans' Administration Medical Center in Phoenix, Arizona.

(2) Such parcel shall be the portion of land designated as Tract C on the metes-and-bounds surveys in the southeast quarter of section 20, township 2 north, range 3 east, of the Gila and Salt River Meridian, Arizona, conducted by the Bureau of Land Management of the Department of the Interior, dated March 22, 1988.

(3)(A) The Administrator shall cooperate with the City in the planning and development of land transferred under this subsection for the purpose of ensuring comprehensive planning of the School Property in accordance with the objectives of the Public Planning Process. The general authorities of the Administrator, including but not limited to those contained in sections 5022(a)(2) and 5024 of title 38, United States Code, shall be available to the Administrator for the purposes of this subsection.

(B) The Administrator shall, within six months after the date of the enactment of this title and every six months thereafter until the cooperative planning referred to in subparagraph (A) is completed, transmit a report to the Committee on Interior and Insular Affairs and the Committee on Veterans' Affairs of the House of Representatives and the Committee on Energy and Natural Resources and the Committee on Veterans' Affairs of the Senate. Each such report shall contain a description of the efforts made by the Veterans' Administration in carrying out such planning during the period for which the report is submitted.

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(C) The Secretary shall enter into a memorandum of understanding with the Administrator for the temporary use by the Administrator of the gymnasium constructed on the School Property in 1975. Such temporary use shall not extend beyond the interim period before the transfer or development of the property on which the gymnasium is located.

(g) **TRANSFER TO THE STATE OF ARIZONA.**—(1) Upon the closing of the Land Exchange or the Purchase Transaction, the Secretary shall transfer to the Veterans' Administration jurisdiction and control of a parcel of 4.5 acres (including improvements located thereon) within the School Property which shall be under the jurisdiction and control of the Veterans' Administration until disposed of in accordance with paragraph (3) or (4).

(2) Such parcel of land shall be contiguous to the parcel of land transferred to the Veterans' Administration under subsection (f) and to the Veterans' Administration Property. Such parcel shall be identified by mutual agreement of the City, the Administrator,

Collier, and the State of Arizona in accordance with the objectives of the Public Planning Process for use by the State of Arizona as a site for facilities owned and operated by such State as a home for veterans.

(3) The Administrator shall convey such parcel (including improvements located thereon), without reimbursement, to the State of Arizona when—

(A) the Administrator of Veterans' Affairs has approved the State of Arizona's application for assistance in construction of a State veterans' facility on such parcel pursuant to section 5035 of title 38, United States Code; and

(B) the State of Arizona has appropriated sufficient funds to pay for its portion of the costs of construction of such facility.

(4) If the State of Arizona does not submit an application for assistance described in paragraph (3)(A) and appropriate the funds described in paragraph (3)(B) within three years after such parcel is transferred to the Veterans' Administration under this subsection, the Administrator of Veterans' Affairs shall transfer jurisdiction and control of such parcel to the Secretary.

(5) Such land shall be offered by the Secretary for sale to the City, subject to a right of reverter in favor of the United States restricting the use of such land perpetually to provide for public open space and recreation, at a price determined by the Secretary which shall be representative of the value of such land discounted to account for such restrictions in use. In the event that the City does not accept the offer of the United States to purchase such land within six months from the date such offer is made, such land shall be offered for sale to the Purchaser at fair market value. The amount received from any sale of such land shall be deposited in the Arizona InterTribal Trust Fund and in the Navajo Trust Fund in accordance with the allocation described in section 405(e).

(h) **OFFERS TO PURCHASE.**—(1) Upon receipt by the Secretary of the notice of election to receive the parcel of land by the City of Phoenix under subsection (e), but in no event later than 15 days after receipt of preliminary notice to the Secretary by Collier under subsection (d), the Secretary shall notify Collier that, notwithstanding the provisions of subsection (d)(1), Collier may accept the offer of the United States to acquire the Phoenix Exchange Property under the terms of the Exchange Agreement, subject to the requirements that if the fair market value of the Phoenix Exchange Property stated in the current, independent appraisal obtained by the Secretary under subsection (m)(4) is greater than \$80,000,000, then Collier shall pay, in addition to the amount required to be paid under paragraphs 13 and 14 of the Exchange Agreement, an amount equal to the difference between the fair market value stated in such appraisal and \$80,000,000. If Collier notifies the Secretary that it does not accept the offer of the United States under this paragraph, a Purchaser may acquire the Phoenix Exchange Property pursuant to the requirements of paragraphs (2) through (9) of this subsection.

(2)(A) Upon receipt of notice by Collier that it does not accept the offer of the United States under paragraph (1), but in no event later than 15 days following receipt of such notice, the Secretary shall initiate the bidding process under this section by soliciting and advertising widely for sealed bids for purchase of the Phoenix Exchange Property: *Provided*, That no such bid will be accepted unless such bid offers a price of no less than the minimum acceptable price set forth in subsection (h)(4). The Secretary shall solicit

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and advertise widely for such bids by publishing notice that the Secretary will receive offers by persons other than Collier to purchase the Phoenix Exchange Property in the Federal Register and in newspapers of general circulation and other appropriate publications, including newspapers in Phoenix, Arizona. Such notice shall include—

(i) an accurate description of the Phoenix Exchange Property, and an identification of any parcels of land within the School Property elected for conveyance to the City pursuant to subsection (e), transferred to the Veterans' Administration pursuant to subsection (f), or conveyed to the State of Arizona pursuant to subsection (g);

(ii) the name and address of State and local offices from which information concerning the zoning and other legal requirements applicable to such property may be obtained;

(iii) a description of the terms and conditions for purchase of the Phoenix Exchange Property established under this title pursuant to which the Secretary may accept an offer to purchase the Phoenix Exchange Property;

(iv) a statement of the minimum price that the Secretary may accept for sale of the Phoenix Exchange Property under paragraph (4) of this subsection;

(v) a description of the other terms and conditions for purchase of the Phoenix Exchange Property that the Secretary determines are necessary to ensure that the rights and obligations of a Purchaser under this section are comparable in all material respects to the rights and obligations of Collier under the Exchange Agreement, except as otherwise provided in this title;

(vi) a statement establishing requirements for deposit of bond or other guarantee of credit in an amount determined by the Secretary; and

(vii) any other information that the Secretary, in his discretion, determines is reasonably necessary to permit a bona fide potential purchaser to evaluate the terms and conditions for purchase of the Phoenix Exchange Property.

(B) Upon request, the Secretary shall make available to any potential purchaser a copy of the Exchange Agreement or any other document in the possession of the Secretary which the Secretary in his discretion determines is reasonably necessary to permit a bona fide potential purchaser to evaluate the proposal of the United States to sell the Phoenix Exchange Property.

(3) Any person seeking to acquire the Phoenix Exchange Property by purchase under this section shall, within 90 days after publication of notice in the Federal Register under paragraph (2)(A), deliver to the Secretary in the form prescribed in such notice, a written offer to purchase the Phoenix Exchange Property which offer shall—

(A) offer to purchase the entire Phoenix Exchange Property for cash in a single transaction at a price greater than the minimum acceptable price established under paragraph (4);

(B) by its terms be irrevocable for a period of at least 120 days from the date such offer is delivered to the Secretary and be legally binding on the offeror upon acceptance of such offer by the United States;

(C) offer to enter into a Purchase Agreement with the United States under the terms and conditions for purchase of the

Phoenix Exchange Property described in the notice by the Secretary under paragraph (2);

(D) contain an offer to the United States to enter into a Trust Fund Payment Agreement in a form prescribed by the Secretary consistent with the requirements for payment of the Trust Fund Payment in the form of annual payments under section 403, which agreement shall be legally binding upon the offeror upon election of the Secretary to receive payment of the Monetary Proceeds in the form of annual payments under section 403 of this title, including: (i) a detailed description of the collateral to be provided by the offeror to secure the payment obligation under the Trust Fund Payment Agreement upon such election of the Secretary to receive payment in the form of annual payments, and (ii) evidence of ownership and value of such collateral sufficient to permit the Secretary to determine whether such collateral is adequate to secure the payment obligations of the Purchaser under the Trust Fund Payment Agreement;

(E) contain evidence that the offeror has made an offer to the City of Phoenix, legally binding by its terms on the offeror upon approval by the City Council of Phoenix, Arizona, to enter into the Planning and Development Agreement;

(F) contain full and substantial evidence of the capacity of the offeror to enter into and perform each of the obligations required to be undertaken by the offeror under the terms described by the Secretary in accordance with paragraph (2) including a description of any financing arrangements to be undertaken by the offeror in order to perform the payment obligation of the Purchaser upon closing of the Purchase Transaction;

(G) meet any other requirements prescribed by the Secretary in the notice published under paragraph (2)(A) which are reasonably necessary to ensure that any offer accepted by the United States under this subsection will provide public benefits to the United States comparable to those provided to the United States under the Land Exchange; and

(H) be accompanied by the deposit of a bond or other guarantee consistent with the requirements prescribed by the Secretary under paragraph (2).

(4) The minimum acceptable price for sale of the Phoenix Exchange Property is a cash amount equal to the sum of the amount required to be deposited into the account for purchase of the Florida Lands and reimbursement of costs under subsection (i) and an amount equal to the amount required to be paid by Collier under paragraphs 13 and 14 of the Exchange Agreement.

(5)(A) The Secretary shall review any offer to purchase the Phoenix Exchange Property delivered to the Secretary within 90 days after publication of notice under paragraph (2)(A) for the purpose of determining whether such offer meets the requirements under paragraph (3) or other requirements set forth in the notice of the Secretary pursuant to paragraph (2). The Secretary shall identify for consideration as qualifying offers all such offers that meet such requirements subject to the limitations of subparagraph (B).

(B) In determining whether an offer is a qualifying offer under this paragraph, the Secretary shall exclude from consideration any offer that the Secretary in his discretion determines—

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(i) does not meet the requirements set forth in the notice of the Secretary pursuant to paragraph (2);

(ii) is made by an offeror without adequate capacity to enter into or perform the payment obligations under this title or the Trust Fund Payment Agreement; or

(iii) has failed to identify collateral that is adequate to secure the obligations under the Trust Fund Payment Agreement.

(C) The Secretary shall, within 105 days after publication of notice in the Federal Register, select from among the qualifying offers the best qualifying offer, which shall be the single offer from among the qualifying offers that contains an offer to pay to the United States the highest lump sum cash payment upon closing of the Purchase Transaction: *Provided*, That nothing in this paragraph shall be construed to limit or alter the right of the Secretary to elect to receive payment of the Monetary Proceeds in the form of annual payments under section 403 of this title.

(6) Within 105 days after publication of notice in the Federal Register under paragraph (2)(A), the Secretary shall advise Collier whether the Secretary has identified a qualifying offer or offers. In the event that the Secretary has not identified any such qualifying offer, he shall advise Collier that Collier may accept the offer of the United States to Collier under the terms of the Exchange Agreement and this title. In the event that the Secretary has identified a qualifying offer, the Secretary shall provide Collier with a copy of the best qualifying offer, and shall advise Collier that Collier may accept the offer of the United States under the Exchange Agreement subject to the requirement that Collier pay, rather than the amount required to be paid under paragraphs 13 and 14 of the Exchange Agreement, the difference between an amount equal to 105 percent of the price to be paid under the best qualifying offer and \$45,100,000.

(7) Collier may accept the offer of the United States by notice to the Secretary within 30 days of receipt of notice under paragraph (6) that Collier accepts such offer under the terms of the Exchange Agreement and subject to the requirement, if any, for additional payment under paragraph (6). If Collier accepts the offer of the United States under this paragraph, closing of the Land Exchange shall occur under the terms of the Exchange Agreement and this title.

(8) If Collier does not accept such offer, the Secretary shall accept the best qualifying offer. If no qualifying offer has been received within the period specified in paragraph (3), the Secretary shall maintain the School Property in accordance with subsection (k) of this section, and notify the Committees on Interior and Insular Affairs and Veterans' Affairs in the House of Representatives, and the Committee on Energy and Natural Resources in the Senate within 60 days of the Secretary's notice to Collier under paragraph (6). Closing of the Purchase Transaction under this subsection shall occur within 90 days after acceptance by the United States of the best qualifying offer, subject to the requirements respecting deposit of payment under subsection (i).

(9) No action of the Secretary under this subsection shall be subject to the provisions of 5 U.S.C. 553 through 558 or 701 through 706.

(i) ACCOUNT FOR PURCHASE TRANSACTION AMOUNTS.—(1) Upon closing of the Purchase Transaction, there shall be established in the Treasury of the United States an account into which shall be

deposited from the amount paid to the United States under the Purchase Transaction, at the direction of the Secretary, an amount equal to the sum of—

(A) \$49,400,000, less any amount received by Collier in consideration of the conveyance to the United States of any portion of the Florida Lands prior to the closing of the Purchase Transaction, and

(B) an amount equal to the costs determined by the Secretary as reimbursable to Collier under paragraph (2), based on information to be provided to the Secretary by Collier at the time that Collier provides preliminary notice under subsection (d).

(2) For purposes of this subsection, reimbursable costs of Collier shall include—

(A) all costs, including fees for attorneys and consultants and appraisal costs paid or incurred by Collier in connection with the Public Planning Process and planning and zoning of the School Property, and

(B) an amount for compensation of general administrative costs and overhead, which shall be an amount equal to the costs reimbursable to Collier under subparagraph (A) multiplied by a factor of 0.8.

Florida.

(3) Upon conveyance by Collier to the United States of title to the Florida Lands pursuant to this subsection, the Secretary shall cause to be paid to Collier from the account established under paragraph (1): (A) \$49,400,000, less any amount previously paid to Collier by the United States in consideration of conveyance of any portion of the Florida Lands, and (B) an amount equal to the total amount of costs reimbursable to Collier under this subsection, as determined by the Secretary.

(j) **CONVEYANCE OF TITLE.**—Upon conclusion of the procedures under subsection (h), the Secretary is authorized and directed to release and quitclaim to the Purchaser all right, title, and interest of the United States to the Phoenix Exchange Property.

(k) **REVERSION.**—Any land within the School Property not conveyed to the Purchaser or the City or transferred to the Veterans' Administration upon closing of the Land Exchange or the Purchase Transaction or which reverts to the United States under subsection (e)(4) or is transferred to the Secretary under subsection (g)(4) and is not sold to the City or the Purchaser shall be maintained under the administrative jurisdiction, management and control of the National Park Service and shall not be disposed of until authorized by an Act of Congress: *Provided, however,* That such lands shall not be considered a unit of the National Park System.

(l) **STATE AND LOCAL AUTHORITY.**—Nothing in this title shall be construed to supersede, abrogate, enlarge, diminish, or otherwise alter the exercise of authority of the State of Arizona, the City or other State and local authority with respect to planning and zoning of the School Property under applicable State or local law.

(m) **SPECIFIC PLAN REPORTS.**—(1) No later than 30 days after the submission of the Specific Plan as provided for in the Planning and Development Agreement, the Comptroller General of the United States shall submit to Congress a report analyzing the Specific Plan, particularly as it relates to the final proposals for zoning of the Phoenix Exchange Property, the alternatives considered, the reasons for rejection of the alternatives, and the effect of the rezoning

proposals on the potential value of the property relative to the effects of other zoning proposals.

(2) Within 60 days after acceptance of the Purchasers' offer under subsection (h)(8), or acceptance by Collier of the offer of the United States under subsection (h) (1), (6) or (7), whichever is later, the Comptroller General shall provide a further report on all actions taken subsequent to the submission of the Specific Plan relative to disposition of the Phoenix Exchange Property, particularly as they relate to the value received by the United States and the process by which such value was determined.

(3) The Comptroller General shall transmit all reports required by this section to the Committees on Interior and Insular Affairs and Education and Labor of the House of Representatives and the Committee on Energy and Natural Resources and the Select Committee on Indian Affairs of the Senate.

(4) Within 45 days following submission of the Specific Plan as provided for in the Planning and Development Agreement, the Secretary shall obtain, at Collier's expense, a current, independent appraisal of the Phoenix Exchange Property, based upon the zoning requirements stated in such Specific Plan, which appraisal shall determine the fair market value which Collier must give for the Phoenix Exchange Property if such property is acquired by Collier pursuant to the provisions of subsection (h)(1).

PAYMENT TO THE TRUST FUNDS

Indians.

SEC. 403. (a) DEPOSIT OF MONETARY PROCEEDS.—The Monetary Proceeds shall be paid to the United States for deposit in the Arizona InterTribal Trust Fund and the Navajo Trust Fund in accordance with this section and section 405 of this title.

(b) **ELECTION OF LUMP SUM OR ANNUAL PAYMENTS.**—Subject to the requirements for consultation under subsection (c)(3), the Secretary may, in his discretion, elect to receive the Trust Fund Payment for deposit in the Arizona InterTribal Trust Fund or the Navajo Trust Fund, or both, in the form of either a lump sum payment or 30 annual payments, calculated in accordance with subsection (c). The Secretary shall provide notice of such election to the Purchaser within 90 days after receipt of notice from Collier that it intends to accept the offer of the United States under the Exchange Agreement pursuant to section 402(d).

(c) **METHOD OF PAYMENT.**—(1) If the Secretary elects to receive a Trust Fund Payment in the form of a lump sum payment, the Purchaser shall, at the time of closing, pay to the United States an amount equal to that portion of the Monetary Proceeds that is properly allocable to the Trust Fund for which such election is made.

(2) If the Secretary elects to receive a Trust Fund Payment in the form of annual payments, the Purchaser shall make—

(A) 30 annual payments equal to the interest due on an amount equal to that portion of the Monetary Proceeds that is properly allocable to the Trust Fund for which such election is made; and

(B) at the time of the last annual payment, a payment equal to that portion of the Monetary Proceeds that is properly allocable to the Trust Fund for which such election is made.

(3) Prior to making any election as to form of the Trust Fund Payment under this subsection, the Secretary shall consult with—

(A) the InterTribal Council of Arizona, concerning the form of the Trust Fund Payment to the Arizona InterTribal Trust Fund; and

(B) the governing body of the Navajo Tribe, concerning the form of the Trust Fund Payment to the Navajo Trust Fund.

(4) If the Secretary elects to receive a Trust Fund Payment in the form of annual payments under subsection (c)(2), the Secretary is directed to execute the Trust Fund Payment Agreement pursuant to which such annual payments will be made.

(5) The interest rate to be used in determining the interest due on annual Trust Fund Payments payable by the purchaser shall be the interest rate being offered on bonds payable in 30 years sold by the United States on the date that notice of the election of the form of the Trust Fund Payment is made by the Secretary plus 0.25 percent, except that in no event shall such interest rate be lower than 8.5 percent or higher than 9.0 percent.

(6) Closing of the Land Exchange or the Purchase Transaction shall occur no sooner than 90 days after notice of the Secretary's election is provided to the Purchaser, except that if the Secretary elects to receive a Trust Fund Payment in the form of annual payments under subsection (c)(2), closing of the Land Exchange or the Purchase Transaction shall not occur unless a Trust Fund Payment Agreement has been executed.

(d) CASH PROCEEDS.—Any cash proceeds to the United States from the sale of land within the School Property offered to and accepted by the City or the Purchaser subsequent to closing of the Land Exchange or the Purchase Transaction shall be in the form of a lump sum payment, unless otherwise agreed to by the parties, payable to the United States for deposit into the Arizona InterTribal Trust Fund and the Navajo Trust Fund pursuant to section 405 of this title.

CLOSURE OF THE PHOENIX INDIAN HIGH SCHOOL

SEC. 404. (a) CLOSURE.—Notwithstanding any other provision of law, the Secretary shall close the Phoenix Indian High School on a date determined by the Secretary, which date shall be no earlier than June 1, 1990, and no later than September 1, 1990.

(b) NOTICE.—By January 30, 1990, the Secretary shall notify the tribal governing body of each Arizona Tribe affected by the closing of the Phoenix Indian High School and each person, or parent or guardian of each person, enrolled as a student at the Phoenix Indian High School on January 1, 1991, of the date of closing of the Phoenix Indian High School as determined by the Secretary under subsection (a).

(c) INDIVIDUAL EDUCATION PLANS.—(1) Beginning January 30, 1990, but in no case later than March 1, 1990, the Secretary, through the Assistant Secretary of Indian Affairs, shall—

(A) identify each eligible Indian student who is enrolled or preenrolled for attendance at the Phoenix Indian High School, as of the date of enactment of this title, or who attended the Phoenix Indian High School during the academic year 1988-89, and who did not graduate from a secondary program, and shall—

(i) contact each student, or the parents or guardians of record of each such student,

(ii) notify each student that the Phoenix Indian High School is to be closed at the date established by the Secretary under subsection (a),

(iii) inform each of the alternatives available to each student and their families, including attendance at the Bureau operated facility at Riverside, California, and

(iv) develop the individual education plans required under subparagraph (B);

(B) develop for each student identified under subparagraph (A) an individual education plan, which shall be formulated in a cooperative fashion between Bureau education and other appropriate social services. Each individual education plan shall, at the minimum, include—

(i) an identification of the student;

(ii) an identification of the special educational, social, or academically related cultural needs of each student;

(iii) a description of the consultation and discussions with the student and the parent involved in the formulation of this plan;

(iv) an identification of the alternative service provider chosen by the student or parent to provide educational services;

(v) any actions taken, pursuant to the requirements to protect confidentiality, to contact and coordinate the alternative service provider, the tribe, any appropriate Bureau social service entities, and the Office of Indian Education Program; and

(vi) set out in detail the actions to be taken by the Bureau of Indian Affairs to supplement the program provided with additional services and support for the student, where the student attends a non-Bureau funded program or a Bureau funded program which does not include the services described within the plan; and

(C) take such steps as are necessary to establish a formal internal mechanism for implementing the findings and recommendations of the plans developed under subparagraph (B).

(2)(A) Any other provision of law notwithstanding, the Secretary shall, for the fiscal years ending prior to September 30, 1992, reserve from funds appropriated under section 1128 of Public Law 95-561 and other Bureau of Indian Affairs accounts presently providing support to the Phoenix Indian High School during the fiscal year 1990 an amount equal to the amount determined under subparagraph (B) for the purpose of implementing subparagraph (C).

(B)(i) The amount reserved for the fiscal year ending September 30, 1991, shall be equal to the sum of three-fourths the amount generated under the Indian Student Equalization Formula during fiscal year 1990 for the Phoenix Indian High School plus three-fourths the amount generated under the accounts referenced in subparagraph (A), such funds to be reserved from the respective accounts and administered pursuant to subparagraph (C).

(ii) The amount reserved for the fiscal year ending September 1992 shall be equal to the sum of one-half the amount generated under the Indian Student Equalization Formula during fiscal year 1990 for the Phoenix Indian High School plus one-half the amount generated under the accounts referenced in subparagraph (A), such funds to be reserved from the respective accounts and administered pursuant to subparagraph (C).

(C) From funds reserved pursuant to subparagraph (B), the area education director and the area director shall jointly administer a program to implement the individual education plans developed under paragraph (2), with particular emphasis being placed on monitoring the performance and attendance of students covered by the individual education plans. From such funds, they shall also, to the extent funds are available, conduct such activities as may be necessary to determine those eligible Indian students who reside within the State of Arizona or the jurisdiction of the Phoenix Area Office of the Bureau of Indian Affairs who are of legal age to be attending school but who are not enrolled in any program.

(d) **TRANSFER OF JURISDICTION.**—Within 60 days after closure of the Phoenix Indian High School under subsection (a), the Secretary shall transfer administrative jurisdiction, management and control of the school property from the Bureau of Indian Affairs to the National Park Service: *Provided*, That, prior to the disposition of the School Property under the terms of the Exchange Agreement or otherwise, the National Park Service shall manage and control such School Property in a manner consistent with the requirements of the Exchange Agreement and subsection (e), except that the Administrator may, during the interim period of administration, take such actions as are necessary to protect the improvements located on the 11.5 acres of land and 4.5 acres of land to be transferred to the Veterans' Administration pursuant to subsections (f) and (g) of section 402. During the interim period of administration the School Property shall not be considered a unit of the National Park System.

California.

(e) **TRANSFER OF RESOURCES.**—(1) Any other provision of law notwithstanding, the following shall apply to the Sherman Indian School, located in Riverside, California, and operated by the Bureau of Indian Affairs, or its successors, effective on the date of enactment:

(A) The attendance boundaries used by the Bureau of Indian Affairs to govern placements in the Sherman Indian School is expanded to include all of the attendance boundary served in the fiscal year 1991 by the Phoenix Indian High School.

(B) Subject to school board approval, the superintendent of the Sherman Indian School is authorized to pay the recruitment and retention allowance authorized under section 1131(h)(3) of Public Law 95-561.

(C) The Secretary shall inventory all Bureau of Indian Affairs educational property, including personal property, currently located at the Phoenix Indian High School. The superintendent of the Sherman Indian School, and their designees, shall have first option on all materials located at the Phoenix Indian High School and the Secretary shall take all steps necessary to move the materials chosen by the superintendent of the Sherman Indian School to the school as expeditiously as possible. Remaining property shall be made available to other off-reservation boarding schools.

(D) Subject to the provisions of subsection (d), the personnel ceilings at the Sherman Indian School shall be immediately adjusted to reflect employees who transfer from the Phoenix Indian High School and any increase in the student population projected by the closure.

(2) With respect to any employee employed at the Phoenix Indian High School prior to the closure of the academic program—

(A) for the purpose of conducting the reduction in force associated with the closure of the Phoenix Indian High School, Phoenix Indian High School and the Sherman Indian School in Riverside, California shall be considered as one employment area; and

(B) for those who do not elect to exercise the above, or to whom they do not apply, outplacement assistance, including where available job retraining programs, professional résumé and other job placement assistance.

ESTABLISHMENT OF THE ARIZONA INDIAN TRUST FUNDS

SEC. 405. (a) ESTABLISHMENT.—Upon disposal of the School Property and receipt by the United States of the Monetary Proceeds, there shall be established in the Treasury of the United States—

(1) a fund to be known as the Arizona InterTribal Trust Fund; and

(2) a fund to be known as the Navajo Trust Fund.

(b) AMOUNTS IN FUNDS.—Each Trust Fund established under this section shall consist of—

(1) an amount equal to the sum of—

(A) that portion of the Monetary Proceeds properly allocable to each such Trust Fund;

(B) that portion of the cash proceeds from the sale by the United States to the City or the Purchaser of additional acres of land within the School Property pursuant to subsection (g)(5) of section 402 of this title properly allocable to each such Trust Fund; and

(C) any interest accruing on any amount deposited in each such Trust Fund,

(2) less the amount of Trust Income from the Trust Fund used by the Secretary pursuant to subsection (d).

(c) INVESTMENT.—(1) If a Trust Fund Payment is made in the form of a lump sum payment under section 403(c)(1) of this title, the Secretary of the Treasury shall invest the amount of such lump sum payment in interest-bearing deposits and securities in accordance with the Act of June 24, 1938 (25 U.S.C. 162a).

(2) If a Trust Fund Payment is made in the form of annual payments under section 403(c)(2) of this title, the Secretary of the Treasury shall hold in trust the security provided in accordance with the Trust Fund Payment Agreement.

(3) At the direction of the Secretary, the Secretary of the Treasury may invest in accordance with the requirements of paragraph (1) any portion of the Trust Income not used by the Secretary in any year.

(d) USE OF TRUST INCOME.—(1) The purpose of these trust funds is to supplement, not supplant, current Federal efforts. The Secretary shall not reduce, rescind, alter or change any distribution of funds to which any Indian tribe or students covered by this section may otherwise be entitled or eligible under any other Federal authority. The Congress also expresses its intention that in determining the amount of any funds to provide services to Indian tribes or students covered by this section, there shall be no amendment, alteration, limitation, or reduction within future congressional action occasioned by the presence of these funds.

(2) Trust Income may be used only for—

Education.
Children and
youth.

(A) supplemental educational and child-welfare programs, activities, and services for the benefit of—

- (i) those Arizona Tribes that were members of the Inter-Tribal Council of Arizona on January 1, 1988, in the case of payments from the Arizona InterTribal Trust Fund; and
- (ii) the Navajo Tribe, in the case of payments from the Navajo Trust Fund;

(B) the design, construction, improvement, or repair of related facilities; and

(C) the payments referred to in paragraph (4).

(3)(A) To carry out the purposes of paragraph (2), the Secretary, pursuant to appropriations, may make grants—

(i) from the Arizona InterTribal Trust Fund to Arizona tribes that were members of the InterTribal Council of Arizona on January 1, 1988, public school districts on or near reservations of such Tribes in the State of Arizona, and the InterTribal Council of Arizona; and

(ii) from the Navajo Trust Fund to the Navajo Tribe or public school districts on or near the Navajo Reservation in the State of Arizona.

(B) The Secretary shall require, as a condition for making any grant to a public school district, the approval of the governing body of the Arizona Tribe the children of which are to be served by such grant.

(4)(A) An amount equal to 5 percent of the Trust Income during the preceding fiscal year shall be paid annually by the Secretary—

(i) to the InterTribal Council of Arizona from the Arizona InterTribal Trust Fund; and

(ii) to the governing body of the Navajo Tribe from the Navajo Trust Fund.

Education.
Children and
youth.

(B) Payments made under this paragraph shall be used for education, child welfare, community development, and general administrative purposes, and may be made only pursuant to an annual budget adopted by the vote of—

(i) a majority of the members of the InterTribal Council of Arizona, in the case of payments to the Arizona InterTribal Trust Fund; and

(ii) the governing body of the Navajo Tribe, in the case of payments to the Navajo Trust Fund.

(C) The limitation on the amount of payments under this paragraph shall not be construed as a limitation on the authority of the Secretary to make grants to the InterTribal Council of Arizona or the Navajo Tribe under paragraph (3).

(5) None of the Trust Income may be used for scholarship grants for higher education.

(e) ALLOCATION.—In depositing into the Trust Funds the Monetary Proceeds, any payment by the State of Arizona, or the cash proceeds from the sale of land within the School Property—

(1) the amount properly allocable to the Arizona InterTribal Trust Fund shall be 95 percent of the total amount of such payment or cash proceeds to the United States; and

(2) the amount properly allocable to the Navajo Trust Fund shall be 5 percent of the total amount of such payment or cash proceeds to the United States.

SEC. 406. ADMINISTRATION OF NEW LANDS FUNDS.—Subsection (c)(2)(B) of section 12 of Public Law 93-531 (25 U.S.C. 640d-11) is amended by adding at the end thereof of the following new clause:

"(B) : *Provided further*, That for administrative purposes such funds shall be maintained in a separate account."

SEC. 407. CLARIFICATION OF ELIGIBILITY.—Public Law 93-531 is amended by adding at the end thereof the following new section:

"SEC. 32. Nothing in this Act prohibits the Commissioner from providing relocation assistance to families certified as eligible, regardless of their current place of residence, with funds appropriated to implement Public Law 93-531."

25 USC 640d-31.

TITLE V—SANTA RITA PUBLIC LANDS EXCHANGE

PAYMENT OF FEDERAL DEBT

SEC. 501. The Secretary of the Interior, acting through the Bureau of Land Management, shall convey to the State of Arizona, a portion of the lands in the Santa Rita Experiment Station lying outside of the National Forest System, (comprising 50,810.94 acres as generally depicted on map AZ-020-01, subpart A, dated September 13, 1988), which the Secretary deems necessary to satisfy the remaining Federal debt to the State of Arizona, as of the date of enactment of this title, for relinquishments of lands for the Central Arizona project pursuant to the provisions of the Act of June 20, 1910. The map referenced in this section shall be on file and available for public inspection in the offices of the Arizona State Bureau of Land Management and of the Bureau of Land Management in Washington, D.C.

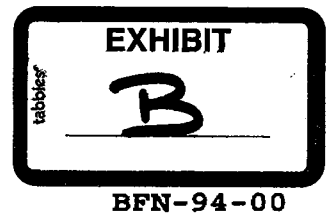
LAND ACQUISITION

SEC. 502. (a) STATE LANDS ACQUISITION.—Upon completion of the actions authorized in section 501, the Secretary shall utilize the remaining Federal lands in the Santa Rita Experiment Station, described in section 501, to acquire through exchange, pursuant to the exchange provisions of the Federal Land Policy Management Act of 1976, all of the State trust lands within Catalina State Park (as generally depicted on map AZ-020-02, subpart B, dated September 13, 1988), Buenos Aires National Wildlife Refuge (as generally depicted on map AZ-020-05, subpart A, dated September 13, 1988), the Black Canyon Corridor (as generally depicted on map AZ-020-03, Subpart A, dated September 13, 1988), Arivaca Lake (as generally depicted on map AZ-020-05, subpart B, dated September 13, 1988), the Madera-Elephant Head Trail area (as generally depicted on map AZ-020-01, subpart C, dated September 13, 1988), and near Lake Pleasant (as generally depicted on map AZ-020-03, subpart B, dated September 13, 1988). The maps described in this subsection shall be on file and available for public inspection in the offices of the Arizona State Bureau of Land Management and of the Bureau of Land Management in Washington, D.C.

Public
information.

(b) ADDITIONAL ACQUISITION AUTHORITY.—The Secretary is also authorized to acquire the State lands described in subsection (a) by purchase or eminent domain to the extent determined by him to be appropriate.

(c) LANDS TO BE INCLUDED IN THE NATIONAL WILDLIFE REFUGE SYSTEM.—Those lands within the Buenos Aires National Wildlife Refuge that are acquired in accordance with this title shall be added to the National Wildlife Refuge System and managed in accordance with the National Wildlife Refuge System Administration Act of 1966.



**RESOLUTION OF THE
BUDGET AND FINANCE COMMITTEE
OF THE NAVAJO NATION COUNCIL**

**Approving the Establishment of the Phoenix Urban Navajo Trust
Fund in Accordance with the Federal Navajo Trust Funds
Established Pursuant to Public Law 100-696**

WHEREAS:

1. Pursuant to 2 N.N.C. §§ 371 and 372 (A), the Budget and Finance Committee of the Navajo Nation Council is established as a standing committee to coordinate, oversee and regulate the fiscal, financial, investment, contracting and audit policies of the Navajo Nation; and

2. Pursuant to 2 N.N.C. § 374 (B), (2), (4), to the extent permitted by federal or Navajo Nation laws and regulations, the Committee shall appropriate, allocate, re-appropriate and review the use of Navajo Nation funds received including but not limited to all grants, contracts, gifts and other funds from all resources and to coordinate and review all fiscal, financial, investments, or activities of the Navajo Nation and its enterprises, as well as other agencies, federal, state, regional and private expending or seeking to expend funds within the Navajo Nation or for the benefit of the Navajo people; and

3. By Resolution CS-67-00, or the Navajo Nation Council approved and adopted the Fiscal Year 2001 Navajo Nation Operating Budget and other related actions that include Condition of Appropriations No. 46 directing the development and establishment of a funds management plan for the Arizona/Florida Trust Fund (Navajo Trust) for approval by the Budget and Finance Committee by the 1st Quarter of Fiscal Year 2001; and

4. The Fiscal Year 2001 Operating Budget Resolution includes funding earmarked for the Phoenix Indian Center, Inc. via Arizona/Florida Trust Fund as recommended by the Budget and Finance Committee as a fiduciary fund; and

5. Former President Peterson Zah's Administration advocated for the establishment of Federal Navajo Trust Fund for use by the Phoenix Urban Navajos under Auspices of Public Law 100-696 (see letter from former President Peterson Zah attached and incorporated as Exhibit "B"); and

6. It is recommended that a Trust Fund Management Plan be adopted in accordance to Public Law 100-696; and

7. Phoenix Indian Center, Inc., has drafted a Trust Fund Management Plan entitled "The Phoenix Urban Navajo Trust Fund" attached and incorporated as Exhibit "A"; and

8. President Kelsey A. Begaye is in support of the Phoenix Urban Trust Fund by letter attached and incorporated as Exhibit "C"; and

9. There are over 20,000 Navajos living in the Phoenix Metropolitan area and the Phoenix Urban Trust Fund as proposed will provide essential assistance and services to them in accordance with Public Law 100-696.

NOW THEREFORE BE IT RESOLVED:

1. The Budget and Finance Committee of the Navajo Nation Council hereby approves the establishment of the Phoenix Urban Navajo Trust Fund established pursuant to Public Law 100-696, attached and incorporated as Exhibit "A".

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Budget and Finance of the Navajo Nation Council at a duly called meeting at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that the same was passed by a vote of 5 in favor, 0 opposed and 0 abstained, this 21th day of November, 2000.


Bennie Cohoe
Vice Chairperson

Motioned: Raymond Jones
Seconded: Raymond Maxx

EXHIBIT “A”

PHOENIX URBAN NAVAJO TRUST FUND

§ 1 Establishment

There is established the Phoenix Urban Navajo Trust Fund with annual funding of \$148,325.00 from the federal Navajo Trust Fund (established pursuant to Public Law 100-696). In accordance with the requirements of Public Law 100-696, funding will be available on an annual basis until the year 2026. Any money received from the Navajo Trust Fund and deposited into the Phoenix Urban Navajo Trust Fund [hereinafter called the “Fund”] shall be used only in conformance with Public Law 100-696 as provided herein.

§2 Purpose

Monies received by the Navajo Nation from the Navajo Trust Fund may, pursuant to Public Law 100-696, only be used for the following purposes:

- A. Five percent of the annual payment (principal) deposited in the Navajo Trust Fund by the United States plus five percent of the Navajo Trust Fund’s previous year’s interest earnings may be used for education, child welfare, community development and general administrative purposes; and
- B. Any amounts in the Navajo Trust Fund beyond the amounts listed in (A) above may be used for supplemental educational and child-welfare programs, activities, and services and/or the design, construction, improvement, or repair of related facilities.
- C. No amounts can be used for higher education scholarship grants.

§3 Definitions of Principal and Income

- A. “Fund Principal” shall consist of annual funding received from the federal Navajo Trust Fund pursuant to Public Law 100-696.
- B. “Fund Income” shall consist of all earnings (interests, dividends, etc.) generated by investment of the Fund Principal.

§4 Administration of the Fund

The Phoenix Indian Center, Inc. is to administer the Fund, pursuant to provisions of this act and all applicable Navajo Nation laws.

§5 Investments of the Fund

All amounts of money deposited in the Fund shall be invested as soon as practical in accordance with investment objectives and policies of the Navajo Nation as formally adopted by the Budget and Finance Committee of the Navajo Nation Council.

§6 Expenditure of Fund Principal and Fund Income

- A. Fund Principal and Fund Income shall not be expended except for the purposes set forth in Public Law 100-696, as restated in § 2 above, and in adherence to the annual budget approved by the Budget and Finance Committee and submitted to the Office of Trust Fund Management.
- B. All reasonable expenses directly associated with the administration and management of the Fund shall be paid from the Fund Principal and Fund Income as budgeted and approved by the Budget and Finance Committee. Such expenses shall include investment advisory and management fees, audit costs and other related expenses, all pursuant to duly approved contracts for such services.

§7 Budgeting and Allocation of Fund Principal and Fund Income

- A. In accordance with applicable Navajo Nation Laws, the Phoenix Indian Center, Inc. shall recommend the annual allocation of available Fund Principal and Fund Income to the Budget and Finance Committee.
- B. If the Phoenix Indian Center, Inc., wishes to subcontract any of the Funds, the Phoenix Indian Center, Inc. shall, on an annual basis, place public advertisement and/or notice for the use of the available Fund Principal and Fund Income. The advertisement and/or notice shall include the specifications and qualifications for the use of the available Fund Principal and Fund Income and the submittal of proposals.
- C. The Budget and Finance Committee will approve the annual allocation of the available Fund Principal and Fund Income.
- D. The Office of Management and Budget shall submit to the Office of Trust Fund Management a budget approved by the Budget and Finance Committee conforming to the requirements of Public Law 100-696 and § 2 above for use of the monies available from the Phoenix Urban Navajo Trust Fund.

§8 Annual Audited Reports

The Fund shall be audited annually as part of the annual audit of the Combined Financial Statements of the Navajo Nation and the Phoenix Indian Center, Inc. shall fully cooperate in the annual audit.

§9 Fund Termination

Pursuant to Public Law 100-696, annual disbursements from the Navajo Trust Fund will be completed and end after the year 2026 disbursement. Any monies remaining in the Fund after the year 2026 will be allocated for the purposes described in § 2 above and following the procedures of § 6 (A) – (C) and § 7 above.

§10 Amendments

Any section(s) herein may be amended upon approval of the Budget and Finance Committee of the Navajo Nation Council except that no amendments may be made in contravention of Public Law 100-696.

* * *

RESOLUTION OF THE
BUDGET AND FINANCE COMMITTEE OF THE
NAVAJO NATION COUNCIL

Approving Amendments to the Phoenix Urban Navajo Trust Fund
in Accordance to Public Law 100-696

WHEREAS:

1. Pursuant to 2 N.N.C. §§371 and 372(A), the Budget and Finance Committee of the Navajo Nation Council is established as a standing committee to coordinate, oversee and regulate the fiscal, financial, investment, contracting and audit policies of the Navajo Nation; and
2. Pursuant to 2 N.N.C. §374(B),(2),(4), to the extent permitted by federal or Navajo Nation laws and regulations, the Committee shall appropriate, allocate, re-appropriate and review the use of the Navajo Nation funds received including but not limited to all grants, contracts, gifts and other funds from all resources and to coordinate and review all fiscal, financial, investments, or activities of the Navajo Nation and its enterprises, as well as other agencies, federal, state, regional and private expending or seeking to expend funds within the Navajo Nation or for the benefit of the Navajo people; and
3. As authorized by the Navajo Nation Council, the Budget and Finance Committee of the Navajo Nation Council pursuant to BFN-94-00, approved the establishment of the Phoenix Urban Navajo Trust Fund to be funded via the Arizona/Florida Trust Fund in accordance to Public Law 100-696 (attached as Exhibit "A"); and
4. The Phoenix Indian Center, Inc., is a community based private no-profit social service/educational agency whose mission is to promote the economic and social self-sufficiency of American Indians residing in metropolitan Phoenix area. The center serves approximately 9,000 urban Indians on an annual basis. Statistics indicate that 66% of clients receiving various assistance from the Phoenix Indian Center are members of the Navajo Nation. Phoenix Indian Center provides and will attempt to continue providing the following services: case management, rent/mortgage assistance, utility assistance, transportation through bus fares or gasoline and aid to Navajo families in need of medical services as necessary. In an effort to break the

cycle of learned helplessness, counseling and life skills classes will be added to the services that include child welfare services, programs and activities, supplemental educational programs, Navajo language culture and government education child care, for community development and general administration; and


5. There are over 20,000 Navajos living in the Phoenix metropolitan area and the Phoenix Urban Navajo Trust Fund as modified will provide further essential assistance and services to them in accordance with P.L. 100-696.

NOW THEREFORE BE IT RESOLVED THAT:

The Budget and Finance Committee of the Navajo Nation Council hereby approves amendments to the Phoenix Urban Navajo Trust Fund in accordance to Public Law 100-696, attached and incorporated as Exhibit "A 1".

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Budget and Finance Committee of the Navajo Nation Council at a duly called meeting at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that same was passed by a vote of 7 in favor 0 opposed and 0 abstained, this 15th day of October, 2002.



Lorenzo Bedonie
Chairperson

Motion: Raymond Jones
Second: Richard T. Begaye

EXHIBIT

PHOENIX URBAN NAVAJO TRUST FUND

§ 1 Establishment

There is established the Phoenix Urban Navajo Trust Fund with annual funding of \$148,325.00 from the Federal Navajo Trust Fund (established pursuant to Public Law 100-696). In accordance with the requirements of Public Law 100-696, funding will be available on an annual basis until the year 2026. Any money received from the Navajo Trust Fund and deposited into the Phoenix Urban Navajo Trust Fund [hereinafter call the "Fund"] shall be used only to benefit Navajos residing in the Phoenix metropolitan area in conformance with Public Law 100-696 and as provided herein.

§ 2 Purpose

Monies received by the Navajo Nation from the Navajo Trust Fund ~~may~~ shall, pursuant to Public Law 100-696, only be used for the following purposes:

- A. Five percent (5%) of the annual payment (principal) deposited in the Navajo Trust Fund by the United States plus five percent (5%) of the Navajo Trust Fund's previous year's interest earnings may be used for education, child welfare, community development and general administrative purposes; and
- B. Any amounts in the Navajo Trust Fund beyond the amount listed in (A) above may be used for supplemental educational and child-welfare programs, activities, and services and/or the design, construction, improvement or repair of related facilities; and
- C. No amount can be used for higher education scholarship grants; and
- D. Lease and/or purchase of such facilities in Section 2 B.

§ 3 Definitions of Principal and Income

- A. "Fund Principal" shall consist of annual funding received from the Federal Navajo Trust Fund pursuant to Public Law 100-696.
- B. "Fund Income" shall consist of all earnings (interests, dividends, etc.) generated by investment of the Fund Principal.

§ 4 Administration of the Fund

The Phoenix Indian Center, Inc. is to administer the Fund, pursuant to provisions of this act and all applicable Navajo Nation laws.

§ 5 Investment of the Fund

All amounts of money deposited in the Fund shall be invested as soon as practical in accordance with investment objectives and policies of the Navajo Nation as formally adopted by the Budget and Finance Committee of the Navajo Nation Council.

§ 6 Expenditure of Fund Principal and Fund Income

- A. Fund Principal and Fund Income shall not be expended except for the purposes set forth in Public Law 100-696, as restated in § 2 above, and in adherence to the annual budget approved by the Budget and Finance Committee and submitted to the Office of Trust Fund Management.
- B. All reasonable expenses directly associated with the administration and management of the Fund shall be paid from the Fund Principal and Income as budgeted and approved by the Budget and Finance Committee. Such expenses shall include investment advisory and management fees, audit costs and other related expenses, all pursuant to duly approved contracts for such services.

§ 7 Budgeting and Allocation of Fund Principal and Fund Income

- A. In accordance with applicable Navajo Nation Laws, the Phoenix Indian Center, Inc. shall recommend the annual allocation of available Fund Principal and Fund Income to the Budget and Finance Committee.
- B. If the Phoenix Indian Center, Inc. wishes to subcontract any of the Funds, the Phoenix Indian Center, Inc. shall on an annual basis, place public advertisement and/or notice for the use of the available Fund Principal and Fund Income. The advertisement and/or notice shall include the specifications and qualifications for the use of the available Fund Principal and Fund Income and the submittal of proposals.
- C. The Budget and Finance Committee will approve the annual allocation of the available Fund Principal and Fund Income.
- D. The Division of Finance, Controller's Office shall submit to the Office of Trust Fund Management a budget approved by the Budget and Finance Committee conforming to the requirements of Public Law 100-696 and § 2 above for use of the monies available from the Phoenix Urban Navajo Trust Fund.

§ 8 Annual Audited Reports

The Fund shall be audited annually as part of the annual audit of the Phoenix Indian Center, Inc. who shall provide a copy of the annual audit to the Navajo Nation in a timely fashion.

§ 9 Fund Termination

Pursuant to Public Law 100-696, annual disbursements from the Navajo Nation Trust Fund will be completed and end after the year 2026 disbursement. Any monies remaining in the Fund after the year 2026 will be allocated for the purposes described in § 2 above and following the procedures of § 6 (A) – (C) and § 7 above.

§ 10 Amendments

Any sections(s) herein may be amended upon approval of the Budget and Finance Committee of the Navajo Nation Council except that no amendments may be made in contravention of Public Law 100-696.

* * *

PHOENIX URBAN NAVAJO TRUST FUND

§ 1 Establishment

There is established the Phoenix Urban Navajo Trust Fund with annual funding of \$148,325.00 from the Federal Navajo Trust Fund (established pursuant to Public Law 100-696). In accordance with the requirements of Public Law 100-696, funding will be available on an annual basis until the year 2026. Any money received from the Navajo Trust Fund and deposited into the Phoenix Urban Navajo Trust Fund [hereinafter call the "Fund"] shall be used only in conformance with Public Law 100-696 to benefit Navajos residing in the Phoenix metropolitan area in conformance with Public Law 100-696 and as provided herein.

§ 2 Purpose

Monies received by the Navajo Nation from the Navajo Trust Fund ~~may~~ shall, pursuant to Public Law 100-696, only be used for the following purposes:

- A. Five percent (5%) of the annual payment (principal) deposited in the Navajo Trust Fund by the United States plus five percent (5%) of the Navajo Trust Fund's previous year's interest earnings may be used for education, child welfare, community development and general administrative purposes; and
- B. Any amounts in the Navajo Trust Fund beyond the amount listed in (A) above may be used for supplemental educational and child-welfare programs, activities, and services and/or the design, construction, improvement or repair of related facilities; and
- C. No amount can be used for higher education scholarship grants; and
- D. Lease and/or purchase of such facilities in Section 2 B.

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- A. "Fund Principal" shall consist of annual funding received from the Federal Navajo Trust Fund pursuant to Public Law 100-696.
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The Phoenix Indian Center, Inc. is to administer the Fund, pursuant to provisions of this act and all applicable Navajo Nation laws.

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All amounts of money deposited in the Fund shall be invested as soon as practical in accordance with investment objectives and policies of the Navajo Nation as formally adopted by the Budget and Finance Committee of the Navajo Nation Council.

§ 6 Expenditure of Fund Principal and Fund Income

- A. Fund Principal and Fund Income shall not be expended except for the purposes set forth in Public Law 100-696, as restated in § 2 above, and in adherence to the annual budget approved by the Budget and Finance Committee and submitted to the Office of Trust Fund Management.
- B. All reasonable expenses directly associated with the administration and management of the Fund shall be paid from the Fund Principal and Income as budgeted and approved by the Budget and Finance Committee. Such expenses shall include investment advisory and management fees, audit costs and other related expenses, all pursuant to duly approved contracts for such services.

§ 7 Budgeting and Allocation of Fund Principal and Fund Income

- A. In accordance with applicable Navajo Nation Laws, the Phoenix Indian Center, Inc. shall recommend the annual allocation of available Fund Principal and Fund Income to the Budget and Finance Committee.
- B. If the Phoenix Indian Center, Inc. wishes to subcontract any of the Funds, the Phoenix Indian Center, Inc. shall on an annual basis, place public advertisement and/or notice for the use of the available Fund Principal and Fund Income. The advertisement and/or notice shall include the specifications and qualifications for the use of the available Fund Principal and Fund Income and the submittal of proposals.
- C. The Budget and Finance Committee will approve the annual allocation of the available Fund Principal and Fund Income.
- D. ~~The Office of the Management and Budget~~ Division of Finance, Controller's Office shall submit to the Office of Trust Fund Management a budget approved by the Budget and Finance Committee conforming to the requirements of Public Law 100-696 and § 2 above for use of the monies available from the Phoenix Urban Navajo Trust Fund.

§ 8 Annual Audited Reports

The Fund shall be audited annually as part of the annual audit of the ~~Combined Financial Statements of the Navajo Nation and the Phoenix Indian Center, Inc.~~ who shall fully cooperate in the provide a copy of the annual audit to the Navajo Nation in a timely fashion.

§ 9 Fund Termination

Pursuant to Public Law 100-696, annual disbursements from the Navajo Nation Trust Fund will be completed and end after the year 2026 disbursement. Any monies remaining in the Fund after the year 2026 will be allocated for the purposes described in § 2 above and following the procedures of § 6 (A) – (C) and § 7 above.

§ 10 Amendments

Any sections(s) herein may be amended upon approval of the Budget and Finance Committee of the Navajo Nation Council except that no amendments may be made in contravention of Public Law 100-696.

* * *

THE NAVAJO NATION



BEN SHELLY PRESIDENT
REX LEE JIM VICE PRESIDENT



TO: Honorable Johnny Naize, Speaker
The 22nd Navajo Nation Council

FROM:


President Ben Shelly
THE NAVAJO NATION

DATE: September 28, 2012

SUBJECT: Resolution CS-44-12 The Navajo Nation Fiscal Year 2012
Comprehensive Budget

Pursuant to 2 N.N.C. § 1005 (C) (10), Legislation CS-44-12, TITLE: Adopting the Navajo Nation Fiscal Year 2013 Comprehensive Budget is being submitted to the Navajo Nation Council, through the Office of the Speaker, within the ten (10) day requirement.

The Navajo Nation President has line-item veto authority for budget items within the annual Navajo Nation Comprehensive Budget or Supplemental appropriations, which are not subject to override by the Navajo Nation Council. 2 N.N.C. § 164 (A)(17). I will exercise my line-item veto authority to line-item veto the 2% adjustment made to the Navajo Nation Permanent Fund, and \$1,396,076 supplement to Navajo Area Agency on Aging Program from the Undesignated, Unreserved Fund Balance, with the following justifications:

Line-Item Veto: 2% Adjustment to the Permanent Fund

After review of the Permanent Fund, it is uncertain where the Navajo Nation Council ("Council") identifies its authority to waive or adjust the twelve percent (12%) set aside established in 12 N.N.C. § 901. The 12% is specifically identified in 12 N.N.C. § 903, which defines "Fund Principal" to consist of all contributions made pursuant to the *twelve percent (12%)* yearly commitment of all revenues of the Navajo Nation. Accordingly, § 903, pursuant to 12 N.N.C. § 907 (A), can only be amended by two-thirds (2/3) majority of those voting in an election. Although "amending" tends to be utilized for permanent change in legislation jargon, it is not clear if amending refers to any modification, which would include adjusting the 12% or waving the set aside in its entirety. Without an identified source of authority to waive or adjust the established percentage, its expected the Council does not have the authority to reduce the 12% contribution established in 12 N.N.C. § 901.

The 21st Navajo Nation Council passed Resolution CS-29-09, fiscal year 2010 Comprehensive Budget, in which the Council waived the set aside from the Permanent

Fund under the determination that "the current economic conditions may require adjustment of the Permanent Fund set aside". Similarly to the current budget situation, Council never acknowledged where the waiver authority came from. However, the Permanent Fund in § 909, provides the Council authority by two-thirds (2/3) of the Navajo Nation Council, if necessary, to make bond payment from the fund, based on "imminent" bond default. The 21st Council action to waive the set aside for the FY 2010 Comprehensive Budget did not fit the specific requirement of § 903 or any other authorization. Therefore, it would not make the past action of the 21st Council legal precedent to follow in order to adjust the set aside percentage.

Additionally, the diversion of funds to a Nation Building fund without a vetted plan in place is not prudent. The Nation has legislatively created fund plans such as Capital Improvement and the 5-year permanent fund expenditure plan for economic, community, and capital outlay development. Without prior approval of the Nation Building plan, it is undetermined of the possible duplication of already established fund plans.

In conclusion, the line-item veto of the 2% will re-instate the 12% as established in 12 N.N.C. § 901 et. al. and all adjustments need to be made to Resolution CS-44-12 to reflect the 12% set aside for the Permanent Fund.

Line-Item Veto: Budget Supplement of \$1,396,079 for NAAA

This budget supplement request was not in compliance with Executive Order No. 02-2011. There were discrepancies found in the budget provided and the appropriate signatures were not acquired. Additionally, this budget supplement proposed from the Council floor, requests funds from the Undesignated Unreserved Fund Balance, may not comply with 12 N.N.C. § 820 (F), which does not allow mixing of non-recurring with recurring expenditures.

Further, the Executive offices should be allowed to work with the Division of Health and NAAA to properly determine services and funding needs and plans to accomplish them. Funds are limited, therefore, thoughtful plans must be made to address priority needs.

Division of Health NAAA Agency Budget Units 113011, 113012, 113013, 113014, and 113015 will be adjusted in the FY 2013 budget book and Resolution CS-44-12 exhibits to reflect \$1,396,079 line-item veto.

Notification: Conditions of Appropriation

The majority of the Conditions of Appropriation ("COA") are unrealistic on many levels. The Presidential adoption of the FY 2013 budget is based on notification to the Council that the Office of the President and Vice-President strongly disagree with several of the COAs based on certain improbability of completion because in some cases the lack of funding, the timeframe of completions, or the participation of the Legislative Branch is required in order to complete COAs. The extreme shortened consideration time the Office of the President has to thoroughly review the Comprehensive Budget without

effecting government operations, explanation of concerns for specific COAs are not available at this time. However, it is the hope that the oversight committees can meet with the Office of the President and the effected Executive programs to development realistic productive COAs.

Comment: Comprehensive Budget Waivers

I have concerns regarding the waivers of the audit and requirement of adoption of the comprehensive budget Twenty (20) days before the end of the fiscal year found in section 14 of this Resolution. For the audit, the requirement of completion should be imposed. The waiver is understandable due to the closure of the Administrative One building. However, it is important for the Nation's financial standing and credibility that we maintain our fiscal accountability in completing and keeping the Nation's external audit up to date the Nation's external audit.

The waiver to adopt the comprehensive budget 20 days before the end of fiscal year is important to follow because the 20 day notice gives the Navajo Government the opportunity to comply with required notification in order to alleviate liability such as, in the case of required lay offs which pursuant to the Navajo Nation Personnel Policies Manual XV (D) require at least fifteen (15) days notice to the employee. There are exceptions, however it is best policy to give the Navajo employees appropriate notice for their own planning and to prevent unnecessary liability to the Nation. Additionally, the 20 day period allows for the Branches to carry out plans to implement the budget such as, the need to negotiate any compromise necessary to overcome veto issues. The five (5) days imposed in this instance is not sufficient time for this.

Comment: Legislative District Assistant \$6,573 Unjustified Raise

The Executive Branch personnel constantly endure criticism from legislative oversight committees and Council regarding staff salaries that allegedly are not justified, and the fact that Executive Branch is facing almost thirty (30) lay offs based on Council's allocation of the fiscal budget. It is disappointing the Legislative Branch advocates such stringent personnel criticism on the Executive and Judicial employees but eagerly gave Legislative district staff an unprecedented raise without proper evaluation and justification. It is my position no matter what reasonable justification I provide to line-item veto funds to the District Assistant raises, individual Council members will use this as rationale to curb cooperative relations. Nevertheless, if unequal treatment of employees continues and legislative salaries continue to increase without proper evaluation, I will not hesitate to exercise line-item authority or any other action available to me as President.

RESOLUTION OF THE
NAVAJO NATION COUNCIL

22ND NAVAJO NATION COUNCIL - Second Year, 2012

RELATING BUDGET AND FINANCE COMMITTEE AND THE NÁABIK'IYÁTI'
COMMITTEES; ADOPTING THE NAVAJO NATION FISCAL YEAR 2013
COMPREHENSIVE BUDGET

BE IT ENACTED:

1. The Navajo Nation Council hereby adopts the Navajo Nation Fiscal Year 2013 Comprehensive Budget.

2. The Navajo Nation Council approves the appropriation ⁶⁸ ~~of 2% in the amount of \$5,705,200 to the Navajo Nation Permanent Fund, and the remaining permanent fund set aside amount shall be suspended and instead be deposited into a "Nation Building Fund," for which account numbers is to be created by Office of Management and Budget, and this shall be used to fund economic development, community development and capital outlay after approval of a plan by the Navajo Nation Council.~~ ⁶⁹ 1% of Fiscal Year 2013 revenue in the amount of \$9,509,000 to the Navajo Veterans Trust Fund, 2% of Fiscal Year 2013 revenue in the amount of \$4,754,000 to the Navajo Nation Land Acquisition Trust Fund; \$2,000,000 of Fiscal Year 2013 revenue to the Capital Outlay Matching Fund Special Revenue Fund; \$2,000,000 of Fiscal Year 2013 revenue to the Water Rights Claim Fund; \$1,500,000 of Fiscal Year 2013 revenue to the Historical Trust Asset Mismanagement Litigation Trust Fund; and \$11,200,000 of Fiscal Year 2013 revenue to the Dine Higher Education Grant Fund.

3. The Navajo Nation Council hereby approves the appropriation of \$543,265,852 as the Navajo Nation Fiscal Year 2013 Operating Budget, as set forth in the attached Exhibit A, which is constituted of \$172,242,076 General Fund Revenue; \$15,000,000 Indirect Cost Credit; \$11,200,000 in Higher Education set aside funds; \$54,695,232 Proprietary Fund; \$14,137,118 Fiduciary Amount Funds; \$20,793,007 Special Revenue Internal Funds; and \$255,198,419 Special Revenue External Funds, summarized as follows:

- a. Legislative Branch - \$15,736,506 as the Navajo Nation Fiscal Year 2013 Operating Budget, as set forth in the attached Exhibit B, which is constituted of \$14,523,858 General Fund Revenue; \$1,156,646 Indirect

Cost Credit; \$10,000 Proprietary Funds; \$0 Fiduciary Funds, \$0 Special Revenue Internal Funds; \$46,002 Special Revenue External Funds, for the Navajo Nation Council, various Standing committees, boards, commissions, offices, programs, and activities within the Legislative Branch.

- b. Judicial Branch - \$15,190,599 as the Navajo Nation Fiscal Year 2013 Operating budget, as set forth in the attached Exhibit C, which is constituted of \$13,727,470 General Fund Revenue; \$113,470 Indirect Cost Credit; \$0 Proprietary Funds; \$0 Fiduciary Funds; \$0 Special Revenue Internal Funds; and \$1,349,659 Special Revenue External Funds, for the Navajo Nation Supreme Court, district courts, family courts, various offices, programs, and activities within the Judicial Branch.
- c. Executive Branch - \$487,074,997 as the Navajo Nation Fiscal Year 2013 Operating Budget, as set forth in the attached Exhibit D, which is constituted of \$121,940,523 General Fund Revenue; \$10,516,359 Indirect Cost Credit; \$11,200,000 Higher Education Set Aside Funds; \$54,685,232 Proprietary Funds; \$14,137,118 Fiduciary Funds; \$20,793,007 Special Revenue Internal Funds; and \$253,802,758 Special Revenue External Funds, for the various divisions, departments, programs and offices within the Executive Branch.
- d. Fixed Costs - \$21,263,750, constituted of \$18,050,225 General Fund Revenue; and \$3,213,525 Indirect Cost Credit, as set forth in the attached Exhibit E.
- e. Capital Improvement Funds - constituted of \$4,000,000 General Fund Revenue, to be used at chapter discretion (exception: not to be used for recurring expenses), as set forth in the attached Exhibit F.
- f. Chapter Non-Administrative Cost; \$12,500,000 for non-administrative costs. Disallowed administrative costs include, but are not limited to, chapter officials and chapter staff.

4. The Navajo Nation Council hereby approves the Conditions of Appropriations for Navajo Nation Fiscal Year 2013, as set forth in the attached Exhibit G.

5. The Navajo Nation Council hereby approves the Legislative Concerns for Navajo Nation Fiscal Year 2013, as set forth in the attached Exhibit H.

6. The Navajo Nation Council hereby approves that all additional revenues, after depositing ~~2%~~ into the Navajo Nation Permanent Fund, 4% to the Navajo Veterans Trust Fund, and 2% into the Land Acquisition Trust Fund received by the Navajo Nation within Fiscal Year 2013 shall be deposited to the Undesignated, Unreserved Fund Balance.

7. The Navajo Nation Council hereby acknowledges that all federal, state, and local government funds addressed in the Navajo Nation Fiscal Year 2013 Comprehensive Budget are provided primarily for information purposes, as directed in the Budget Instructions and Policies Manual, and should not be deemed to limit the expenditure authority for any program, provided that additional funds are accepted by the Náabik'iyáti' Committee upon the recommendation of the proper standing oversight committee.

8. The Navajo Nation Council hereby approves the carryover of unexpended Capital Improvement Project Funds from Navajo Nation Fiscal Year 2012 into Fiscal Year 2013.

9. The Navajo Nation Council hereby approves the carryover of unexpended Indirect Cost Credit, if any, from Navajo Nation Fiscal Year 2012 into Fiscal Year 2013, and directs that all divisions, departments, offices, programs and entities shall submit their carryover budgets to their respective oversight standing committees for approval prior to expenditure of such carryover.

10. The Navajo Nation Council hereby approves the carryover of unexpended balances from Navajo Nation Fiscal Year 2012 into Fiscal Year 2013, prioritized as follows:

- a. \$1,500,000 appropriation for the repair/restoration of Administration Building One with the Facilities Maintenance Department, Business Unit No. 112008; and

- b. \$3,000,000 or the remaining unexpended balance, of the Headstart Program Budget Business Unit No. 109019; and
- c. \$2,500,000 in the Hedge Fund/Derivatives Fixed Cost budget, Business Unit No. 118021; and
- d. \$1,500,000 or the remaining unexpended balance, Division of Transportation, Business Unit No. 121001; and
- e. \$180,000 or the remaining unexpended balance, Navajo-Hopi Land Commission Office, Business Unit No. 103003, to be carried over and established in a separate project account.
- f. \$2,864,174 or the unexpended remaining balance to the Department of Water Resources, Business Unit No; 115012; and
- g. \$5,000,000 or remaining unexpended balance, of Gaming Revenue Funds from the Navajo Gaming Enterprise to be appropriated in accordance with the requirements of the "IGRA" Indian Gaming Regulatory Act as reflected in Navajo Nation Council Resolution CJY-30-08, and in accordance with Fiscal Year 2013 Budget Process;

11. Fiscal Year 2013 Non-recurring Projected revenues in the amount of \$7,380,000 minus \$400,000 in a New Business Unit to be administered by the Office of Management and Budget for an "Analysis and study of the Federal Budget/Performance Budget/Branches Structure' Project;

12. The Navajo Nation Council hereby authorizes monthly drawdowns of all funds deposited into BIA Account, PL7341701, Navajo Tribe Arizona/New Mexico, which are considered Navajo Nation General Funds as part of the overall revenue projection for support of the operations of the Navajo Nation Government. The Navajo Nation further requests that the BIA change the name of the account to substitute the name, "Navajo Nation" for "Navajo Tribe."

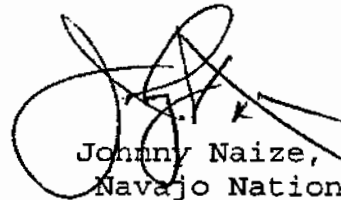
13. The Navajo Nation Council hereby directs the Branch Chiefs of the Executive, Legislative, and Judicial Branches to prioritize their unmet needs and to prepare appropriate budgets for such prioritized needs for potential consideration of supplemental appropriations in Fiscal Year 2013, in accordance with 12 N.N.C. § 820(L).

14. The Navajo Nation Council hereby waives 12 N.N.C. §820(I) requiring the development and adoption of a Capital Improvement Plan and §840(B) requiring the presentation of an external audit of the combined financial statements of the Navajo Nation, and the adoption of the Comprehensive Budget 20 days before end of fiscal year.

B8 15. ~~The Navajo Nation Council hereby supplements the Navajo Area Agency on Aging Program to fully fund the unmet status in the amount of \$1,396,076 from the Undesignated, Unreserved Fund Balance.~~ B8

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 17 in favor and 2 opposed, this 21st day of September 2012.


Johnny Naize, Speaker
Navajo Nation Council
Sep 25, '12
Date

Motion: Honorable Mel R. Begay
Second: Honorable Edmund Yazzie

ACTION BY THE NAVAJO NATION PRESIDENT:

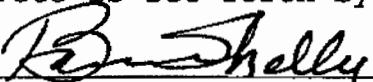
1. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. §1005 (C) (10), on this _____ day of _____ 2012.

Ben Shelly, President
Navajo Nation

2. I hereby veto the foregoing legislation, pursuant to 2 N.N.C. §1005 (C) (11), this _____ day of _____ 2012 for the reason(s) expressed in the attached letter to the Speaker.

Ben Shelly, President
Navajo Nation

3. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. § 1005 (C) (10) and 2 N.N.C. § 164 (A) (17), on this _____ day of SEP 28 2012, 2012. The justification of my line-item veto is set forth by memorandum.



Ben Shelly, President
Navajo Nation

**The Navajo Nation
Fiscal Year 2013 Budget Summary**

EXHIBIT,

A	B	C	D	E	F	G	H	I	J
Business Unit	Description	General Fund	Indirect Cost Fund	Higher Education Setaside	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
1	100xxx Executive Branch	121,940,523	10,516,359	11,200,000	54,685,232	14,137,118	20,793,007	253,802,758	487,074,997
2	101xxx Legislative Branch	14,523,858	1,156,646		10,000			46,002	15,736,506
3	102xxx Judicial Branch	13,727,470	113,470					1,349,659	15,190,599
4	118xxx Fixed Costs	18,050,225	3,213,525						21,263,750
5	108xxx Capital Projects	4,000,000							4,000,000
6	Total:	172,242,076	15,000,000 *	11,200,000	54,695,232	14,137,118	20,793,007	255,198,419	543,265,852

Note: Five programs (BU# 118001 - Annual Audit; BU# 118003 - Personnel Adjustment; BU# 118004 - Insurance Premiums; BU# 118006 - Employee Recognition; and BU# 116018 - Public Safety Administration) IDC allocations are based on FY 2007 IDC rate to partially offset IDC eliminated in the FY 2008 IDC allocation.

The Navajo Nation

EXHIBIT 2

Fiscal Year 2013 Legislative Branch Budget Summary

A	B	C	D	E	F	G	H	I
Business Unit	Program	General Fund	Indirect Cost Fund	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
1	101001 Navajo Nation Council	1,929,036	496,045					2,425,081
2	101003 Budget & Finance Committee	90,658	11,958					102,616
3	101014 Navajo Nation Labor Commission	312,712	31,262					343,974
4	101015 Ofc of the Speaker	2,185,554	113,805					2,299,359
5	101016 Ofc of Government Development	610,479	31,609					642,088
6	101017 Ofc of Legislative Counsel	1,118,061	101,471					1,219,532
7	101018 Navajo Utah Commission	260,877						260,877
8	101019 Ofc of Legislative Services	3,360,418	188,385					3,548,803
9	101020 Ethics & Rules Office	359,583	33,670					393,253
10	101021 Ofc of Election Administration	1,204,410	24,478					1,228,888
11	101022 Board of Elections Supervisors	53,749						53,749
12	101023 Black Mesa Review Board	57,436				46,002		103,438
13	101024 Ofc of the Auditor General	1,098,145	31,928					1,130,073
14	101025 Navajo-Hopi Land Commission	138,196						138,196
15	101026 Commission on Emergency Mgmt	13,299						13,299
16	101027 Eastern Agency Land Commission	111,880						111,880
17	101028 Office of Eastern Navajo Land Commi	302,464						302,464
18	101029 Human Rights Commission	645,929						645,929
19	101030 Health, Education and Human Service	120,733	28,228					148,961
20	101031 Resources and Development Commit	125,080	26,970					152,050
21	101032 Law and Order Committee	94,861	15,971					110,832
22	101033 Nabik'iyatli Committee	330,298	20,866					351,164
23	901001 Navajo Nation Code Enterprise			10,000				10,000
24	Total:	14,523,858	1,156,646	10,000	0	0	46,002	15,736,506

The Navajo Nation

EXHIBIT

Fiscal Year 2013 Judicial Branch Budget Summary

A	B	C	D	E	F	G	H	I
Business Unit	Program	General Fund	Indirect Cost Fund	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
1 102001	Administrative Office of the Courts	1,225,174	113,470				101,074	1,439,718
2 102002	Chinle Judicial District	928,092					154,954	1,083,046
3 102003	Crownpoint Judicial District	1,076,099					201,317	1,277,416
4 102004	Window Rock Judicial District	1,192,000					154,954	1,346,954
5 102005	Shiprock Judicial District	949,826					143,192	1,093,018
6 102006	Tuba City Judicial District	867,052					143,192	1,010,244
7 102007	Ramah Judicial District	606,985					50,464	657,449
8 102008	Supreme Court	928,456						928,456
9 102009	Peacemaker Division	994,707						994,707
10 102010	Kayenta Judicial District	910,054					96,828	1,006,882
11 102011	Dik'on Judicial District	689,866					96,828	786,694
12 102012	Utah Judicial District	558,299					50,464	608,763
13 102013	Tohajilee Judicial District	557,274					50,464	607,738
14 102014	Alamo Judicial District	137,637					2,000	139,637
15 102015	Pinon Judicial District	298,425					51,964	350,389
16 NEW	Pueblo Pintado Circuit Court	157,621					51,964	209,585
17 NEW	Probation Officers	1,649,903						1,649,903
18	Total:	13,727,470	113,470	0	0	0	1,349,659	15,190,599

The Navajo Nation

Fiscal Year 2013 Executive Branch Budget Summary

EXHIBIT A

A	B	C	D	E	F	G	H	I	J
Business Unit	Program	General Fund	Indirect Cost Fund	Higher Education Setaside	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
1 103xxx	Executive Offices	6,455,613	799,953						7,255,566
2 104xxx	Department of Justice	5,643,968	897,683						6,541,651
3 105xxx	Office of Management and Budget	1,372,761	721,352						2,094,113
4 106xxx	Office of Navajo Tax Commission	1,531,441							1,531,441
5 107xxx	Office of the Controller	3,527,060	2,570,609		1,159,000	12,196,121	6,454,000		25,906,790
6 108xxx	Division of Community Development	4,868,605	587,603				444,246	6,933,852	12,834,306
7 108xxx	Navajo Nation Chapters	26,748,706					2,064,754		28,813,460
8 109xxx	Department of Dine' Education	8,711,783	237,343	3,500,000	45,000	698,888	1,052,682	51,824,865	66,070,561
9 110xxx	Division of Economic Development	4,884,705	126,520				1,205,400		6,216,625
10 111xxx	Environmental Protection Agency	1,877,058	90,836				1,923,600	5,436,422	9,327,916
11 112xxx	Division of General Services	5,550,370	2,260,618		46,641,232			2,420,706	56,872,926
12 113xxx	Division of Health	13,616,042	113,149			346,641		41,054,985	55,130,817
13 114xxx	Division of Human Resources	5,332,487	1,254,709		32,000	878,664		14,093,125	21,590,985
14 115xxx	Division of Natural Resources	17,451,339	156,900		6,640,000		1,000,000	12,506,830	37,755,069
15 116xxx	Division of Public Safety	9,806,050	219,822		28,000			32,081,329	42,135,201
16 117xxx	Division of Social Services	3,676,005	479,262		140,000			86,079,773	90,375,040
17 119xxx	Navajo Nation Grants	70,000		7,700,000		16,804	148,325		7,935,129
18 121XXX	Navajo Division of Transportation	816,530					6,500,000	1,370,871	8,687,401
19	Total:	121,940,523	10,516,359	11,200,000	54,685,232	14,137,118	20,793,007	253,802,758	487,074,997

The Navajo Nation

EXHIBIT

Fiscal Year 2013 Fixed Cost Budget Summary

A	B	C	E	F	G	H	I	J
Business Unit	Description	General Fund	Indirect Cost Fund	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
1 118001	Annual Audit - OOC	982,845	246,103					1,228,948
2 118004	Insurance Premiums - DGS	1,557,644	725,225					2,282,869
3 118005	Utilities - DGS	2,317,567	1,125,125					3,442,692
4 118007	Telecommunications - DGS	750,183	700,527					1,450,710
5 118008	Radio Communications - DGS	190,887	338,897					529,784
6 118009	Office Machines/Building Maintenance - OOC		77,648					77,648
7 118010	FMIS Debt Service - OOC	2,715,599						2,715,599
8 118013	Hopi Partitioned Land Rental - NHLCO	85,500						85,500
9 118017	Indirect Cost Plan - OMB	50,000						50,000
10 118018	Retirement Plan Account - DHR	5,000,000						5,000,000
11 118019	Navajo Nation Integrated Justice - Judicial	500,000						500,000
12 118020	Investment Fees	100,000						100,000
13 N01000	Fixed Cost Litigation - DOJ	3,800,000						3,800,000
14	Total:	18,050,225	3,213,525	0	0	0	0	21,263,750

The Navajo Nation

EXHIBIT

Fiscal Year 2013 Capital Budget Summary

A	B	C	D	E	F	G	H	I	J
Business Unit	Description	General Fund	Indirect Cost Fund	Higher Education Setaside	Proprietary Fund	Fiduciary Fund	Special Revenue Fund - Internal	Special Revenue Fund - External	Total
1	108xxx Capital Projects	4,000,000							4,000,000
2	Total:	4,000,000	0	0	0	0	0	0	4,000,000

FY2013 PROPOSED CONDITIONS OF APPROPRIATION

1. The Department of Personnel Management (BU#114009) and Division of Public Safety Administration (BU#116018) shall conduct a pay plan study and reclassification of law enforcement positions by the end of the 2nd Quarter. If the tasks are not fully completed by the end of the 2nd Quarter, the Director of Personnel Management and Director of the Division of Public Safety shall not be compensated for business travel outside the Navajo Nation.
2. The Department of Personnel Management (BU#114009), Office of the Prosecutor (BU#104005), Juvenile Justice Program (BU#104004) and Office of the Attorney General (BU#104001) shall conduct a pay plan study and reclassification of Office of the Prosecutor and Juvenile Justice Program attorney and advocate positions by the end of the 2nd Quarter. If the tasks are not fully completed by the end of the 2nd Quarter, the Director of Personnel Management, Chief Prosecutor, and Attorney General shall not be compensated for business travel outside the Navajo Nation.
3. The Navajo Division of Health Administration (BU#113001) and Department of Behavioral Health shall complete the development of a comprehensive plan to address Adolescent Treatment Centers throughout the Navajo Nation using a regional plan concept by the end of the 2nd Quarter of FY2013. If the Program tasks are not fully completed by the end of the 2nd Quarter of the FY2013, the Program Managers and respective Division Director of the business units shall not be compensated for business travel outside the Navajo Nation.
4. The Project Development Department (BU# 110004) shall by the end of the 1st Quarter of FY2013, develop a plan to explore incentives for business and industrial development to create jobs and expand operations on the Navajo Nation. Incentives that include tax abatement, priority consideration, create funds to provide grants and loans, job creations, targeted business and industrial zone, exemptions, and others. If the Program tasks are not fully completed by the end of the 1st Quarter of the FY2013, the Program Managers and respective Division Director of the business units shall not be compensated for business travel outside the Navajo Nation.
5. The Office of the Controller (BU#107022), the Office of Management and Budget (BU#105001), the Department of Justice (BU#104001) and the Programs that operate with external contracts and grants shall by the end of the 2nd Quarter of the FY2013 develop and implement a plan to effectively administer contract and grant activities so the intent of the funding is achieved. This will include the development and implementation of a plan to work with the USDOJ grants to move towards removing the high risk status placed on the USDOJ grants. If the Program tasks are not fully completed by the end of the 2nd Quarter of the FY2013, the Program Managers and respective Division Director of the business units shall not be compensated for business travel outside the Navajo Nation.
6. The Office of the President/Vice President (BU#103001) shall by the end of the 1st Qtr of FY 2013 devise a system to track SAS process to minimize delays and loss of documents. If the tasks are not fully completed by the end of the first quarter, the Office of the President/Vice President shall not be compensated for business travel outside the Navajo Nation.

7. By the end of the first quarter of the FY 2013, the Office of the Auditor General shall complete the financial and performance audit of the Division of Transportation. If the task is not completed, the Auditor General shall not be compensated for business travel outside the Navajo Nation.
8. By the end of the first quarter of FY 2013, the Department of Diné Education (DODE) Business Unit No. 109001 shall:
 1. Conduct an independent review of all 11 programs operating under the Department of Diné Education in the following areas:
 - a. Identify the Federal Government's role and responsibilities in the oversight of P.L. 100-297 Grant Schools
 - b. Identify the Navajo Nation's role and responsibilities in the oversight of P.L. 100-297 Grant Schools as stipulated in Federal and Tribal law.
 - c. Upon the identification of the Federal Government's role and responsibilities in the oversight of P.L. 100-297 Grant Schools, identify the duplication of services being performed by DODE; such services which are the Bureau of Indian Education's (BIE'S) statutory required responsibilities.
 - d. Identify any duplication of services within DODE such as:
 1. monitoring and Evaluation of Grant schools
 2. data collection, data analysis, utilizing the data to work with schools for academic improvement
 3. technical assistance to schools
 - e. Determine the cost of the Navajo Nation for these identified duplication of services
 2. There shall be earmarked funds appropriated herein from the operation the Department of Dine Education to carry out this evaluation.
 3. This independent review shall be completed and a final report issued to the Health, Education and Human Services Committee and the Budget and Finance Committee no later than January 01, 2013.
 4. The Scope of Work for this independent review shall be developed by a Joint Committee comprised of 2 individuals appointed from each of the following entities: Department of Dine Education, Dine Bi Olta School Board Association, Association of Navajo Community Controlled School Board and Native American Grant School Association.

If the task is not completed, the Superintendent of Schools shall not be compensated for business travel outside the Navajo Nation.

9. The Navajo Land Department BU # 115021 shall by the end of the 1st Quarter complete the mapping of homesites, minerals, schools, businesses, historical/cultural protected areas, etc. including such in other land status not limited to state lands, BLM, 2198 etc. If the Program tasks are not fully completed by the end of the 2nd Quarter of the FY2013, the Program Managers and respective Division Director of the business units shall not be compensated for business travel outside the Navajo Nation.

10. The Division of Natural Resources shall meet with the Resources and Development Committee by the end of the first quarter of FY2013 to discuss program operations, revenue generating opportunities and shall review recommendations of revenue generating alternatives and determine whether plans of operations needs to be amended to streamline program operations and improve program efficiency. If the Program tasks are not fully completed by the end of the 1st Quarter of the FY2013, the Program Managers and respective Division Director of the business units shall not be compensated for business travel outside the Navajo Nation.
11. The Department of Personnel Management and all Navajo Nation Programs shall develop and implement a plan to place any Navajo Nation employees whose positions were eliminated in Fiscal Year 2013 Budget into vacant positions by the end of the 2nd Quarter of the Fiscal Year 2013. If the Program plans are not fully completed by the end of the 2nd Quarter of the Fiscal Year 2013, the Program Managers and respective Division Director of the business units shall not be compensated for business travel outside of the Navajo Nation, until such time as the assigned tasks are completed.
12. The Office of the Controller BU#107002 and the Office of Management and Budget BU#105001 shall by the end of 2nd Quarter develop a section in the Budget Instruction Manual specifically explaining, in detail, the indirect cost calculation and is diagram of how it's distributed to the branches and its programs. If the tasks are not completed then all travel on and off the reservation will be suspended, including the usage of P Card by the Controller and any other program or branch that is going to cover expenses for such travel.
13. The Three Branch Chiefs of Legislative, Executive, and Judicial Branches shall revise all program plan of operations as they pertain to the Title 2 Amendments of the Navajo nation Council by the end of First Quarter of FY'13 or no travel shall be compensated in or out of the Navajo Nation.
14. The Office of the Controller (BU#107022), the Office of Management and Budget (BU#105001), the Department of Justice (BU# 104001) and the Contracting Officers shall by the end of the 2nd Quarter of the FY 2013; 1) develop and implement a plan, including the submittal of supporting documentation from departments/divisions on a monthly/quarterly basis for FY2012 for the (IDC) Indirect Cost to administer grants; 2) provide summary reports related to the department/division personnel activity which is required to justify the Navajo Nation's IDC proposals for FY 2010, FY2011 and FY2012. If the program tasks are not fully completed by the end of the 2nd Quarter of FY2013, the Program Managers and respective Division Director of the business units shall not be compensated for business travel outside the Navajo Nation.
15. Navajo Nation Headstart Program shall develop a plan on the use of federal and Navajo Nation funds by prioritizing the education of pre-kindergarten children through the use of existing schools on the Navajo Nation by means of funding such schools through joint agreements. The plan shall be presented to the Navajo Nation Council or Náabiki'yáti Committee no later than the First Quarter of the 2013 Fiscal Year.

16. Navajo Nation Department of Personnel shall develop a plan to allow Navajo Nation Departments to interview and hire personnel with nominal oversight from the Department. The plan shall also include a prohibition of not hiring prospective personnel on the basis of over-qualification or denying employment to applicants who have sufficient education degree(s) but lack of work experience due to their schooling. The plan shall be presented to the Navajo Nation Council or Náabiki'yáti Committee no later than the First Quarter of the 2013 Fiscal Year.
17. Senior Centers which served equal or more than 7,000 monthly total meals during Fiscal Year 2012 shall operate on an 80-hours biweekly work schedule and all other senior centers shall operate on a 72-hours biweekly work schedule. The budget for the appropriate department(s) or business unit numbers shall be revised accordingly for Fiscal Year 2013 budget.
18. The Judicial Branch (BU#102001) shall submit a draft of rules for garnishment proceedings, see N.N.C. § 3805, to the Department of Justice, the Ethics and Rules Office, and Office of Hearing and Appeals by the end of the 1st Quarter of Fiscal Year 2013. The Judicial Branch, Department of Justice, Ethics and Rules Office, and Office of Hearing and Appeals shall finalize the rules and propose other options to enhance the efficiency of garnishment actions under the Ethics in Government Law by the end of 2nd Quarter of Fiscal Year 2013. If the above tasks are not fully completed by the end of 2nd Quarter of the Fiscal Year 2013, the upper management of the non-performing branch, department or office units shall not be permitted to participate in business travel outside the Navajo Nation, and shall not be compensated for such travel, until such time as the assigned tasks are completed.
19. The Navajo Veterans Department Administration (BU#114012) shall not consolidate unexpended funds allocated to the chapters for Navajo veteran. Any unexpended Chapter Veterans funds shall be carried over into the FY2013 budget for each respective chapter.

FY 2013 PROPOSED LEGISLATIVE CONCERNS

1. The Navajo Division of Social Services Administration (BU#117001) shall develop a plan to establish mini grants by the end of the 2ND Quarter of 2013 in preparation for the 2014 budget planning. The Division's plans will be established to administer 25 mini grants (5 per agency) whereby the establishment of faith based councils formed within a certain region may apply for such grants to address the social needs of their communities and use the funds to get their council incorporated and obtain 501©3 status. The formation of these faith based councils by region and assisting them in getting incorporated will empower such councils to write for grants and bring in additional federal, state, foundations, organizational and individual contributors money to address social services needs within many regions across the Navajo Nation.
2. The Navajo Division of Social Services Administration (BU#117001) shall develop a plan to establish subcontracts by the end of the 2nd Quarter of 2013 in preparation for the 2014 budget planning whereby providing childcare opportunities for constituents.
3. The Division of Human Resources Administration (BU#114001) shall 1) initiate a study to revise the salary schedule by the end of the 3rd Quarter for equity and salary comparisons; 2) create a plan to fund Navajo Nation Employees to take two courses a year for those employees who do not possess the degree or certification for the position they currently hold. This includes policy amendments to initiate such a program to support Navajo Nation employees and valuing education requirements for position descriptions. The Total Quality Management initiative supports a new educational paradigm for the Navajo Nation by the end of the 3rd Quarter of 2013.
4. The Department of Diné Education Administration (BU#109001) shall develop a plan to establish mini grants by the end of the 2nd quarter in preparation for FY2014 budget planning. The Department plans will be established to offer 25 mini-grants to schools (awarding five per agency) to foster creativity, development and the establishment of partnerships to support Diné language programs and opportunities. The need has arrived for the Navajo Nation to begin to support schools directly and this starts such by creating plans to offer grants to foster growth in areas of special interest of areas of public concern.

22nd NAVAJO NATION COUNCIL

Second Year 2012

Mr. Speaker:

The **BUDGET & FINANCE COMMITTEE** to whom has been assigned

NAVAJO LEGISLATIVE BILL #0376-12:

An Action Relating to Budget and Finance and the Nabikiyati Committees;
Adopting the Navajo Nation Fiscal Year 2013 Comprehensive Budget *Sponsor:*
LoRenzo Bates, Chairman, Budget and Finance Committee

has had it under consideration and reports the same with the recommendation
that It **Do Pass** with two (2) amendments.

1. Attach as Exhibits G and H the 2013 Conditions of Appropriations and 2013 Legislative Concerns, respectively.
2. On Page 4, line 19, insert the words at the end of the sentence "is not appropriated at this time"

And therefore, referred to the **NABIKIYATI** Committee

Respectfully submitted,


Jonathan Nez, Vice Chairman

Adopted: 
Legislative Advisor

Not Adopted: _____
Legislative Advisor

Date: **5 September 2012**

The vote was **4** in favor **0** opposed

Excused:

Absent: Mel R. Begay

NAA'BIK'IYATI' COMMITTEE REPORT
22ND NAVAJO NATION COUNCIL – SECOND YEAR 2012

Mr. Speaker:

The **NAA'BIK'IYATI' COMMITTEE** to whom has been assigned:

LEGISLATIVE NO. 0376-12
Introduced by Honorable LoRenzo Bates

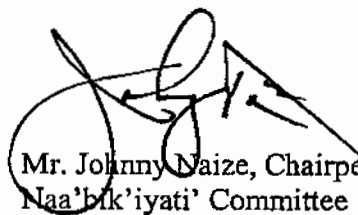
AN ACTION

Relating to Budget and Finance Committee and the Nabik'iyati' Committees; Adopting the Navajo Nation Fiscal Year 2013 Comprehensive Budget

Has had it under consideration and reports the same with a **Tabling Motion** with no Amendments and thence referred to the Navajo Nation Council.

CERTIFICATION

I, hereby certify that the foregoing legislation was duly considered by the Naa'bik'iyati' Committee of the Navajo Nation Council at a duly called meeting at Window Rock, Navajo Nation (Arizona), at which a quorum was present and that same was passed with a vote of 8 in favor and 6 opposed this 6th day of September 2012.


Mr. Johnny Naize, Chairperson
Naa'bik'iyati' Committee

MOTION: Walter Phelps
SECOND: Jonathan Hale

MOTION TO TABLE:
MOTION: Edmund Yazzie
SECOND: Charles Damon



Office of Management and Budget

Fiscal Year 2013 Budget Division Summary by Fund Type

Date: 9/25/2012
Time: 10:30 AM

DIVISION OF HEALTH

Business Unit	Description	# Pos	General Fund	Indirect Cost	Proprietary Fund 1)	Fiduciary Fund	Special Revenue Fund Internal	Special Revenue Fund External 2)	TOTAL
113001	DIVISION OF HEALTH - ADMIN	1	131,438	113,149				33,403,445	33,848,032
113003	URANIUM WORKERS' PROJECT	7	391,448						391,448
113004	DILKON HTH CTR STR COMMPLNG	1	87,602						87,602
113005	OFC OF ENVIRONMENTAL HEALTH	1	50,000						50,000
113006	FOOD DISTRIBUTION PROGRAM	0	1,015,162						1,015,162
113010	NAV. AREA AGCY ON AGING (NAAA)	5	207,078					3,076,222	4,091,384
113011	NAAA - CHINLE AGENCY	43	1,866,708			346,641		4,575,318	5,129,037
113012	NAAA - FT. DEFIANCE AGENCY	60	2,435,907						2,435,907
113013	NAAA - CROWNPOINT AGENCY	68	2,821,279						2,821,279
113014	NAAA - TUBA CITY AGENCY	49	2,231,754						2,231,754
113015	NAAA - SHIPROCK AGENCY	52	2,194,361						2,194,361
113018	KAYENTA HTH CTR STR	1	91,718						91,718
113020	PUEBLO PINTADO STRG CMTEE	1	91,589						91,589
TOTAL:			13,616,042	113,149		346,641		41,054,985	55,130,817

Note: 1) Proprietary Fund expenditures are offset by an equal amount of revenue with a net program total resulting in a zero balance.
2) External Funds are shown for Information Purpose Only

Fiscal Year 2013 Budget All Division Summary by Major Object Code

Date: 9/25/2012
Time: 10:30 AM

DIVISION OF HEALTH

Fund	2001	3000	3500	4000	5000	5500	6000	6500	7000	8000	9000	9300	9500	9700	TOTAL
General Fund	136,207	47,752		3,528	3,000	4,500			49,600						244,687
-External	24,184,377	1,172,140	5,000	476,716	466,500	340,980	229,300	1,542,052	361,523		15,709				4,609,148 33,403,445
13001	24,320,584	1,218,892	5,000	480,244	469,500	345,480	229,300	1,542,052	411,123		15,709				4,609,148 33,648,032
General Fund	311,211	16,000		10,364	9,480	8,500	4,000	31,448	445						391,448
13003	311,211	16,000		10,364	9,480	8,500	4,000	31,448	445						391,448
General Fund	68,273	14,191	1,138	1,681		2,220			99						87,602
13004	68,273	14,191	1,138	1,681		2,220			99						87,602
General Fund	34,136	10,800		2,931					2,133						50,000
13005	34,136	10,800		2,931					2,133						50,000
General Fund													1,015,162		1,015,162
-External	2,831,453	155,600		197,000	141,600	58,451	174,000		62,922				-1,015,162	470,358	3,076,222
13006	2,831,453	155,600		197,000	141,600	58,451	174,000		62,922					470,358	4,091,384
General Fund	185,005	21,853							220						207,078
-External	1,092,409	1,043,700	297,199	1,819,369	4,874	60,828	21,787	1,400	64,215	384,444	3,524		-237,731	39,300	4,575,318
Library								346,641							346,641
13010	1,277,414	1,065,553	297,199	1,819,369	4,874	60,828	21,787	348,041	64,435	384,444	3,524		-237,731	39,300	5,129,037
General Fund	1,410,446	81,654	880	94,155		158,021	33,645	2,150	9,024				78,731		1,866,706
30011	1,410,446	81,654	880	94,155		158,021	33,645	2,150	9,024				78,731		1,866,706
General Fund	1,925,085	50,821	1,080	101,293	5,900	216,598	35,500	3,050	17,848				78,732		2,435,907
30012	1,925,085	50,821	1,080	101,293	5,900	216,598	35,500	3,050	17,848				78,732		2,435,907
General Fund	2,294,103	44,601	949	118,320	1,060	185,036	69,260	3,450	19,888				84,612		2,821,279
30013	2,294,103	44,601	949	118,320	1,060	185,038	69,260	3,450	19,888				84,612		2,821,279
General Fund	1,712,125	121,456	1,254	99,603	8,880	145,615	43,846	3,486	12,990				82,499		2,231,764
30014	1,712,125	121,456	1,254	99,603	8,880	145,615	43,846	3,486	12,990				82,499		2,231,764
General Fund	1,710,593	78,050	1,173	116,140	500	134,520	48,043	2,700	18,030				84,612		2,194,361
30015	1,710,593	78,050	1,173	116,140	500	134,520	48,043	2,700	18,030				84,612		2,194,361
General Fund	70,385	13,837	2,900	3,497					1,099						91,718
30018	70,385	13,837	2,900	3,497					1,099						91,718

Fund	2001	3000	3500	4000	5000	5500	6000	6500	7000	8000	9000	9300	9500	9700	TOTAL
General Fund	76,867	11,313	1,500	1,000											
113020	76,867	11,313	1,500	1,000											
GRAND TOTAL:	38,042,675	2,883,788	313,073	3,045,597	841,784	1,313,269	659,381	1,936,377	620,945	364,444	19,233		171,455	5,118,806	55,130,81
									909						91,5
									909						91,5

Program Purpose/Performance Criteria

Business Unit: 113001 DIVISION OF HEALTH - ADMIN (NDOH)
DIVISION OF HEALTH

Plan of Operation Purpose:

Plan of Operation Reference: GSCO-82-95

The Navajo Division of Health (NDOH) is established to plan, promote, maintain, and preserve the overall health wellness and fitness of the Navajo population through an array of services and functions provided by the NDOH programs and projects.

Program Performance Criteria:

1. Program Performance Area:

Reports/presentations to NDOH staff, program directors & division directors & HEHSC.

Goal Statement:

Conduct/attend meetings to participate in planning & decision-making process.

2. Program Performance Area:

Reports/presentations to tribal, state, fed. & regional agencies on partnership initiatives.

Goal Statement:

Conduct/attend meetings, conferences & hearings on health care issues & funding.

Program Performance Area:

Program management and financial/budget reviews.

Goal Statement:

Conduct prg & financial/bdgt reviews to ensure prg & funding compliance.

Program Performance Area:

Make presentations and give testimonies on health issues.

Goal Statement:

Make presentations, prepare position papers and provide testimonies.

Program Performance Area:

Conduct public education presentations on health care and public health.

Goal Statement:

Disseminate information on health and food policy.

	1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Program Performance Area:	15		15		15		15	
2. Program Performance Area:	18		18		18		18	
Program Performance Area:	6		6		6		6	
Program Performance Area:	3		3		3		3	
Program Performance Area:	6		6		6		6	

Date: 9/6/2012
Time: 11:00 AM

Fiscal Year 2013 Budget
Line Item Detail

Business Unit: 113001 DIVISION OF HEALTH - ADMIN (NDOH)

Object Code	Description	TOTAL	Object Code	Description	TOTAL
113001					
1992	Indirect Cost Recovery	-113,149	1996	Allocation	-131,438
1001	Division Director	89,398	2900	Fringe Benefits	46,809
3110	Fleet	11,460	3210	Vehicle Rental (off reserv)	2,000
3230	Personal Travel	19,292	3310	Air	15,000
4410	Operating Supplies	3,528	3000	Travel Expenses	47,752
5310	Building/Space	3,000	4000	Supplies	3,528
5520	Telephone	2,000	5610	Wireless	2,500
7110	Programs	10,044	7310	Contingencies & Losses	38,570
7710	Insurance Premiums	986			
			7000	Special Transactions	49,600
			113001	Business Unit Total:	0
			1	GENERAL FUND	0
Grand Total:		113001 DIVISION OF HEALTH - ADMIN (NDOH)			0

Fiscal Year 2013 Budget

Date: 9/6/2012
Time: 11:00 AM

Program Purpose/Performance Criteria

Business Unit: 113003 URANIUM WORKERS' PROJECT
DIVISION OF HEALTH

Plan of Operation Purpose:

The purpose of the Navajo Uranium Workers (NUW) Project is to provide information about and assist in receiving compensation benefits pursuant to the Radiation Exposure Compensation Act for eligible Navajo miners, mill workers and their families.

Program Performance Criteria:

Program Performance Area:
Client services

Goal Statement:

Number of clients served each quarter

Program Performance Area:

Process an average of 5 clients per quarter.

Goal Statement:

Number of clients referred to Radiation Exposure Screening/Education Program

Program Performance Area:
CASE MANAGEMENT

Goal Statement:

Number of Uranium workers compensated per quarter per RECA/Part 'B'/Part 'E'

Program Performance Area:
CASE MANAGEMENT

Goal Statement:

Number of Downwinder victims compensated per quarter per RECA

Program Performance Area:
Personnel Training

Goal Statement:

Provide 1 professional enhancement training per quarter.

Plan of Operation Reference:

GSCO-82-95

1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
100		100		100		100	
15		15		15		15	
10		10		10		10	
4		4		4		4	
1		1		1		1	

Line Item Detail

Object Code	Description	TOTAL	Object Code	Description	TOTAL
113003					
1996	Allocation	-391,448			
			1000 Revenues		-391,448
1101	Program Manager I	55,203	1102	Administrative Assistant	36,040
1103	Senior Caseworker	28,539	1104	Case Worker	20,862
1106	Office Assistant	21,432	1107	Case Worker	21,486
1109	Office Assistant	19,718	2310	Temporary	18,856
2900	Fringe Benefits	89,075			
			2001 Personnel Expenses		311,211
3110	Fleet	8,000	3230	Personal Travel	8,000
			3000 Travel Expenses		16,000
4120	Office Supplies	2,000	4200	Non Capital Assets	2,000
4410	Operating Supplies	6,364			
			4000 Supplies		10,364
5110	Building	9,200	5310	Building/Space	280
			5000 Lease & Rental		9,480
5520	Telephone	5,300	5570	Internet	3,200
			5500 Communications & Utilities		8,500
6130	Services	4,000			
			6000 Repairs & Maintenance		4,000
6910	Other Contractual Services	31,448			
			6500 Contractual Services		31,448
7710	Insurance Premiums	445			
			7000 Special Transactions		445
			113003 Business Unit Total:		0
			1 GENERAL FUND		0

Grand Total: 113003 URANIUM WORKERS' PROJECT

0

Fiscal Year 2013 Budget

Date: 9/6/2012
Time: 11:00 AM

Program Purpose/Performance Criteria

Business Unit: 113004 DILKON HTH CTR STR COMM/PLNG
DIVISION OF HEALTH

Plan of Operation Purpose:

The purpose of the DHCSC-Planning Office is to provide administrative and technical support to the DHCSC, a community based organization that was established to advocate for the replacement of the existing hospital with a new comprehensive health care facility. The Planning Office was established to ensure that a systematic set of project plans be organized, planned, developed, implemented and monitored.

Plan of Operation Reference: GSCO-82-95

Program Performance Criteria:

1. Program Performance Area:

Provide technical assistance to the Dilkon Steering Committee.

Goal Statement:

Provide 8 technical assistance per quarter to the steering committee

2. Program Performance Area:

Coordinate w NDOH, NAIHS, Dallas ES and Headquarters to seek completion of plng phase

Goal Statement:

5 collaboration efforts and meetings with agencies per quarter.

1. Program Performance Area:

Conduct public education and awareness activities regarding the project.

Goal Statement:

12 reports and presentations made to the public and service agencies per quarter.

. Program Performance Area:

Plan to secure and ascertain water for the Dilkon Health Center

Goal Statement:

Assist, coordinate & participate 4 times mthly w Technical Advisory Group on water prj

Program Performance Area:

Assist NDOH regarding executive level projects, i.e. strategic planning, etc.

Goal Statement:

3 forums with NDOH to develop strategic plans, issues papers and others.

1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

8

8

8

5

5

5

12

12

12

4

4

4

3

3

3

Date: 9/6/2012
Time: 11:00 AM

Fiscal Year 2013 Budget
Line Item Detail

Business Unit: 113004 DILKON HTH CTR STR COMM/PLNG

Object Code	Description	TOTAL	Object Code	Description	TOTAL
3004					
1996	Allocation	-87,602			
				1000 Revenues	-87,602
1001	Planner (Health)	47,757	2900	Fringe Benefits	20,516
3230	Personal Travel	10,991		2001 Personnel Expenses	68,273
			3310	Air	3,200
3610	Meetings	1,138		3000 Travel Expenses	14,191
4120	Office Supplies	681	4200	Non Capital Assets	1,000
				3500 Meeting Expenses	1,138
5520	Telephone	1,800	5570	Internet	420
				4000 Supplies	1,681
7710	Insurance Premiums	99		5500 Communications & Utilities	2,220
				7000 Special Transactions	99
			113004	Business Unit Total:	0
			1	GENERAL FUND	0
Grand Total:		113004 DILKON HTH CTR STR COMM/PLNG			0

Fiscal Year 2013 Budget

Date: 9/6/2012
Time: 11:00 AM

Program Purpose/Performance Criteria

Business Unit: 113005 OFC OF ENVIRONMENTAL HEALTH
DIVISION OF HEALTH

Plan of Operation Purpose:

The purpose of the Office of Environmental Health/Code Enforcement is to reduce environmentally-related disease and injury among the Navajo Nation population through the enforcement of health and safety codes, regulations and standards.

Program Performance Criteria:

1. Program Performance Area:

Survey all retail stores and warehouses for compliance on a quarterly basis.

Goal Statement:

Number of retail food stores and food warehouses surveyed.

2. Program Performance Area:

Survey all cafes, restaurants, food service operations for compliance (quarterly).

Goal Statement:

Number of cafes, restaurants, food service operations surveyed.

3. Program Performance Area:

Survey all bakeries and meat markets for compliance on quarterly basis.

Goal Statement:

Number of bakeries and meat market operations surveyed.

4. Program Performance Area:

Conduct food handlers' training sessions per quarter for 8 service units.

Goal Statement:

Number of food handler's training sessions required by '638 contract.

5. Program Performance Area:

Conduct monitoring and enforcement of compliance of Navajo Fairs and celebrations.

Goal Statement:

Number of fairs and celebrations monitored for code compliance and enforcement.

Plan of Operation Reference:

GSCO-82-95

the Navajo Nation population through

	1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
10	10		25		30		30	
12	12		33		25		13	
1	1		8		6		4	
30	30		35		35		30	
3	3		0		3		7	

Date: 9/6/2012
Time: 11:00 AM

Fiscal Year 2013 Budget
Line Item Detail

Business Unit: 113005 OFC OF ENVIRONMENTAL HEALTH

Object Code	Description	TOTAL	Object Code	Description	TOTAL
13005					
1996	Allocation	-50,000			
1001	Program Supervisor Ill	23,878	2900	Fringe Benefits	
				1000 Revenues	-50,000
3140	GSA	6,500	3210	Vehicle Rental (off reserv)	300
3230	Personal Travel	2,500	3310	Air	1,500
				2001 Personnel Expenses	34,136
4120	Office Supplies	1,200	4410	Operating Supplies	1,731
				3000 Travel Expenses	10,800
7510	Training & Professional Dues	1,500	7710	Insurance Premiums	633
				4000 Supplies	2,931
				7000 Special Transactions	2,133
			113005	Business Unit Total:	0
			1	GENERAL FUND	0
Grand Total: 113005 OFC OF ENVIRONMENTAL HEALTH					
					0

Fiscal Year 2013 Budget

Date: 9/6/2012
Time: 11:00 AM

Program Purpose/Performance Criteria

Business Unit: 113006 FOOD DISTRIBUTION PROGRAM
DIVISION OF HEALTH

Plan of Operation Purpose:

The purpose of the Food Distribution Program is to provide commodity foods/food items (Federal USDA, states, tribal and others) to eligible Navajo individuals and families and provide nutrition education and conduct food demonstrations to clients.

Program Performance Criteria:

1. **Program Performance Area:** Intakes/Interview of new applicants, recertify current clients & process applications for food assistance.

Goal Statement:

Process assistance to 900 new clients per month & recertify 3000 current clients per qtr.

2. **Program Performance Area:**

Number of eligible participants to be assisted with commodity foods.

Goal Statement:

Assist 20,000 eligible participants with commodity food per quarter.

Program Performance Area: Promote health & reduce nutrition-related disease per proper preparation & eating habits.

Goal Statement:

Provide Nutrition Education to 5,000 eligible participants per quarter.

Program Performance Area: Provide maintenance services to 22 FDP delivery vehicles & forklifts. Provide training to truck drivers and staff.

Goal Statement:

To ensure proper maintenance of delivery vehicles and forklifts & ensure trainings.

Program Performance Area:

Provide necessary staff development training to all FDP staff.

Goal Statement: To enhance the staff's ability to improve performance of job duties per training of 15 staff members per month.

Plan of Operation Reference: GSCO-82-95

		1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
3,900		3,900		3,900		3,900		3,900	
20,000		20,000		20,000		20,000		20,000	
5,000		5,000		5,000		5,000		5,000	
66		66		66		66		66	
45		45		45		45		45	

Fiscal Year 2013 Budget

Line Item Detail

Business Unit: 113006 FOOD DISTRIBUTION PROGRAM

Object Code	Description	TOTAL	Object Code	Description	TOTAL
3006					
1996	Allocation	-1,015,162			
9510	Cash Matching Funds	1,015,162			
				1000 Revenues	-1,015,162
				9500 Matching & Indirect Cost	1,015,162
			113006	Business Unit Total:	0
			1	GENERAL FUND	0
Grand Total:		113006 FOOD DISTRIBUTION PROGRAM			0

Fiscal Year 2013 Budget

Program Purpose/Performance Criteria

Date: 9/6/2011
Time: 11:00 AM

Business Unit: 113010 NAV. AREA AGCY ON AGING (NAAA)
DIVISION OF HEALTH

Plan of Operation Purpose:

The purpose of the Navajo Area Agency on Aging (NAAA) Program is to provide meals, transportation, health, personal, social, recreational and referral support and services to eligible Navajo individuals in coordination with other tribal and non-tribal agencies / entities.

Plan of Operation Reference: GSCO-82-95

Program Performance Criteria:

1. Program Performance Area:

Programmatic reporting.

Goal Statement:

Submit monthly & quarterly programmatic reports to funding source

2. Program Performance Area:

Quality improvement

Goal Statement:

Conduct Quality Improvement visits at Service Sites.

3. Program Performance Area:

Administrative/management oversight

Goal Statement:

Monitor and Evaluate management performance of each agency

Program Performance Area:

Staff development and training.

Goal Statement:

Increase staff job knowledge and skills. Staff Development Training

Program Performance Area:

Special Initiative projects

Goal Statement:

Develop and implement special projects at selected agency worksites

1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
4		4		4		4	
10		10		10		10	
5		5		5		5	
7		7		7		7	
4		4		4		4	

Object Code	Description	TOTAL
Object Code	Description	TOTAL

DIVISION OF HEALTH (Report C) Page - 13

**Fiscal Year 2013 Budget
Program Purpose/Performance Criteria**

Date: 9/25/201
Time: 10:30 AM

**Business Unit: 113011 NAAA - CHINLE AGENCY
DIVISION OF HEALTH**

Plan of Operation Purpose:

The purpose of the Navajo Area Agency on Aging (NAAA) Program is to provide meals, transportation, health, personal, social, recreational and referral support and services to eligible Navajo individuals in coordination with other tribal and non-tribal agencies / entities.

Plan of Operation Reference: GSCO-82-95

Program Performance Criteria:

	1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Program Performance Area: Case Management of Home delivery meal participants	8		8		8		8	
Goal Statement: Review participants case file on home visits, services provided & followup Staff training								
2. Program Performance Area: Increase staff job knowledge skill								
1. Program Performance Area: Management/operational improvement of senior centers.	11		12		11		11	
Goal Statement: Develop and implement cost sharing plan for senior centers.								
Program Performance Area: Quality Improvement	3		4		3		4	
Goal Statement: Conduct site visits & follow-up at Senior Cntrs, FGP worksite & NFCEP Program.								
Program Performance Area: Meal Services	8		5		6		5	
Goal Statement: Provide Home Delivery and Congregate meals to participants	26,000		26,000		26,000		26,000	

Fiscal Year 2013 Budget Line Item Detail

Date: 9/25/2012
Time: 10:30 AM

Business Unit: 113011 NAAA - CHINLE AGENCY

Object Code	Description	TOTAL
113011		
1996	Allocation	-1,866,706
1003	Office Specialist	22,734
1005	Senior Center Supervisor	28,061
1007	Senior Center Supervisor	26,451
1009	Senior Center Supervisor	28,061
1012	Senior Center Supervisor	26,451
1015	Cook	13,641
1017	Cook	14,470
1020	Cook	14,049
1022	Cook	13,641
1024	Cook	14,470
1026	Cook	13,641
1028	Driver	16,690
1030	Driver	16,690
1033	Driver	17,705
1036	Driver	16,690
1038	Driver	16,690
1040	Senior Center Supervisor	28,061
1042	Cook	14,906
1044	Senior Center Supervisor	26,451
1046	Cook	13,641
1050	Accountant	37,045
1104	Volunteer Services Coordinator	13,478
2900	Fringe Benefits	423,844

Object Code	Description	TOTAL
1004	Senior Center Supervisor	28,061
1006	Senior Center Supervisor	28,061
1008	Senior Center Supervisor	26,451
1010	Senior Center Supervisor	28,061
1014	Senior Center Supervisor	26,451
1016	Cook	14,470
1018	Cook	13,641
1021	Cook	13,641
1023	Cook	13,641
1025	Cook	13,641
1027	Driver	16,690
1029	Driver	17,189
1032	Driver	16,690
1034	Driver	16,690
1037	Driver	16,690
1039	Case Worker	13,478
1041	Senior Center Supervisor	26,451
1043	Driver	16,690
1045	Senior Center Supervisor	26,451
1047	Cook	13,641
1051	Statistical Technician	20,862
2220	Salary Adjustment	145,244

1000 Revenues -1,866,706

				2001 Personnel Expenses		1,410,446
3110	Fleet	61,612	3230	Personal Travel	20,042	
				3000 Travel Expenses	81,654	
3810	Meetings	880				
				3500 Meeting Expenses	880	
4120	Office Supplies	5,000	4410	Operating Supplies	89,155	
				4000 Supplies	94,165	
5520	Telephone	25,000	5570	Internet	12,661	
5710	Energy	100,860	5750	Services	17,500	
				5500 Communications & Utilities	156,021	
6020	Supplies	6,000	6040	Services	6,000	
6130	Services	3,645	6200	External Contractors	18,000	
				6000 Repairs & Maintenance	33,645	
6910	Other Contractual Services	2,150				
				6500 Contractual Services	2,150	
7510	Training & Professional Dues	6,150	7710	Insurance Premiums	2,874	
				7000 Special Transactions	9,024	
9510	Cash Matching Funds	78,731				
				9600 Matching & Indirect Cost	78,731	
			113011	Business Unit Total	0	
			1 GENERAL FUND			0
Grand Total:				113011 NAAA - CHINLE AGENCY	0	

Fiscal Year 2013 Budget

Program Purpose/Performance Criteria

Date: 9/25/2012
Time: 10:30 AM

Business Unit: 113012 NAAA - FT. DEFIANCE AGENCY
DIVISION OF HEALTH

Plan of Operation Purpose:

The purpose of the Navajo Area Agency on Aging (NAAA) Program is to provide meals, transportation, health, personal, social, recreational and referral support and services to eligible Navajo individuals in coordination with other tribal and non-tribal agencies / entities.

Plan of Operation Reference:

GSCO-82-95

Program Performance Criteria:

Program Performance Area:

Case Management of Home delivery meal participants

Goal Statement:

Review participants case file on home visits, services provided and follow up.

Program Performance Area:

Staff Training

Goal Statement:

Increase staff job knowledge skill.

Program Performance Area:

Management/operational improvement of senior centers.

Goal Statement:

Develop and implement cost sharing plan for senior centers.

Program Performance Area:

Quality improvement

Goal Statement:

Conduct site visits & follow up at Senior Ctrs, FGP worksite & NFGC Program.

Program Performance Area:

Meal Services

Goal Statement:

Provide Home Delivery and Congregate meals to participants.

Program Performance Area:

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Goal	8	8	8	8
Actual	15	15	15	15
Goal	5	5	5	5
Actual	10	9	8	7
Goal	33,400	33,400	33,400	33,400
Actual				

Fiscal Year 2013 Budget Line Item Detail

Date: 9/25/20
Time: 10:30 A

Business Unit: 113012 NAAA - FT. DEFIANCE AGENCY

Object Code	Description	TOTAL
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113012

1996 Allocation -2,435,907

Object Code	Description	TOTAL
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1003 Senior Office Specialist 26,957
1006 Senior Center Supervisor 30,663
1009 Senior Center Supervisor 30,663
1011 Senior Center Supervisor 30,663
1014 Senior Center Supervisor 26,451
1017 Senior Center Supervisor 30,663
1020 Senior Center Supervisor 26,451
1023 Cook 14,470
1027 Cook 13,641
1029 Cook 16,690
1031 Cook 13,641
1033 Cook 15,790
1035 Cook 16,690
1037 Cook 13,641
1040 Driver 16,690
1043 Driver 18,787
1045 Driver 16,690
1047 Driver 16,690
1049 Driver 16,690
1051 Driver 18,787
1054 Driver 16,690
1057 Driver 16,690
1061 Senior Center Supervisor 30,663
1063 Cook 16,690

1005 Senior Center Supervisor 27,238
1008 Senior Center Supervisor 28,922
1010 Senior Center Supervisor 27,238
1012 Senior Center Supervisor 26,451
1015 Senior Center Supervisor 26,451
1018 Senior Center Supervisor 26,451
1022 Cook 13,641
1025 Cook 16,690
1028 Cook 13,641
1030 Cook 16,690
1032 Cook 18,787
1034 Cook 19,319
1036 Cook 15,354
1039 Driver 16,690
1042 Driver 17,189
1044 Driver 16,690
1046 Driver 16,690
1048 Driver 17,189
1050 Driver 19,319
1052 Driver 18,787
1056 Driver 16,690
1060 Case Worker 10,743
1062 Senior Center Supervisor 29,803
1064 Cook 13,641

1000 Revenues -2,435,907

1065	Driver	16,690	1066	Driver	18,787
1070	Driver	16,690	1071	Cook	16,690
1072	Senior Center Supervisor	26,451	1075	Accountant	35,942
1076	Senior Center Supervisor	26,451	1090	Senior Center Supervisor	26,451
1091	Cook	16,690	1092	Driver	16,690
1102	Volunteer Services Coordinator	13,478	1105	Statistical Technician	20,862
2200	Salary Adj	132,273	2900	Fringe Benefits	578,495
			2001 Personnel Expenses	1,925,085	
3110	Fleet	35,298	3230	Personal Travel	15,523
			3000 Travel Expenses	60,821	
3810	Meetings	1,080			
			3600 Meeting Expenses	1,080	
4120	Office Supplies	10,000	4200	Non Capital Assets	6,793
4410	Operating Supplies	84,500			
			4000 Supplies	101,293	
5310	Building/Space	5,900			
			5000 Lease & Rental	5,900	
5520	Telephone	15,000	5570	Internet	15,000
5710	Energy	171,598	6750	Services	15,000
			6500 Communications & Utilities	216,598	
6020	Supplies	5,000	6040	Services	10,000
6110	Supplies	4,000	6130	Services	10,000
6200	External Contractors	6,500			
			6000 Repairs & Maintenance	35,500	
6910	Other Contractual Services	3,050			
			6500 Contractual Services	3,050	
7110	Programs	6,000	7510	Training & Professional Dues	3,000
7710	Insurance Premiums	8,848			
			7000 Special Transactions	17,848	
9510	Cash Matching Funds	78,732			

		9500 Matching & Indirect Cost	78,732
113012		Business Unit Total:	0
1 GENERAL FUND			0
Grand Total: 113012 NAAA - FT. DEFIANCE AGENCY			0

Fiscal Year 2013 Budget
Program Purpose/Performance Criteria
Business Unit: 113013 NAAA - CROWNPOINT AGENCY
DIVISION OF HEALTH

Date: 9/25/2012
 Time: 10:30 AM

Plan of Operation Purpose:

The purpose of the Navajo Area Agency on Aging (NAAA) Program is to provide meals, transportation, health, personal, social recreational and referral support and services to eligible Navajo individuals in coordination with other tribal and non-tribal agencies/entities.

Plan of Operation Reference: GSCO-82-95

1. Program Performance Criteria:

Case Management of Home Delivery meal participants

Goal Statement: Review participants case file on home visits, services provided and follow up

2. Program Performance Area: Staff Training

Goal Statement: Increase staff job knowledge skill.

1. Program Performance Area: Management/operational improvement of senior centers

Goal Statement: Develop and implement cost sharing plan for senior centers

Program Performance Area: Quality improvement

Goal Statement: Conduct site visits & follow up at Senior Ctrs, FGP worksite and NFCSP Program

Program Performance Area: Meal Services

Goal Statement: Provide Home Delivery and Congregate meals to participants

Goal Statement: Provide Home Delivery and Congregate meals to participants

	1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
Case Management of Home Delivery meal participants	10		10		10		10	
Staff Training	18		18		18		16	
Management/operational improvement of senior centers	6		6		6		5	
Develop and implement cost sharing plan for senior centers	12		11		9		9	
Quality improvement	37,900		37,900		37,900		37,900	
Conduct site visits & follow up at Senior Ctrs, FGP worksite and NFCSP Program								
Meal Services								
Provide Home Delivery and Congregate meals to participants								

Fiscal Year 2013 Budget Line Item Detail

Date: 9/25/2012
Time: 10:30 AM

Business Unit: 113013 NAAA - CROWNPOINT AGENCY

Object Code	Description	TOTAL
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113013 Allocation -2,821,279

1002	Volunteer Services Coordinator	17,586
1004	Office Specialist	22,734
1006	Senior Center Supervisor	27,238
1009	Senior Center Supervisor	27,238
1012	Senior Center Supervisor	27,238
1014	Senior Center Supervisor	26,451
1016	Senior Center Supervisor	27,238
1020	Cook	16,690
1022	Driver	16,690
1024	Cook	16,690
1026	Cook	17,189
1028	Cook	16,690
1031	Driver	16,690
1033	Driver	16,690
1035	Driver	16,690
1037	Cook	16,690
1040	Cook	16,690
1044	Cook	16,690
1046	Driver	16,690
1050	Senior Center Supervisor	27,238
1052	Senior Center Supervisor	26,451
1054	Cook	16,690
1056	Cook	16,690
1058	Driver	16,690

1000 Revenues -2,821,279

Object Code	Description	TOTAL
1003	Accountant	34,944
1005	Senior Center Supervisor	26,451
1007	Senior Center Supervisor	26,451
1011	Driver	16,690
1013	Senior Center Supervisor	26,451
1015	Senior Center Supervisor	27,238
1019	Cook	16,690
1021	Cook	16,690
1023	Cook	16,690
1025	Cook	16,690
1027	Cook	16,690
1029	Driver	16,690
1032	Driver	16,690
1034	Driver	16,690
1036	Driver	16,690
1038	Driver	16,690
1042	Senior Center Supervisor	27,238
1045	Senior Center Supervisor	26,451
1049	Senior Center Supervisor	26,451
1051	Senior Center Supervisor	26,451
1053	Driver	16,690
1055	Cook	16,690
1057	Driver	16,690
1059	Driver	16,690

1060	Driver	16,690	1061	Cook	16,690
1062	Cook	16,690	1063	Driver	16,690
1064	Driver	16,690	1065	Senior Center Supervisor	26,451
1066	Senior Center Supervisor	26,451	1067	Cook	16,690
1068	Case Worker	17,755	1072	Senior Center Supervisor	27,238
1077	Senior Center Supervisor	26,451	1082	Driver	16,690
1083	Driver	16,690	1088	Driver	16,690
1089	Cook	16,690	1094	Driver	16,690
1095	Driver	16,690	1096	Cook	17,189
1097	Cook	16,690	1099	Statistical Technician	20,862
2220	Salary Adjustment	246,613	2900	Fringe Benefits	689,386
			2001 Personnel Expenses		2,294,103
3110	Fleet	24,513	3230	Personal Travel	20,088
			3000 Travel Expenses		44,601
3810	Meetings	949			
			3600 Meeting Expenses		949
4120	Office Supplies	16,500	4200	Non Capital Assets	15,000
4410	Operating Supplies	86,820			
			4000 Supplies		118,320
5310	Building/Space	700	6360	Equipment/Supplies	360
			5000 Lease & Rental		1,060
5520	Telephone	25,920	5570	Internet	4,668
5610	Wireless	2,280	5710	Energy	140,954
5750	Services	11,214			
			5600 Communications & Utilities		185,036
6020	Supplies	12,000	6040	Services	9,908
6110	Supplies	6,648	6130	Services	2,500
6200	External Contractors	35,704	6410	Vehicle R&M - External	2,500
			6000 Repairs & Maintenance		69,280
6910	Other Contractual Services	3,450			
			6500 Contractual Services		3,450

7510 <input type="radio"/> Training & Professional Dues		1,168	7710 <input type="radio"/> Insurance Premiums	18,720
			7000 Special Transactions	19,888
9510	Cash Matching Funds	84,612		
			9500 Matching & Indirect Cost	84,612
			113013	0
			1 GENERAL FUND	0
			Business Unit Total:	0
Grand Total: 113013 NAAA - CROWNPOINT AGENCY				0

**Fiscal Year 2013 Budget
Program Purpose/Performance Criteria**

Date: 9/25/2012
Time: 10:30 AM

**Business Unit: 113014 NAAA - TUBA CITY AGENCY
DIVISION OF HEALTH**

Plan of Operation Reference: GSCO-82-95

Plan of Operation Purpose: The purpose of the Navajo Area Agency on Aging (NAAA) Program is to provide meals, transportation, health, personal, social, recreational and referral support and services to eligible Navajo individuals in coordination with other tribal and non-tribal agencies/entities.

	1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
Program Performance Criteria:								
Program Performance Area: Case Management of Home Delivery meal participants								
Goal Statement: Review participants case file on home visits, services provided and follow up	15		15		15		15	
Program Performance Area: Staff Training								
Goal Statement: Increase staff job knowledge skill	12		14		14		12	
Program Performance Area: Management/operational improvement of senior centers								
Goal Statement: Develop and implement cost sharing plan for senior centers	4		4		3		3	
Program Performance Area: Quality Improvement								
Goal Statement: Conduct site visits & follow up at Senior Ctrs, FGP worksite & NFCG Program	7		7		5		5	
Program Performance Area: Meal Services								
Goal Statement: Provide Home Delivery and Congregate meals to participants	43,000		43,000		43,000		43,000	

**Fiscal Year 2013 Budget
Line Item Detail**

Date: 9/25/2011
Time: 10:30 AM

Business Unit: 113014 NAA - TUBA CITY AGENCY

Object Code	Description	TOTAL	Object Code	Description	TOTAL
113014					
1996	Allocation	-2,231,754			
			1000 Revenues		
1003	Senior Office Specialist	28,600	1004	Senior Center Supervisor	29,802
1005	Senior Center Supervisor	26,451	1006	Senior Center Supervisor	30,663
1007	Senior Center Supervisor	29,802	1008	Senior Center Supervisor	29,802
1009	Senior Center Supervisor	30,663	1010	Senior Center Supervisor	28,922
1011	Senior Center Supervisor	30,663	1013	Senior Center Supervisor	30,663
1014	Senior Center Supervisor	28,061	1015	Cook	15,790
1016	Cook	14,906	1017	Cook	13,641
1018	Cook	15,790	1019	Cook	15,354
1020	Cook	19,319	1022	Cook	16,690
1024	Cook	13,641	1025	Cook	15,790
1026	Cook	16,266	1027	Cook	13,641
1028	Driver	17,189	1029	Driver	18,237
1030	Driver	16,690	1031	Driver	16,690
1032	Driver	19,319	1033	Driver	16,690
1034	Driver	19,901	1035	Driver	16,690
1036	Driver	16,690	1037	Driver	18,237
1038	Driver	18,237	1039	Senior Caseworker	19,077
1040	Senior Center Supervisor	26,451	1041	Cook	16,690
1042	Driver	16,690	1043	Driver	19,319
1044	Senior Center Supervisor	26,451	1045	Senior Center Supervisor	27,238
1046	Cook	17,189	1047	Driver	17,189
1048	Driver	19,319	1049	Driver	17,189
1050	Driver	16,690	1051	Accountant	38,168
1053	Cook	13,641	1054	Statistical Technician	20,862

1106	Volunteer Services Coordinator	16,110	2200	Salary Adj	179,862
2900	Fringe Benefits	514,500			
3110	Fleet	106,456	3230	Personal Travel	15,000
3810	Meetings	1,254			
4120	Office Supplies	4,115	4200	Non Capital Assets	2,000
4410	Operating Supplies	93,488			
5310	Building/Space	8,880			
5520	Telephone	16,163	5570	Internet	12,180
5710	Energy	105,594	5750	Services	11,678
6130	Services	27,656	6200	External Contractors	16,190
6910	Other Contractual Services	3,486			
7510	Training & Professional Dues	1,938	7710	Insurance Premiums	11,052
9510	Cash Matching Funds	82,499			
Grand Total: 113014 NAAA - TUBA CITY AGENCY					0
			9500 Matching & Indirect Cost		82,499
			113014	Business Unit Total:	0
			1 GENERAL FUND		0

Fiscal Year 2013 Budget
Program Purpose/Performance Criteria
Business Unit: 113015 NAAA - SHIPROCK AGENCY
DIVISION OF HEALTH

Date: 9/25/201
 Time: 10:30 AM

Plan of Operation Purpose: The purpose of the Navajo Area Agency on Aging (NAAA) Program is to provide meals, transportation, health, personal, social, recreational and referral support services to eligible Navajo individuals in coordination with other tribal and non-tribal agencies / entities.

Plan of Operation Reference: GSCO-82-95

	1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Program Performance Area: Case Management of Home Delivery meal participants. Goal Statement: Review Participants case file on home visits, services provided and follow up. Program Performance Area: Staff Training.	10		10		10		10	
Goal Statement: Increase staff job knowledge skill.								
3. Program Performance Area: Management/operational improvement of Senior Centers.	13		13		13		13	
Goal Statement: Develop and implement cost sharing plan for Senior Centers.								
1. Program Performance Area: Quality Improvement	4		4		4		4	
Goal Statement: Conduct site visits & follow up at Senior Centers, FGP worksite and NCCSP Program								
Program Performance Area: Meal Services	8		8		6		5	
Goal Statement: Provide Home Delivery and Congregate meals to participants.	33,000		33,000		33,000		33,000	

Fiscal Year 2013 Budget Line Item Detail

Date: 9/25/2012
Time: 10:30 AM

Business Unit: 113015 NAAA - SHIPROCK AGENCY

113015

1996 Allocation

-2,194,361

Object Code	Description	TOTAL
-------------	-------------	-------

Object Code	Description	TOTAL
-------------	-------------	-------

		1000 Revenues	-2,194,361
1003	Accountant	37,045	
1005	Senior Center Supervisor	26,451	
1007	Senior Center Supervisor	26,451	
1009	Senior Center Supervisor	26,451	
1012	Senior Center Supervisor	28,061	
1014	Senior Center Supervisor	27,238	
1017	Senior Center Supervisor	26,451	
1019	Senior Center Supervisor	26,451	
1021	Cook	13,641	
1023	Cook	13,641	
1025	Cook	13,641	
1027	Cook	13,641	
1029	Cook	13,641	
1032	Cook	13,641	
1034	Cook	16,690	
1036	Driver	16,690	
1039	Driver	16,690	
1041	Driver	17,189	
1043	Driver	16,690	
1046	Driver	17,189	
1048	Driver	16,690	
1051	Senior Caseworker	19,718	
1056	Cook	16,690	
1058	Volunteer Services Coordinator	17,587	
1004	Office Specialist	24,794	
1006	Senior Center Supervisor	26,451	
1008	Senior Center Supervisor	28,061	
1011	Senior Center Supervisor	26,451	
1013	Senior Center Supervisor	26,451	
1015	Senior Center Supervisor	26,451	
1018	Senior Center Supervisor	27,238	
1020	Cook	13,641	
1022	Cook	13,641	
1024	Cook	13,641	
1026	Cook	13,641	
1028	Cook	13,641	
1030	Cook	13,641	
1033	Cook	13,641	
1035	Driver	16,690	
1037	Driver	17,189	
1040	Driver	17,189	
1042	Driver	16,690	
1044	Driver	16,690	
1047	Driver	16,690	
1049	Driver	16,690	
1055	Senior Center Supervisor	26,451	
1057	Driver	16,690	
1066	Senior Center Supervisor	26,451	

Fiscal Year 2013 Budget
Program Purpose/Performance Criteria

Business Unit: 113018 KAYENTA HTH CTR STR
DIVISION OF HEALTH

Date: 9/6/2012
Time: 11:00 AM

Plan of Operation Reference: GSCAP-10-05

Plan of Operation Purpose: The purpose of the Kayenta Alternative Rural Hospital Steering Committee - Planning Office is to provide administrative and technical support to the Kayenta Alternative Rural Hospital Steering Committee (KHSC). The KHSC is a nonprofit community based organization that was established to advocate for the construction of a new rural hospital. As an administrative support to the KHSC, the Kayenta Alternative Rural Hospital Steering Committee - Planning Office is established to ensure that a systematic set of project plans are organized, developed, implemented and monitored.

1. Program Performance Area:

Provide administrative and technical support to Kayenta Steering Committee.

Goal Statement:

Collect input & disseminate KHC project update at scheduled activities/qtr.

2. Program Performance Area:

Provide input at PLT mtgs relating to facility construction activities for Kayenta.

Goal Statement: Participate in progress & partnering sessions to maintain & promote interagency relationship per quarter.

Program Performance Area:

Coordinate advocacy activities to secure construction funding for Kayenta project

Goal Statement:

Develop 10 funding statements, resolutions, status reports or presentations per quarter.

Program Performance Area:

Coordinate additional advocacy materials to secure funding for Kayenta project.

Goal Statement:

Gather 20 advocacy materials & support letters at comm. functions & Schools per qtr.

Program Performance Area:

Assist NDOH to develop a Facility Handbook & other initiatives per its Strategic Plan.

Goal Statement:

Coordinate with Planners to develop Handbook, issue papers & strategic plan/qtr.

	1st Quarter		2nd Quarter		3rd Quarter		4th Quarter	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
	5		5		5		5	
	10		10		10		10	
	10		10		10		10	
	20		20		20		20	
	3		3		3		3	

**Fiscal Year 2013 Budget
Line Item Detail**

Date: 9/6/20
Time: 1:00 A

[illegible]

**Fiscal Year 2013 Budget
Program Purpose/Performance Criteria**

Date: 9/6/2012
Time: 11:00 AM

**Business Unit: 113020 PUEBLO PINTADO STRG CMTEE PLNG OFC
DIVISION OF HEALTH**

Plan of Operation Purpose:

The purpose of the Pueblo Pintado Steering Committee Planning Office is to provide administrative and technical support to the Pueblo Pintado Steering Committee (PPSC). The PPSC is a community-based organization that was established to advocate for the construction of a new ambulatory health center. As an administrative support to the PPSC, the Pueblo Pintado Steering Committee Planning Office is established to ensure that a systematic set of project plans are organized, planned, developed, implemented and monitored.

Plan of Operation Reference:

GSCO-26-08

Program Performance Criteria:

Program Performance Area:

Provide TA and administrative support to Pueblo Pintado Steering Committee

Goal Statement:

Dev. 10 agendas, letters, resolutions, briefings and reports per quarter.

Program Performance Area:

Collect and disseminate information on Pueblo Pintado Health Center project.

Goal Statement:

Develop 8 letters, reports, conf. calls, attend mtgs. w/HHS, ES-D, NN, Congress, etc/qtr.

Program Performance Area:

Provide TA to Pueblo Pintado Steering Comm. to secure site for health center project

Goal Statement:

Dev. 6 letters, resolutions, correspondences w/local chapters, NN, BIA, IHS,ES-D/qtr

Program Performance Area:

Assist NDOH with initiatives per its strategic Plans

Goal Statement:

Coordinate with OPRE to dev. issue papers, position papers, briefings/qtr

Program Performance Area:

Provide TA & admin support to GIMC Steering Committee/GIMC Project.

Goal Statement:

Develop 6 agendas, letters, resolutions, briefings and reports per qtr.

1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Goal	Actual	Goal	Actual
10	10	10	10
8	8	8	8
6	6	6	6
2	2	2	2
6	6	6	6

**Fiscal Year 2013 Budget
Line Item Detail**

Date: 9/6/2012
Time: 11:00 AM

Business Unit: 113020 PUEBLO PINTADO STRG CMTEE PLNG OFC

Object Code	Description	TOTAL	Object Code	Description	TOTAL
113020					
1996	Allocation	-91,589			
				1000 Revenues	-91,589
1001	Planner (Health)	53,768	2900	Fringe Benefits	23,099
				2001 Personnel Expenses	76,867
3210	Vehicle Rental (off reserv)	200	3230	Personal Travel	10,113
3310	Air	1,000			
				3000 Travel Expenses	11,313
3610	Meetings	1,500			
				3500 Meeting Expenses	1,500
4120	Office Supplies	500	4410	Operating Supplies	500
				4000 Supplies	1,000
7510	Training & Professional Dues	800	7710	Insurance Premiums	109
				7000 Special Transactions	909
			113020	Business Unit Total:	0
			1	GENERAL FUND	0
				Grand Total: 113020 PUEBLO PINTADO STRG CMTEE PLNG OFC	0



CN-53-14

RESOLUTION OF THE
NAVAJO NATION COUNCIL

22nd NAVAJO NATION COUNCIL -- Fourth Year, 2014

AN ACT

RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES; BUDGET AND FINANCE, NAABIK'ÍYÁTI', AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF \$148,325.00 FOR PHOENIX INDIAN CENTER, INC. BUSINESS UNIT 707000 TO FUND NAVAJO LANGUAGE AND CULTURE CLASSES, INFORMATION SEMINARS AND SOCIAL SERVICES FOR NAVAJO PEOPLE IN THE MARICOPA COUNTY AREA

BE IT ENACTED:

SECTION 1. FINDINGS

A. The Phoenix Indian Center (PIC) is dependent upon funding from the Arizona Inter-Tribal Trust Fund, particularly the Navajo Trust Funds.

B. The PIC provides services to over 4,000 Navajos living in the Phoenix area each year.

C. The Navajo Nation recently had to terminate the 2014 grant agreement because the company that pays into the trust fund, the Barron Collier Company, has refused to fulfill its contractual obligation to pay into the trust fund since 2011.

D. The U.S. Department of Justice has filed a complaint against the Barron Collier Company for non-payment into the fund.

E. This supplemental funding proposal will supplant the trust fund grant allocation and allow PIC to continue the Navajo Language and Culture classes, informational services, and skill-building classes (services) for Navajos living in the Phoenix area.

F. This supplemental funding covers the payroll for two Navajo staff that provide the services.

G. The \$148,325.00 Program Budget Summary for Phoenix Indian Center, Inc. business unit 707000 is attached as Exhibit "A".

H. The Navajo Nation hereby finds it is in the best interest of the Navajo People to approve this supplemental funding request.

SECTION 2. APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF \$148,325.00 FOR PHOENIX INDIAN CENTER, INC. BUSINESS UNIT 707000

The Navajo Nation hereby approves supplemental funding from the Unreserved, Undesignated Fund Balance in the amount of \$148,325.00 for Phoenix Indian Center, Inc. business unit 70700, attached at Exhibit "A".

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 13 in favor and 1 opposed, this 14th day of November 2014.



LoRenzo Bates, Pro Tem Speaker
Navajo Nation Council

11-21-14

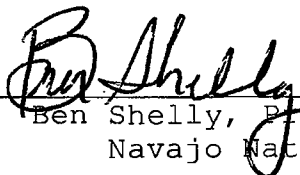
Date

Motion: Honorable Alton Joe Shepherd

Second: Honorable Walter Phelps

ACTION BY THE NAVAJO NATION PRESIDENT:

1. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. §1005 (C)(10), on this _____ day of DEC 01 2014 2014.



Ben Shelly, President
Navajo Nation

2. I hereby veto the foregoing legislation, pursuant to 2 N.N.C. §1005 (C) (11), this _____ day of _____ 2014 for the reason(s) expressed in the attached letter to the Speaker.

Ben Shelly, President
Navajo Nation

3. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. §1005 (C) (10) and 2 N.N.C. §164 (A) (17), on this _____ day of _____, 2014. The justification of my line-item veto is set forth by memorandum.

Ben Shelly, President
Navajo Nation

The Navajo Nation Grant Application

Name of Applicant: Phoenix Indian Center, Inc.		Telephone No.: 602-264-6768
Mailing Address: 4520 N. Central Ave., Suite 250; Phoenix, AZ 85012		Email: phibbeler@phxindcenter.org
Physical Address:	Same	IRS TIN/EIN No: 86-6006566
NN Grant Request Amount: 148,325.00	Grant Start Date: 1/1/2014	Grant End Date: 6/30/2015

Brief Description of the Organization or Entity Requesting the Grant:

This project funds Navajo Language & Culture classes, seminars & informational sessions for Navajo people in the Maricopa County area. It also provides for social services which includes case management & skill building classes specifically for Navajo people. A major portion of the funding is for staff who provide the described services.

PROBLEMS/NEEDS STATEMENT

Briefly describe the problems/needs identified based on relevant and collected data. The statement should be brief, clear and concise, including population (Navajo) to be served and location of the population.

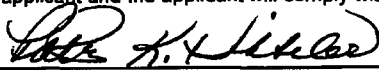
According to the estimated 2012 Census, the number of single race Native population in Arizona was 347,325 (5.3% of the state population) of which 31% are Navajo, with an urban Native population reaching 106,500 in Maricopa County. The Phoenix Indian Center provides services to over 6,000 Native individuals annually. The Phoenix Indian Center client base shows that 28% are either part-time or full-time employed, 75% of these households report less than \$10,000 income per year, and 61% of these households have children. Of this client base, 65% are members of the Navajo Nation who are living in the Phoenix Metropolitan area. These clients may not be fluent in their language and they are seeking opportunities to learn their language, culture, traditions and stories, as well as availing themselves of all the services provided by the Phoenix Indian Center.

METHODOLOGY

Briefly describe the approaches, services, and/or ways the problems or needs which will be addressed with the grant.

The problems and objectives discussed above are addressed through a social service model providing case management, skill building classes, brokering referrals for other agency services including direct client services (some of which are provided by other PIC programs). These are all necessary methods of service delivery due to the number of clients who resided in the city for a short period of time and are having difficulty maneuvering the social services in a large city. Culture and language classes are offered during various times/days along with quarterly special cultural events using Navajo experts to share culture with the Navajo people residing in the Phoenix Metro area. This is the vehicle chosen to provide education in language and culture to the urban Navajo population.

To the best of my knowledge and belief, all data in this application are true and correct. The document has been duly authorized by the governing body of the applicant and the applicant will comply with the Navajo Nation terms and conditions if the grant is awarded.



Signature of Authorized Representative

10.16.14

Date Signed

Patricia K. Hibbeler

Type Name of Authorized Representative

CEO

Title

**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**

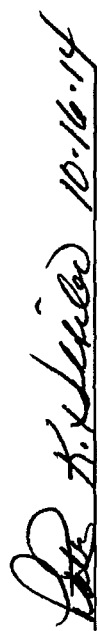
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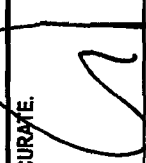
PART I. Business Unit No.: <u>707000</u>		Program Title: <u>Phoenix Indian Center, Inc.</u>		Division/Branch: _____	
Prepared By: <u>Patricia K. Hibbeler</u>		Phone No.: <u>602-284-6768</u>		Email Address: <u>phibbelerphixindcenter.org</u>	

PART II. FUNDING SOURCE(S)				PART III. BUDGET SUMMARY					
	Fiscal Year Term	Amount	% of Total	Fund Type Code	NNC Approved Original Budget	Proposed Budget	Difference (Column B - A)		
US Department of Labor	7/1/13 To 6/30/14	\$1,161,000.00	52%	2001 Personnel Expenses	\$0.00	\$91,674.00	\$91,674.00		
AZ Governor's Office	7/1/13 To 6/30/14	\$300,000.00	13%	3000 Travel Expenses	\$0.00	\$3,000.00	\$3,000.00		
Mercy Maricopa Health	7/1/13 To 6/30/14	\$102,640.00	5%	3500 Meeting Expenses	\$0.00	\$0.00	\$0.00		
ASU-NIH RO1	8/1/13 To 7/31/14	\$196,000.00	9%	4000 Supplies	\$0.00	\$1,200.00	\$1,200.00		
Valley of The Sun United Way	7/1/13 To 6/30/14	\$107,827.00	5%	5000 Lease and Rental	\$0.00	\$18,000.00	\$18,000.00		
AZ Department of Education	7/1/13 To 6/30/14	\$83,706.00	3%	5500 Communications and Utilities	\$0.00	\$80.00	\$80.00		
Other (Donations, fundraising etc.)	7/1/13 To 6/30/14	\$145,320.00	7%	6000 Repairs and Maintenance	\$0.00	\$0.00	\$0.00		
Navajo Nation Undesignated/ Unreserved Funds	1/1/14 To 6/30/15	\$148,325.00	7%	6500 Contractual Services	\$0.00	\$14,000.00	\$14,000.00		
				7000 Special Transactions	\$0.00	\$1,500.00	\$1,500.00		
				8000 Public Assistance	\$0.00	\$0.00	\$0.00		
				9000 Capital Outlay	\$0.00	\$0.00	\$0.00		
				9500 Matching Funds	\$0.00	\$0.00	\$0.00		
				9500 Indirect Cost	\$0.00	\$18,871.00	\$18,871.00		
				TOTAL	\$0.00	\$148,325.00	\$148,325.00		

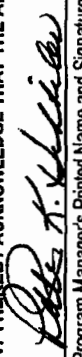
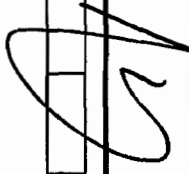
PART IV. POSITIONS AND VEHICLES		
	(D)	(E)
Total # of Positions Budgeted:	0	2
Total # of Permanently Assigned Vehicles:	N/A	N/A

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.


Patricia K. Hibbeler 10-16-14
 SUBMITTED BY: Program Manager's Printed Name and Signature / Date


 APPROVED BY: Division Director/Branch Chief's Printed Name and Signature / Date

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

PART I. PROGRAM INFORMATION:		Business Unit No.: <u>707000</u>		Program Name/Title: <u>Phoenix Indian Center, Inc.</u>					
PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:									
PART III. PROGRAM PERFORMANCE CRITERIA:									
		1st QTR		2nd QTR		3rd QTR		4th QTR	
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Program Performance Area: <i>Number of students completing Navajo language and culture classes</i>									
Goal Statement: <i>Educate more Navajo Tribal members in Navajo language and cultural understanding</i>		85		85		85		85	
2. Program Performance Area: <i>Number of participants in Seasonal Stories</i>									
Goal Statement: <i>Share knowledge of traditional Navajo stories and traditions, and performances</i>		300		300		300		300	
3. Program Performance Area: <i>Number of persons/families receiving skill building classes</i>									
Goal Statement: <i>Improve clients skills/abilities for living in a large metropolitan environment</i>		15		15		15		15	
4. Program Performance Area: <i>Number of persons/families receiving Case Management services</i>									
Goal Statement: <i>Promote urban self-sufficiency and living skills improvement</i>		400		400		400		400	
5. Program Performance Area:									
Goal Statement:									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.									
 Program Manager's Printed Name and Signature/Date <u>10.16.14</u>		 Division Director/Branch Chief's Printed Name and Signature / Date							

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THE NAVAJO NATION
LISTING OF POSITIONS AND ASSIGNMENTS BY BUSINESS UNITS

Page 9A of 13

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WRKSITE CODE	FY 2014 ACTUAL		FY 2015 PROPOSED	
						G/S	SALARY	HOURS	BUDGET
2120	N/A	3674	Instructor, Language & Culture	N/A	N/A		\$46,062	2080	\$48,170.00
2130	N/A	3674/ 3705	Instructor, Language & Culture & Socialworker	N/A	N/A		\$23,635	1040	\$28,225.00
Total									\$76,395.00

**THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION**

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Page 3 of 13

PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: <u>707000</u>	
Phoenix Indian Center, Inc.			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
	2001 Personnel Expenses		91,674
2110	Regular	76,395	
	2120 Person-Regular FT		
	2130 Person-Regular Part Time		
2900	Fringe Benefits	15,279	
	2912 FICA @ \$4,735		
	2914 Medicare @ \$1,105		
	2921 Medical Insurance @ \$5,655		
	2926 Life Insurance @ \$360		
	2940 Retirement @ \$2,264		
	2951 Unemployment @ \$200		
	2960 Worker's Compensation @ \$960		
	3000 Travel Expenses		3,000
3230	Personal Travel	3,000	
	3260 Mileage: 2,300 miles/year x \$0.565/mile = \$1,300		
	3240 Per diem: 6 days @ \$50/day = \$300		
	3250 Lodging: 4 days @ \$100/day = \$400		
	3290 Cost of fuel, service, etc. for PIC vehicle @ \$100/month x 10 months = \$1,000		
TOTAL		94,674	94,674

**THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION**

FY 15

Page 4 of 13

PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: <u>707000</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
	4000 Supplies		1,200
4120	Office Supplies		
	4130 General Office Supplies	200	
4410	Operating Supplies	1,000	
	4420 General Operating Supplies		
	4450 Postage, Courier, Shipping		
	4530 Printing, Binding Photography		
	5000 Lease & Rental		18,000
5110	Building	18,000	
	5120 Office Space		
	5500 Communication & Utilities		
5570	Internet	80	
	5580 DSL		
TOTAL		19,280	19,280

**THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION**

FY 15

Page 5 of 13

PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: <u>707000</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
6500 Contractual Services			14,000
6520 Consulting		12,400	
6530 Fees			
6600 Audit		1,000	
6630 Fees			
6960 Subcontracted Services		600	
6990 Subcontracted Services-Payroll processing			
6990 Subcontracted Services-Network services			
7000 Special Transactions			1,500
7710 Insurance Premiums		1,500	
7720 Liability, & property insurance			
9500 Matching & Indirect Costs			18,871
9700 Indirect Cost Allocation		18,871	
9720 Indirect Cost Charged			
TOTAL		34,371	34,371

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center, Inc.</u> Contract/Grant No.: _____		Funding Period: <u>July 1, 2014 to June 30, 2015</u> K #: _____ Prepared by: <u>Patricia K. Hibbeler</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT US Department of Labor. This grant is to provide employment & training services to approximately 5,000 Native Americans of which 3,300 will be Navajo.			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year <u>13</u>	(C) Anticipated Funding Fiscal Year <u>14/15</u>	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	\$616,070	\$590,927	(25,143.00)
3000 Travel Expenses	\$11,450	\$14,600	3,150.00
3500 Meeting Expenses			-
4000 Supplies	\$4,200	\$3,400	(800.00)
5000 Lease and Rental	\$172,920	\$175,630	2,710.00
5500 Communication and Utilities	\$2,500	\$2,800	300.00
6000 Repairs and Maintenance			-
6500 Contractual Services	\$8,200	\$8,400	200.00
7000 Special Transaction	\$198,200	\$220,000	21,800.00
8000 Assistance	\$27,800	\$21,515	(6,285.00)
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation	\$124,864	\$123,728	(1,136.00)
TOTALS:	1,166,204	1,161,000	(5,204.00)
PART IV. FTEs/MATCH FUNDS: No. of Positions/ FTEs: <u>13</u>			
MATCHING FUND REQUIRED: Required GF Cash Match: <u>None</u>			
CONCURRED BY: Required GF In - Kind Match: <u>None</u>			
Required GF % Match: <u>N/A</u>			
Contracting Officer's Signature / Date: _____			
PART V. ACKNOWLEDGEMENT: Submitted by (print): <u>Franklin W. LaFave, Jr.</u> Signature/Date: <u><i>FW LaFave</i></u>		Approved by (print): <u>Patricia K. Hibbeler</u> Signature/Date: <u><i>Patricia K. Hibbeler</i></u>	

THE NAVAJO NATION

EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

Page 8 of 13

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center, Inc.</u> Funding Period: <u>July 1, 2014 to June 30, 2015</u> Contract/Grant No.: _____ K #: _____ Prepared by: <u>Patricia K. Hibbeler</u>			
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT AZ Governor's Office: This grant is to train parents and parent coalitions to prevent/reduce substance abuse among Native American youth in Phoenix, Flagstaff & Tucson.			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year _13_	(C) Anticipated Funding Fiscal Year _14/15_	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	\$79,060	\$180,244	101,184.00
3000 Travel Expenses	\$5,685	\$7,600	1,915.00
3500 Meeting Expenses	\$1,800	\$3,600	1,800.00
4000 Supplies	\$240	\$100	(140.00)
5000 Lease and Rental	\$1,800	\$10,800	9,000.00
5500 Communication and Utilities	\$50	\$85	35.00
6000 Repairs and Maintenance			-
6500 Contractual Services	\$178,800	\$59,000	(119,800.00)
7000 Special Transaction	\$2,710	\$11,300	8,590.00
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation	\$27,015	\$27,271	256.00
TOTALS:	297,160	300,000	2,840.00
PART IV. FTEs/MATCH FUNDS: No. of Positions/ FTEs: <u>2</u> <u>4</u> <u>2,000</u> Required GF Cash Match: <u>None</u> Required GF In - Kind Match: <u>None</u> Required GF % Match: <u>N/A</u>			
PART V. ACKNOWLEDGEMENT: Contracting Officer's Signature / Date: _____ Submitted by (print): <u>Franklin W. LaFave, Jr.</u> Approved by (print): <u>Patricia K. Hibbeler</u> Signature/Date: <u>[Signature]</u> Signature/Date: <u>[Signature] 10/16/14</u>			

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 15 Page 9 of 13

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center, Inc.</u> Funding Period: <u>July 1, 2014 to June 30, 2015</u> Contract/Grant No.: _____ K #: _____ Prepared by: <u>Patricia K. Hibbeler</u>			
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT AZ Deptment of Health: Mercy Mancopa Services: This grant is to provide youth prevention services to eliminate/reduce substance abuse among Native American youth.			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year _13_	(C) Anticipated Funding Fiscal Year _14/15_	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	\$65,930	\$67,407	1,477.00
3000 Travel Expenses	\$2,025	\$1,155	(870.00)
3500 Meeting Expenses	\$3,900	0	(3,900.00)
4000 Supplies	\$600	\$5,386	4,786.00
5000 Lease and Rental	\$6,000	\$7,200	1,200.00
5500 Communication and Utilities	\$120	\$80	(40.00)
6000 Repairs and Maintenance		0	-
6500 Contractual Services	\$8,100	\$6,445	(1,655.00)
7000 Special Transaction	\$3,360	\$2,950	(410.00)
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation	\$12,605	\$12,017	(588.00)
TOTALS:	102,640	102,640	-
PART IV. FTES/MATCH FUNDS: No. of Positions/ FTes: <u>2</u>			
MATCHING FUND REQUIRED: Required GF Cash Match: <u>None</u>			
CONCURRED BY: Required GF In - Kind Match: <u>None</u>			
Contracting Officer's Signature / Date: _____ Required GF % Match: <u>N/A</u>			
PART V. ACKNOWLEDGEMENT: Submitted by (print): <u>Franklin W. LaFave, Jr.</u> Approved by (print): <u>Patricia K. Hibbeler</u> Signature/Date: <u>[Signature]</u> Signature/Date: <u>[Signature]</u>			

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

PART I. PROGRAM INFORMATION:		Funding Period: <u>July 1, 2014 To June 30, 2015</u>
Program Name/Title: <u>Phoenix Indian Center, Inc.</u>		K #: _____
Contract/Grant No.: _____		Prepared by: <u>Particia K. Hibbeler</u>

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
ASU-NIH-RO1: This is a research contract to modify and test an existing parenting curriculum for Native American students and parents for prior to nationwide use.			

PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year _13_	(C) Anticipated Funding Fiscal Year _14/15_	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	\$47,549	\$34,370	(13,179.00)
3000 Travel Expenses	\$400	\$13,020	12,620.00
3500 Meeting Expenses			-
4000 Supplies	\$4,000	\$5,000	1,000.00
5000 Lease and Rental	\$2,400	\$19,800	17,400.00
5500 Communication and Utilities	\$50	\$80	30.00
6000 Repairs and Maintenance			-
6500 Contractual Services	\$129,655	\$96,600	(33,055.00)
7000 Special Transaction	\$675	\$2,060	1,385.00
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation	\$6,717	\$25,070	18,353.00
TOTALS:	191,446	196,000	4,554.00

PART IV. FTEs/MATCH FUNDS:	
No. of Positions/ FTEs:	1
MATCHING FUND REQUIRED:	None
CONCURRED BY:	None
Contracting Officer's Signature / Date:	N/A

PART V. ACKNOWLEDGEMENT:	
Submitted by (print): <u>Franklin W. LaFave, Jr.</u>	Approved by (print): <u>Particia K. Hibbeler</u>
Signature/Date: <u>[Signature]</u>	Signature/Date: <u>[Signature] 10.16.14</u>

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

PART I. PROGRAM INFORMATION:				
Program Name/Title: <u>Phoenix Indian Center, Inc.</u>		Funding Period: <u>July 1, 2014 to June 30, 2015</u>		
Contract/Grant No.: _____		K #: _____		
		Prepared by: <u>Patricia K. Hibbeler</u>		
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT Valley of the Sun United Way: This grant is to provide prevention services to youth, provide Case Management services and to supplement our Adult Education program.				
PART III. BUDGET INFORMATION:				
(A) Major Object Code and Description		(B) Current Award Fiscal Year <u>13</u>	(C) Anticipated Funding Fiscal Year <u>14/15</u>	(D) Difference Columns (C) - (B)
2001	Personnel Expenses	\$78,121	\$88,596	10,475.00
3000	Travel Expenses	\$1,005	\$241	(764.00)
3500	Meeting Expenses			
4000	Supplies	\$5,185	0	(5,185.00)
5000	Lease and Rental	\$7,200	\$7,200	-
5500	Communication and Utilities	\$120	0	(120.00)
6000	Repairs and Maintenance			
6500	Contractual Services	\$1,700	\$2,235	535.00
7000	Special Transaction	\$3,186	\$3,330	144.00
8000	Assistance	\$9,345	\$6,225	(3,120.00)
9000	Capital Outlay			
9510	Matching - Cash			
9610	Matching - In - Kind			
9710	Indirect Cost (Overhead) Allocation			
TOTALS:		105,862	107,827	1,965.00
PART IV. FTEs/MATCH FUNDS:				
No. of Positions/ FTEs:		3	3	-
MATCHING FUND REQUIRED:		None	None	
CONCURRED BY:		None	None	
Contracting Officer's Signature / Date:		N/A	N/A	
PART V. ACKNOWLEDGEMENT:				
Submitted by (print): <u>Franklin W. LaFave, Jr.</u>		Approved by (print): <u>Patricia K. Hibbeler</u>		
Signature/Date: <u>[Signature]</u>		Signature/Date: <u>[Signature] 10.16.14</u>		

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 15

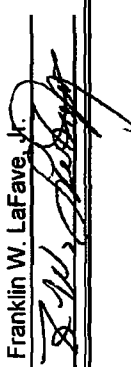
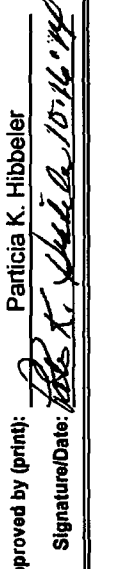
Page 12 of 13

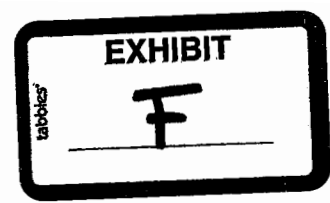
PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Phoenix Indian Center, Inc.</u>		Funding Period: <u>July 1, 2014 To June 30, 2015</u>	
Contract/Grant No.: _____		K #: _____	
		Prepared by: <u>Patricia K. Hibbeler</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
AZ Department of Education: This grant is to provide GED educational services to Native American students resulting in the students receiving their GED's.			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year <u>13</u>	(C) Anticipated Funding Fiscal Year <u>14/15</u>	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	\$53,894	\$58,980	5,086.00
3000 Travel Expenses			-
3500 Meeting Expenses			-
4000 Supplies	\$850	0	(850.00)
5000 Lease and Rental			-
5500 Communication and Utilities	\$100	0	(100.00)
6000 Repairs and Maintenance			-
6500 Contractual Services	\$2,200	0	(2,200.00)
7000 Special Transaction	\$900	0	(900.00)
8000 Assistance	\$1,450	0	(1,450.00)
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation	\$4,312	\$4,726	414.00
TOTALS:	63,706	63,706	-
PART IV. FTEs/MATCH FUNDS:			
No. of Positions/ FTEs:	2	2	-
MATCHING FUND REQUIRED:			
Required GF Cash Match:	None	None	
CONCURRED BY:			
Required GF In - Kind Match:	None	None	
Required GF % Match:	N/A	N/A	
Contracting Officer's Signature / Date: _____			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): <u>Franklin W. LaFave, Jr.</u>		Approved by (print): <u>Patricia K. Hibbeler</u>	
Signature/Date: <u>[Signature]</u>		Signature/Date: <u>[Signature] 10.16.14</u>	

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 15

Page 13 of 13

PART I. PROGRAM INFORMATION:				
Program Name/Title:	Phoenix Indian Center, Inc.	Funding Period:	July 1, 2014 To June 30, 2015	
Contract/Grant No.:		K #:		
		Prepared by:	Patricia K. Hibbeler	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT				
Phoenix Indian Center has a small fundraising event each year to supplement administrative & program funds. We also receive small unrestricted donations throughout the year.				
PART III. BUDGET INFORMATION:				
(A) Major Object Code and Description		(B) Current Award Fiscal Year _13_	(C) Anticipated Funding Fiscal Year _14/15_	(D) Difference Columns (C) - (B)
2001	Personnel Expenses	11,410	19,765	8,355.00
3000	Travel Expenses			-
3500	Meeting Expenses			-
4000	Supplies	7,500	8,000	500.00
5000	Lease and Rental	45,000	32,000	(13,000.00)
5500	Communication and Utilities			-
6000	Repairs and Maintenance			-
6500	Contractual Services	83,500	65,000	(18,500.00)
7000	Special Transaction		1,600	1,600.00
8000	Assistance	2,500	0	(2,500.00)
9000	Capital Outlay			-
9510	Matching - Cash			-
9610	Matching - In - Kind			-
9710	Indirect Cost (Overhead) Allocation	22,485	18,955	(3,530.00)
TOTALS:		172,395	145,320	(27,075.00)
PART IV. FTEs/MATCH FUNDS:				
No. of Positions/ FTEs:		3	3	-
MATCHING FUND REQUIRED:				
Required GF Cash Match:		None	None	
CONCURRED BY:				
Required GF in - Kind Match:		None	None	
Required GF % Match:		N/A	N/A	
PART V. ACKNOWLEDGEMENT:				
Contracting Officer's Signature / Date:				
Submitted by (print):		Franklin W. LaFave, Jr.		
Signature/Date:		 Approved by (print): Patricia K. Hibbeler Signature/Date: 		



CJY-41-16

RESOLUTION OF THE
NAVAJO NATION COUNCIL

23rd NAVAJO NATION COUNCIL — Second Year, 2016

AN ACTION

RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES, BUDGET AND FINANCE, NAABIK'ÍYÁTI' AND THE NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FOR PHOENIX INDIAN CENTER, INC. FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF \$148,325.00

BE IT ENACTED:

Section One. Authorities

- A. Pursuant to 2 N.N.C. § 400 *et seq.*, the Health, Education and Human Services Committee generally oversees matters relative to health, education and social services affecting the Navajo Nation, among other duties and responsibilities.
- B. Pursuant to 2 N.N.C. § 300 (C)(1), (3) and (4) the Budget and Finance Committee is authorized to recommend adoption of resolutions related to the expenditure of the Nation's financial resources.
- C. Pursuant to 2 N.N.C. § 164 (A)(9), a proposed resolution that requires final action by the Navajo Nation Council shall be assigned to the Naabik'íyáti' Committee before it is heard by the Navajo Nation Council.
- D. Pursuant to 12 N.N.C. § 820 (L), "[t]he Navajo Nation Council may adopt and approve supplemental appropriations to the Annual Comprehensive Budget during the fiscal year. Supplemental appropriations of General Funds within the current fiscal year are permitted, if and when additional sources of revenues above and beyond the initial or current revenue projections are projected and which are also in excess of the reserve amount set forth at § 820(J)."

Section Two. Supplemental Appropriation Process

Within the Navajo Nation, Supplemental Appropriation requirements include:

- A. Pursuant to 12 N.N.C. § 820(L), when the Controller identifies additional sources of revenues above and beyond the initial or current revenue projections, supplemental appropriations may be allocated by the Navajo Nation Council.
- B. Pursuant to 12 N.N.C. § 820 (L), "Supplemental appropriations made from non-recurring revenues shall only be made for non-recurring operations or purposes, as set forth at § 820 (F). The Controller of the Navajo Nation shall be responsible for designating recurring and non-recurring revenues and purposes."
- C. Pursuant to 12 N.N.C. § 820(M), all requests for annual operating funds and supplemental funds shall be submitted to the Office of Management and Budget ("OMB") for budget impact analysis.

Section Three. Findings

- A. Phoenix Indian Center, Inc. is a nonprofit organization in Maricopa County (Arizona). Generally, the center assists American Indians in the urban community with employment, educational, cultural enrichment and community engagement services.
- B. Navajo people living in Maricopa County make up approximately 30% of the urban American Indian population.
- C. Phoenix Indian Center, Inc. is requesting from the Navajo Nation \$148,325.00 from the Unreserved, Undesignated Fund Balance (UUFB). See Exhibit "A," Grant Application. Funding from the Navajo Nation will be used for social services for Navajo people at the center. The services will include Navajo language and culture classes, seminars and informational sessions. A portion of the funding will also be used for staff providing the services.
- D. The Navajo Nation Office of the Controller has identified additional revenues above and beyond the initial or current revenue projections. Further, the Office of the Controller has determined whether the request herein for supplemental appropriation is from recurring or non-recurring revenues and whether it is for recurring or non-recurring purposes. See attached memorandum, Exhibit "B."

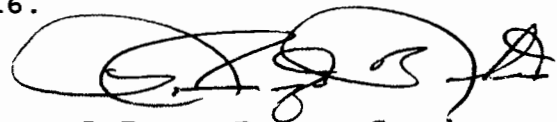
- E. The Office of Management and Budget has provided its budget impact analysis concerning the grant application requested herein. See Exhibit "C."
- F. It is in the best interests of the Navajo Nation to approve the Supplemental Appropriation requested herein.

Section Four. Approving Supplemental Appropriation

- A. The Navajo Nation Council, having received certification by the Office of the Controller of the availability of certain funds from the Unreserved, Undesignated Fund Balance (UUFB) for supplemental appropriation, hereby approves the supplemental appropriation of \$148,325.00 for Phoenix Indian Center, Inc. for providing services to Navajo people in Maricopa county as further described in budget documents attached in Exhibit "A."
- B. This supplemental appropriation shall be from that amount of funds that exceeds the minimum fund balance of the UUFB.

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 18 in favor and 0 opposed, this 21st day of July 2016.



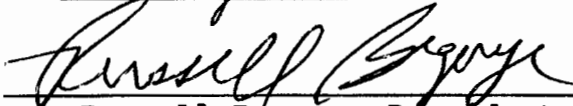
LoRenzo Bates, Speaker
Navajo Nation Council

July 28 2016
Date

Motion: Honorable Seth Damon
Second: Honorable Nathaniel Brown

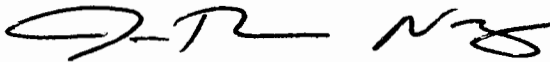
ACTION BY THE NAVAJO NATION PRESIDENT:

1. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. §1005 (C) (10), on this 5th day of August 2016.



Russell Begaye, President
Navajo Nation

2. I hereby veto the foregoing legislation, pursuant to 2 N.N.C. §1005 (C) (11), this _____ day of _____ 2016 for the reason(s) expressed in the attached letter to the Speaker.



Russell Begaye, President
Navajo Nation

3. I hereby exercise line item veto pursuant to the 2010, certified Initiative, over the supplemental appropriations approved herein by the Navajo Nation Council; on this _____ day of _____, 2016.

Russell Begaye, President
Navajo Nation

NAVAJO NATION

RCS# 537

Summer Session

7/21/2016

11:51:15 AM

Amd# to Amd#

Legislation No. 0161-16

PASSED

MOT Damon

Supp funing for Phoenix Indian

SEC Brown

Center \$148,325.00

Yea : 18

Nay : 0

Not Voting : 3

Yea : 18

Begay, K
BeGaye, N
Bennett
Brown
Chee

Crotty
Damon
Daniels
Filfred
Hale

Jack
Perry
Phelps
Shepherd

Slim
Smith
Tsosie
Yazzie

Nay : 0

Not Voting : 3

Bates
Begay, NM

Pete

Tso

Witherspoon

The Navajo Nation Grant Application



Name of Applicant: Phoenix Indian Center, Inc.		Telephone No.: 602-264-6768
Mailing Address: 4520 N. Central Ave., Suite 250; Phoenix, AZ 85012		Email: phibbeler@phxindcenter.org
Physical Address: Same		IRS TIN/EIN No: 86-6006566
NN Grant Request Amount: \$148,325.00	Grant Start Date: 3/1/2016	Grant End Date: 2/28/2017

Brief Description of the Organization or Entity Requesting the Grant:

This project funds Navajo Language & Culture classes, seminars & informational sessions for Navajo people in the Maricopa County area. It also provides for social services which includes case management & skill building classes specifically for Navajo people. A portion of the funding is for staff who provide the described services including interpreting services.

PROBLEMS/NEEDS STATEMENT

Briefly describe the problems/needs identified based on relevant and collected data. The statement should be brief, clear and concise, including population (Navajo) to be served and location of the population.

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (356,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban-living American Indian population in Maricopa County (off reservation) was 127,384 with 30% (38,209) being Navajo and a young population with 46.4% being under the age of 24. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community events. Characteristics of our customer base show that 28% are either part-time or full-time employed, 75% of these households report less than \$10,000 income per year and 61% of these households have 3 children. Of these, depending upon which service being accessed, up to 65% are Navajo. Many of these individuals report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language or significant cultural knowledge. They continue to seek opportunities to learn their heritage language, culture, traditions and stories, as well as access support services needed by low income families, thus availing themselves of all the services provided by the Phoenix Indian Center.

METHODOLOGY

Briefly describe the approaches, services, and/or ways the problems or needs which will be addressed with the grant.

The problems and objectives discussed above are addressed through two different approaches. First, a social service model providing case management navigation (brokering referrals for other partner agency services), skill building classes and direct customer services - some of which are provided by various PIC programs. These necessary methods of service delivery have proven results and are crucial due to the number of lower income clients who reside in the Phoenix-Metro area and have difficulty maneuvering these needed services in a large metropolitan area. Moreover, these types of services are not offered in a culturally relevant manner, thus customers continue to seek them at the Phoenix Indian Center. Secondly, culture and language classes offered effectively address the need to grow more Dine speakers and gain knowledge of cultural practices. These classes are offered on a semester basis and varied throughout the week on different days, times and locations. Special cultural events using Navajo experts to share culture with the Navajo people residing in the Phoenix Metro area is also important. A new, upcoming feature will include a distance online learning/webinar approach for customers who cannot attend in person classes. With the Phoenix Valley being a large area, we intend to reach a larger audience through using technology, by pilot testing online classes. Class offerings throughout each year include Adult Beginning Navajo Language, Adult Intermediate Navajo Language, Navajo Literacy, Intergenerational Language and Culture, Children's Navajo Language, Dine Culture, Navajo Singing Language Class and Navajo Weaving classes. This is the vehicle chosen to provide education in language and culture to the urban Navajo population, thus growing the Dine knowledge.

To the best of my knowledge and belief, all data in this application are true and correct. The document has been duly authorized by the governing body of the applicant and the applicant will comply with the Navajo Nation terms and conditions if the grant is awarded.

Signature of Authorized Representative

Date Signed

Patricia K. Hibbeler

CEO

Type Name of Authorized Representative

Title

THE NAVAJO NATION SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: 707000 **Program Title:** Phoenix Indian Center, Inc - Navajo Language & Culture Program

Division/Branch: Social Services

Amount Requested: \$148,325.00

Phone No.: 602-264-6768

Prepared By: Frank LaFave

Email Address: flafave@phxindcenter.org

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (356,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban-living American Indian population in Maricopa County (off reservation) was 127,364 with 30% (38,209) being Navajo. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community outreach events. Characteristics of our customer base show that 28% are either part-time or full-time employed, 75% of these households report less than \$10,000 income per year and 61% of these households have at least 3 children. 6 of every 10 clients to the Phoenix Indian Center identify themselves as Navajo tribal members. It is evident that many Navajo people relocate to the Phoenix area for various reasons including employment and/or to pursue higher education. Currently, many Navajo members who are no longer surrounded by their language report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language and have limited cultural knowledge. Since 2005 to date, 5,158 students participated in Navajo language and culture classes while a total of 12,133 people attended Seasonal Storytelling events and presentations. The first areas this funding will provide are opportunities to learn their heritage language, culture, and traditions which can promote self identity and self sufficiency.

Additionally, many Navajo families with children are low income, risk eviction, homelessness, having their utilities disconnected, stranded without transportation and are in dire need of support services. Since 2005 to date 25,810 Navajo families have received services ranging from intake needs assessment, work force, job readiness training, computer resources, crisis intervention, navigation services, food box referrals and Navajo school clothing assistance. Navajo families with children may have difficulty maneuvering needed services in a large metropolitan area and more so, these types of services are not offered in a culturally relevant manner, thus customers continue to seek them at the Phoenix Indian Center. The second area this funding will provide are direct services to ensure that Navajo children in low-income families live in safe, habitable environments and to prevent Navajo children from being exposed to potentially dangerous conditions caused by homelessness, utility disconnection, or other stressors that could jeopardize their safety. The intent of the assistance is to resolve the short-term crises (i.e., eviction, disconnection, lack of food, etc.) of low income Navajo families with children.

PART III. SCOPE OF WORK/METHODOLOGY

The problems and objectives discussed above are addressed through two different approaches. First, Navajo language and culture classes will be offered to address the need to grow more Dine speakers and gain knowledge and preservation of cultural practices. Navajo language and culture classes will be offered on a semester basis and varied throughout the week on different days, times and locations. A new, upcoming feature will include a distance online learning/webinar approach for customers who cannot attend in person classes. With the Phoenix Valley being a large area, we intend to reach a larger audience through using technology, by pilot testing online classes. Class offerings to date have included Adult Beginning Navajo Language, Adult Intermediate Navajo Language, Navajo Literacy, Intergenerational Language and Culture, Children's Navajo Language, Dine Culture, Navajo Singing Language Class and Navajo Weaving. Additionally, special cultural story telling events using Navajo experts to share cultural teachings with the Navajo people residing in the Phoenix Metro will be offered. This is the avenue chosen to provide education in language and culture to the urban Navajo population, thus preserving and growing the Dine knowledge.

Secondly, a social service model providing case management navigation (brokering referrals for other partner agency services), skill building/prevention classes (some of which are provided by various PIC programs) and direct emergency assistance services will be used. The emergency assistance must relate to the welfare of low-income Navajo families with children who are in crisis situations and will provide rental/mortgage, utility, and transportation assistance adhering to the following guidelines. Must have at least one child under the age of eighteen (18) that is deprived of parental support and care due to the absence, death, disability, or unemployment/underemployment of (a) legal parent(s); PIC will also serve two-parent families due to unemployment/underemployment, Meet income and asset standards; Be and enrolled member of the Navajo Nation and live within Maricopa county.

PART IV. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.

REVIEWED BY: Division Director's Signature / Date

RECOMMEND APPROVAL: Branch Chief's Signature / Date

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

FY 16

Page 3 of 16

PART I. Business Unit No.: <u>707000</u>		Program Title: <u>Phoenix Indian Center, Inc.</u>		Division/Branch: _____	
Prepared By: <u>Patricia K. Hibbs</u>		Phone No.: <u>602-264-8768</u>		Email Address: <u>pkhibbs@phoenixcenter.org</u>	

PART II. FUNDING SOURCE(S)			PART III. BUDGET SUMMARY			PART IV. POSITIONS AND VEHICLES	
Fiscal Year Term	Amount	% of Total	Fund Type Code	NINC Approved Original Budget	Proposed Budget	Difference (Column B - A)	
US Department of Labor	\$1,161,000.00	53%	2001 Personnel Expenses	\$91,674.00	\$69,870.00	-\$22,004.00	
AZ Governor's Office	\$300,000.00	14%	3000 Travel Expenses	\$3,000.00	\$5,520.00	\$2,520.00	
Mercy Maricopa Health	\$182,634.00	8%	3500 Meeting Expenses	\$0.00	\$0.00	\$0.00	
Valley of The Sun United Way	\$105,693.00	5%	4000 Supplies	\$1,200.00	\$4,100.00	\$2,900.00	
AZ Department of Education	\$83,708.00	3%	5000 Lease and Rental	\$18,000.00	\$18,000.00	\$0.00	
Other (Donations, fundraising etc.)	\$244,722.00	11%	5500 Communications and Utilities	\$80.00	\$200.00	\$120.00	
Navajo Nation UUFJ Funds	\$148,325.00	7%	6000 Repairs and Maintenance	\$0.00	\$0.00	\$0.00	
			6500 Contractual Services	\$14,000.00	\$14,000.00	\$0.00	
			7000 Special Transactions	\$1,500.00	\$1,200.00	-\$300.00	
			8000 Public Assistance	\$0.00	\$15,180.00	\$15,180.00	
			9000 Capital Outlay	\$0.00	\$0.00	\$0.00	
			9500 Matching Funds	\$0.00	\$0.00	\$0.00	
			9500 Indirect Cost	\$18,671.00	\$20,455.00	\$1,584.00	
TOTAL:	\$2,206,060.00	100%	TOTAL	\$148,325.00	\$148,325.00	\$0.00	

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.	
Submitted By: <u>Patricia K. Hibbs</u> Date: <u>01/28/16</u>	Approved By: <u>Dr. Mary</u> Date: <u>2/11/16</u>

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

FY 16

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PART I. PROGRAM INFORMATION:																																					
Business Unit No.:	<u>707000</u>	Program Name/Title:	<u>Phoenix Indian Center, Inc.</u>																																		
PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:																																					
PART III. PROGRAM PERFORMANCE CRITERIA:																																					
1. Program Performance Area:																																					
Number of students completing Navajo language & culture classes																																					
Goal Statement:																																					
Educate more urban Navajo Tribal members in Navajo language & understanding of culture																																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th colspan="2">1st QTR</th> <th colspan="2">2nd QTR</th> <th colspan="2">3rd QTR</th> <th colspan="2">4th QTR</th> </tr> <tr> <td>Goal</td> <td>Actual</td> <td>Goal</td> <td>Actual</td> <td>Goal</td> <td>Actual</td> <td>Goal</td> <td>Actual</td> </tr> <tr> <td>100</td> <td></td> <td>100</td> <td></td> <td>100</td> <td></td> <td>100</td> <td></td> </tr> </table>														1st QTR		2nd QTR		3rd QTR		4th QTR		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual	100		100		100		100	
1st QTR		2nd QTR		3rd QTR		4th QTR																															
Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual																														
100		100		100		100																															
2. Program Performance Area:																																					
Number of participants in Seasonal Stories and Culture Nights																																					
Goal Statement:																																					
Share knowledge of Navajo traditional stories and traditions including performances																																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>300</td> <td></td> <td>300</td> <td></td> <td>300</td> <td></td> <td>300</td> <td></td> </tr> </table>														300		300		300		300																	
300		300		300		300																															
3. Program Performance Area:																																					
Number of persons/families receiving case management/Intake services.																																					
Goal Statement:																																					
Promote urban self-sufficiency and living skill improvement																																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>400</td> <td></td> <td>400</td> <td></td> <td>400</td> <td></td> <td>400</td> <td></td> </tr> </table>														400		400		400		400																	
400		400		400		400																															
4. Program Performance Area:																																					
Number of eligible Navajo families receiving financial emergency assistance.																																					
Goal Statement:																																					
Promote urban self-sufficiency and stabilize Navajo families in crisis																																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>5</td> <td></td> <td>5</td> <td></td> <td>5</td> <td></td> <td>5</td> <td></td> </tr> </table>														5		5		5		5																	
5		5		5		5																															
5. Program Performance Area:																																					
Number of persons/families receiving skill building, substance abuse and suicide prevention.																																					
Goal Statement:																																					
Improve coping skills for living in a large city environment through prevention services.																																					
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>30</td> <td></td> <td>30</td> <td></td> <td>30</td> <td></td> <td>30</td> <td></td> </tr> </table>														30		30		30		30																	
30		30		30		30																															
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.																																					
<table border="0" style="width:100%;"> <tr> <td style="width:50%; vertical-align: bottom;"> Patricia K. Hibbele Program Manager's Printed Name and Signature/Date </td> <td style="width:50%; vertical-align: bottom;"> Division Director/Branch Chief's Printed Name and Signature / Date </td> </tr> </table>														Patricia K. Hibbele Program Manager's Printed Name and Signature/Date	 Division Director/Branch Chief's Printed Name and Signature / Date																						
Patricia K. Hibbele Program Manager's Printed Name and Signature/Date	 Division Director/Branch Chief's Printed Name and Signature / Date																																				

THE NAVAJO NATION

LISTING OF POSITIONS AND ASSIGNMENTS BY BUSINESS UNITS

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FY 16

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WRKSITE CODE	FY 2014 ACTUAL		FY 2015 PROPOSED	
						Q/S	SALARY	HOURS	BUDGET
2130	N/A	3674	Instructor, Language & Culture	N/A	N/A		\$49,615	1040	\$24,807.00
2130	N/A	3674/ 3705	Instructor, Language & Culture & Socialworker	N/A	N/A		\$58,349	1150	\$32,243.00
Total									\$57,050.00

FY 16

THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION

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PART I. PROGRAM INFORMATION:			
Program Name/Title:	Phoenix Indian Center Inc.	Business Unit No.:	707000
PART II. DETAILED BUDGET:			
(A) Object Code (LOD 8)	(B) Object Code Description and Justification	(C) Total by DETAILED Object Code	(D) Total by MAJOR Object Code
	2001 Personnel Expense		89,870
2110	Regular 2130 Person-Regular Part Time: 1040 hrs @ \$23.8520 per hour. Avg 20 hrs per week 2130 Person-Regular Part Time: 1150 hrs @ \$28.0374 per hour. Avg. 22 hrs per week (rounded)	57,050	
2900	Fringe Benefits 2912 FICA @ \$3,537: \$57,050 x 0.062% = \$3,537 2914 Medicare @ \$827: \$57,050 x 0.0145% = \$824 2921 Medical Insurance @ \$4,584: \$57,050 x 8% = \$4,584 2926 Life Insurance @ \$380: \$57,050 x 0.0063% = \$380 2940 Retirement @ \$2,282: \$57,050 x 4% = \$2,282 2961 Unemployment @ \$300: \$57,050 x 0.0053% = \$300 2960 Worker's Compensation @ \$750: \$57,050 x 1.314% = \$750	12,820	
3230	3200 Travel Expenses Personal Travel 3210 Vehicle rental. Truck rental for Navajo Clothing = \$1,250: One time rental fee based on historical costs including fuel costs 3280 Mileage: 3,800 miles/year (300 miles per month) x \$0.575/mile = \$2,070 3240 Per diem: 8 days @ \$50/day = \$400: Costs associated with conducting business with the Nation at Window Rock, La. pick up Navajo clothing, attend requested meetings, etc. 3250 Lodging: 4 days @ \$100/day = \$400: Costs associated with conducting business with the Nation at Window Rock, La. pick up Navajo clothing, attend requested meetings, etc. 3290 Cost of fuel, service, etc. for PIC vehicle @ \$150/month x 10 months = \$1,500: This cost is in lieu of POV vehicle change which is 3 x higher than using the PIC vehicle. The cost vary each year depending on use. This estimate is based on historical cost and use patterns.	5,520	5,520
TOTAL		75,190	75,190

NINOMB-BF4

THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION

FY 16

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PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: _____	707000
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOO 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
4000 Supplies			4,100
4120 Office Supplies			
	4130 General Office Supplies: Supplies such as pens, paper, files, etc. at the rate of \$30 per month x 10 months.	300	
4410 Operating Supplies			
	4420 General Operating Supplies: Estimated cost for new program materials excluding weaving looms for student use including books, cultural materials and supplies, etc. at \$3,500 for the year.	3,800	
	4450 Postage, Courier, Shipping: Estimated cost of \$35/quarter x 4 quarters = \$140		
	4530 Printing, Binding Photography: Estimated cost of \$40/quarter x 4 quarters = \$160		
5110 Building			
	5000 Leases & Rental	18,000	
	5120 Office Space: Space costs are based on 900 square feet of office space for two offices and one classroom at \$20.00/sq. ft. x 900 sq ft per annum or \$1,500 per month. POC occupies over 16,000 square feet of space at a cost of \$21,162 per month. All programs pay for their pro-rata share of the space costs.	18,000	
5570 Internet			
	5500 Communication & Utilities	200	
	5580 DSL: Payment for allocated DSL services for internet provider services based on two computers at rate of \$16.66 per month x 12 months.		
TOTAL		22,300	27,300

**THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION**

FY 16

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PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: <u>707000</u>	
PART II. DETAILED BUDGET:			
(A) Object Code (L.O.B.)	(B) Object Code Description and Justification	(C) Total by DETAILED Object Code	(D) Total by MAJOR Object Code
6500 Contractual Services			14,000
6520 Consulting		12,000	
6530 Fees: Consulting fee for cultural consultants for six cultural events per year at \$1,000 per event, and for consulting/instruction fees for 2 consultants for Navajo singing and weaving classes during the year at \$1,000 per semester per class x 3 classes x 2. Balance (\$400.00) is for unknown/unbudgeted costs that may occur during the year.		1,000	
9600 Audit			
9630 Fees: This is the allocated cost for our annual audit as required by our contractors including the Navajo Nation. Cost is based on historical cost trends.			
9690 Subcontracted Services		600	
9690 Subcontracted Services-Payroll processing: Estimated cost of \$25.00 per month x 12 months for pro rata share of ADP Payroll System cost.			
9690 Subcontracted Services-Network services: Estimated cost of \$75.00 per quarter for pro rata share of cost for antivirus protection and network maintenance. Based on 2 computers			
7000 Special Transactions			1,200
7710 Insurance Premiums		1,200	
7720 Liability & property insurance: Allocated cost for liability insurance for PIC and all programs. Coverage is for \$2M liability and a Multi-Peril Commercial policy. Allocation is budget based.			
8020 Public Assistance			15,180
8060 Emergency Assistance: To meet emergency housing, utility, transportation and other emergency needs. It is estimated that PIC will provide an average of \$800.00 per client for rental assistance for at least 20 clients (cost = \$12,000 and the balance (cost = \$3,180) will be used for other emergency needs, i.e. utilities, transportation, etc. We receive FEMA/ESP emergency rental funds and will use those guidelines for rental assistance.		15,180	
TOTAL		30,380	30,380

THE NAVAJO NATION
DETAILED LINE ITEM BUDGET AND JUSTIFICATION

FY 16

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PART I. PROGRAM INFORMATION:			
Program Name/Title: _____		Business Unit No.: <u>707000</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
9600 Matching & Indirect Costs			20,455
9710 Indirect Cost Allocation		20,455	
	<p>9720 Indirect Cost Charged: Indirect cost rate is 16% of total direct costs (\$127,870). Our current IDC rate is 16.5% of total direct costs as negotiated with the US Department of Labor which was received after this budget was developed. Therefore, PIC is recovering less than our allowed IDC rate.</p> <p>Total direct costs were rounded up for computation of Indirect Costs</p>		
TOTAL		20,455	20,455

Q / A

NNOMB-BFS

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center, Inc.</u> K #: _____ Contract/Grant No.: <u>U.S. Department of Labor</u> Prepared by: <u>Particia K. Hibbeler</u>				
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT U.S. Department of Labor: This grant is to provide employment & training services to approximately 5,000 Native Americans of which 3,300 will be Navajo.				
PART III. BUDGET INFORMATION:				
(A) Major Object Code and Description	(B) Current Award Fiscal Year <u>18</u>	(C) Anticipated Funding Fiscal Year <u>18</u>	(D) Difference Column (C) - (B)	
2001 Personnel Expenses	\$513,487	\$556,168	\$42,679	
3000 Travel Expenses	\$8,600	\$10,400	\$1,800	
3500 Meeting Expenses				
4000 Supplies	\$8,680	\$7,000	\$320	
5000 Lease and Rental	\$160,000	\$160,000		
5500 Communication and Utilities	\$3,000	\$3,000		
6000 Repairs and Maintenance				
6500 Contractual Services	\$10,935	\$28,000	\$18,065	
7000 Special Transaction	\$206,420	\$204,000	\$2,420	
8000 Assistance	\$22,200	\$27,000	\$4,800	
9000 Capital Outlay				
9510 Matching - Cash				
9610 Matching - In - Kind				
9710 Indirect Cost (Overhead) Allocation	\$108,924	\$164,434	\$57,510	
TOTALS:	\$1,038,246	\$1,181,000	\$122,754	
PART IV. FTEs/MATCH FUNDS: No. of Positions/ FTEs: <u>13</u> <u>13</u> MATCHING FUND REQUIRED: Required GF Cash Match: <u>None</u> <u>None</u> Required GF In - Kind Match: <u>None</u> <u>None</u> CONCURRING BY: <u>N/A</u> <u>N/A</u>				
PART V. ACKNOWLEDGEMENT: Contracting Officer's Signature / Date: _____ Submitted by (print): <u>Franklin W. LaFave, Jr.</u> Approved by (print): <u>Particia K. Hibbeler</u> Signature/Data: <u>[Signature]</u> <u>1-26-16</u> Signature/Data: <u>[Signature]</u>				

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 16

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PART I. PROGRAM INFORMATION:				
Program Name/Title: <u>Phoenix Indian Center, Inc.</u>		K #: _____		
Contract/Grant No.: <u>AZ Governor's Office-Parent's Commission</u>		Prepared by: <u>Patricia K. Hibbeler</u>		
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT				
AZ Governor's Office: This grant is to train parents and parent coalitions to prevent/reduce substance abuse among Native American youth in Phoenix, Flagstaff & Tucson.				
PART III. BUDGET INFORMATION:				
(A) Major Object Code and Description		(B) Current Award Fiscal Year <u>16</u>	(C) Anticipated Funding Fiscal Year <u>16</u>	(D) Difference Columns (C) - (B)
2001 Personnel Expenses		\$190,428	\$180,808	-\$9,622
3000 Travel Expenses		\$12,695	\$9,481	-\$3,214
3500 Meeting Expenses				
4000 Supplies		\$5,623	\$7,503	\$1,880
5000 Lease and Rental		\$10,800	\$21,400	\$10,600
5500 Communication and Utilities		\$180	\$360	\$180
6000 Repairs and Maintenance				
6500 Contractual Services		\$53,000	\$53,400	\$400
7000 Special Transaction				
8000 Assistance				
9000 Capital Outlay				
9610 Matching - Cash				
9610 Matching - In - Kind				
9710 Indirect Cost (Overhead) Allocation		\$27,274	\$27,050	-\$224
TOTALS:		\$300,000	\$300,000	
PART IV. FTE/MATCH FUNDS:				
MATCHING FUND REQUIRED:		No. of Positions/ FTEs:	2	4
CONCURRED BY:		Required GF Cash Match:	None	None
		Required GF In - Kind Match:	None	None
		Required GF % Match:	N/A	N/A
PART V. ACKNOWLEDGEMENT:				
Contracting Officer's Signature / Date:		Approved by (print): <u>Patricia K. Hibbeler</u>		
Submitted by (print): <u>Franklin W. LaFave, Jr.</u>		Signature/Date: <u>Patricia K. Hibbeler 12/28/16</u>		

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 16

Page 13 of 16

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center, Inc.</u> K #: Contract/Grant No.: <u>AZ Dept. of Health: Mercy Maricopa Health</u> Prepared by: <u>Patricia K. Hibbeler</u>				
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT AZ Department of Health: Mercy Maricopa Health Services: This grant is to provide youth prevention services to eliminate/reduce substance abuse among Native American youth.				
PART III. BUDGET INFORMATION:				
(A) Major Object Code and Description	(B) Current Award Fiscal Year <u>16</u>	(C) Anticipated Funding Fiscal Year <u>16</u>	(D) Difference Column (C) - (B)	
2001 Personnel Expenses	\$71,610	\$113,671	\$42,061	
3000 Travel Expenses	\$968	\$2,133	\$1,165	
3500 Meeting Expenses	\$8,334		-\$8,334	
4000 Supplies	\$2,400	\$5,500	\$3,100	
5000 Lease and Rental	\$7,200	\$10,175	\$2,975	
5500 Communication and Utilities	\$480	\$430	-\$50	
6000 Repairs and Maintenance			0	
6500 Contractual Services	\$15,590	\$21,150	\$5,560	
7000 Special Transaction	\$8,723	\$2,710	-\$6,013	
8000 Assistance				
9000 Capital Outlay		\$2,400	\$2,400	
9510 Matching - Cash				
9610 Matching - In - Kind				
9710 Indirect Cost (Overhead) Allocation	\$16,033	\$24,465	\$8,432	
TOTALS:	\$131,338	\$182,634	\$51,296	
PART IV. FTEs/MATCH FUNDS:				
No. of Positions/ FTEs:	1	2	1,000	
Required GF Cash Match:	None	None		
Required GF In - Kind Match:	None	None		
Required GF % Match:	N/A	N/A		
PART V. ACKNOWLEDGEMENT:				
Contracting Officer's Signature / Date: <u>Franklin W. LaFave, Jr.</u>		Approved by (print): <u>Patricia K. Hibbeler</u>		
Submitted by (print): <u>Franklin W. LaFave, Jr.</u>		Signature/Date: <u>Patricia K. Hibbeler 12/26/16</u>		

FY 16THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATIONPage 14 of 16

PART I. PROGRAM INFORMATION:				
Program Name/Title: <u>Phoenix Indian Center, Inc.</u>		K #: _____		
Contract/Grant No.: <u>Valley of the Sun United Way</u>		Prepared by: <u>Patricia K. Hibbeler</u>		
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT Valley of the Sun United Way: This grant is to provide prevention services to youth, provide Case Management services and to supplement our Adult Education program.				
PART III. BUDGET INFORMATION:				
(A) Major Object Code and Description		(B) Current Award Fiscal Year <u>16</u>	(C) Anticipated Funding Fiscal Year <u>18</u>	(D) Difference Column (C) - (B)
2001	Personnel Expenses	\$82,753	\$81,141	-\$1,612
3000	Travel Expenses	\$1,400	\$1,580	\$180
3500	Meeting Expenses			
4000	Supplies		\$1,180	\$1,180
5000	Lease and Rental	\$8,100	\$10,200	\$2,100
5500	Communication and Utilities	\$300	\$580	\$280
6000	Repairs and Maintenance	\$920		-\$920
6500	Contractual Services	\$1,970	\$600	-\$1,370
7000	Special Transaction	\$2,885	\$2,042	-\$843
8000	Assistance	\$6,325	\$8,400	\$2,075
9000	Capital Outlay	\$1,240		-\$1,240
9610	Matching - Cash			
9610	Matching - In - Kind			
9710	Indirect Cost (Overhead) Allocation			
TOTALS:		\$105,693	\$105,693	
PART IV. FTE/MATCH FUNDS:				
MATCHING FUND REQUIRED:		No. of Positions/ FTEs:	3	
CONCURRED BY:		Required GF Cash Match:	None	
		Required GF In - Kind Match:	None	
		Required GF % Match:	N/A	
Contracting Officer's Signature / Date: _____				
PART V. ACKNOWLEDGEMENT:				
Submitted by (print): <u>Franklin W. LaFave, Jr.</u>		Approved by (print): <u>Patricia K. Hibbeler</u>		
Signature/Date: <u>[Signature] 1-26-16</u>		Signature/Date: <u>[Signature] 1-28-16</u>		

EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center, Inc.</u> Contract/Grant No.: <u>Arizona Department of Education</u> Funding Period: <u>July 1, 2015 To June 30, 2016</u> K #: <u> </u> Prepared by: <u>Patricia K. Hibbeier</u>			
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT AZ Department of Education: This grant is to provide GED educational services to Native American students resulting in the students receiving their GED's.			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year <u>16</u>	(C) Anticipated Funding Fiscal Year <u>16</u>	(D) Difference Columns (C) - (B)
2001 Personnel Expenses			
3000 Travel Expenses	\$54,801	\$52,779	(2,122.00)
3500 Meeting Expenses			-
4000 Supplies	\$1,000		(1,000.00)
5000 Lease and Rental		\$2,400	2,400.00
5500 Communication and Utilities			-
6000 Repairs and Maintenance			-
6500 Contractual Services	\$2,500	\$2,258	(244.00)
7000 Special Transaction	\$985	\$1,750	(985.00)
8000 Assistance			1,750.00
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation	\$4,320	\$4,521	201.00
TOTALS:	63,706	63,706	-
PART IV. FTEs/MATCH FUNDS:			
No. of Positions/ FTEs:	2	2	-
MATCHING FUND REQUIRED:			
Required GF Cash Match:	None	None	
Required GF In - Kind Match:	None	None	
Required GF % Match:	N/A	N/A	
CONCURRED BY:			
Contracting Officer's Signature / Date: <u>Franklin W. LaFave, Jr.</u> 1-26-16			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): <u>Franklin W. LaFave, Jr.</u> Approved by (print): <u>Patricia K. Hibbeier</u> Signature/Date: <u>[Signature]</u> 1-26-16 Signature/Date: <u>[Signature]</u> 01-28-16			

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 16

Page 16 of 16

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center, Inc.</u> Contract/Grant No.: <u> </u> Fundraising Activities: <u> </u>				Funding Period: <u>July 1, 2015 To June 30, 2016</u> K #: <u> </u> Prepared by: <u>Patricia K. Hibbele</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT Phoenix Indian Center has a small fundraising event each year to supplement administrative & program funds. We also receive small unrestricted donations throughout the year.					
PART III. BUDGET INFORMATION:					
(A) Major Object Code and Description		(B) Current Award Fiscal Year <u>15</u>	(C) Anticipated Funding Fiscal Year <u>16</u>	(D) Difference Columns (C) - (B)	
2001	Personnel Expenses	51,394	68,922	17,528.00	
3000	Travel Expenses				
3500	Meeting Expenses				
4000	Supplies				
5000	Lease and Rental	7,200	7,200		
6000	Communication and Utilities				
6500	Repairs and Maintenance				
6800	Contractual Services	105,280	142,300	37,010.00	
7000	Special Transaction	1,600	1,600		
8000	Assistance				
8000	Capital Outlay				
9510	Matching - Cash				
9610	Matching - In - Kind				
9710	Indirect Cost (Overhead) Allocation	24,689	24,700	11.00	
TOTALS:		190,173	244,722	54,549.00	
PART IV. FTE/MATCH FUNDS: No. of Positions/ FTEs: <u>3</u> Required GF Cash Match: <u>None</u> Required GF In - Kind Match: <u>None</u> Required GF % Match: <u>N/A</u>					
PART V. ACKNOWLEDGEMENT: Contracting Officer's Signature / Date: <u>Franklin W. LaFave, Jr. 1-26-16</u> Submitted by (print): <u>Franklin W. LaFave, Jr.</u> Signature/Date: <u>Patricia K. Hibbele 01/28/16</u> Approved by (print): <u>Patricia K. Hibbele</u> Signature/Date: <u>Patricia K. Hibbele 01/28/16</u>					

**NEGOTIATED INDIRECT COST RATE AGREEMENT
NONPROFIT ORGANIZATION**

ORGANIZATION:
Phoenix Indian Center, Inc.
4520 N. Central Avenue, Ste. 250
Phoenix, AZ 85012
EIN #86-6006566

DATE: August 4, 2015
FILE REF: This replaces the
Agreement dated: August 19, 2014

The indirect cost rate(s) contained herein are for use on grants, contracts, and other agreements with the Federal Government, to which OMB Circular No. A-122 applies, subject to the conditions in Section II, A, below. The rate(s) were negotiated by the Phoenix Indian Center, Inc., and the U.S. Department of Labor in accordance with the authority contained in Attachment A, Section E, of the Circular.

Indirect rates for fiscal years that begin on or after 12/26/2014 are subject to 2 CFR Part 200, Subpart E, in accordance with the authority contained in 2 CFR, Part 200, Appendix VII, D.1.

SECTION I: RATES

TYPE	EFFECTIVE PERIOD		RATE*	LOCATION	APPLICABLE TO
	FROM	TO			
Final	07/01/12	06/30/13	15.30%	All	All Programs
Final	07/01/13	06/30/14	16.58%	All	All Programs
Provisional	07/01/14	06/30/16	16.50%	All	All Programs

(SEE SPECIAL REMARKS)

***BASE:** Total direct costs excluding Capital Campaign payments, depreciation, capital expenditures, flow through funds, subawards, and participant allowances/support costs.

TREATMENT OF FRINGE BENEFITS: Fringe benefits are specifically identified to each employee and are charged individually as direct costs. The directly claimed fringe benefits are listed in the Special Remarks Section of this agreement.

TREATMENT OF PAID ABSENCES: Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims for the costs of these paid absences are not made

SECTION II: GENERAL

A. LIMITATIONS: Use of the rate(s) contained in this Agreement is subject to any statutory or administrative limitations and is applicable to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rate(s) agreed to herein is predicated upon the conditions:

- (1) that no costs other than those incurred by the grantee/contractor or allocated to the grantee/contractor via an approved central service cost allocation plan were included in its indirect cost pool as finally accepted and that such incurred costs are legal obligations of the grantee/contractor and are allowable under the governing cost principles,
- (2) that the same costs that have been treated as indirect costs have not been claimed as direct costs,
- (3) that similar types of costs have been accorded consistent treatment, and
- (4) that the information provided by the grantee/contractor which was used as a basis for acceptance of the rate(s) agreed to herein is not subsequently found to be materially inaccurate.

The elements of indirect cost and the type of distribution base(s) used in computing provisional rates are subject to revision when final rates are negotiated. Also, the rates cited in this Agreement are subject to audit.

B. CHANGES: The grantee/contractor is required to provide written notification to the indirect cost negotiator prior to implementing any changes which could affect the applicability of the approved rates. Changes in the indirect cost recovery plan, which may result from changes such as the method of accounting or organizational structure, require the prior written approval of the Division of Cost Determination (DCD). Failure to obtain such prior written approval may result in cost disallowances.

C. NOTIFICATION TO FEDERAL AGENCIES: A copy of this document is to be provided by this organization to other Federal funding sources as a means of notifying them of the Agreement contained herein.

D. PROVISIONAL-FINAL RATES: The grantee/contractor must submit a proposal to establish a final rate within six months after their fiscal year end. Billings and charges to Federal awards must be adjusted if the final rate varies from the provisional rate. If the final rate is greater than the provisional rate and there are no funds available to cover the additional indirect costs, the organization may not recover all indirect costs. Conversely, if the final rate is less than the provisional rate, the organization will be required to pay back the difference to the funding agency.

Indirect costs allocable to a particular award or other cost objective may not be shifted to other Federal awards to overcome funding deficiencies, or to avoid restrictions imposed by law or by terms of the award.

E. SPECIAL REMARKS:

1. Indirect costs charged to Federal grants/contracts by means other than the rate(s) cited in this Agreement should be adjusted to the applicable rate(s) cited herein and be applied to the appropriate base to identify the proper amount of indirect costs allocable to the program.

2. Grants, contracts and other agreements providing for ceilings as to the indirect cost rate(s) or amount(s) which are indicated in Section I above, will be subject to the ceilings stipulated in the grant or contract agreements. The ceiling rate(s) or the rate(s) cited in this Agreement, whichever is lower, will be used to determine the maximum allowable indirect cost on the contract or grant agreement.

3. Administrative costs consist of all Direct and Indirect costs associated with the management of an organization's programs. Organizations should refer to their contracts/grants terms and specific program legislation for the applicable definition of "Administrative Costs" and any related limitations.

4. The indirect cost pool includes the salaries and fringe benefits for the following positions:

Executive Director	Financial Officer
Executive Assistant	

The pool also includes the following non personal service costs:

Professional Fees	Travel & Conferences	Occupancy Costs
Miscellaneous Expenses	Materials and Supplies	Other Operating Costs

5. Fringe benefits include FICA, health/dental/life insurance, unemployment compensation, workers' compensation, and retirement.

ACCEPTANCE

BY THE ORGANIZATION:

Phoenix Indian Center, Inc.

(Grantee)



(Signature)

Patricia K. Hibbeler

(Name)

Chief Executive Officer

(Title)

Date: 08.18.15

**BY THE COGNIZANT AGENCY ON BEHALF OF
THE FEDERAL GOVERNMENT:**

U.S. Department of Labor

(Agency)



(Signature)

Victor M. Lopez

(Name)

Chief, Division of Cost Determination

(Title)

Date: August 4, 2015

Negotiated By: Dr. James Turkvant

Telephone No.: (202) 693-4105

Turkvant.James.B@dol.gov



December 10, 2015

Terrelene Massey
Director, Division of Social Services
THE NAVAJO NATION
Post Office Box 4590
Window Rock, Arizona 86515



THE NAVAJO NATION
Division of Social Services
P.O. Box 4590
Window Rock, AZ 86515

Dear Ms. Massey:

Enclosed, please find a signed, completed FY 2016 Tribal Grant Application Packet for the Phoenix Indian Center, Inc.

We are honored for the opportunity to continue our work with the Navajo Nation in offering programming to our urban based Navajo customers. We look forward to another successful year of service.

If you have any questions, please do not hesitate to contact Frank LaFave, CFO or me at 602-264-6768.

Sincerely,

Patricia K. Hibbeler
Chief Executive Officer

Cc: Frank LaFave, Chief Finance Officer
Michelle Jones, Department of Social Services
Jolyana Begay-Kroupa, Phoenix Indian Center
File



THE NAVAJO NATION

**RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT**

MEMORANDUM:

TO : 2 NNC § 164 Reviewers
Delegates & 2 NNC '164 Reviewers
Navajo Nation Government

FROM : Robert Willie
Robert Willie, Accounting Manager
Office of the Controller

DATE : February 9, 2016

SUBJECT : 164 Review-005139-Phoenix Indian Center

The Office of the Controller has reviewed the above referenced document.

1. The balance of the UUFB is \$14,407,443 as of December 31, 2015. There are a number of supplemental requests which were considered at the Winter Navajo Nation Council session which if approved by the President will reduce this UUFB amount.
2. The requested amount is \$148,325.

If you should have any questions you can contact me at tribal extension X6125.

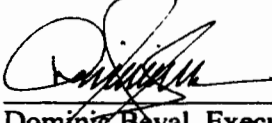


THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE PRESIDENT

Memorandum

TO : 164 Document Reviewers

FROM : 
Dominic Beyal, Executive Director
Office of Management & Budget

DATE : January 21, 2016

SUBJECT : Document # 005139, Supplemental Funding Request From the Navajo Nation UUFb by the Phoenix Indian Center in the Amount of \$187,094.



The Phoenix Indian Center (PIC) is submitting a supplemental funding proposal in the amount of \$187,094 and the Office of Management and Budget is required to review this proposal and the findings are as follows:

1. The Office of Management and Budget recommends the proposed budget be considered for a total amount of \$148,325 which is the normal amount the Phoenix Indian Center has been receiving in special revenue or general funds in prior fiscal years. The funds provided before Phoenix Indian Center started receiving general funds this past fiscal year was a special fund coming from a land exchange settlement involving the former Phoenix Indian School in the amount of \$148,325. The special revenue funds have not been available now for several years due to a dispute over the settlement payment. The dispute case is now going through the Court's for resolution and it will take some time to resolve it. In the meantime, the Phoenix Indian Center has been receiving general funds to replace the special funds that are not forthcoming. The land exchange payment court case is being handled by the Navajo Nation Department of Justice.
2. With it's share of the trust funds, \$148,325, the Navajo Nation established the Phoenix Urban Navajo Trust Fund with the Phoenix Indian Center as administrator and manager of the funds. PIC has received these trust funds for years, since 2002, and it was scheduled annually for 27 years.
3. Budget Form 2 that is being used is the incorrect form. The newer form requires signatures at the bottom of the page of the program or entity representative and the Division Director of the Navajo Nation Division to which this grantee is assigned which in this case would be the Division of Social Services.
4. Budget Form 4 (first page) in Personal Travel, object code 3250 has "Natio" which probably should be Nation with an "n" at the end of the word.
5. Budget Form 4 (second page) in Supplies, object code 4130 calculates to \$100 not \$300. This needs to be corrected.
6. Budget Form 4 (fifth page) in Matching and Indirect Cost, object code 9700 in column (A) should be 9710.

Page Two
Memo to 164 Document Reviewers
164 Review Doc. No. 005139
January 21, 2016

7. The last Performance Report for PIC was for 2014. The score was 2.50 indicating that goals/objectives were satisfactorily met. (scale: 0 – 3, 0 = no data/score; 1 = not meeting goals; 2 = meeting goals; and 3 = exceeding goals).

The above findings by the Office of Management and Budget need to be addressed as soon as possible or before the supplemental budget is presented to the oversight committee. Mr. Emmett Francis, phone 928-871-7648, with the Office of Management and Budget is available for any inquiries regarding this memorandum or for assistance with any part of the correction of the supposed supplemental budget.

xc: Terrelene Massey, Division Director/DSS
File



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE PRESIDENT



MEMORANDUM

TO: Honorable LoRenzo Bates, Speaker
Navajo Nation Council

FROM:


Russell Begaye, *President*
THE NAVAJO NATION

DATE: March 4, 2018

SUBJECT: Resolution No. CF-21-18: RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES, BUDGET AND FINANCE, NABIK'ÍYÁTI' AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF ONE HUNDRED FORTY-EIGHT THOUSAND THREE HUNDRED TWENTY FIVE DOLLARS (\$148,325.00) FOR PHOENIX INDIAN CENTER, BUSINESS UNIT NUMBER 119025

Pursuant to 2 N.N.C. 1005 (C)(10), action for Resolution No. CF-21-18 is being submitted to the Navajo Nation Council, through the Office of the Speaker within the ten (10) days requirement. Furthermore, the Navajo Nation President has line item veto authority for budget items within the annual Navajo Nation Comprehensive Budget or Supplemental Appropriations, which are not subject to override by the Navajo Nation Council. 2 N.N.C. 164 (A)(17).

After review of Resolution No. CF-21-18, I hereby exercise my line-veto to:

1. Section Three. Approving the Supplemental Appropriation from the Unreserved, Undesignated Fund Balance the amount of \$148,325.00 for Phoenix Indian Center, BU No. 119025.
2. EXHIBIT A. BUDGET FORM 1. Part III Budget Summary. 3500. 4000 Supplies (B) in the amount of 11,600; Lease and Rental (B) in the amount of 18,000; 5500

Communications and Utilities (B) in the amount of 300; 6500 Contractual Services (B) in the amount of 28,260; 7000 Special Transactions (B) in the amount of 1,500; 9500 Indirect Cost in the amount of 20,455.

- 3. EXHIBIT A. BUDGET FORM 4. 4000 Supplies in the amount of 11,600, 4120 General Office Supplies in the amount of 1,800, 4410 Operating Supplies in the amount of 9,800; 5110 Office Space in the amount of 18,000; 5570, 5500 Communications and Utilities 5600 Internet in the amount of 300; 6520, 6530 Fees in the amount of 28,280; 660, 5630 Audit in the amount of 2,500 and 3,300; 7710 Insurance Premiums in the amount of 1,500; 8020 Emergency Assistance in the amount of 23,750; 9710 Indirect Cost in the amount of 20,455.**

The justification and details for use of the President's line-item veto is set forth below.

The Office of the President and Vice President ("OPVP") fully supports the Phoenix Indian Center. The Center offers much support for our urban Navajos. As such I support an appropriation to the Center. However, I have also been informed by the Controller the Navajo Nation is facing a large and growing deficit, this upcoming 2019 budget. As the President of the Navajo Nation, I must protect to the best of my ability future governmental operations and continued services to our People. Therefore, I must exercise my line-item veto authority for some of the costs.

**RESOLUTION OF THE
NAVAJO NATION COUNCIL**

23RD NAVAJO NATION COUNCIL -- Fourth Year, 2018

AN ACTION

RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES, BUDGET AND FINANCE, NAA'BIK'ÍYÁTI', AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF ONE HUNDRED FORTY-EIGHT THOUSAND THREE HUNDRED TWENTY-FIVE DOLLARS (\$148,325.00) FOR PHOENIX INDIAN CENTER, BUSINESS UNIT NUMBER 119025

BE IT ENACTED:

Section One. Authority

- A. The Navajo Nation established the Health, Education and Human Services Committee as a Navajo Nation Council standing committee and as such gave the Committee oversight to oversee all health and social services related activities of the Navajo Nation and its tribal organizations, enterprises, relating to the delivery of health, environmental health and social services including research, planning and prevention. 2 N.N.C. §§ 400(A), 401(C)(2).
- B. The Navajo Nation established the Budget and Finance Committee (BFC) as a Navajo Nation Council standing committee and as such empowered BFC to review and recommend to the Navajo Nation Council the budgeting and management of all funds. 2 N.N.C. §§ 300 (A), 301 (B)(2).
- C. The Navajo Nation Council established the Naabik'íyáti' Committee as a Navajo Nation standing committee and as such proposed legislation that requires final action by the Navajo Nation Council shall be assigned to the Naabik'íyáti' Committee. 2 N.N.C. §§ 164 (A)(9), 700 (A).
- D. The Navajo Nation Council is the governing body of the Navajo Nation. 2 N.N.C. § 102 (A).
- E. The Title 12 Appropriations Act Supplemental Appropriation requirements include:
 - 1. When the Controller identifies additional sources of revenues above and beyond the initial or current revenue projections, supplemental appropriations may be allocated by the Navajo Nation Council. 12 N.N.C. § 820(L).

2. Supplemental appropriations made from non-recurring revenues shall only be made for non-recurring operations or purposes, as set forth at § 820(F). The Controller of the Navajo Nation shall be responsible for designating recurring and non-recurring revenues. 12 N.N.C. § 820(L).
3. All requests for annual operating funds and supplemental funds shall be submitted to the Office of Management and Budget ("OMB") for budget impact analysis. 12 N.N.C. § 820(M).

Section Two. Findings

- A. Phoenix Indian Center, Inc. is a nonprofit organization in Maricopa County (Arizona). Generally, the center assists American Indians in the urban community with employment, educational, cultural enrichment and community engagement services.
- B. Navajo people living in Maricopa County make up approximately 30% of the urban American Indian population.
- C. Phoenix Indian Center, Inc. is requesting from the Navajo Nation \$148,325.00 from the Unreserved, Undesignated Fund Balance (UUFB). Funding from the Navajo Nation will be used for social services for Navajo people at the center. The services will include Navajo language and culture classes, seminars and informational sessions. A portion of the funding will also be used for staff providing the services.
- D. The Grant Application and supplemental funding request forms are attached as **Exhibit A**.
- E. The Office of Management and Budget is provided notice of the funding request and is attached as **Exhibit D**, pursuant to 12 N.N.C. § 820(M).
- F. The Office of the Controller has provided a memorandum dated February 14, 2018 indicating the balance in the Unreserved, Undesignated Fund Balance as of February 14, 2018 is \$27,717,798. This memorandum is provided to meet the requirements of 12 N.N.C. § 820 (L), however the Controller of the Navajo Nation has not designated the funds as recurring or non-recurring. The memorandum is attached as **Exhibit B**.

- G. The request for funds must meet the grant budget requirements of 1) an approved plan of operation, 2) budget request must be part of a recommended division or branch budget, 3) the respective oversight [committee] of the division or branch must recommend the request; in addition shall be submitted to the Office of Management and Budget on appropriate budget forms. See Fiscal Year 2018 Budget Instruction Manual, Section XI(B).
- H. The Navajo Nation finds it in the best interest of the Navajo Nation that supplemental appropriations be made for the Phoenix Indian Center to continue services to Navajos within the Phoenix urban area. See, organizational Articles of Incorporation and Bylaws attached, as **Exhibit C**.

Section Three. Approving the Supplemental Appropriation from the Unreserved, Undesignated Fund Balance in the Amount of \$148,325.00 for Phoenix Indian Center, BU No. 119025.

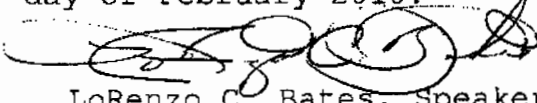
- A. This supplemental appropriation of \$148,325.00 shall be from that amount of funds that exceeds the minimum fund balance of the Unreserved, Undesignated Fund Balance as determined by the Office of the Controller and to the Phoenix Indian Center, BU No. 119025.
- B. The Navajo Nation hereby approves the supplemental appropriation from the Unreserved, Undesignated Fund Balance to the Phoenix Indian Center to continue services to Navajos in the Phoenix urban area.

Section Four. Effective Date

The provisions of this Act shall become effective in accord with 2 N.N.C. § 221(B).

CERTIFICATION

I hereby certify that the foregoing resolution was duly considered by the Navajo Nation Council at a duly called meeting in Window Rock, Navajo Nation (Arizona) at which a quorum was present and that the same was passed by a vote of 12 in favor and 01 opposed, on this 16TH day of February 2018.


LoRenzo C. Bates, Speaker
23rd Navajo Nation Council


Date

Motion: Honorable Kee Allen Begay, Jr.
Second: Honorable Seth Damon

Speaker Bates not voting

ACTION BY THE NAVAJO NATION PRESIDENT:

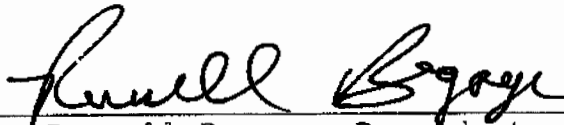
1. I hereby sign into law the foregoing legislation, pursuant to 2 N.N.C. §1005 (c)(10), on this _____ day of _____ 2018.

Russell Begaye, President
Navajo Nation

2. I hereby veto the foregoing legislation, pursuant to 2 N.N.C. §1005 (c)(11), on this _____ day of _____ 2018 for reason(s) expressed in the attached letter to the Speaker

Russell Begaye, President
Navajo Nation

3. I hereby exercise line item veto pursuant to the 2010, certified Initiative, over the supplemental appropriations approved herein by the Navajo Nation Council; on this 4th day of March 2018.


Russell Begaye, President
Navajo Nation

EXHIBIT(S)

A ☒
B ☒
C ☒
D ☒
E ☐
F ☐
G ☐
H ☐
I ☐
J ☐
K ☐
L ☐
M ☐

N ☐
O ☐
P ☐
Q ☐
R ☐
S ☐
T ☐
U ☐
V ☐
W ☐
X ☐
Y ☐
Z ☐

The Navajo Nation Grant Application

Name of Applicant: Phoenix Indian Center		Telephone No.: 602-264-6768
Mailing Address: 4520 N Central Ave. Suite 250 Phoenix, AZ 85012		Email: phibbeler@phxindcenter.org
Physical Address: 4520 N Central Ave. Suite 250 Phoenix, AZ 85012		IRS TIN/EIN No: 86-6006566
NN Grant Request Amount: 148,325.00	Grant Start Date: 10/1/2017	Grant End Date: 9/30/2018

Brief Description of the Organization or Entity Requesting the Grant:

The Phoenix Indian Center (PIC), established in 1947, is the oldest agency in the United States dedicated to improving the health and well-being of American Indian people by providing quality, culturally based workforce, language/culture, education and youth services. PIC works to build strong and lasting partnerships, and create strong leadership grounded in cultural values and honoring our history as an organization and peoples. The Navajo Language & Culture Program provides education, cultural enrichment & community engagement for urban Navajos residing in Maricopa County.

PROBLEMS/NEEDS STATEMENT

Briefly describe the problems/needs identified based on relevant and collected data. The statement should be brief, clear and concise, including population (Navajo) to be served and location of the population.

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (356,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban-living American Indian population in Maricopa County (off reservation) was 127,364 with 30% (38,209) being Navajo. Phoenix is the 3rd largest city with American Indian people in the United States. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community outreach events. 6 of every 10 clients to the Phoenix Indian Center identify themselves as Navajo tribal members. It is evident that many Navajo people relocate to the Phoenix area for various reasons including employment and/or to pursue higher education. Currently, many Navajo members who are no longer surrounded by their language report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language and have limited cultural knowledge. Since 2005 to date, 5,158 students participated in Navajo language and culture classes while a total of 12,133 people attended Seasonal Storytelling events and presentations. This funding will provide continued opportunities for the urban Navajo community members to engage and to learn their heritage language, culture, and traditions which can promote self identity and self sufficiency. Additionally this project will allow for a continued partnership with Navajo School clothing program office and allow local Phoenix area students to participate and receive clothing for school.

METHODOLOGY

Briefly describe the approaches, services, and/or ways the problems or needs which will be addressed with the grant.

The problems and objectives discussed will be addressed through the following approach: Navajo language and culture classes will be offered to address the need to grow more Dine speakers and gain knowledge and preservation of cultural practices. Navajo language and culture classes will be offered on a semester basis and varied throughout the week on different days, times and locations for both youth and adults. Class offerings to date have included Adult Beginning Navajo Language, Adult Intermediate Navajo Language, Navajo Literacy, Intergenerational Language and Culture, Children's Navajo Language, Dine Culture, Navajo Singing Language Class and Navajo Weaving. Additionally, special seasonal cultural story telling events using Navajo experts to share cultural teachings with the Navajo people residing in the Phoenix Metro will be offered. Organizing Dine Culture camps is another avenue chosen to provide education in language and culture to the urban Navajo population, thus preserving and growing the Dine knowledge. Finally, Phoenix area Navajo families with enrolled students will have the opportunity to participate and receive Navajo school clothing through the partnership with Navajo School Clothing Program offered by the Navajo Nation.

To the best of my knowledge and belief, all data in this application are true and correct. The document has been duly authorized by the governing body of the applicant and the applicant will comply with the Navajo Nation terms and conditions if the grant is awarded.

Signature of Authorized Representative

09.12.17

Date Signed

Patricia K. Hibbeler

Type Name of Authorized Representative

Chief Executive Officer

Title

THE NAVAJO NATION SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: 119025		Program Title: Phoenix Indian Center	
Division/Branch:		Amount Requested: 148,325.00	Phone No.: 602-264-6768
Prepared By: Becky Martin		Email Address: bmartin@phxindcenter.org	

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (356,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban living American Indian population in Maricopa County (off reservation) was 127,364 with 30% (38,209) being Navajo and a young population with 46.4% being under the age of 24. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community events. Characteristics of our customer base show that 28% are either part-time or full-time employed, 75% of these households report less than \$10,000 income per year and 61% of these households have 3 children. Of these, depending upon which service being accessed, up to 65% are Navajo. Many of these individuals report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language or significant cultural knowledge. They continue to seek opportunities to learn their heritage language, culture, traditions and stories, as well as access support services needed by low income families, thus availing themselves of all the services provided by the Phoenix Indian Center. This funding will provide continued opportunities for the urban Navajo community members to engage and to learn their heritage language, culture and traditions which can promote self-identity and self-sufficiency. Additionally, this project will allow for a continued partnership with Navajo Nation services including the Navajo school clothing program office and allow local Phoenix area students to participate and receive clothing for school.

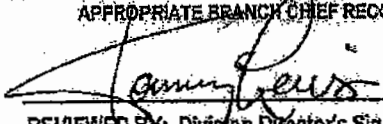
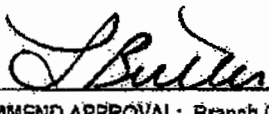
PART III. CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

The Phoenix Indian Center does not have funding available to offer the robust program, that has been provided for over 17 years. Offerings would be extremely minimal if at all.

PART IV. ALTERNATIVE FUNDING SOURCES BEING PURSUED:

The Phoenix Indian Center will continue to identify possible funding sources and submit competitive funding where applicable.

PART V. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.

 REVIEWED BY: Division Director's Signature / Date	 10/5/17 RECOMMEND APPROVAL: Branch Chief's Signature / Date
--	---

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THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

FY 18

PART I. Business Unit No.: 119025		Phoenix Indian Center		Division/Branch:	
Prepared By: Becky Martin		Phone No.: 602-264-6768		Email Address: bmartin@phxindcenter.org	

PART II. FUNDING SOURCE(S)		Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			Difference (Column B - A)	
					Fund Type Code	Approved Original Budget (A)	Proposed Budget (B)		
US Department of Labor		07/01/17-06/30/18	1,379,577.00	40%		1	69,670	38,070	(31,600)
Az Governor's Office		07/01/17-06/30/18	200,000.00	6%		1	5,520	6,390	870
Mercy/Maricopa Integrated Care		07/01/17-06/30/18	140,964.00	4%	2001 Personnel Expenses				
Valley of the Sun United Way		07/01/17-06/30/18	51,277.00	1%	3000 Travel Expenses				
US Department of Education		10/01/17-09/30/18	568,171.00	17%	3500 Meeting Expenses				
CUFB/Supplemental Funds NN		10/01/17-09/30/18	148,325.00	4%	4000 Supplies		4,100	11,600	7,500
Other (Donations, fundraisers, etc)		07/01/17-06/30/18	953,394.00	28%	5000 Lease and Rental		18,000	18,000	0
					5500 Communications and Utilities		200	300	100
					6000 Repairs and Maintenance		0	0	0
					6500 Contractual Services		14,000	28,260	14,260
					7000 Special Transactions		1,200	1,500	300
					8000 Public Assistance		15,180	23,750	8,570
					9000 Capital Outlay		0	0	0
					9500 Matching Funds		0	0	0
					9500 Indirect Cost		20,455	20,455	0
TOTAL:				\$3,441,708.00			\$148,325.00	148,325.00	0

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		0	1 Shared positions
Total # of Permanently Assigned Vehicles:		NA	NA

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Program Manager's Printed Name and Signature / Date

Becky Martin 11/08/17

APPROVED BY: Division Director/Branch Chief's Printed Name and Signature / Date

Larry Lewis 11/9/17

FY 18

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

Page 2 of 12
BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: 119025

Program Name/Title:

Phoenix Indian Center

PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:

Amended Articles of Incorporation passed on January 9, 2001, signed by Jo Lynn Gentry-Lewis, President and Patty Dinitrou, Secretary and Certified by Carolyn Ortiz. The primary purpose of the program is to provide programs and services in answer to the needs of Native Americans residing in the metro-Phoenix area.

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR	2nd QTR	3rd QTR	4th QTR
Goal	Actual	Goal	Actual	Goal
Actual				

1. Program Performance Area:

Number of students completing Navajo language & culture classes

Goal Statement:

Educate more urban Navajo Tribal members in Navajo language & understanding of culture

2. Program Performance Area:

Number of participants in Seasonal Stories and Culture Nights

Goal Statement:

Share knowledge of Navajo traditional stories and traditions including performances

3. Program Performance Area:

Number of persons/families receiving case management/intake services

Goal Statement:

Promote urban self-sufficiency and living skill improvement

4. Program Performance Area:

Number of eligible Navajo families receiving financial emergency assistance

Goal Statement:

Promote urban self-sufficiency and stabilize Navajo families in crisis

5. Program Performance Area:

Number of persons/families receiving skill building, substance abuse and suicide prevention

Goal Statement:

Improve coping skills for living in a large city environment through prevention services

PART IV. MANAGER'S ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Program Manager's Printed Name and Signature/Date
Robert R. [Signature]
 APPROVED: 03.16.18

Division Director/Branch Chief's Printed Name and Signature / Date
[Signature]
 2/16/18



DEPARTMENT OF DINE EDUCATION

P.O. BOX 670 • WINDOW ROCK, ARIZONA 86616
PHONE (928) 671-2252 FAX (928) 671-4774

Jonathan Nez
Vice-President

MEMORANDUM

TO:

Program Manager and Staff
Department of Dine Education

FROM:

Dr. Tommy Lewis, Superintendent of Schools
Department of Dine Education - Administration

DATE:

October 2, 2017

SUBJECT:

STANDING DELEGATION OF AUTHORITY - FY 2018 (REVISED)

During my absence from the office, the following individuals are authorized to act on my behalf, in the order they are placed, to ensure the proper and uninterrupted functioning of the Department of Dine Education by performing the routine duties required of the Superintendent of Schools.

ACKNOWLEDGED

1. Dr. Timothy Denny, Assistant Superintendent
Department of Dine Education - Administration

2. Dr. Angelin Davis, Education Program Manager
Advanced Navajo Nation (NCA/Advanced)

3. Mr. Russ Graham, Registration Manager II
Office of Navajo Nation Scholarship and Financial

Assistance (ONNSFA)

This delegation authorizes my designees to review and approve all routine administrative, financial and personnel documents with the understanding that they will consult with me as necessary. However, all matters for Off Reservation Travel (ORL) will follow the process outlined in the memo distributed on March 20, 2013, authorizing only the Superintendent and his Assistant. Superintendents approve those requests.

Please continue to bring all documents requiring the review and approval of the Superintendent of Schools to the Department of Dine Education - Administration Office.

If you have any inquiries relative to this matter, contact the DODE Administration Office at 928-871-7475.

XC:

Honorable Russell Begay, President, The Navajo Nation
Honorable Jonathan Nez, Vice President, The Navajo Nation
Jadark L. Begay, Chief of Staff, Office of the President
Pierline Kirt, Controller, Office of the Controller
Dominic Beryl, Executive Director, Office of Management and Budget

BOARD OF EDUCATION

Bennie Begay, President, Santa Fe Indian School
Members: Dolly C. Begay, Dr. Pauline Begay, Dr. Bernabe Todorovich, Patrick D. Lynch, Mariana Burbank
Dr. Tommy Lewis, Superintendent of Schools

FY 18

THE NAVAJO NATION
LISTING OF POSITIONS AND ASSIGNMENTS BY BUSINESS UNITS

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BUDGET FORM 3

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WORKSITE CODE	FY 2017 ACTUAL G/S	FY 2017 ACTUAL SALARY	FY 2018 PROPOSED HOURS	BUDGET
2130 N/A		3874	Instructor, Language & Cul N/A		PLC		62348	1040	31174

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 18

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	119025
Phoenix Indian Center			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOO #)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
2110	2001 Personnel Expenses	31,174	31,070
2900	2130 Personnel Regular Part time (15 FTE) 1040 hrs @ 29.975/hr. Average 20 hours per week		
	Fringe Benefits	6,896	
	2912 FICA @ .052% * 31,174 = 1,532.78		
	2914 Medicare @ .0145% * 31,174 = 452.02		
	2921 Medical Insurance @ 7% * 31,174 = 2,182.18		
	2926 Life Insurance @ .005% * 31,174 = 155.87		
	2940 Retirement @ 3% * 31,174 = 935.22		
	2951 Unemployment @ .0272% * 31,174 = 847.93		
	2950 Workers Compensation @ 1.251% * 31,174 = 389.99		
	3000 Travel Expenses		
3210	3220 Vehicle rental truck for Navajo Clothing = \$1,750 one time rental fee based on historical costs including fuel	6,390	6,390
3230	3260 Mileage 4,513 miles/year (300 miles/month - for summer camp, meetings and local to classes) * 0.545 = \$2,460		
	3240 Per Diem 12 days @ \$75/day = \$900 Costs associated with conducting business with the Navajo		
	3250 Lodging 8 days @ \$160/day = \$1,280 Costs associated with conducting business with the Navajo in Window Rock Navajo Clothing and meetings		
	4000 Supplies		
4120	4130 General Office Supplies: Supplies such as pens, paper, files, etc. at the rate of \$150 per month		
4410	Operating Supplies	1,800	11,600
	4420 General Operating Supplies: Cost for program materials, excluding weaving looms, for student use including books, materials & supplies, etc. @ \$5,000/year.	9,800	
	4430 Printing, Binding Photography: Estimated cost of \$500/quarter x 4 quarters = \$2,000		
	4450 Postage, Courier, Shipping: Estimated cost of \$250/quarter x 4 quarters = \$1,000		
4700	4710 Gasoline: Cost for fuel, services, etc. for PIC vehicle @ \$160/month x 12 mos = \$1,920. Estimate based on historical data.		
	4000 Lease & Rental		
5110	5120 Office Space: Based on 900 sq. ft. for an office and one classroom at \$20/sq. ft. x \$900 = \$18,000 (PIC occupies 14,000-sq ft and pays \$22,395/month in rent).	18,000	18,000
TOTAL		74,060	74,060

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 18

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
Phoenix Indian Center		119025	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD #)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
5570	5580 Communications and Utilities 5600 Internal allocated portion of internet services at \$25/month * 12 months = 300	300	300
6520	6500 Contractual Services 6530 Fees: Consulting fee for cultural consultants for 6 cultural events per year at \$1,000 per event for a total of \$6,000. Consulting/instruction fees for Navajo singing & weaving classes during the year at \$1,000 per semester = 3 semesters for 2 consultants for a total of \$6,000. Cultural Consultants for portion of LZW summer camp \$2,000. Summer sheep camp 10 students and 2 staff, travel and per diem expenses for week long camp \$51 per day x 5 days x 12 people for a total of \$3,060 Consultants for Seasonal storytelling sessions, \$1,000 x 4 sessions for a total of \$4,000 Balance (\$1,400.00) is for unknown/unbudgeted costs that may occur	22,460	28,260
6800	Audit 6830 - Audit fees an allocated portion of the total fees for the annual audit	2,500	
6920	Subcontracted Services 6990 Subcontracted Services-Payroll processing: Estimated cost of \$25.00 per month x 12 months for pro rata share of Payroll System cost. 6990 Subcontracted Services-Network services: Estimated cost of \$250.00 x 12 mos. per qtr. for pro rata share of cost for antivirus protection & Network maintenance.	3,300	
7710	7000 Special Transactions Insurance Premiums 7720 Liability, & property insurance: Allocated cost for liability insurance for PIC and all programs. Coverage for \$2m liability and a Multi-Pert Commercial Policy. Allocation is budget based.	1,500	1,500
8020	8000 Public Assistance 8060 Emergency Assistance: To meet emergency housing, utility, transportation and other emergency needs. It is estimated that PIC will provide an average of \$750.00 per client for rental assistance for at least 25 clients (cost = \$18,750 and the balance (cost = \$5,000) will be used for other emergency needs, i.e. utilities, transportation, etc. We receive FEMA/ICFSP emergency rental funds and will use those guidelines for rental assistance.	23,760	23,750
9710	9500 Matching & Indirect Cost 9720 Indirect Costs Charged at approved rate of 16.5% which is negotiated with the US Department of Labor	20,455	20,455
		74,265	74,265

PART I. PROGRAM INFORMATION: Funding Period: 07/01/17-06/30/18 Program Name/Title: Phoenix Indian Center Contract/Grant No.: US Department of Labor Prepared by: Becky Martin			
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	806,623	806,623	-
3000 Travel Expenses	34,500	34,500	-
3500 Meeting Expenses	7,500	7,500	-
4000 Supplies	39,300	39,300	-
5000 Lease and Rental	139,000	139,000	-
5500 Communication and Utilities	4,000	4,000	-
6000 Repairs and Maintenance	-	-	-
6500 Contractual Services	157,000	157,000	-
7000 Special Transaction	-	-	-
8000 Assistance	31,956	31,956	-
9000 Capital Outlay	-	-	-
9510 Matching - Cash	-	-	-
9610 Matching - In - Kind	-	-	-
9710 Indirect Cost (Overhead) Allocation	159,698	159,698	-
TOTALS:	1,379,577	1,379,577	-
PART IV. FTEs/MATCH FUNDS: No. of Positions/ FTEs: MATCHING FUND REQUIRED: Required GF Cash Match: CONCURRED BY: Required GF In - Kind Match: Required GF % Match:			
PART V. ACKNOWLEDGEMENT: Contracting Officer's Signature / Date: Submitted by (print): <u>Patricia K. Hobbie</u> Signature/Date: <u>[Signature] 11/08/17</u> Approved by (print): <u>Tommy Lewis</u> Signature/Date: <u>[Signature] 11/17</u>			

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BUDGET FORM 6

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 18

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center</u> Contract/Grant No.: <u>Arizona Governor's Office</u>		Funding Period: <u>07/01/17-06/30/18</u> K #: _____ Prepared by: <u>Becky Martin</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	104,312	104,312	-
3000 Travel Expenses	1,602	1,602	-
3500 Meeting Expenses	-	-	-
4000 Supplies	6,890	6,890	-
5000 Lease and Rental	24,000	24,000	-
5500 Communication and Utilities	1,350	1,350	-
6000 Repairs and Maintenance	-	-	-
6500 Contractual Services	33,520	33,520	-
7000 Special Transaction	-	-	-
8000 Assistance	-	-	-
9000 Capital Outlay	-	-	-
9510 Matching - Cash	-	-	-
9510 Matching - In - Kind	-	-	-
9710 Indirect Cost (Overhead) Allocation	28,326	28,326	-
TOTALS:	200,000	200,000	-
PART IV. FTES/MATCH FUNDS: No. of Positions/ FTES: _____ Required GF Cash Match: _____ Required GF In - Kind Match: _____ MATCHING FUND REQUIRED: _____ CONCURRED BY: _____			
Contracting Officer's Signature / Date: _____ PART V. ACKNOWLEDGEMENT: Submitted by (print): <u>PARICIO K. HOBBS</u> Signature/Date: <u>11.08.17</u> Approved by (print): <u>Johnny Lewis</u> Signature/Date: <u>11/17</u>			

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BUDGET FORM 6THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 18

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center</u> Contract/Grant No.: <u>Mercy Maricopa Integrated Care</u>		Funding Period: <u>07/01/17-06/30/18</u> K #: _____ Prepared by: <u>Becky Martin</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	85,121	85,121	-
3000 Travel Expenses	1,781	1,781	-
3500 Meeting Expenses	3,000	3,000	-
4000 Supplies	13,900	13,900	-
5000 Lease and Rental	9,600	9,600	-
5500 Communication and Utilities	480	480	-
6000 Repairs and Maintenance	-	-	-
6500 Contractual Services	15,000	15,000	-
7000 Special Transaction	-	-	-
8000 Assistance	-	-	-
9000 Capital Outlay	-	-	-
9510 Matching - Cash	-	-	-
9610 Matching - In - Kind	-	-	-
9710 Indirect Cost (Overhead) Allocation	12,082	12,082	-
TOTALS:	140,964	140,964	-
PART IV. FTEs/MATCH FUNDS:			
No. of Positions/ FTEs: _____ Required GF Cash Match: _____ Required GF In - Kind Match: _____		Required GF % Match: _____	
MATCHING FUND REQUIRED: _____ CONCURRED BY: _____			
Contracting Officer's Signature / Date: _____			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): <u>Patricia K. Hobbieck</u> Signature/Date: <u>Pat K. Hobbieck 11.08.17</u>			
Approved by (print): <u>Donna Hobbieck</u> Signature/Date: <u>Donna Hobbieck 11.08.17</u>			

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BUDGET FORM 6THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 18

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center</u> Contract/Grant No.: <u>Valley of the Sun United Way</u>		Funding Period: <u>07/01/17-06/30/18</u> K #: _____ Prepared by: <u>Becky Martin</u>		
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT				
PART III. BUDGET INFORMATION:				
(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001	Personnel Expenses	44,218	44,218	-
3000	Travel Expenses	200	200	-
3500	Meeting Expenses	-	-	-
4000	Supplies	1,759	1,759	-
5000	Lease and Rental	1,500	1,500	-
5500	Communication and Utilities	400	400	-
6000	Repairs and Maintenance	-	-	-
6500	Contractual Services	200	200	-
7000	Special Transaction	-	-	-
8000	Assistance	3,000	3,000	-
9000	Capital Outlay	-	-	-
9510	Matching - Cash	-	-	-
9610	Matching - In - Kind	-	-	-
9710	Indirect Cost (Overhead) Allocation	-	-	-
TOTALS:		51,277	51,277	-
PART IV. FTES/MATCH FUNDS: No. of Positions/ FTES: _____ Required GF Cash Match: _____ Required GF In - Kind Match: _____		MATCHING FUND REQUIRED: CONCURRED BY: _____		
Contracting Officer's Signature/ Date: _____ Submitted by (print): <u>Andrew K. Hubbert</u> Signature/Date: <u>11.08.17</u>		Approved by (print): <u>Jimmy Leard</u> Signature/Date: <u>11.01.17</u>		
PART V. ACKNOWLEDGEMENT:				

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BUDGET FORM 6THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATIONFY 18

PART I. PROGRAM INFORMATION:		Funding Period: <u>10/01/15 - 09/30/17</u>	
Program Name/Title: <u>Phoenix Indian Center</u>		K #: _____	
Contract/Grant No.: _____		Prepared by: <u>Becky Martin</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year ____	(C) Anticipated Funding Fiscal Year ____	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	374,732	374,732	-
3000 Travel Expenses	17,000	17,000	-
3500 Meeting Expenses	9,500	9,500	-
4000 Supplies	16,884	16,884	-
5000 Lease and Rental	25,000	25,000	-
5500 Communication and Utilities	500	500	-
6000 Repairs and Maintenance	-	-	-
6500 Contractual Services	40,250	40,250	-
7000 Special Transaction	-	-	-
8000 Assistance	18,500	18,500	-
9000 Capital Outlay	-	-	-
9510 Matching - Cash	-	-	-
9610 Matching - In-Kind	65,805	65,805	-
9710 Indirect Cost (Overhead) Allocation	568,171	568,171	-
TOTALS:			
PART IV. FTEs/MATCH FUNDS:			
No. of Positions/ FTEs:			
Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
MATCHING FUND REQUIRED:			
CONCURRED BY:			
Contracting Officer's Signature / Date: _____			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): <u>PATRICIA K. NIBBLE</u>			
Signature/Date: <u>Patricia K. Nibble</u> <u>11.08.17</u>			
Approved by (print): <u>Tommy Lewis</u>			
Signature/Date: <u>Tommy Lewis</u> <u>11/17/17</u>			

NOV - 9 2017

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

FY 18

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center</u> Contract/Grant No.: <u>Foundations & Fundraising</u>		Funding Period: <u>07/01/17-06/30/18</u> K #: _____ Prepared by: <u>Becky Martin</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	409,084	409,084	-
3000 Travel Expenses	37,902	37,902	-
3500 Meeting Expenses	47,500	47,500	-
4000 Supplies	41,915	41,915	-
5000 Lease and Rental	61,200	61,200	-
5500 Communication and Utilities	35,318	35,318	-
6000 Repairs and Maintenance	15,000	15,000	-
6500 Contractual Services	98,220	98,220	-
7000 Special Transaction	2,500	2,500	-
8000 Assistance	145,000	145,000	-
9000 Capital Outlay	-	-	-
9510 Matching - Cash	-	-	-
9610 Matching - In - Kind	-	-	-
9710 Indirect Cost (Overhead) Allocation	59,755	59,755	-
TOTALS:	953,394	953,394	-
PART IV. FTEs/MATCH FUNDS:			
No. of Positions/ FTEs: Required GF Cash Match: Required GF In - Kind Match:		Required GF % Match:	
PART V. ACKNOWLEDGEMENT:			
Contracting Officer's Signature / Date: <u>APRIL A. K. KUBASER</u> / <u>11.08.17</u>		Approved by (print): <u>TONY L. SAGAS</u> Signature/Date: <u>TONY L. SAGAS</u> / <u>11.08.17</u>	



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT

Memorandum




Date: February 14, 2018

To: Honorable Russell Begaye, President
Office of the President/Vice President

Honorable Lorenzo Bates, Speaker
Navajo Nation Council

Honorable JoAnn Jayne, Chief Justice
Judicial Branch

From: 
Pearline Kirk, Controller
Office of the Controller

Subject: Controller's Financial Update As of January 31, 2018

I am pleased to present to you the following information related to General Fund financial data up to January 31, 2018.

The General Fund Financial data is as follows.

The gross General Fund Revenues (see Exhibit A) as of January 31, 2018 is \$99,205,877, and the total set asides total \$33,057,058. The Net Revenue for the General Fund is \$66,148,819, which is 44.45% of the projection.

The total expenditures by branch are shown on Exhibit B.

- The Legislative Branch has expenditures of \$4,736,197, encumbrances of \$540,894 with a remaining budget of \$11,563,745.
- The Executive Branch expenditures are \$60,501,243 encumbrances are \$5,813,170 with a remaining budget of \$109,189,810.
- The Judicial Branch expenditures are \$3,933,787 with zero encumbrances and remaining budget of \$9,914,134.
- Total General Fund expenditures are \$69,171,228, total encumbrances are \$6,354,064 with an overall remaining budget of \$130,667,690

The updated UUFB as of February 14, 2018 is \$27,717,798 (see Exhibit C). There have been two supplemental appropriations during Fiscal Year 2018 in the total amount of \$3,909,557

If you should have any questions, you can contact me at Tribal extension X6308.



THE NAVAJO NATION
General Fund Revenue Schedule
(Unaudited)
January 31, 2018

FY 2018

GENERAL FUND REVENUE	Original Budget	Revised Budget	Actual Revenue Received	Revenue to be collected	% Revenue of Total
TNN: ROYAL; GAS; OIL	\$ 25,378,000	\$ 25,378,000	\$ 9,327,940	\$ 16,050,060	36.76
TNN: COAL REVENUES	45,381,000	45,381,000	13,027,475	32,353,525	28.71
TNN:OTR MINERALS REV			14,807	(14,807)	
TNN: LAND REVENUES	62,644,000	62,644,000	44,562,838	18,081,162	71.14
TNN: BUSINESS FEES			24,995	(24,995)	
TNN: INTEREST INCOME	2,500,000	2,500,000	2,247,521	252,479	89.90
TNN: TAX REVENUES	62,965,000	62,965,000	28,568,428	34,396,572	45.37
COURT FINES + FEES	400,000	400,000	136,722	263,278	34.18
TNN: OTHER REVENUES	750,000	750,000	1,142,582	(392,582)	152.34
BIA: ROYAL; GAS; OIL			106,680	(106,680)	
BIA: COAL REVENUES			26	(26)	
BIA:OTR MINERALS REV					
BIA: LAND REVENUES	\$ 200,018,000	\$ 200,018,000	99,205,877	100,812,123	49.60
LESS: SET ASIDES			45,863	(45,863)	
CAPITAL OUTLAY MATCH	\$ (2,000,000)	\$ (2,000,000)	(2,000,000)	-	100.00
LAND FUND TRANSFER	(4,000,000)	(4,000,000)	(1,984,118)	(2,015,882)	49.60
PERMANENT FUND TRNSF	(24,002,000)	(24,002,000)	(11,904,705)	(12,097,295)	49.60
WATER RIGHTS CLAIM FU	(2,000,000)	(2,000,000)	(2,000,000)	-	100.00
DINE' HIGHER EDUCATIO	(11,200,000)	(11,200,000)	(11,200,000)	-	100.00
VETERANS TRUST FUND S	(8,001,000)	(8,001,000)	(3,968,235)	(4,032,765)	49.60
TOTAL SET ASIDE	\$ (51,203,000)	\$ (51,203,000)	\$ (33,057,058)	\$ (18,145,942)	64.56
SUB TOTAL	\$ 148,815,000	\$ 148,815,000	\$ 66,148,819	\$ 82,666,181	44.45
PERMANENT FUND INCOME TRANSFER					
OTHER REVENUE TRANSFER	\$ 10,881,000	\$ 10,881,000	\$ 10,881,000	-	100.00
ADD: PF FIVE-YEAR CONTINGENCY	2,885,000	2,885,000	2,885,000	-	100.00
TOTAL PFI TRANSFER	\$ 13,766,000	\$ 13,766,000	\$ 13,766,000	-	100.00
NET PFI TRANSFER	\$ 13,766,000	\$ 13,766,000	\$ 13,766,000	-	100.00
GRAND TOTAL	\$ 162,581,000	\$ 162,581,000	\$ 79,914,819	\$ 82,666,181	49.15

- (1) Gross General Fund Revenues
- (2) Total Set Asides for General Fund Revenue
- (3) Net General Fund Revenue
- (4) Permanent Fund Income allocation to General Fund
- (5) Permanent Fund Income allocation reserve (CO-54-16)
- (6) Grand total General Fund Revenues

EXHIBIT " "

Prepared by:
General Accounting
2/15/2018



FY 2018 EXHIBIT

The Navajo Nation Budget Status_Income Statement As of January 31, 2018

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
LEGISLATIVE BRANCH						
2001 - Personnel Expenses	\$ 10,202,527	11,839,705	3,512,857		\$ 8,326,848	70.33
3000 - Travel Expenses	1,500,400	1,431,847	472,450	39,034	920,363	64.28
3500 - Meeting Expenses	258,642	362,097	117,727	-	244,370	67.49
4000 - Supplies	295,427	450,263	169,813	37,874	242,775	53.92
5000 - Lease & Rental	222,685	226,788	79,109	45,480	102,199	45.06
5500 - Communications & Utilities	144,802	144,850	33,157	-	111,693	77.11
6000 - Repairs & Maintenance	71,281	125,726	66,720	649	58,357	46.42
6500 - Contractual Services	499,854	1,437,403	153,074	271,827	1,012,502	70.44
7000 - Special Transactions	369,412	382,308	130,778	14,087	237,443	62.11
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	217,412	439,849	711	131,943	307,196	69.84
9500 - Matching & Indirect Cost	-	-	-	-	-	-
Total LEGISLATIVE BRANCH	\$ 13,782,222	\$ 16,840,836	\$ 4,736,197	\$ 540,894	\$ 11,563,745	68.66
EXECUTIVE BRANCH						
2001 - Personnel Expenses	80,000,411	83,204,401	23,095,938	-	\$ 60,108,463	72.24
3000 - Travel Expenses	9,602,678	9,472,050	2,935,764	3,580	6,532,706	68.97
3500 - Meeting Expenses	922,101	536,613	47,314	-	489,299	91.18
4000 - Supplies	5,051,048	5,188,141	1,218,528	522,644	3,446,969	66.44
5000 - Lease & Rental	2,905,239	4,431,047	2,115,269	130,093	2,185,684	49.33
5500 - Communications & Utilities	6,405,226	7,138,720	1,712,530	518,303	4,907,887	68.75
6000 - Repairs & Maintenance	4,881,542	6,653,822	1,774,347	1,432,564	3,447,021	51.80
6500 - Contractual Services	5,462,198	9,106,568	584,079	2,951,390	5,571,099	61.18
7000 - Special Transactions	10,247,095	10,282,575	3,790,524	141,339	6,350,713	61.76
8000 - Assistance	32,211,358	33,940,942	21,463,857	72,584	12,404,502	36.55
9000 - Capital Outlay	1,067,855	1,265,866	167,851	40,685	1,057,331	83.53
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	4,283,378	4,283,378	1,595,243	-	2,688,135	62.76
Total EXECUTIVE BRANCH	\$ 163,040,129	\$ 175,504,224	\$ 60,501,243	\$ 5,813,170	\$ 109,189,810	62.21
JUDICIAL BRANCH						
2001 - Personnel Expenses	\$ 12,487,393	12,492,946	3,681,025		\$ 8,811,921	70.54
3000 - Travel Expenses	387,211	438,932	64,362	-	374,570	85.34
3500 - Meeting Expenses	27,250	45,250	1,410	-	43,840	96.88
4000 - Supplies	226,217	297,219	43,215	-	254,004	85.46
5000 - Lease & Rental	9,805	14,592	9,819	-	4,773	32.71
5500 - Communications & Utilities	98,802	108,030	20,025	-	88,005	81.46
6000 - Repairs & Maintenance	50,211	183,801	44,067	-	139,734	76.02
6500 - Contractual Services	5,050	11,550	3,592	-	7,958	68.90
7000 - Special Transactions	161,958	255,603	66,272	-	189,330	74.07
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	-	-	-	-	-	-
9300 - Other Income and Expense	-	-	-	-	-	-
Total JUDICIAL BRANCH	13,433,897	13,847,922	3,933,787	\$ -	\$ 9,914,134	71.59
GRAND TOTAL	\$ 190,256,248	\$ 206,192,982	\$ 69,171,228	\$ 6,354,064	\$ 130,687,690	63.37

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available

Total General Fund

- (4a) General Fund Expenses
- (4b) General Fund Encumbrances
- (4c) General Fund Budget Available

FY 2018
EXHIBIT "C"



Undesignated, Unreserved, Fund Balance (UUFB)
February 14, 2018

09-30-17 UUFB balance <u>(Un-Audited)</u>		31,627,355
Less Supplementals:		
CD-72-17 Grazing, Farm Board, Land Board	2,452,257	
CD-73-17- UNM Housing	1,457,300	
Total Supplementals		<u>3,909,557</u>
UUFB -unaudited 02/14/18		<u><u>27,717,798</u></u>

EXHIBIT

ARTICLES OF AMENDMENT TO
AND RESTATEMENT OF
THE
ARTICLES OF INCORPORATION
OF
PHOENIX INDIAN CENTER, INC.

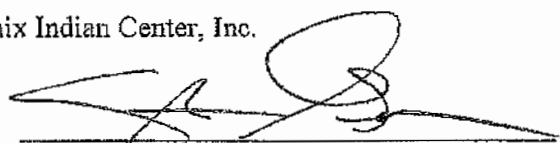
Pursuant to A.R.S. § 10-11007 and 11002.B

1. The name of the corporation is Phoenix Indian Center, Inc.
2. Attached hereto are the Amended and Restated Articles of Incorporation of the Corporation, as adopted and approved.
3. The Amended and Restated Articles of Incorporation were duly adopted by act of the Board of Directors.
4. The Amended and Restated Articles of Incorporation contain no amendments requiring approval by the members or by any person or persons other than the Board of Directors, and there are no members or third persons, or any person or persons other than the Board of Directors, whose approval is required for this amendment.

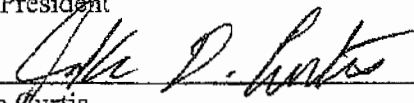
IN WITNESS WHEREOF, the undersigned has executed this instrument for and on behalf of the Corporation this 18 day of December, 2012.

Phoenix Indian Center, Inc.

By:


Steve Geiogamah
Its: President

Attest:


Jake Curtis
Its: Secretary

**AMENDED AND RESTATED
ARTICLES OF INCORPORATION
OF
PHOENIX INDIAN CENTER, INC.**

1. Name. The name of the corporation is Phoenix Indian Center, Inc. (the "Corporation").

2. Duration. The period of the Corporation's duration is perpetual.

3. Purpose. The Corporation is organized exclusively for charitable, educational, and scientific purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as now in effect or as may hereafter be amended ("the Code"). The specific purposes for which the Corporation is formed are:

A. to support American Indian people and the local community through innovative, research based, community driven services;

B. to implement nationally recognized social, economic, educational and cultural programs for all community members;

C. to develop a strong American Indian community through collaborative partnerships and by providing quality, culturally based services; and

D. to promote and foster Native American culture and contributions to our society as a whole.

In furtherance of its corporate purposes, the Corporation shall have all the general powers enumerated in § 10-3302 of the Arizona Nonprofit Corporation Act, as now in effect or as may hereafter be amended, together with the power to solicit grants and contributions for such purposes. The Corporation may receive property by gift, devise, or bequest; invest and reinvest the same; and apply the income and principal thereof, as the Board of Directors may from time to time determine, either directly or through contributions to any charitable organization or organizations, exclusively for charitable, scientific, or educational purposes.

4. Activities. The Corporation initially intends to undertake all activities reasonably necessary to achieve the Corporation's purposes set forth in Article 3 hereof.

Notwithstanding any other provision of the Articles of Incorporation, the Corporation shall not directly or indirectly carry on any activity not permitted to be carried on by (i) a corporation exempt from federal income tax under § 501(c)(3) of the Code or the corresponding provision of any future federal tax code, or (ii) a corporation, contributions to which are deductible under §§ 170(c)(2), 2055(a)(2), and 2522(a)(2) of the Code or the corresponding provision of any future federal tax code.

No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation (except as otherwise permitted by section 501(h) of the Code and in any corresponding laws of the State of Arizona), and the

Corporation shall not participate in, or intervene in (including the publishing or distribution of statements concerning) any political campaign on behalf of (or in opposition to) any candidate for public office.

5. Statutory Agent. The name of the Corporation's initial statutory agent is Jake Curtis, and the street address of the initial statutory agent is Burch & Cracchiolo, P.A., 702 E. Osborn Road, Suite 200, Phoenix, Arizona 85014.

6. Known Place of Business. The street address of the known place of business of the Corporation is 4520 N. Central Avenue, Ste. 250, Phoenix, Arizona 85012-1828.

7. No Capital Stock; Membership. The Corporation shall have no capital stock and shall be composed of members rather than shareholders. The conditions and regulations of membership and the rights or other privileges of the members shall be determined and fixed by the directors.

8. Board of Directors. There shall be at least three directors who shall be elected or appointed as provided in the Bylaws.

9. Dedication and Distribution of Assets. No part of the net earnings of the Corporation shall inure to the benefit of, or be distributable to, any director or officer of the Corporation or any other private person, except that the Corporation shall be authorized and empowered to pay reasonable expenses and reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article 3 hereof.

10. Applicable Provisions If Corporation is Classified as Private Foundation. During any period, or periods, of time as the Corporation may be treated as a private foundation pursuant to § 509(a) of the Code, the Corporation shall distribute its income at such time and in such manner so as not to become subject to the tax on undistributed income imposed by § 4942 of the Code, or the corresponding provision of any future federal tax code. The Corporation also shall not (i) engage in any act of self-dealing, as defined in § 4941 of the Code or the corresponding provision of any future federal tax code; (ii) retain any excess business holdings, as defined in § 4943(c) of the Code or the corresponding provision of any future federal tax code; (iii) make any investments, or otherwise acquire assets, in such manner so as to subject the Corporation to tax under § 4944 of the Code or the corresponding provision of any future federal tax code; and (iv) make any taxable expenditures, as defined in § 4945(d) of the Code or the corresponding provision of any future federal tax code.

11. Devolution of Assets on Dissolution. In the event of dissolution or final liquidation of the Corporation, all of the remaining assets and property of the Corporation, after paying or making provision for the payment of all the liabilities and obligations of the Corporation and for necessary expenses thereof, shall be distributed to such organization or organizations as the Board of Directors shall determine, which are organized and operated exclusively for charitable, scientific, or educational purposes and which qualify as exempt from taxation under § 501(c)(3) of the Code or the corresponding provision of any future federal tax

code. In no event shall any of such assets or property be distributed to any Director or officer of the Corporation, or to any private individual.

12. Indemnification. The corporation shall indemnify each of its directors and officers and may indemnify any of its employees or agents to the fullest extent permissible (a) under the provisions of A.R.S. Sections 10-3850 through 10-3858, (b) under indemnification provisions of any successor amended statute, (c) as provided in the Bylaws of the corporation; or (d) by any agreement adopted by the corporation. Any member of an advisory board shall be entitled to all the same rights of indemnification as a member of the board of directors of the corporation.

13. Director Liability. A director of this corporation shall not be personally liable to the corporation for monetary damages for any action taken or failure to take any action as a director, except for conduct described in clauses (a) through (d) of A.R.S. Section 10-3202.B.1, or any successor amended statute. If the Arizona Nonprofit Corporation Law is amended to authorize further elimination or limitation of the liability of a director, then the liability of a director of the corporation shall be eliminated or limited to the fullest extent permitted by the Arizona Nonprofit Corporation Law as so amended. Any repeal or modification of this Article 15 shall not increase the liability of a director of the corporation arising out of acts or omissions occurring before the repeal or modification becomes effective. Advisory board members shall be treated as directors for purposes of this Article 14.

14. Amendment of Articles. These Articles of Incorporation, and the Bylaws of the Corporation, may be amended by a vote of a majority of the directors then in office.

IN WITNESS WHEREOF, the undersigned subscribe these Articles of Incorporation this 18 day of December, 2012.

Phoenix Indian Center, Inc.

By: 

Steve Geiogamah

Its: President

Attest: 

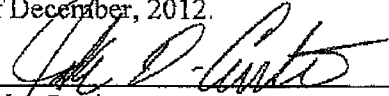
Jake Curtis

Its: Secretary

Phoenix Indian Center, Inc.
Amended and Restated Articles of Incorporation
December 18, 2012
Page 4 of 4

ACCEPTANCE OF APPOINTMENT
BY STATUTORY AGENT

The undersigned acknowledges and accepts appointment as statutory agent of Phoenix Indian Center, Inc., effective this 18 day of December, 2012.



Jake Curtis

AMENDED AND RESTATED
BYLAWS
OF
PHOENIX INDIAN CENTER, INC.
(formed under the State of Arizona
Nonprofit Corporation Act)

ARTICLE I

Name

Section 1.01. Name. The corporate name of this organization (the "Corporation") is Phoenix Indian Center, Inc.

ARTICLE II

Membership

Section 2.01. Members. The Corporation's members shall consist of those persons elected to the Board of Directors. At each annual meeting of the corporation, or at such other times as may be established by the board of directors, the directors then in office, acting in their capacity as the members of the Corporation, shall elect the directors to fill any vacancy or vacancies on the board pursuant to Section 4.03 of these Bylaws. With the exception of the election of directors, any action which would otherwise require approval by a majority or all members requires only approval of the board of directors, and all rights which would otherwise vest in the members vests in the board.

Section 2.02. Authority to Establish Non-Voting "Memberships." The Board of Directors may, in its sole discretion, establish non-voting "memberships," with one or more levels and with varying specified benefits, such levels to be determined by the amount of financial or in-kind contributions or on other such bases as the Board of Directors may establish. Such "memberships" shall be established solely for purposes of fundraising, community involvement in the Corporation's programs and activities, and other such non-corporate purposes, and such "members" shall not have the right to vote for the election of directors or any other rights of "members," as that term is defined by A.R.S. § 10-3140.

ARTICLE III

Offices

Section 3.01. Location. The board of directors will designate the location for the Corporation's principal office. The principal office need not be within the State of Arizona. The Corporation may maintain additional offices at other places as determined by the board of directors. The Corporation must at all times maintain within the State of Arizona a registered office, designated by the board of directors.

ARTICLE IV
Board of Directors

Section 4.01. Power and Qualification of the board of directors. The board of directors is solely responsible for exercising corporate powers of, and overseeing the business of, the Corporation. Directors need not be residents of the State of Arizona.

Section 4.02. Number of directors. The number of directors is to be established and may be increased or decreased from time to time by the board of directors, but must at all times be at least the minimum number of directors established by the Arizona nonprofit corporation act, A.R.S. Title 10, Chapters 24 through 40, and in the Corporation's Articles of Incorporation. The term of any incumbent director may not be shortened as the result of a decrease in the number of directors.

Section 4.03. Election and Term of directors. At each annual meeting of the corporation, the directors then in office, acting in their capacity as the members of the Corporation, elect the directors to fill any vacancy or vacancies on the board. Each director holds office for a term of three years, and until his or her successor has been elected and qualified, with staggered terms so that the term of office of one-third of the directors shall expire each year. Any director, after serving a first term, may hold two successive terms of office. At all times, a majority of the directors shall consist of self-declared members of an Indian tribe.

Section 4.04. Vacancies. Any vacancy may be filled by the board of directors.

Section 4.05. Removal of directors. A director may be removed, with or without cause, by a majority of the directors then in office.

Section 4.06. Resignations. A director may resign at any time by delivering written notice to the board of directors, the president, or the secretary. A director's resignation is effective when the notice is delivered, unless the notice specifies a later effective date.

Section 4.07. Quorum of directors and action by the board. Unless otherwise required by law or by the articles of incorporation or these bylaws, a majority of the directors then in office constitutes a quorum, and the act of a majority of directors present at a meeting at which a quorum is present constitutes the action of the board of directors.

Section 4.08. Meetings of the board. The board of directors will establish a time and location for the corporation's annual meeting. Meetings need not be held in the State of Arizona. Directors may participate in a meeting in person, or by using any means of communication that permits all participating directors to communicate with each other during the course of the meeting.

Section 4.09. Notice of Meetings. Written notice is not required for regular meetings. Written notice of each special meeting must state the date, place, and hour of the meeting, and must be delivered to each director, using the director's preferred means of contact

on file with the Corporation, not less than three days prior to the meeting date. Notice of a special meeting may be waived by a director, in writing or by the director's attendance at and participation in a meeting.

Section 4.10. Action in Lieu of a Meeting. Unless otherwise restricted by the articles of incorporation or these bylaws, the board of directors may take action without a meeting, by utilizing a written consent signed by all of the directors. In signing the consent, each director must indicate the date of execution. A written consent should include an effective date for the resolutions being approved; if not, the consent is effective as of the date that the last director signs the consent. Written consents may be signed in counterpart, and may be signed using an electronic signature as defined in A.R.S. § 44-7002. Any director may revoke a consent by delivering a signed revocation of the consent to the president or secretary before the date the last director signs the consent or consents. Written consents are to be filed with the minutes of the board of directors.

Section 4.11. Compensation of Directors. The directors serve without compensation for services rendered by them in their capacity as directors, but may be reimbursed for reasonable and necessary out-of-pocket expenses incurred in the course of serving as directors.

ARTICLE V

Committees

Section 5.01. Committees: Authority. The board of directors, by resolution adopted by a majority of the directors in office, may designate and appoint one or more committees having the authority to act on behalf of the board of directors, to the extent permitted in the authorizing resolution. Each committee with the authority to act on behalf of the board of directors must consist of at least two directors, and may not have any members who are not directors of the Corporation. Other committees not having and exercising the authority of the board of directors may be designated and appointed by the board of directors, and may include members who are not directors of the Corporation. Neither the designation and appointment of a committee, nor the delegation of authority to a committee, operates to relieve the board of directors, or any individual director, of any duty or responsibility imposed by law.

ARTICLE VI

Officers, Agents and Employees

Section 6.01 Officers. The board of directors shall elect or appoint a president, a secretary, a treasurer, and one or more vice-presidents, and such other officers and assistant officers as may be deemed necessary. The officers of the Corporation may be designated by such other titles as may be determined by the board of directors, provided such other titles not be contrary to any provision of the Arizona nonprofit corporation act, the Articles of Incorporation, or these bylaws. Any two or more offices may be held by the same person, except the offices of President and Secretary.

Section 6.02. Election, Term of Office, and Removal. Unless otherwise provided by resolution of the board of directors, officers are to be elected or appointed at the annual meeting. Each officer holds office for the term determined by the board of directors and until a successor has been elected or appointed. Any officer may be removed by the board of directors when, in their judgment, the best interest of the Corporation will be served thereby. Removal of an officer is without prejudice to any contract rights, and the election or appointment of an officer does not itself create contract rights.

Section 6.03. Vacancies. Any vacancy in an office may be filled by the board of directors.

Section 6.04. Powers and Duties of Officers. Subject to the control of the board of directors, all officers have the authority and may perform the duties customary for their respective offices, consistent with these bylaws and applicable law, in managing the property and affairs of the Corporation.

Section 6.05. Agents and Employees. The board of directors and officers of the Corporation may engage or employ such agents and employees as may be necessary and appropriate to carry out the Corporation's purposes. Any agent or employee may be removed or terminated at any time with or without cause, unless a duly authorized and legally-binding written agreement provides otherwise. Removal without cause is without prejudice to any contract rights, and the appointment of an agent or employee does not itself create contract rights unless otherwise provided for in a duly authorized and legally-binding written agreement.

Section 6.06. Compensation of Officers, Agents, and Employees. The Corporation may pay compensation to its officers, agents, and employees for services rendered, and may reimburse officers, agents and employees for expenses incurred in the performance of their duties to the Corporation, in reasonable amounts, such amounts to be fixed or approved by the board of directors. The board of directors may require agents or employees to give security for the faithful performance of their duties.

ARTICLE VII

Conflict of Interest Policy

Section 7.01. Purpose. The purpose of the conflicts of interest policy is to protect the Corporation's interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or director of the Corporation.

This policy is intended to supplement but not replace any applicable state laws governing conflicts of interest applicable to nonprofit corporations and any applicable federal tax rules dealing with private inurement or other such matters applicable to tax-exempt organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or the corresponding provision of any future federal tax code.

Section 7.02. Definitions.

(a) Interested Person. Any director, officer, or member of a committee with board delegated powers, who has a direct or indirect financial interest, as defined below, is an interested person. If a person is an interested person with respect to any entity with which the Corporation is affiliated, he or she is an interested person with respect to all of the Corporation.

(b) Financial Interest. A person has a financial interest if the person has, directly or indirectly, through business, investment or family --

i) an ownership or investment interest in any entity with which the Corporation has a transaction or arrangement, or

ii) a compensation arrangement with the Corporation or with any entity or individual with which the Corporation has a transaction or arrangement, or

iii) a potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Corporation is negotiating a transaction or arrangement.

Compensation includes direct and indirect remuneration as well as gifts or favors that are substantial in nature. A financial interest is not necessarily a conflict of interest. Under Section 7.03(b), a person who has a financial interest may have a conflict of interest only if the board or appropriate committee decides that a conflict of interest exists.

Section 7.03. Procedures.

(a) Duty to Disclose. In connection with any actual or possible conflict of interest, an interested person must disclose the existence of his or her financial interest and must be given the opportunity to disclose all material facts to the board of directors or committee with board delegated powers considering the proposed transaction or arrangement.

(b) Determining Whether a Conflict of Interest Exists. After disclosure of the financial interest and all material facts, and after any discussion with the interested person, the interested person must leave the board or committee meeting while the remaining board or committee members discuss and decide whether a conflict of interest exists.

(c) Procedures for Addressing the Conflict of Interest.

i) Once a determination that a conflict of interest exists, the interested person may make a presentation at to the board or committee considering the proposed transaction or arrangement, but after such presentation, the interested person must leave the meeting during the discussion of, and any vote on, the transaction or arrangement that results in the conflict of interest.

ii) The president or person chairing the board or committee meeting may, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

iii) After exercising due diligence, the board or committee must determine whether the corporation can obtain a more advantageous transaction or arrangement with reasonable efforts from a person or entity that would not give rise to a conflict of interest.

iv) If a more advantageous transaction or arrangement is not reasonably attainable under circumstances that would not give rise to a conflict of interest, the board or committee may vote to proceed with the proposed transaction or arrangement, if a majority of the disinterested directors determine the transaction or arrangement is in the Corporation's best interest and for its own benefit and that the transaction is fair and reasonable to the Corporation.

(d) Violations of the Conflicts of Interest Policy.

i) If the board or committee has reasonable cause to believe that a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.

ii) If, after hearing the response of the member and making such further investigation as may be warranted in the circumstances, the board or committee determines that the member has in fact failed to disclose an actual or possible conflict of interest, the board or committee may take such disciplinary and corrective action as the board determines is warranted in the circumstances.

Section 7.04. Records of Proceedings. For any proceeding involving the disclosure or discussion of a potential or actual conflict of interest, the minutes of the board or committee must include --

(a) the names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the board's or committee's decision as to whether a conflict of interest in fact existed.

(b) the names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection therewith.

Section 7.05. Compensation.

(a) A voting member of the board of directors who receives compensation, directly or indirectly, from the Corporation for services is precluded from voting on matters pertaining to that member's compensation.

(b) A voting member of any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Corporation for services is precluded from voting on matters pertaining to that member's compensation.

Section 7.06. Annual Statements. Each director, officer, and member of a committee with Board delegated powers shall annually sign a statement which affirms that such person --

(a) has received a copy of the conflicts of interest policy,

(b) has read and understands the policy,

(c) has agreed to comply with the policy, and

(d) understands that the Corporation is a charitable organization and that in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

Section 7.07. Periodic Reviews. To ensure that the Corporation operates in a manner consistent with its charitable purposes and that it does not engage in activities that could jeopardize its status as an organization exempt from federal income tax, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

(a) Whether compensation arrangements and benefits are reasonable and are the result of arm's-length bargaining.

(b) Whether partnership and joint venture arrangements and arrangements with management service organizations conform to written policies, are properly recorded, reflect reasonable payments for goods and services, further the Corporation's charitable purposes and do not result in inurement or impermissible private benefit.

Section 7.08. Use of Outside Experts. In conducting the periodic reviews provided for in Section 7.07, the Corporation may, but need not, use outside advisors. If outside experts are used their use shall not relieve the board of its responsibility for ensuring that periodic reviews are conducted.

ARTICLE VIII

Indemnification and Insurance

Section 8.01. Indemnification. Unless otherwise prohibited by law, the Corporation shall indemnify any member, director or officer, any former member, director or officer, any person who may have served at its request as a director or officer of another corporation, whether for profit or not for profit, and may, by resolution of the board of directors, indemnify any employee or agent (each an "Indemnified Party"), against any and all expenses

and liabilities actually and necessarily incurred, or imposed on the Indemnified Party in connection with any claim, action, suit, or proceeding (whether actual or threatened, civil, criminal, administrative, or investigative, including appeals) to which the Indemnified Party may be or is made a party by reason of being or having been a director, officer, employee, or agent; subject to the limitation, however, that indemnification is not permitted in relation to matters as to which the Indemnified Party is adjudged in such claim, action, suit, or proceeding to be guilty of a criminal offense or liable to the Corporation for damages arising out of the Indemnified Party's own negligence or misconduct in the performance of a duty to the Corporation.

Amounts that may be paid in indemnification of expenses and liabilities include, but are not limited to, counsel fees and other fees; costs and disbursements; and judgments, fines, and penalties against, and amounts paid in settlement by the Indemnified Party. To the extent permitted by law, the Corporation may advance expenses to, or where appropriate may itself, at its expense, undertake the defense of an Indemnified Party; provided, however, that the Indemnified Party must undertake to repay or to reimburse such expenses if it should be ultimately determined that the Indemnified Party is not entitled to indemnification under this Section.

This Section applies to all claims, actions, suits, or proceeding made or commenced after the adoption of these bylaws, regardless of when the disputed act or omission to act occurs.

The indemnification provided by this Section shall not be deemed exclusive of any other rights to which an Indemnified Party may be entitled under any statute, bylaw, agreement, vote of the board of directors, or otherwise, and shall not restrict the power of the Corporation to make any indemnification permitted by law.

Section 8.02. Insurance. The board of directors may authorize the purchase of insurance on behalf of any director, officer, employee, or agent, against any liability asserted against or incurred which arises out of such person's status as a director, officer, employee, or agent of the Corporation, or out of acts taken in such capacity, whether or not the Corporation would have the power to indemnify the person against that liability under law.

If any part of this Section is found in any action, suit, or proceeding to be invalid or ineffective, the validity and the effectiveness of the remaining parts shall not be affected.

Section 8.03. Loans to Directors and Officers. No loans may be made by the Corporation to its directors or officers, provided, however, that nothing in this Section 8.03 prevents the Corporation from advancing funds to any Indemnified Party pursuant to Section 8.01.

ARTICLE IX
Miscellaneous

Section 9.01. Fiscal Year. The Corporation shall operate on the fiscal year ending June 30, or upon any such other fiscal year as may be established by the board of directors.

Section 9.02. Checks, Notes, and Contracts. The board of directors determines who, on behalf of the Corporation, is authorized to sign checks, drafts, or other orders for payment of money; to sign acceptances, notes, or other evidences of indebtedness; to enter into contracts; or to execute and deliver other documents and instruments.

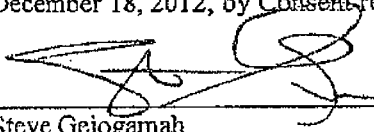
Section 9.03. Books and Records to be Kept. The Corporation shall keep at its principal office in the State of Arizona, correct and complete books and records of account; records of activities and transactions of the Corporation; minutes of the proceedings of the board of directors and committees with board-delegated powers; and a list of current directors and officers, their business and residence addresses, and their preferred means of contact. The minutes, books, and records of the Corporation may be in written form or in any other form capable of being converted into written form within a reasonable time.

Section 9.04. Amendments. The articles of incorporation may be amended or restated, and these bylaws amended, repealed, or restated, by a majority vote of directors then in office, except that no provision of these bylaws or any future bylaws may be adopted, amended, or repealed if such action would cause the Corporation's bylaws to be inconsistent with the Corporation's articles of incorporation; and except that no provision of the articles of incorporation or these bylaws may be adopted, amended, restated, or repealed, if such action would be contrary to, or would cause the articles of incorporation or these bylaws to be in violation of the Arizona nonprofit corporation act or any provision of federal or state law applicable to tax-exempt organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or the corresponding provision of any future federal tax code.

Section 9.05. Exempt Status. Notwithstanding any provision in these bylaws or in the Corporation's articles of incorporation, the Corporation shall not carry on any activities not permitted to be carried on by an organization exempt from federal income tax as an organization described in § 501(c)(3) of the Code or the corresponding provision of any future federal tax code.

CERTIFICATION

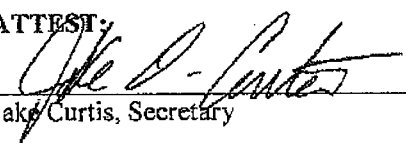
The foregoing Bylaws were adopted by the Board of Directors, and made effective as of December 18, 2012, by Consent resolution signed by all of the Directors of the Corporation.



Steve Geiogamah

12-18-12
Date

ATTEST:



Jake Curtis, Secretary

12-18-12
Date

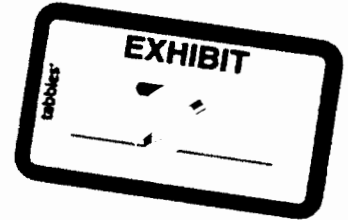


THE NAVAJO NATION

RUSSELL BEGAYE, President
JONATHAN NIZAY, Vice President

Office of Management and Budget

Memorandum



To: Sponsors/Navajo Nation Council Committees

From: 
Dominic Beyer, Executive Director

Date: November 7, 2017

Subject: Proposed Legislation # 0426-17, Supplemental Funding Request From the Navajo Nation UUFB by the Phoenix Indian Center in the Amount of \$148,325

The Phoenix Indian Center has submitted a supplemental funding proposal in the amount of \$148,325 and the Office of Management and Budget reviewed the proposed legislation and the budget that was attached to the proposed legislation and its findings are as follows:

1. The funds appropriated before Phoenix Indian Center started receiving general funds was a special fund coming from a land exchange settlement of the former Phoenix Indian School in the amount of \$148,325. The special revenue funds have not been available now for several years due to a dispute over the settlement payment. The land exchange case has gone through the court and the case has been resolved in favor of the Arizona tribes, including the Navajo Tribe (and Phoenix Indian Center). The land exchange payment case has been handled by the Navajo Nation Department of Justice. The Phoenix Indian Center should soon continue to receive funds from this settlement and, therefore, the requests for the general funds from the Navajo Nation should be reconsidered at that time.
2. This supplemental request was not processed through the required 164 document review process and, therefore, comments by OMB and other Executive Branch programs prior to the proposed legislation is not included. All proposed supplemental budget requests should be routed through the 164 document review process prior to any action by the Navajo Nation Council committees.
3. Several object codes are misplaced on Budget Form 4's and also some cost items have incorrect object codes. Those object codes are as follows:
 - a. Object code 2001 on page 4 of 12 should be changed to 2110 in Part II, Column (A) of the form (page 4 of 12).
 - b. Object code 3000 on page 4 of 12 of Part II, Column (A) should be changed to 3230 for personal travel which includes mileage, per diem, and lodging.
 - c. Other cost items under the 3000 series of object codes such as vehicle rental should be entered separately as 3120 in Part II, Column (A) and object code 3290 should be entered separately as object code 4700 for fuel and 4610 for

- servicing of the PIC vehicle. Object codes 4700 and 4610 should also be included as separate entries with other 4000 object codes series cost items.
- d. Object code 4000 should be changed to object code 4120 and entered in Part II, Column (A) on page 4 of 12 of Budget Form 4.
 - e. Object code 5500 should be changed to object code 5570 as the proper object code on page 5 of 12 and the entry in Column (B) for object code 5570 should be changed to object code 5600 for internet services.
 - f. Object code 6500 on page 5 of 12 in Column (A) should be changed to 6520 for consulting services.
 - g. The Budget Form 4, pages 4 of 12 and 5 of 12 should be changed to reflect the above object code changes and re-entry of amounts in Columns (C) and (D). Column (D) amounts should agree with entries in Budget Form 1 in Part III, Column (B).
4. There are numerous UUFBS supplemental budget requests (in excess of \$60 million) before the various Navajo Nation Council committees which are more than the funding available. The Navajo Nation Council, in its budget resolution, CS-53-17, directed "the Branch Chiefs of the Executive, Legislative and Judicial Branches to prioritize the unmet needs of their respective branches and to prepare appropriate budgets for such prioritized needs for potential consideration of supplemental appropriations in Fiscal Year 2018....." This has not been done, except by the Judicial Branch. The Navajo Nation Council with the many supplemental budget requests to be considered should follow its own rules rather than simply inviting any and all Navajo Nation programs or entities to submit supplemental budget requests without some way to address the many requests and to insure those that need funds the most are strongly considered.

The above findings by the Office of Management and Budget need to be addressed as soon as possible or before the supplemental budget is presented to the Navajo Nation Council committees. Mr. Emmett Francis, phone 928-871-7648, with the Office of Management and Budget is available for any inquiries regarding this memorandum or for assistance with any part of the correction of the proposed supplemental budget.

VOTING TALLY SHEET

NAVAJO NATION

RCS# 1005

Special Session

2/16/2018

08:26:19 PM

Amd#1 to Amd#

Insert new budget form 2
with modification

PASSED

MOT Damon

SEC Begay, S

Yea : 13

Nay : 0

Excused : 3

Not Voting : 8

Yea : 13

Begay, K
Begay, S
BeGaye, N
Bennett

Chee
Damon
Hale

Perry
Slim
Smith

Tso
Witherspoon
Yazzie

Nay : 0

Excused : 3

Brown

Shepherd

Tsosie

Not Voting : 8

Bates
Begay, NM

Crotty
Daniels

Filfred
Jack

Pete
Phelps

NAVAJO NATION

RCS# 1006

Special Session

2/16/2018

08:28:32 PM

Amd# to Amd#

Legislation No. 0426-17

PASSED

MOT Begay, K

Phoenix Indian Center

SEC Damon

\$148,325.00 - utilizing UUF
B funds

Yea : 12

Nay : 1

Excused : 3

Not Voting : 8

Yea : 12

Begay, K

Bennett

Hale

Smith

Begay, S

Chee

Perry

Witherspoon

BeGaye, N

Damon

Slim

Yazzie

Nay : 1

Tso

Excused : 3

Brown

Shepherd

Tsosie

Not Voting : 8

Bates

Crotty

Filfred

Pete

Begay, NM

Daniels

Jack

Phelps

Original Legislation

2/16/18

EMIBS

Committee report.

A-C

HEHSC - 10/3/17

BFC - 11/9/2017

NAI - 12/28/17

m: Kee Allen Begay Jr.

s: Seth Damon

v: 12,1

LEGISLATIVE SUMMARY SHEET

Tracking No. 0426-17

CF - 9/1-18

DATE: October 18, 2017

TITLE OF RESOLUTION: AN ACTION RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES, BUDGET AND FINANCE, NAA'BIK'ÍYÁTT', AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF ONE HUNDRED FORTY-EIGHT THOUSAND THREE HUNDRED TWENTY-FIVE DOLLARS (\$148,325.00) FOR PHOENIX INDIAN CENTER, BUSINESS UNIT NUMBER 119025

PURPOSE: This resolution will approve supplemental funding from the Unreserved, Undesignated Fund Balance in the amount of one hundred forty-eight thousand three hundred twenty-five dollars (\$148,325.00) for Phoenix Indian Center.

This written summary does not address recommended amendments as may be provided by the standing committees. The Office of Legislative Counsel requests each Council Delegate to review each proposed resolution in detail.

Amendment 1

Replace Form 2

Controller Memo 2/14/18

pg 3, line 10 ~~\$31,258,307~~ \$27,717,798

m: Seth Damon

s: Seth Damon

v: 13,1

5-DAY BILL HOLD PERIOD: SNOWY
Posting Time/Date: 7:28pm 10/23/17
End Date: 10/23/2017
For Action: 10/24/2017

Health, Education & Human Services Comm

THENCE

Budget & Finance Committ

THENCE

Naa'bi'k'iyáti' Committe

THENCE

Navajo Nation Council

PROPOSED NAVAJO NATION COUNCIL RESOLUTION

23rd NAVAJO NATION COUNCIL – Third Year, 2017

INTRODUCED BY

Jonathan L. Hale

(Prime Sponsor)

TRACKING NO. 0426-17

AN ACTION

RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES, BUDGET AND
FINANCE, NAA'BIK'ÍYÁTI', AND NAVAJO NATION COUNCIL; APPROVING
SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND
BALANCE IN THE AMOUNT OF ONE HUNDRED FORTY-EIGHT THOUSAND
THREE HUNDRED TWENTY-FIVE DOLLARS (\$148,325.00) FOR PHOENIX INDIAN
CENTER, BUSINESS UNIT NUMBER 119025

BE IT ENACTED:

Section One. Authority

A. The Navajo Nation established the Health, Education and Human Services
Committee as a Navajo Nation Council standing committee and as such gave the
Committee oversight to oversee all health and social services related activities of the
Navajo Nation and its tribal organizations, enterprises, relating to the delivery of health,
environmental health and social services including research, planning and prevention. 2
N.N.C. §§ 400(A), 401(C)(2).

B. The Navajo Nation established the Budget and Finance Committee (BFC) as a
Navajo Nation Council standing committee and as such empowered BFC to review and

1 recommend to the Navajo Nation Council the budgeting and management of all funds.
2 N.N.C. §§ 300 (A), 301 (B)(2).

3 C. The Navajo Nation Council established the Naabik'iyáti' Committee as a Navajo
4 Nation standing committee and as such proposed legislation that requires final action
5 by the Navajo Nation Council shall be assigned to the Naabik'iyáti' Committee. 2
6 N.N.C. §§ 164 (A)(9), 700 (A).

7 D. The Navajo Nation Council is the governing body of the Navajo Nation. 2 N.N.C.
8 § 102 (A).

9 E. The Title 12 Appropriations Act Supplemental Appropriation requirements include:

10 1. When the Controller identifies additional sources of revenues above and beyond
11 the initial or current revenue projections, supplemental appropriations may be
12 allocated by the Navajo Nation Council. 12 N.N.C. § 820(L).

13 2. Supplemental appropriations made from non-recurring revenues shall only be
14 made for non-recurring operations or purposes, as set forth at § 820(F). The
15 Controller of the Navajo Nation shall be responsible for designating recurring and
16 non-recurring revenues. 12 N.N.C. § 820(L).

17 3. All requests for annual operating funds and supplemental funds shall be
18 submitted to the Office of Management and Budget ("OMB") for budget impact
19 analysis. 12 N.N.C. § 820(M).

20 21 **Section Two. Findings**

22 A. Phoenix Indian Center, Inc. is a nonprofit organization in Maricopa County
23 (Arizona). Generally, the center assists American Indians in the urban
24 community with employment, educational, cultural enrichment and community
25 engagement services.

26 B. Navajo people living in Maricopa County make up approximately 30% of the
27 urban American Indian population.

28 C. Phoenix Indian Center, Inc. is requesting from the Navajo Nation \$148,325.00
29 from the Unreserved, Undesignated Fund Balance (UUFB). Funding from the
30 Navajo Nation will be used for social services for Navajo people at the center.

1 The services will include Navajo language and culture classes, seminars and
2 informational sessions. A portion of the funding will also be used for staff
3 providing the services.

4 D. The Grant Application and supplemental funding request forms are attached as
5 **Exhibit A.** *is attached as Exhibit D*

6 E. The Office of Management and Budget is provided notice of the funding request
7 and ~~may submit a budget analysis pursuant~~ to 12 N.N.C. § 820(M).

8 F. The Office of the Controller has provided a memorandum dated September 8, 2017 *Feb 14, 2018*
9 indicating the balance in the Unreserved, Undesignated Fund Balance as of September *Feb 14, 2018*
10 8, 2017 is ~~\$31,258,307~~ *\$27,717,718*. This memorandum is provided to meet the requirements of 12

11 N.N.C, 820 (L), however the Controller of the Navajo Nation has not designated the
12 funds as recurring or non-recurring. ~~This memorandum is attached as Exhibit B.~~ *The* ~~Navajo Nation has not designated the~~ *Navajo Nation has not designated the*

13 G. The request for funds must meet the grant budget requirements of 1) an approved
14 plan of operation, 2) budget request must be part of a recommended division or branch
15 budget, 3) the respective oversight [committee] of the division or branch must
16 recommend the request; in addition shall be submitted to the Office of Management and
17 Budget on appropriate budget forms. See Fiscal Year 2018 Budget Instruction Manual,
18 Section XI(B), *as Exhibit D.*

19 H. The Navajo Nation finds it in the best interest of the Navajo Nation that
20 supplemental appropriations be made for the Phoenix Indian Center to continue
21 services to Navajos within the Phoenix urban area. See, organizational Articles of
22 Incorporation and By-laws attached as **Exhibit C.**

23
24 **Section Three. Approving the Supplemental Appropriation from the Unreserved,**
25 **Undesignated Fund Balance in the Amount of \$148,325.00 for Phoenix Indian**
26 **Center, BU No. 119025.**

27
28 A. This supplemental appropriation of \$148,325.00 shall be from that amount of funds
29 that exceeds the minimum fund balance of the Unreserved, Undesignated Fund Balance
30

1 as determined by the Office of the Controller and to the Phoenix Indian Center, BU No.
2 119025.

3 B. The Navajo Nation hereby approves the supplemental appropriation from the
4 Unreserved, Undesignated Fund Balance to the Phoenix Indian Center to continue
5 services to Navajos in the Phoenix urban area.

6
7 **Section Four. Effective Date**

8 The provisions of this Act shall become effective in accord with 2 N.N.C. §
9 221(B).

The Navajo Nation Grant Application

Name of Applicant: Phoenix Indian Center		Telephone No.: 602-264-6768
Mailing Address: 4520 N Central Ave. Suite 250 Phoenix, AZ 85012		Email: phibbeler@phxindcenter.org
Physical Address: 4520 N Central Ave. Suite 250 Phoenix, AZ 85012		IRS TIN/EIN No: 86-6006566
NN Grant Request Amount: 148,325.00	Grant Start Date: 10/1/2017	Grant End Date: 9/30/2018

Brief Description of the Organization or Entity Requesting the Grant:

The Phoenix Indian Center (PIC), established in 1947, is the oldest agency in the United States dedicated to improving the health and well-being of American Indian people by providing quality, culturally based workforce, language/culture, education and youth services. PIC works to build strong and lasting partnerships, and create strong leadership grounded in cultural values and honoring our history as an organization and peoples. The Navajo Language & Culture Program provides education, cultural enrichment & community engagement for urban Navajos residing in Maricopa County.

PROBLEMS/NEEDS STATEMENT

Briefly describe the problems/needs identified based on relevant and collected data. The statement should be brief, clear and concise, including population (Navajo) to be served and location of the population.

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (356,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban-living American Indian population in Maricopa County (off reservation) was 127,364 with 30% (38,209) being Navajo. Phoenix is the 3rd largest city with American Indian people in the United States. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community outreach events. 6 of every 10 clients to the Phoenix Indian Center identify themselves as Navajo tribal members. It is evident that many Navajo people relocate to the Phoenix area for various reasons including employment and/or to pursue higher education. Currently, many Navajo members who are no longer surrounded by their language report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language and have limited cultural knowledge. Since 2005 to date, 5,158 students participated in Navajo language and culture classes while a total of 12,133 people attended Seasonal Storytelling events and presentations. This funding will provide continued opportunities for the urban Navajo community members to engage and to learn their heritage language, culture, and traditions which can promote self identity and self sufficiency. Additionally this project will allow for a continued partnership with Navajo School clothing program office and allow local Phoenix area students to participate and receive clothing for school.

METHODOLOGY

Briefly describe the approaches, services, and/or ways the problems or needs which will be addressed with the grant.

The problems and objectives discussed will be addressed through the following approach: Navajo language and culture classes will be offered to address the need to grow more Dine speakers and gain knowledge and preservation of cultural practices. Navajo language and culture classes will be offered on a semester basis and varied throughout the week on different days, times and locations for both youth and adults. Class offerings to date have included Adult Beginning Navajo Language, Adult Intermediate Navajo Language, Navajo Literacy, Intergenerational Language and Culture, Children's Navajo Language, Dine Culture, Navajo Singing Language Class and Navajo Weaving. Additionally, special seasonal cultural story telling events using Navajo experts to share cultural teachings with the Navajo people residing in the Phoenix Metro will be offered. Organizing Dine Culture camps is another avenue chosen to provide education in language and culture to the urban Navajo population, thus preserving and growing the Dine knowledge. Finally, Phoenix area Navajo families with enrolled students will have the opportunity to participate and receive Navajo school clothing through the partnership with Navajo School Clothing Program offered by the Navajo Nation.

To the best of my knowledge and belief, all data in this application are true and correct. The document has been duly authorized by the governing body of the applicant and the applicant will comply with the Navajo Nation terms and conditions if the grant is awarded.

Signature of Authorized Representative

Patricia K. Hibbeler
Type Name of Authorized Representative

09.12.17

Date Signed

Chief Executive Officer
Title

**THE NAVAJO NATION
SUPPLEMENTAL FUNDING PROPOSAL SUMMARY**

PART I. Business Unit No.: <u>119025</u>		Program Title: <u>Phoenix Indian Center</u>	
Division/Branch: _____		Amount Requested: <u>148,325.00</u>	Phone No.: <u>502-264-6768</u>
Prepared By: <u>Becky Martin</u>		Email Address: <u>bmartin@phxindcenter.org</u>	

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (358,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban-living American Indian population in Maricopa County (off reservation) was 127,364 with 30% (38,209) being Navajo and a young population with 46.4% being under the age of 24. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community events. Characteristics of our customer base show that 28% are either part-time or full-time employed, 75% of these households report less than \$10,000 income per year and 61% of these households have 3 children. Of these, depending upon which service being accessed, up to 65% are Navajo. Many of these individuals report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language or significant cultural knowledge. They continue to seek opportunities to learn their heritage language, culture, traditions and stories, as well as access support services needed by low income families, thus availing themselves of all the services provided by the Phoenix Indian Center. This funding will provide continued opportunities for the urban Navajo community members to engage and to learn their heritage language, culture and traditions which can promote self-identity and self sufficiency. Additionally, this project will allow for a continued partnership with Navajo Nation services including the Navajo school clothing program office and allow local Phoenix area students to participate and receive clothing for school.

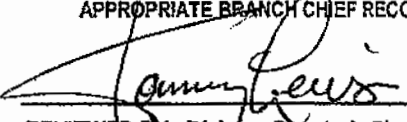
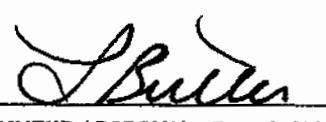
PART III. CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

The Phoenix Indian Center does not have funding available to offer the robust program, that has been provided for over 17 years. Offerings would be extremely minimal if at all.

PART IV. ALTERNATIVE FUNDING SOURCES BEING PURSUED:

The Phoenix Indian Center will continue to identify possible funding sources and submit competitive funding where applicable.

PART V. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.

 _____ REVIEWED BY: Division Director's Signature / Date	 <u>10/5/17</u> _____ RECOMMEND APPROVAL: Branch Chief's Signature / Date
--	--

FY 18

THE NAVAJO NATION PROGRAM BUDGET SUMMARY

Page 1 of 12
BUDGET FORM 1

PART I. Business Unit No.: 119025		Program Title: Phoenix Indian Center		Division/Branch:	
Prepared By: Becky Martin		Phone No.: 602-264-6768		Email Address: bmartin@phxindcenter.org	

PART II. FUNDING SOURCE(S)			PART III. BUDGET SUMMARY					
Fiscal Year Term	Amount	% of Total	Fund Type Code	UNC Approved Original Budget	Proposed Budget	Difference (Column B - A)		
US Department of Labor	07/01/17-06/30/18	1,379,577.00	40%					
Az Governor's Office	07/01/17-06/30/18	200,000.00	6%					
Macy/Maitcopa Integrated Care	07/01/17-06/30/18	140,964.00	4%	2001 Personnel Expenses	1	69,670	38,070	(31,600)
Valley of the Sun United Way	07/01/17-06/30/18	51,277.00	1%	3000 Travel Expenses	1	5,520	8,190	2,670
US Department of Education	10/01/17-09/30/18	588,171.00	17%	3500 Meeting Expenses		0	0	0
UIEB/Supplemental Funds	10/01/17-09/30/18	148,325.00	4%	4900 Supplies	1	4,100	9,800	5,700
Other (Donations, fundraisers, etc)	07/01/17-06/30/18	953,394.00	28%	5000 Lease and Rental	1	18,000	18,000	0
				5500 Communications and Utilities	1	200	300	100
				6000 Repairs and Maintenance		0	0	0
				6500 Contractual Services	1	14,000	28,260	14,260
				7000 Special Transactions	1	1,200	1,500	300
				8000 Public Assistance	1	15,180	23,750	8,570
				9000 Capital Outlay		0	0	0
				9500 Matching Funds		0	0	0
				9500 Indirect Cost	1	20,455	20,455	0
				TOTAL		\$148,325.00	148,325.00	0

PART IV. POSITIONS AND VEHICLES	
(D) Total # of Positions Budgeted:	(E) Shared positions
0	NA
NA	NA

TOTAL # of Permanently Assigned Vehicles: NA

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

Submitted By: Patricia Hibbler *Patricia Hibbler* 09.28.17

APPROVED BY: Program Managers, Printed Name and Signature / Date

APPROVED BY: Division Director/Branch Chief's Printed Name and Signature / Date

FY 18

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

Page 2 of 12
BUDGET FORM 2**PART I. PROGRAM INFORMATION:**

Business Unit No.: 119925

Program Name/Title:

Phoenix Indian Center

PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:

Amended Articles of Incorporation passed on January 9, 2001, signed by Jo Lynn Genly-Lewis, President and Patty Dimitriou, Secretary and Certified by Carolyn Ortiz. The primary purpose of the program is to provide programs and services in answer to the needs of Native Americans residing in the metro-Phoenix area.

PART III. PROGRAM PERFORMANCE CRITERIA:

1. Program Performance Area:

Number of students completing Navajo language & culture classes

Goal Statement:

Educate more urban Navajo Tribal members in Navajo language & understanding of culture

2. Program Performance Area:

Number of participants in Seasonal Stories and Culture Nights

Goal Statement:

Share knowledge of Navajo traditional stories and traditions including performances

3. Program Performance Area:

Number of persons/families receiving case management/intake services

Goal Statement:

Promote urban self-sufficiency and living skill improvement

4. Program Performance Area:

Number of eligible Navajo families receiving financial emergency assistance

Goal Statement:

Promote urban self-sufficiency and stabilize Navajo families in crisis

5. Program Performance Area:

Number of persons/families receiving skill building, substance abuse and suicide prevention

Goal Statement:

Improve coping skills for living in a large city environment through prevention services

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Patricia Hibbeber

Program Manager's Printed Name and Signature/Date

09/28/17

Division Director/Branch Chiefs Printed Name and Signature / Date

FY 18

THE NAVAJO NATION
LISTING OF POSITIONS AND ASSIGNMENTS BY BUSINESS UNITS

Page 3 of 12
BUDGET FORM 3

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WORKSITE CODE	FY 2017 ACTUAL G/S	SALARY	FY 2018 PROPOSED HOURS	BUDGET
2130 N/A		3874	Instructor, Language & Cul N/A		PIC		62348	1040	31174

FY 18

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

Page 4 of 12
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Business Unit No.:	
Phoenix Indian Center		119025	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 5)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
2001	Personnel Expenses		38,070
2130	Personnel Regular Part time (4.5 FTE) 1040 hrs @ 29.97/hr. Average 20 hours per week	31,174	
2300	Fringe Benefits	6,896	
2912	FICA @ .062% * 31,174 = 1,892.78		
2914	Medicare @ .0145% * 31,174 = 452.02		
2921	Medical Insurance @ .7% * 31,174 = 2,182.18		
2928	Life Insurance @ .005% * 31,174 = 155.87		
2940	Retirement @ .3% * 31,174 = 935.22		
2951	Unemployment @ .0072% * 31,174 = 847.93		
2950	Workers Compensation @ 1.251% * 31,174 = 389.59		
3000	Travel Expenses		8,190
3210	Vehicle rental, truck for Navajo Clothing = \$1,750 one time rental fee based on historical costs including fuel		
3280	Mileage 4,513 miles/year (300 miles/month - for summer camp, meetings and local to classes) * 0.545 = \$2,460		
3240	Per Diem 12 days @ \$75/day = \$900 Costs associated with conducting business with the Navajo		
3260	Lodging 8 days @ \$160/day = \$1,280 Costs associated with conducting business with the Navajo in Window Rock, Navajo Clothing and meetings		
3290	Cost of fuel, service, etc. for PIC vehicle @ \$150/month * 12 months = \$1,800 Estimate based on historical data		
4000	Supplies		9,800
4130	General Office Supplies: Supplies such as pens, paper, files, etc. at the rate of \$150 per month	1,000	
4410	Operating Supplies	8,800	
4420	General Operating Supplies: Estimated cost for new program materials excluding weaving looms for student use including books, cultural materials and supplies, etc. at \$5,000 for the year.		
4450	Postage, Courier, Shipping: Estimated cost of \$250/quarter x 4 quarters = \$1,000		
4530	Printing, Binding Photography: Estimated cost of \$500/quarter x 4 quarters = \$2,000		
5110	Building		18,000
	5120 Office Space Costs are based on 900 square feet for 1 offices and one classroom at \$20/sq. ft. * 900 = \$18,000 (PIC occupies 14,000+ sq ft and pays \$22.385/month in rent		
	TOTAL	74,050	74,050

FY 18

THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

Page 5 of 12
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Phoenix Indian Center	
Business Unit No.:		707000	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (AOD 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
6500	Communications and Utilities 6570 Internet allocated portion of internet services at \$25/month * 12 months = 300	300	300
6600	Contractual Services 6630 Fees: Consulting fee for cultural consultants for 6 cultural events per year at \$1,000 per event for a total of \$6,000. Consulting/instruction fees for Navajo singing and weaving classes during the year at \$1,000 per semester = 3 semesters for 2 consultants for a total of \$6,000. Culinary Consultants for portion of L2W summer camp \$2,000. Summer sheep camp 10 students and 2 staff travel and per diem expenses for week long camp \$51 per day x 5 days x 12 people for a total of \$3,060 Consultants for Seasonal stockpiling sessions, \$1,000 x 4 sessions for a total of \$4,000 Balance (\$1,400.00) is for unknown/unbudgeted costs that may occur	22,660	28,260
6630	Audit 6630 - Audit fees an allocated portion of the total fees for the annual audit	2,500	
6690	Subcontracted Services 6690 Subcontracted Services-Payroll processing: Estimated cost of \$26.00 per month x 12 months for pro rata share of Payroll System cost. 6690 Subcontracted Services-Network services: Estimated cost of \$250.00 x 12 months per quarter share of cost for antivirus protection and network maintenance.	3,300	
7710	7400 Special Transactions Insurance Premiums 7720 Liability, & property insurance: Allocated cost for liability insurance for PIC and all programs. Coverage is for \$2M liability and a Multi-Pert Commercial policy. Allocation is budget based.	1,500	1,500
8020	Public Assistance 8060 Emergency Assistance: To meet emergency housing, utility, transportation and other emergency needs. It is estimated that PIC will provide an average of \$750.00 per client for rental assistance for at least 25 clients (cost = \$18,750 and the balance (cost = \$5,000) will be used for other emergency needs, i.e. utilities, transportation, etc. We receive FEMA/FSP emergency rental funds and will use those guidelines for rental assistance.	23,750	23,750
9710	IDC 9720 Indirect Costs Charged at approved rate of 16.5% which is negotiated with the US Department of Labor	20,455	20,455
		74,265	74,265

FY 18

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

 Page 1 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center

Contract/Grant No.: US Department of Labor

Funding Period: 07/01/17-06/30/18

K #:

Prepared by: Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

Major Object Code and Description	(A)		(B)		(C)		(D)	
			Current Award		Anticipated Funding		Difference	
			Fiscal Year 18		Fiscal Year		(C) - (B)	
2001	Personnel Expenses		806,623		806,623		-	
3000	Travel Expenses		34,500		34,500		-	
3500	Meeting Expenses		7,500		7,500		-	
4000	Supplies		39,300		39,300		-	
5000	Lease and Rental		139,000		139,000		-	
5500	Communication and Utilities		4,000		4,000		-	
6000	Repairs and Maintenance		-		-		-	
6500	Contractual Services		157,000		157,000		-	
7000	Special Transaction		-		-		-	
8000	Assistance		31,956		31,956		-	
9000	Capital Outlay		-		-		-	
9510	Matching - Cash		-		-		-	
9610	Matching - In - Kind		-		-		-	
9710	Indirect Cost (Overhead) Allocation		159,698		159,698		-	
	TOTALS:		1,379,577		1,379,577		-	

PART IV. FTES/MATCH FUNDS:

MATCHING FUND REQUIRED:

CONCURRED BY:

No. of Positions/ FTES:

Required GF Cash Match:

Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): *Shirley A. Hildebrand*Signature/Date: *[Signature]* 09.28.17Approved by (print): *Tommy Lewis*Signature/Date: *[Signature]*

FY 18

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Arizona Governor's Office

Funding Period: 07/01/17-06/30/18
K #:
Prepared by: Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

Major Object Code and Description	(A)	(B)	(C)	(D)
		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001 Personnel Expenses		104,312	104,312	-
3000 Travel Expenses		1,602	1,602	-
3500 Meeting Expenses		-	-	-
4000 Supplies		6,890	6,890	-
5000 Lease and Rental		24,000	24,000	-
5500 Communication and Utilities		1,350	1,350	-
6000 Repairs and Maintenance		-	-	-
6500 Contractual Services		33,520	33,520	-
7000 Special Transaction		-	-	-
8000 Assistance		-	-	-
9000 Capital Outlay		-	-	-
9510 Matching - Cash		-	-	-
9610 Matching - In - Kind		-	-	-
9710 Indirect Cost (Overhead) Allocation		28,326	28,326	-
TOTALS:		200,000	200,000	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): *ARICA HIBBER*
Signature/Date: *[Signature]* 09.28.17

Approved by (print): *[Signature]*
Signature/Date: *[Signature]*

FY 18

**THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION**

Page 9 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Mercy Maricopa Integrated Care

Funding Period:

07/01/17-06/30/18

K #:

Prepared by:

Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT**PART III. BUDGET INFORMATION:**

(A) Major Object Code and Description		(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Column (C) - (B)
2001	Personal Expenses	85,121	85,121	-
3000	Travel Expenses	1,781	1,781	-
3500	Meeting Expenses	3,000	3,000	-
4000	Supplies	13,900	13,900	-
5000	Lease and Rental	9,600	9,600	-
5500	Communication and Utilities	480	480	-
6000	Repairs and Maintenance	-	-	-
6500	Contractual Services	15,000	15,000	-
7000	Special Transaction	-	-	-
8000	Assistance	-	-	-
9000	Capital Outlay	-	-	-
9510	Matching - Cash	-	-	-
9610	Matching - In-Kind	12,082	12,082	-
9710	Indirect Cost (Overhead) Allocation	-	-	-
TOTALS:		140,964	140,964	-

PART IV. FTE/MATCH FUNDS:

No. of Positions/ FTEs:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In-Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Signature/Date:

09.28.17

Approved by (print):

Signature/Date:

FY 18

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

Page 10 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Valley of the Sun United Way

Funding Period:

07/01/17-06/30/18

K #:

Prepared by:

Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Column (C) - (B)
2001	Personnel Expenses	44,218	44,218	-
3000	Travel Expenses	200	200	-
3500	Meeting Expenses	1,759	1,759	-
4000	Supplies	1,500	1,500	-
5000	Lease and Rental	400	400	-
5500	Communication and Utilities	200	200	-
6000	Repairs and Maintenance	3,000	3,000	-
6500	Contractual Services	51,277	51,277	-
7000	Special Transaction			
8000	Assistance			
9000	Capital Outlay			
9510	Matching - Cash			
9610	Matching - In - Kind			
9710	Indirect Cost (Overhead) Allocation			
TOTALS:		51,277	51,277	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In - Kind Match:

Contracting Officers Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Signature/Date:

09/28/17

Approved by (print):

Signature/Date:

Benny Lewis

FY 18

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

 Page 11 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

 Program Name/Title: Phoenix Indian Center
 Contract/Grant No.: US Department of Education

Funding Period:

10/01/15 - 09/30/18

K #:

Prepared by:

Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001	Personnel Expenses	374,732	374,732	-
3000	Travel Expenses	17,000	17,000	-
3500	Meeting Expenses	9,500	9,500	-
4000	Supplies	16,884	16,884	-
5000	Lease and Rental	25,000	25,000	-
5500	Communication and Utilities	500	500	-
6000	Repairs and Maintenance	-	-	-
6500	Contractual Services	40,250	40,250	-
7000	Special Transaction	-	-	-
8000	Assistance	18,500	18,500	-
9000	Capital Outlay	-	-	-
9510	Matching - Cash	-	-	-
9610	Matching - In - Kind	-	-	-
9710	Indirect Cost (Overhead) Allocation	65,805	65,805	-
TOTALS:		568,171	568,171	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

Required GF Cash Match:

Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

 Submitted by (print): James A. Hibel
 Signature/Date: 09.28.17

 Approved by (print): Johnny Lewis
 Signature/Date: Johnny Lewis

FY 18

THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

 Page 12 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

 Program Name/Title: Phoenix Indian Center
 Contract/Grant No.: Foundations & Fundraising

Funding Period:

07/01/17-06/30/18

K #:

Prepared by:

Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Column (C) - (B)
2001	Personnel Expenses	409,084	409,084	-
3000	Travel Expenses	37,902	37,902	-
3500	Meeting Expenses	47,500	47,500	-
4000	Supplies	41,915	41,915	-
5000	Lease and Rental	61,200	61,200	-
5500	Communication and Utilities	35,318	35,318	-
6000	Repairs and Maintenance	15,000	15,000	-
6500	Contractual Services	98,220	98,220	-
7000	Special Transaction	2,500	2,500	-
8000	Assistance	145,000	145,000	-
9000	Capital Outlay	-	-	-
9510	Matching - Cash	-	-	-
9610	Matching - In - Kind	59,755	59,755	-
9710	Indirect Cost (Overhead) Allocation	953,394	953,394	-
TOTALS:		953,394	953,394	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Signature/Date:

09.28.17

Approved by (print):

Signature/Date:

 Approved by (print): Joseph Lewis
 Signature/Date: January 1, 2018



THE NAVAJO NATION

RUSSELL BEGAYE PRESIDENT
JONATHAN NEZ VICE-PRESIDENT



Memorandum

Date: September 8, 2017

To: Honorable Russell Begaye, President
Office of the President/Vice President

Honorable Lorenzo Bates, Speaker
Navajo Nation Council

Honorable Thomas J Holgate, Acting Chief Justice
Judicial Branch

From: *Pearline Kirk*
Pearline Kirk, Controller
Office of the Controller

Subject: Financial Update-(General Fund Revenue, Expenditures, UUFB)

The gross General Fund Revenues, (see Exhibit "A"), as of August 31, 2017 is \$203,053,235, (see Footnote 1). The total General Fund set asides is \$ \$51,749,599 (Footnote 2). The Net Revenue for the General Fund is \$151,303,727 (see Footnote 3). The permanent fund income transfer is \$24,411,000 (Footnote 4). The reserve taken for the permanent fund income transfer is \$2,885,000 (Footnote 5). The grand total revenue for the General Fund is \$172,829,727 (see Footnote 6) which is 98.78% of the projection.

Next, are the total expenditures by Branch, (see Exhibit "B"). as of August 31, 2017, the Legislative Branch has expenditures of \$14,836,331 (see Footnote 1a); encumbrances of \$822,493 (see Footnote 1b) with a remaining budget of \$3,307,669 (see Footnote 1c). The Executive Branch expenditures are \$162,500,722 (see Footnote 2a); encumbrances are \$7,206,122 (see Footnote 2b) with a remaining budget of \$37,797,219 (see Footnote 2c). The Judicial Branch expenditures are \$11,718,156 (see Footnote 3a); with encumbrances of \$73,692 (see Footnote 3b) and remaining budget of \$2,356,349 (see Footnote 3c). Total General Fund expenditures are \$189,055,209 (see Footnote 4a); total encumbrances are \$8,102,307 (see Footnote 4b) with an overall remaining budget of \$43,461,236 (see Footnote 4c).

The updated Undesignated Unreserved Fund Balance (UUFB), (see Exhibit "C") as of September 8, 2017 is \$31,258,217. The Fiscal Year 2016 audited numbers were approved via CJY-51-17 and an amount of \$11, 728,198 that was previously reserved for debt service for capital projects was returned back to the UUFB with the approval of CJY-50-17. These two amounts have been incorporated into this new schedule.

Thank you, if you should have any question please feel free to call me at tribal extension X6308.



THE NAVAJO NATION
General Fund Revenue Schedule
(Unaudited)
August 31, 2017

FY 2017

GENERAL FUND REVENUE				Actual Revenue Received	Revenue to be collected	% Revenue of Total
Original Budget	Revised Budget					
\$ 24,700,000	\$ 24,700,000			22,503,079	\$ 2,196,921	91.11
55,850,000	55,850,000			51,709,402	4,140,598	92.59
				79,149	(79,149)	
58,450,000	58,450,000			59,393,523	(943,523)	101.61
				80,415	(80,415)	
1,600,000	1,600,000			4,249,477	(2,649,477)	265.59
64,150,000	64,150,000			63,125,774	1,024,226	98.40
400,000	400,000			379,897	20,103	94.97
500,000	500,000			1,270,889	(770,889)	254.18
				111,327	(111,327)	
				114	(114)	
				150,280	(150,280)	
	\$ 205,650,000			203,053,325	(1) \$ 2,596,675	98.74
TOTAL REVENUE						
	\$ 205,650,000					
LESS: SET ASIDES						
CAPITAL OUTLAY MATCH	(2,000,000)			(2,000,000)		100.00
LAND FUND TRANSFER	(4,113,000)			(4,061,067)	(51,933)	98.74
PERMANENT FUND TRANSFER	(24,678,000)			(24,366,399)	(311,601)	98.74
WATER RIGHTS CLAIM FUND	(2,000,000)			(2,000,000)		100.00
DINE' HIGHER EDUCATION	(11,200,000)			(11,200,000)		100.00
VETERANS TRUST FUND S	(8,226,000)			(8,122,133)	(103,867)	98.74
	\$ (52,217,000)			\$ (52,749,599)	(2) \$ (467,401)	99.10
TOTAL SET ASIDE						
	\$ 153,433,000			\$ 151,303,727	(3) \$ 2,129,273	98.61
SUB TOTAL						
	\$ 153,433,000					
PERMANENT FUND INCOME TRANSFER						
OTHER REVENUE TRANSFER	24,411,000			\$ 24,411,000	(4) \$	100.00
LESS: PF FIVE-YEAR CONTINGENCY	(2,885,000)			(2,885,000)	(5)	100.00
	\$ 21,526,000			\$ 21,526,000		100.00
TOTAL PFI TRANSFER						
	\$ 21,526,000			\$ 21,526,000		100.00
NET PFI TRANSFER						
	\$ 21,526,000					
GRAND TOTAL						
	\$ 174,959,000			\$ 172,829,727	(6) \$ 2,129,273	98.78

- (1) Gross General Fund Revenues
- (2) Total Set Asides for General Fund Revenue
- (3) Net General Fund Revenue
- (4) Permanent Fund Income allocation to General Fund
- (5) Permanent Fund Income allocation reserve (CO-54-16)
- (6) Grand total General Fund Revenues

EXHIBIT "A"

Prepared by:
General Accounting
9/8/2017



FY 2017
EXHIBIT "B"

The Navajo Nation
Budget Status_Income Statement
As of August 31, 2017

Branch / Object Account	Original Budget	Revised Budget	Actual Expenses	Encumbrances	Budget Available	% Available
LEGISLATIVE BRANCH						
2001 - Personnel Expenses	\$ 10,651,778	\$ 12,278,822	\$ 10,619,486		\$ 2,259,367	13.40
3000 - Travel Expenses	1,321,631	1,765,310	1,672,327	46,213	545,274	30.89
3500 - Meeting Expenses	159,932	399,828	210,881	-	204,416	51.13
4000 - Supplies	196,565	744,878	455,884	31,130	248,147	33.32
5000 - Lease & Rental	212,423	226,684	179,129	10,417	50,024	22.07
5500 - Communications & Utilities	119,335	156,633	134,035	-	60,813	38.83
6000 - Repairs & Maintenance	44,547	152,081	74,100	7,525	57,978	38.12
6500 - Contractual Services	541,691	1,553,788	963,771	462,824	197,081	12.68
7000 - Special Transactions	294,373	618,408	411,587	42,876	239,264	38.63
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	20,000	1,069,274	815,172	221,509	586,817	54.88
9500 - Matching & Indirect Cost	-	-	-	-	-	-
Total LEGISLATIVE BRANCH	\$ 13,562,275	\$ 18,983,493	\$ 14,838,331	(1a) \$ 822,493	(1b) \$ 3,307,669	(1c) 17.44
EXECUTIVE BRANCH						
2001 - Personnel Expenses	82,554,098	85,757,948	67,342,879	-	18,415,068	21.47
3000 - Travel Expenses	9,498,593	9,498,299	8,499,697	8,236	990,367	10.43
3500 - Meeting Expenses	981,047	1,719,084	1,321,407	-	391,657	22.86
4000 - Supplies	5,400,663	7,963,841	4,549,353	897,024	2,517,459	31.61
5000 - Lease & Rental	1,761,399	1,669,091	1,196,102	28,114	444,875	26.65
5500 - Communications & Utilities	7,305,566	8,123,429	6,613,700	589,673	1,020,057	12.56
6000 - Repairs & Maintenance	3,914,876	8,788,252	5,571,378	1,030,316	2,188,557	24.88
6500 - Contractual Services	4,653,568	12,866,818	6,849,248	2,804,762	3,212,809	24.97
7000 - Special Transactions	10,316,377	11,986,749	10,083,552	322,516	1,580,681	13.19
8000 - Assistance	41,728,697	48,837,782	45,679,345	1,174,885	2,083,432	4.27
9000 - Capital Outlay	764,870	2,746,354	1,583,730	350,497	832,127	30.30
9300 - Other Income and Expense	-	-	-	-	-	-
9500 - Matching & Indirect Cost	7,552,457	7,552,457	3,430,328	-	4,122,131	64.68
Total EXECUTIVE BRANCH	\$ 176,423,011	\$ 207,504,063	\$ 162,500,722	(2a) \$ 7,206,122	(2b) \$ 37,797,219	(2c) 18.22
JUDICIAL BRANCH						
2001 - Personnel Expenses	\$ 12,523,143	\$ 12,566,722	\$ 10,812,000		\$ 1,754,722	13.86
3000 - Travel Expenses	433,764	419,940	243,959	-	175,671	41.90
3500 - Meeting Expenses	-	26,807	10,955	-	15,852	59.13
4000 - Supplies	68,291	378,953	241,164	27,553	110,238	29.06
5000 - Lease & Rental	9,000	45,870	19,012	-	26,658	58.37
5500 - Communications & Utilities	68,206	80,472	58,386	-	22,087	24.41
6000 - Repairs & Maintenance	-	210,010	89,988	46,094	63,927	30.44
6500 - Contractual Services	-	89,627	50,871	-	38,956	43.46
7000 - Special Transactions	103,339	274,160	172,011	44	102,105	37.24
8000 - Assistance	-	-	-	-	-	-
9000 - Capital Outlay	-	45,834	-	-	45,834	100.00
9300 - Other Income and Expense	-	-	-	-	-	-
Total JUDICIAL BRANCH	\$ 13,208,743	\$ 14,148,195	\$ 11,718,156	(3a) \$ 73,692	(3b) \$ 2,356,349	(3c) 16.65
GRAND TOTAL:	\$ 203,192,028	\$ 240,618,752	\$ 199,055,209	(4a) \$ 8,102,307	(4b) \$ 43,481,236	(4c) 18.09

Footnotes:

Legislative Branch

- (1a) Legislative Expenses
- (1b) Legislative Encumbrances
- (1c) Legislative Budget Available

Executive Branch

- (2a) Executive Expenses
- (2b) Executive Encumbrances
- (2c) Executive Budget Available

Judicial Branch

- (3a) Judicial Expenses
- (3b) Judicial Encumbrances
- (3c) Judicial Budget Available

Total General Fund

- (4a) General Fund Expenses
- (4b) General Fund Encumbrances
- (4c) General Fund Budget Available

EXHIBIT "C"

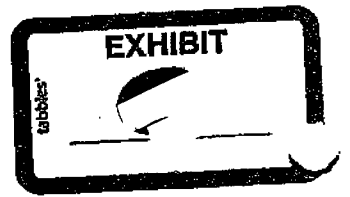
Memo Dated Sept. 8, 2017

Financial Update

**Undesignated, Unreserved, Fund Balance (UUFB)
September 8, 2017**

09-30-16 UUFB balance <u>(Audited)</u> CJY-15-17		26,763,946
Less Supplementals:		
CO-55-16 Election	510,616	
CN-58-16-Budget	3,848,764	
CJA-05-17 Bennett Freeze	254,656	
CAP-22-17-Dine Bii Association	239,200	
CJN-34-17 Summer Youth Employment	2,161,748	
CJY-37-17- Transportation Stimulus Election	218,943	7,233,927
Total UUFB less Supplementals		19,530,019
Add:		
CJY-50-17 Deposit into UUFB.		11,728,198
UUFB 09-08-17		31,258,217

Prepared by: General Accounting
9/8/2017
5:00 PM



ARTICLES OF AMENDMENT TO
AND RESTATEMENT OF
THE
ARTICLES OF INCORPORATION
OF
PHOENIX INDIAN CENTER, INC.

Pursuant to A.R.S. § 10-11007 and 11002.B

1. The name of the corporation is Phoenix Indian Center, Inc.
2. Attached hereto are the Amended and Restated Articles of Incorporation of the Corporation, as adopted and approved.
3. The Amended and Restated Articles of Incorporation were duly adopted by act of the Board of Directors.
4. The Amended and Restated Articles of Incorporation contain no amendments requiring approval by the members or by any person or persons other than the Board of Directors, and there are no members or third persons, or any person or persons other than the Board of Directors, whose approval is required for this amendment.

IN WITNESS WHEREOF, the undersigned has executed this instrument for and on behalf of the Corporation this 18 day of December, 2012.

Phoenix Indian Center, Inc.

By: _____

Steve Geiogamah
Its: President

Attest: _____

Jake Curtis
Its: Secretary

**AMENDED AND RESTATED
ARTICLES OF INCORPORATION
OF
PHOENIX INDIAN CENTER, INC.**

1. Name. The name of the corporation is Phoenix Indian Center, Inc. (the "Corporation").

2. Duration. The period of the Corporation's duration is perpetual.

3. Purpose. The Corporation is organized exclusively for charitable, educational, and scientific purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1986, as now in effect or as may hereafter be amended ("the Code"). The specific purposes for which the Corporation is formed are:

A. to support American Indian people and the local community through innovative, research based, community driven services;

B. to implement nationally recognized social, economic, educational and cultural programs for all community members;

C. to develop a strong American Indian community through collaborative partnerships and by providing quality, culturally based services; and

D. to promote and foster Native American culture and contributions to our society as a whole.

In furtherance of its corporate purposes, the Corporation shall have all the general powers enumerated in § 10-3302 of the Arizona Nonprofit Corporation Act, as now in effect or as may hereafter be amended, together with the power to solicit grants and contributions for such purposes. The Corporation may receive property by gift, devise, or bequest; invest and reinvest the same; and apply the income and principal thereof, as the Board of Directors may from time to time determine, either directly or through contributions to any charitable organization or organizations, exclusively for charitable, scientific, or educational purposes.

4. Activities. The Corporation initially intends to undertake all activities reasonably necessary to achieve the Corporation's purposes set forth in Article 3 hereof.

Notwithstanding any other provision of the Articles of Incorporation, the Corporation shall not directly or indirectly carry on any activity not permitted to be carried on by (i) a corporation exempt from federal income tax under § 501(c)(3) of the Code or the corresponding provision of any future federal tax code, or (ii) a corporation, contributions to which are deductible under §§ 170(c)(2), 2055(a)(2), and 2522(a)(2) of the Code or the corresponding provision of any future federal tax code.

No substantial part of the activities of the Corporation shall be the carrying on of propaganda, or otherwise attempting to influence legislation (except as otherwise permitted by section 501(h) of the Code and in any corresponding laws of the State of Arizona), and the

Corporation shall not participate in, or intervene in (including the publishing or distribution of statements concerning) any political campaign on behalf of (or in opposition to) any candidate for public office.

5. Statutory Agent. The name of the Corporation's initial statutory agent is Jake Curtis, and the street address of the initial statutory agent is Burch & Cracchiolo, P.A., 702 E. Osborn Road, Suite 200, Phoenix, Arizona 85014.

6. Known Place of Business. The street address of the known place of business of the Corporation is 4520 N. Central Avenue, Ste. 250, Phoenix, Arizona 85012-1828.

7. No Capital Stock; Membership. The Corporation shall have no capital stock and shall be composed of members rather than shareholders. The conditions and regulations of membership and the rights or other privileges of the members shall be determined and fixed by the directors.

8. Board of Directors. There shall be at least three directors who shall be elected or appointed as provided in the Bylaws.

9. Dedication and Distribution of Assets. No part of the net earnings of the Corporation shall inure to the benefit of, or be distributable to, any director or officer of the Corporation or any other private person, except that the Corporation shall be authorized and empowered to pay reasonable expenses and reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article 3 hereof.

10. Applicable Provisions If Corporation is Classified as Private Foundation. During any period, or periods, of time as the Corporation may be treated as a private foundation pursuant to § 509(a) of the Code, the Corporation shall distribute its income at such time and in such manner so as not to become subject to the tax on undistributed income imposed by § 4942 of the Code, or the corresponding provision of any future federal tax code. The Corporation also shall not (i) engage in any act of self-dealing, as defined in § 4941 of the Code or the corresponding provision of any future federal tax code; (ii) retain any excess business holdings, as defined in § 4943(c) of the Code or the corresponding provision of any future federal tax code; (iii) make any investments, or otherwise acquire assets, in such manner so as to subject the Corporation to tax under § 4944 of the Code or the corresponding provision of any future federal tax code; and (iv) make any taxable expenditures, as defined in § 4945(d) of the Code or the corresponding provision of any future federal tax code.

11. Devolution of Assets on Dissolution. In the event of dissolution or final liquidation of the Corporation, all of the remaining assets and property of the Corporation, after paying or making provision for the payment of all the liabilities and obligations of the Corporation and for necessary expenses thereof, shall be distributed to such organization or organizations as the Board of Directors shall determine, which are organized and operated exclusively for charitable, scientific, or educational purposes and which qualify as exempt from taxation under § 501(c)(3) of the Code or the corresponding provision of any future federal tax

code. In no event shall any of such assets or property be distributed to any Director or officer of the Corporation, or to any private individual.

12. Indemnification. The corporation shall indemnify each of its directors and officers and may indemnify any of its employees or agents to the fullest extent permissible (a) under the provisions of A.R.S. Sections 10-3850 through 10-3858, (b) under indemnification provisions of any successor amended statute, (c) as provided in the Bylaws of the corporation; or (d) by any agreement adopted by the corporation. Any member of an advisory board shall be entitled to all the same rights of indemnification as a member of the board of directors of the corporation.

13. Director Liability. A director of this corporation shall not be personally liable to the corporation for monetary damages for any action taken or failure to take any action as a director, except for conduct described in clauses (a) through (d) of A.R.S. Section 10-3202.B.1, or any successor amended statute. If the Arizona Nonprofit Corporation Law is amended to authorize further elimination or limitation of the liability of a director, then the liability of a director of the corporation shall be eliminated or limited to the fullest extent permitted by the Arizona Nonprofit Corporation Law as so amended. Any repeal or modification of this Article 15 shall not increase the liability of a director of the corporation arising out of acts or omissions occurring before the repeal or modification becomes effective. Advisory board members shall be treated as directors for purposes of this Article 14.

14. Amendment of Articles. These Articles of Incorporation, and the Bylaws of the Corporation, may be amended by a vote of a majority of the directors then in office.

IN WITNESS WHEREOF, the undersigned subscribe these Articles of Incorporation this 18 day of December, 2012.

Phoenix Indian Center, Inc.

By: 

Steve Geigamah
Its: President

Attest: 

Jake Curtis
Its: Secretary

Phoenix Indian Center, Inc.
Amended and Restated Articles of Incorporation
December 18, 2012
Page 4 of 4

ACCEPTANCE OF APPOINTMENT
BY STATUTORY AGENT

The undersigned acknowledges and accepts appointment as statutory agent of Phoenix Indian Center, Inc., effective this 18 day of December, 2012.



Jake Curtis

AMENDED AND RESTATED
BYLAWS
OF
PHOENIX INDIAN CENTER, INC.
(formed under the State of Arizona
Nonprofit Corporation Act)

ARTICLE I
Name

Section 1.01. Name. The corporate name of this organization (the "Corporation") is Phoenix Indian Center, Inc.

ARTICLE II
Membership

Section 2.01. Members. The Corporation's members shall consist of those persons elected to the Board of Directors. At each annual meeting of the corporation, or at such other times as may be established by the board of directors, the directors then in office, acting in their capacity as the members of the Corporation, shall elect the directors to fill any vacancy or vacancies on the board pursuant to Section 4.03 of these Bylaws. With the exception of the election of directors, any action which would otherwise require approval by a majority or all members requires only approval of the board of directors, and all rights which would otherwise vest in the members vests in the board.

Section 2.02. Authority to Establish Non-Voting "Memberships." The Board of Directors may, in its sole discretion, establish non-voting "memberships," with one or more levels and with varying specified benefits, such levels to be determined by the amount of financial or in-kind contributions or on other such bases as the Board of Directors may establish. Such "memberships" shall be established solely for purposes of fundraising, community involvement in the Corporation's programs and activities, and other such non-corporate purposes, and such "members" shall not have the right to vote for the election of directors or any other rights of "members," as that term is defined by A.R.S. § 10-3140.

ARTICLE III
Offices

Section 3.01. Location. The board of directors will designate the location for the Corporation's principal office. The principal office need not be within the State of Arizona. The Corporation may maintain additional offices at other places as determined by the board of directors. The Corporation must at all times maintain within the State of Arizona a registered office, designated by the board of directors.

ARTICLE IV
Board of Directors

Section 4.01. Power and Qualification of the board of directors. The board of directors is solely responsible for exercising corporate powers of, and overseeing the business of, the Corporation. Directors need not be residents of the State of Arizona.

Section 4.02. Number of directors. The number of directors is to be established and may be increased or decreased from time to time by the board of directors, but must at all times be at least the minimum number of directors established by the Arizona nonprofit corporation act, A.R.S. Title 10, Chapters 24 through 40, and in the Corporation's Articles of Incorporation. The term of any incumbent director may not be shortened as the result of a decrease in the number of directors.

Section 4.03. Election and Term of directors. At each annual meeting of the corporation, the directors then in office, acting in their capacity as the members of the Corporation, elect the directors to fill any vacancy or vacancies on the board. Each director holds office for a term of three years, and until his or her successor has been elected and qualified, with staggered terms so that the term of office of one-third of the directors shall expire each year. Any director, after serving a first term, may hold two successive terms of office. At all times, a majority of the directors shall consist of self-declared members of an Indian tribe.

Section 4.04. Vacancies. Any vacancy may be filled by the board of directors.

Section 4.05. Removal of directors. A director may be removed, with or without cause, by a majority of the directors then in office.

Section 4.06. Resignations. A director may resign at any time by delivering written notice to the board of directors, the president, or the secretary. A director's resignation is effective when the notice is delivered, unless the notice specifies a later effective date.

Section 4.07. Quorum of directors and action by the board. Unless otherwise required by law or by the articles of incorporation or these bylaws, a majority of the directors then in office constitutes a quorum, and the act of a majority of directors present at a meeting at which a quorum is present constitutes the action of the board of directors.

Section 4.08. Meetings of the board. The board of directors will establish a time and location for the corporation's annual meeting. Meetings need not be held in the State of Arizona. Directors may participate in a meeting in person, or by using any means of communication that permits all participating directors to communicate with each other during the course of the meeting.

Section 4.09. Notice of Meetings. Written notice is not required for regular meetings. Written notice of each special meeting must state the date, place, and hour of the meeting, and must be delivered to each director, using the director's preferred means of contact

on file with the Corporation, not less than three days prior to the meeting date. Notice of a special meeting may be waived by a director, in writing or by the director's attendance at and participation in a meeting.

Section 4.10. Action in Lieu of a Meeting. Unless otherwise restricted by the articles of incorporation or these bylaws, the board of directors may take action without a meeting, by utilizing a written consent signed by all of the directors. In signing the consent, each director must indicate the date of execution. A written consent should include an effective date for the resolutions being approved; if not, the consent is effective as of the date that the last director signs the consent. Written consents may be signed in counterpart, and may be signed using an electronic signature as defined in A.R.S. § 44-7002. Any director may revoke a consent by delivering a signed revocation of the consent to the president or secretary before the date the last director signs the consent or consents. Written consents are to be filed with the minutes of the board of directors.

Section 4.11. Compensation of Directors. The directors serve without compensation for services rendered by them in their capacity as directors, but may be reimbursed for reasonable and necessary out-of-pocket expenses incurred in the course of serving as directors.

ARTICLE V

Committees

Section 5.01. Committees; Authority. The board of directors, by resolution adopted by a majority of the directors in office, may designate and appoint one or more committees having the authority to act on behalf of the board of directors, to the extent permitted in the authorizing resolution. Each committee with the authority to act on behalf of the board of directors must consist of at least two directors, and may not have any members who are not directors of the Corporation. Other committees not having and exercising the authority of the board of directors may be designated and appointed by the board of directors, and may include members who are not directors of the Corporation. Neither the designation and appointment of a committee, nor the delegation of authority to a committee, operates to relieve the board of directors, or any individual director, of any duty or responsibility imposed by law.

ARTICLE VI

Officers, Agents and Employees

Section 6.01 Officers: The board of directors shall elect or appoint a president, a secretary, a treasurer, and one or more vice-presidents, and such other officers and assistant officers as may be deemed necessary. The officers of the Corporation may be designated by such other titles as may be determined by the board of directors, provided such other titles not be contrary to any provision of the Arizona nonprofit corporation act, the Articles of Incorporation, or these bylaws. Any two or more offices may be held by the same person, except the offices of President and Secretary.

Section 6.02. Election, Term of Office, and Removal. Unless otherwise provided by resolution of the board of directors, officers are to be elected or appointed at the annual meeting. Each officer holds office for the term determined by the board of directors and until a successor has been elected or appointed. Any officer may be removed by the board of directors when, in their judgment, the best interest of the Corporation will be served thereby. Removal of an officer is without prejudice to any contract rights, and the election or appointment of an officer does not itself create contract rights.

Section 6.03. Vacancies. Any vacancy in an office may be filled by the board of directors.

Section 6.04. Powers and Duties of Officers. Subject to the control of the board of directors, all officers have the authority and may perform the duties customary for their respective offices, consistent with these bylaws and applicable law, in managing the property and affairs of the Corporation.

Section 6.05. Agents and Employees. The board of directors and officers of the Corporation may engage or employ such agents and employees as may be necessary and appropriate to carry out the Corporation's purposes. Any agent or employee may be removed or terminated at any time with or without cause, unless a duly authorized and legally-binding written agreement provides otherwise. Removal without cause is without prejudice to any contract rights, and the appointment of an agent or employee does not itself create contract rights unless otherwise provided for in a duly authorized and legally-binding written agreement.

Section 6.06. Compensation of Officers, Agents, and Employees. The Corporation may pay compensation to its officers, agents, and employees for services rendered, and may reimburse officers, agents and employees for expenses incurred in the performance of their duties to the Corporation, in reasonable amounts, such amounts to be fixed or approved by the board of directors. The board of directors may require agents or employees to give security for the faithful performance of their duties.

ARTICLE VII

Conflict of Interest Policy

Section 7.01. Purpose. The purpose of the conflicts of interest policy is to protect the Corporation's interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or director of the Corporation.

This policy is intended to supplement but not replace any applicable state laws governing conflicts of interest applicable to nonprofit corporations and any applicable federal tax rules dealing with private inurement or other such matters applicable to tax-exempt organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or the corresponding provision of any future federal tax code.

Section 7.02. Definitions.

(a) Interested Person. Any director, officer, or member of a committee with board delegated powers, who has a direct or indirect financial interest, as defined below, is an interested person. If a person is an interested person with respect to any entity with which the Corporation is affiliated, he or she is an interested person with respect to all of the Corporation.

(b) Financial Interest. A person has a financial interest if the person has, directly or indirectly, through business, investment or family --

i) an ownership or investment interest in any entity with which the Corporation has a transaction or arrangement, or

ii) a compensation arrangement with the Corporation or with any entity or individual with which the Corporation has a transaction or arrangement, or

iii) a potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Corporation is negotiating a transaction or arrangement.

Compensation includes direct and indirect remuneration as well as gifts or favors that are substantial in nature. A financial interest is not necessarily a conflict of interest. Under Section 7.03(b), a person who has a financial interest may have a conflict of interest only if the board or appropriate committee decides that a conflict of interest exists.

Section 7.03. Procedures.

(a) Duty to Disclose. In connection with any actual or possible conflict of interest, an interested person must disclose the existence of his or her financial interest and must be given the opportunity to disclose all material facts to the board of directors or committee with board delegated powers considering the proposed transaction or arrangement.

(b) Determining Whether a Conflict of Interest Exists. After disclosure of the financial interest and all material facts, and after any discussion with the interested person, the interested person must leave the board or committee meeting while the remaining board or committee members discuss and decide whether a conflict of interest exists.

(c) Procedures for Addressing the Conflict of Interest.

i) Once a determination that a conflict of interest exists, the interested person may make a presentation at to the board or committee considering the proposed transaction or arrangement, but after such presentation, the interested person must leave the meeting during the discussion of, and any vote on, the transaction or arrangement that results in the conflict of interest.

ii) The president or person chairing the board or committee meeting may, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

iii) After exercising due diligence, the board or committee must determine whether the corporation can obtain a more advantageous transaction or arrangement with reasonable efforts from a person or entity that would not give rise to a conflict of interest.

iv) If a more advantageous transaction or arrangement is not reasonably attainable under circumstances that would not give rise to a conflict of interest, the board or committee may vote to proceed with the proposed transaction or arrangement, if a majority of the disinterested directors determine the transaction or arrangement is in the Corporation's best interest and for its own benefit and that the transaction is fair and reasonable to the Corporation.

(d) Violations of the Conflicts of Interest Policy.

i) If the board or committee has reasonable cause to believe that a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.

ii) If, after hearing the response of the member and making such further investigation as may be warranted in the circumstances, the board or committee determines that the member has in fact failed to disclose an actual or possible conflict of interest, the board or committee may take such disciplinary and corrective action as the board determines is warranted in the circumstances.

Section 7.04. Records of Proceedings. For any proceeding involving the disclosure or discussion of a potential or actual conflict of interest, the minutes of the board or committee must include --

(a) the names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the board's or committee's decision as to whether a conflict of interest in fact existed.

(b) the names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection therewith.

Section 7.05. Compensation.

(a) A voting member of the board of directors who receives compensation, directly or indirectly, from the Corporation for services is precluded from voting on matters pertaining to that member's compensation.

(b) A voting member of any committee whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Corporation for services is precluded from voting on matters pertaining to that member's compensation.

Section 7.06. Annual Statements. Each director, officer, and member of a committee with Board delegated powers shall annually sign a statement which affirms that such person --

(a) has received a copy of the conflicts of interest policy,

(b) has read and understands the policy,

(c) has agreed to comply with the policy, and

(d) understands that the Corporation is a charitable organization and that in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

Section 7.07. Periodic Reviews. To ensure that the Corporation operates in a manner consistent with its charitable purposes and that it does not engage in activities that could jeopardize its status as an organization exempt from federal income tax, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

(a) Whether compensation arrangements and benefits are reasonable and are the result of arm's-length bargaining.

(b) Whether partnership and joint venture arrangements and arrangements with management service organizations conform to written policies, are properly recorded, reflect reasonable payments for goods and services, further the Corporation's charitable purposes and do not result in inurement or impermissible private benefit.

Section 7.08. Use of Outside Experts. In conducting the periodic reviews provided for in Section 7.07, the Corporation may, but need not, use outside advisors. If outside experts are used their use shall not relieve the board of its responsibility for ensuring that periodic reviews are conducted.

ARTICLE VIII

Indemnification and Insurance

Section 8.01. Indemnification. Unless otherwise prohibited by law, the Corporation shall indemnify any member, director or officer, any former member, director or officer, any person who may have served at its request as a director or officer of another corporation, whether for profit or not for profit, and may, by resolution of the board of directors, indemnify any employee or agent (each an "Indemnified Party"), against any and all expenses

and liabilities actually and necessarily incurred, or imposed on the Indemnified Party in connection with any claim, action, suit, or proceeding (whether actual or threatened, civil, criminal, administrative, or investigative, including appeals) to which the Indemnified Party may be or is made a party by reason of being or having been a director, officer, employee, or agent; subject to the limitation, however, that indemnification is not permitted in relation to matters as to which the Indemnified Party is adjudged in such claim, action, suit, or proceeding to be guilty of a criminal offense or liable to the Corporation for damages arising out of the Indemnified Party's own negligence or misconduct in the performance of a duty to the Corporation.

Amounts that may be paid in indemnification of expenses and liabilities include, but are not limited to, counsel fees and other fees; costs and disbursements; and judgments, fines, and penalties against, and amounts paid in settlement by the Indemnified Party. To the extent permitted by law, the Corporation may advance expenses to, or where appropriate may itself, at its expense, undertake the defense of an Indemnified Party; provided, however, that the Indemnified Party must undertake to repay or to reimburse such expenses if it should be ultimately determined that the Indemnified Party is not entitled to indemnification under this Section.

This Section applies to all claims, actions, suits, or proceeding made or commenced after the adoption of these bylaws, regardless of when the disputed act or omission to act occurs.

The indemnification provided by this Section shall not be deemed exclusive of any other rights to which an Indemnified Party may be entitled under any statute, bylaw, agreement, vote of the board of directors, or otherwise, and shall not restrict the power of the Corporation to make any indemnification permitted by law.

Section 8.02. Insurance. The board of directors may authorize the purchase of insurance on behalf of any director, officer, employee, or agent, against any liability asserted against or incurred which arises out of such person's status as a director, officer, employee, or agent of the Corporation, or out of acts taken in such capacity, whether or not the Corporation would have the power to indemnify the person against that liability under law.

If any part of this Section is found in any action, suit, or proceeding to be invalid or ineffective, the validity and the effectiveness of the remaining parts shall not be affected.

Section 8.03. Loans to Directors and Officers. No loans may be made by the Corporation to its directors or officers, provided, however, that nothing in this Section 8.03 prevents the Corporation from advancing funds to any Indemnified Party pursuant to Section 8.01.

ARTICLE IX
Miscellaneous

Section 9.01. Fiscal Year. The Corporation shall operate on the fiscal year ending June 30, or upon any such other fiscal year as may be established by the board of directors.

Section 9.02. Checks, Notes, and Contracts. The board of directors determines who, on behalf of the Corporation, is authorized to sign checks, drafts, or other orders for payment of money; to sign acceptances, notes, or other evidences of indebtedness; to enter into contracts; or to execute and deliver other documents and instruments.

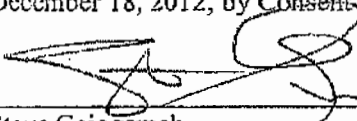
Section 9.03. Books and Records to be Kept. The Corporation shall keep at its principal office in the State of Arizona, correct and complete books and records of account; records of activities and transactions of the Corporation; minutes of the proceedings of the board of directors and committees with board-delegated powers; and a list of current directors and officers, their business and residence addresses, and their preferred means of contact. The minutes, books, and records of the Corporation may be in written form or in any other form capable of being converted into written form within a reasonable time.

Section 9.04. Amendments. The articles of incorporation may be amended or restated, and these bylaws amended, repealed, or restated, by a majority vote of directors then in office, except that no provision of these bylaws or any future bylaws may be adopted, amended, or repealed if such action would cause the Corporation's bylaws to be inconsistent with the Corporation's articles of incorporation; and except that no provision of the articles of incorporation or these bylaws may be adopted, amended, restated, or repealed, if such action would be contrary to, or would cause the articles of incorporation or these bylaws to be in violation of the Arizona nonprofit corporation act or any provision of federal or state law applicable to tax-exempt organizations described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, or the corresponding provision of any future federal tax code.

Section 9.05. Exempt Status. Notwithstanding any provision in these bylaws or in the Corporation's articles of incorporation, the Corporation shall not carry on any activities not permitted to be carried on by an organization exempt from federal income tax as an organization described in § 501(c)(3) of the Code or the corresponding provision of any future federal tax code.

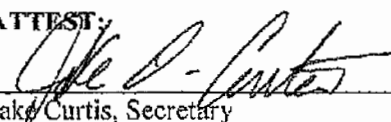
CERTIFICATION

The foregoing Bylaws were adopted by the Board of Directors, and made effective as of December 18, 2012, by Consent resolution signed by all of the Directors of the Corporation.



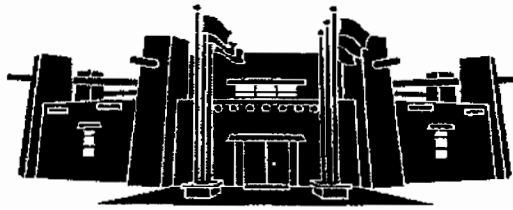
Steve Geiogamah

12-18-12
Date

ATTEST:



Jake Curtis, Secretary

12-18-12
Date



MEMORANDUM

To : Hon. Jonathan Hale, Council Delegate
Navajo Nation Council

From : 
Ron Haven, Attorney
Office of Legislative Counsel

Date : October 18, 2017

Re : AN ACTION RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES, BUDGET AND FINANCE, NAA'BIK'ÍYÁTI', AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF ONE HUNDRED FORTY-EIGHT THOUSAND THREE HUNDRED TWENTY-FIVE DOLLARS (\$148,325.00) FOR PHOENIX INDIAN CENTER, BUSINESS UNIT NUMBER 119025

As requested, I have prepared the above-referenced proposed resolution and associated legislative summary sheet pursuant to your request for legislative drafting. The resolution drafted is legally sufficient, although, as with all legislation, challenges are possible in the courts. You are advised and encouraged to review the proposed resolution to ensure that it is drafted to your satisfaction. If you are satisfied with the proposed resolution, please sign it as "sponsor" and submit it to the Office of Legislative Services where it will be given a tracking number and sent to the Office of the Speaker for assignment. As you may be aware, the Speaker is authorized to refer this proposed resolution to other committees than those stated in the title.

If the proposed resolution is unacceptable to you, please contact me at the Office of Legislative Counsel and advise me of the changes you would like made to the proposed resolution. Ahéhee'.

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0426-17__

SPONSOR: Jonathan L. Hale

TITLE: An Action Relating To Health, Education And Human Services, Budget And Finance, NAABIK'IYATI' And Navajo Nation Council; Approving Supplemental Funding From The Unreserved, Undesignated Fund Balance In The Amount Of One Hundred Forty-Eight Thousand Three Hundred Twenty-Five Dollars (\$148,325.00) For Phoenix Indian Center, Business Unit Number 119025

Date posted: October 18, 2017 at 7:28 PM

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

**Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7586**

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 *et. seq.*

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW SUMMARY

LEGISLATION NO.: 0426-17

SPONSOR: Honorable Jonathan L. Hale


TITLE: An Action Relating to Health, Education And Human Services, Budget And Finance, NAABIK'IYATI' And Navajo Nation Council; Approving Supplemental Funding From The Unreserved, Undesignated Fund Balance In The Amount Of One Hundred Forty-Eight Thousand Three Hundred Twenty-Five Dollars (\$148,325.00) For Phoenix Indian Center, Business Unit Number 119025.

Posted: October 18, 2017 at 7:28 PM

5 DAY Comment Period Ended: October 23, 2017

Digital Comments received:

Comments Supporting	<i>None</i>
Comments Opposing	<i>None</i>
Inclusive Comments	<i>None</i>


Legislative Secretary II
Office of Legislative Services

10/24/2017 8:40am
Date/Time

Committee Report

THE HEALTH, EDUCATION AND HUMAN SERVICES COMMITTEE OF THE NAVAJO NATION COUNCIL to whom has been assigned;

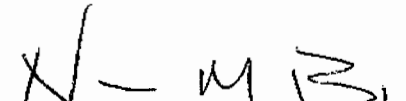
LEGISLATION NO. 0426-17

AN ACTION RELATING TO HEALTH, EDUCATION AND HUMAN SERVICES, BUDGET AND FINANCE, NAA'BIK'IYATI', AND NAVAJO NATION COUNCIL; APPROVING SUPPLEMENTAL FUNDING FROM THE UNRESERVED, UNDESIGNATED FUND BALANCE IN THE AMOUNT OF ONE HUNDRED FORTY-EIGHT THOUSAND THREE HUNDRED TWENTY-FIVE DOLLARS (\$148,325.00) FOR PHOENIX INDIAN CENTER, BUSINESS UNIT NUMBER 119025

Sponsor: Honorable Jonathan L. Hale

Has had under consideration and report the same with the recommendation that Legislation 0426-17 PASS with no amendment and no directive; and therefore referred the same to the BUDGET AND FINANCE COMMITTEE OF THE NAVAJO NATION COUNCIL

Respectfully Submitted,



Norman M. Begay, Vice-Chairperson
Health, Education and Human Services Committee

Dated: October 30, 2017

Main Motion

Motion by: Honorable Nathaniel Brown

Seconded by: Honorable Steven Begay

Vote: 3 in favor; 0 Opposed; Vice-Chairperson Not Voting

23rd NAVAJO NATION COUNCIL

Third Year 2017

Mr. Speaker:

The **BUDGET & FINANCE COMMITTEE** to whom has been assigned

NAVAJO LEGISLATIVE BILL # 0426-17:

An Action Relating to Health, Education and Human Services, Budget and Finance, Naabikiyati, and Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the Amount of One Hundred Forty-Eight Thousand Three Hundred Twenty-Five Dollars (\$148,325.00) for Phoenix Indian Center, Business Unit Number 119025 Sponsored by Jonathan L. Hale, Council Delegate

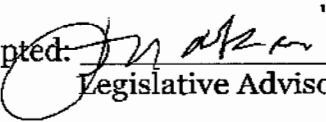
has had it under consideration and reports the same with the recommendation that It **Do Pass** with 2 amendments.

1. On page 3, lines 18, insert new language at the end of the sentence "as Exhibit 'D'."
Amendment 1 Motion: Tuchoney Slim Jr. Second: Tom T. Chee Vote: 3-0
2. Attached revised Exhibit A, swap out budget forms but keep the stamped grant application form that is stamped Exhibit A. *Amendment 2 Motion: Dwight Witherspoon Second: Tom T. Chee Vote: 4-0*

And therefore, referred to the **NAABIKIYATI** Committee

Respectfully submitted,


Seth A. Damon, Chairman

Adopted: 
Legislative Advisor

Not Adopted: _____
Legislative Advisor

9 November 2017

The vote was **4** in favor **0** opposed
Motion: Tuchoney Slim Jr.
Second: Tom T. Chee

THE NAVAJO NATION SUPPLEMENTAL FUNDING PROPOSAL SUMMARY

PART I. Business Unit No.: 119025		Program Title: Phoenix Indian Center	
Division/Branch:		Amount Requested: 148,325.00	Phone No.: 602-264-6768
Prepared By: Becky Martin		Email Address: bmartin@phxindcenter.org	

PART II. REASON FOR REQUEST AND STATEMENT OF NEED:

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (356,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban-living American Indian population in Maricopa County (off reservation) was 127,354 with 30% (38,209) being Navajo and a young population with 46.4% being under the age of 24. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community events. Characteristics of our customer base show that 28% are either part-time or full-time employed, 75% of these households report less than \$10,000 income per year and 61% of these households have 3 children. Of these, depending upon which service being accessed, up to 65% are Navajo. Many of these individuals report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language or significant cultural knowledge. They continue to seek opportunities to learn their heritage language, culture, traditions and stories, as well as access support services needed by low income families, thus availing themselves of all the services provided by the Phoenix Indian Center. This funding will provide continued opportunities for the urban Navajo community members to engage and to learn their heritage language, culture and traditions which can promote self-identity and self-sufficiency. Additionally, this project will allow for a continued partnership with Navajo Nation services including the Navajo school clothing program office and allow local Phoenix area students to participate and receive clothing for school.

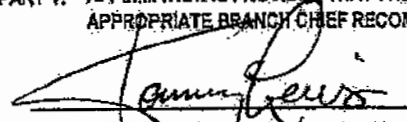
PART III. CONTINGENCY PLAN IF REQUEST IS NOT FUNDED:

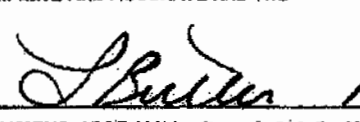
The Phoenix Indian Center does not have funding available to offer the robust program, that has been provided for over 17 years. Offerings would be extremely minimal if at all.

PART IV. ALTERNATIVE FUNDING SOURCES BEING PURSUED:

The Phoenix Indian Center will continue to identify possible funding sources and submit competitive funding where applicable.

PART V. AFFIRMATION IS PROVIDED THAT THE PROPOSAL INFORMATION IS COMPLETE AND ACCURATE AND THE APPROPRIATE BRANCH CHIEF RECOMMENDS APPROVAL.


 REVIEWED BY: Division Director's Signature / Date

 10/5/17
 RECOMMEND APPROVAL: Branch Chief's Signature / Date

FY 18

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

NOV - 9 2017


Page 1 of 12
BUDGET FORM 1

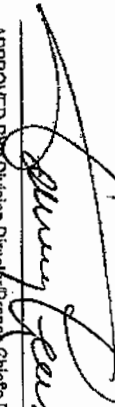
PART I. Business Unit No.: 119025		Program Title: Phoenix Indian Center		Division/Branch:	
Prepared By: Becky Martin		Phone No.: 602-264-6768		Email Address: bmartin@phxindcenter.org	

PART II. FUNDING SOURCE(S)			Fiscal Year Term	Amount	% of Total	PART III. BUDGET SUMMARY			
US Department of Labor	07/01/17-06/30/18		1,379,577.00	40%					
AZ Governor's Office	07/01/17-06/30/18		200,000.00	6%					
Mercy Maricopa Integrated Care	07/01/17-06/30/18		140,964.00	4%	2001 Personnel Expenses	1	68,670	38,070	(31,600)
Valley of the Sun United Way	07/01/17-06/30/18		51,277.00	1%	3000 Travel Expenses	1	5,520	6,390	870
US Department of Education	10/01/17-09/30/18		568,174.00	17%	3500 Meeting Expenses		0	0	0
U/FB/Supplemental Funds NN	10/01/17-09/30/18		148,325.00	4%	4000 Supplies	1	4,100	11,600	7,500
Other (Donations, fundraisers, etc)	07/01/17-06/30/18		953,394.00	28%	5000 Lease and Rental	1	18,000	18,000	0
					5500 Communications and Utilities	1	200	300	100
					6000 Repairs and Maintenance		0	0	0
					6500 Contractual Services	1	14,000	28,260	14,260
					7000 Special Transactions	1	1,200	1,500	300
					8000 Public Assistance	1	15,180	23,750	8,570
					9000 Capital Outlay		0	0	0
					9500 Matching Funds		0	0	0
					9500 Indirect Cost	1	20,455	20,455	0
					TOTAL		\$148,325.00	148,325.00	0

PART IV. POSITIONS AND VEHICLES		(D)	(E)
Total # of Positions Budgeted:		0	1 Shared positions
Total # of Permanently Assigned Vehicles:		NA	NA

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Program Manager's Printed Name and Signature / Date
 11/08/17

APPROVED BY: Division Director/Branch Chief's Printed Name and Signature / Date
 11/14/17

FY 18

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

NOV - 9 2017

Page 2 of 12
BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: 119025

Program Name/Title:

Phoenix Indian Center

PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:

Amended Articles of Incorporation passed on January 9, 2001, signed by Jo Lynn Genly-Lewis, President and Patty Dinitiou, Secretary and Certified by Carolyn Ortiz. The primary purpose of the program is to provide programs and services in answer to the needs of Native Americans residing in the metro-Phoenix area.

PART III. PROGRAM PERFORMANCE CRITERIA:

1. Program Performance Area:

Number of students completing Navajo language & culture classes

Goal Statement:

Educate more urban Navajo Tribal members in Navajo language & understanding of culture

2. Program Performance Area:

Number of participants in Seasonal Stories and Culture Nights

Goal Statement:

Share knowledge of Navajo traditional stories and traditions including performances

3. Program Performance Area:

Number of persons/families receiving case management/make services

Goal Statement:

Promote urban self-sufficiency and living skill improvement

4. Program Performance Area:

Number of eligible Navajo families receiving financial emergency assistance

Goal Statement:

Promote urban self-sufficiency and stabilize Navajo families in crisis

5. Program Performance Area:

Number of persons/families receiving skill building, substance abuse and suicide prevention

Goal Statement:

Improve coping skills for living in a large city environment through prevention services

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Program Manager's Printed Name and Signature/Date

Division Director/Assistant Chief's Printed Name and Signature / Date

FY 18

THE NAVAJO NATION
LISTING OF POSITIONS AND ASSIGNMENTS BY BUSINESS UNITS

Page 3 of 12
BUDGET FORM 3

SUB	POS	JOB	POSITION TITLE	EMP ID	WRKSITE	FY 2017 ACTUAL		FY 2018 PROPOSED	
ACCT	NO	TYPE			CODE	G/S	SALARY	HOURS	BUDGET
2130	N/A		3874 Instructor, Language & Cul	N/A	PIC		62348	1040	31174

FY 18

THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

Page 4 of 12
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Phoenix Indian Center	
Business Unit No.:		119025	
PART II. DETAILED BUDGET:			
(a)	(b)	(c)	(d)
Object Code (L.O.D. 6)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
2001	Personnel Expenses		
2116	2130 Personnel Regular Part time (4.5 FTE) 1040 hrs @ 29.575hr. Average 20 hours per week	31,174	36,070
2200	Fringe Benefits	6,896	
	2912 FICA @ .062% * 31,174 = 1,932.79		
	2914 Medicare @ .0145% * 31,174 = 452.02		
	2921 Medical Insurance @ 7% * 31,174 = 2,182.18		
	2926 Life Insurance @ .005% * 31,174 = 156.87		
	2940 Retirement @ 3% * 31,174 = 935.22		
	2951 Unemployment @ .0272% * 31,174 = 847.53		
	2950 Workers Compensation @ 1.251% * 31,174 = 389.99		
	3000 Travel Expenses		
3210	3220 Vehicle rental, truck for Navajo Clothing = \$1,750 one time rental fee based on historical costs including fuel	6,390	6,390
3230	3260 Mileage 4,513 miles/year (300 miles/month - for summer camp, meetings and local to classes) * 0.545 = \$2,460		
	3240 Per Diem 12 days @ \$75/day = \$900 Costs associated with conducting business with the Navajo		
	3250 Lodging 8 days @ \$160/day = \$1,280 Costs associated with conducting business with the Navajo in Window Rock, Navajo Clothing and meetings		
	4000 Supplies		
4120	4130 General Office Supplies: Supplies such as pens, paper, files, etc. at the rate of \$150 per month	1,800	11,600
4410	Operating Supplies	9,800	
	4420 General Operating Supplies: Cost for program materials, excluding working books, for student use including books, materials & supplies, etc. @ \$5,000/year.		
	4630 Printing, Binding, Photography: Estimated cost of \$500/quarter x 4 quarters = \$2,000		
	4450 Postage, Courier, Shipping: Estimated cost of \$250/quarter x 4 quarters = \$1,000		
4700	4710 Gasoline, Cost for fuel service, etc. for PIC vehicle @ \$150/month x 12 mos = \$1,800. Estimate based on historical data.		
	4000 Lease & Rental		
5110	5120 Office Space Based on 500 sq ft. for an office and one classroom at \$20/sq ft. x \$800 = \$18,000 (PIC occupies 14,000+ sq ft and pays \$22,356/month in rent).	18,000	18,000
TOTAL		74,060	74,060

FY 18

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

Page 2 of 12
BUDGET FORM 2

PART I. PROGRAM INFORMATION:

Business Unit No.: 119025

Program Name/Title:

Phoenix Indian Center

PART II. PLAN OF OPERATION REFERENCE/LEGISLATED PROGRAM PURPOSE:

Amended Articles of Incorporation passed on January 9, 2001, signed by Jo Lynn Gentry-Lewis, President and Pally Dimitroff, Secretary and Certified by Carolyn Ortiz. The primary purpose of the program is to provide programs and services in answer to the needs of Native Americans residing in the metro-Phoenix area.

PART III. PROGRAM PERFORMANCE CRITERIA:

Goal	1st QTR	2nd QTR	3rd QTR	4th QTR
Actual	Actual	Actual	Actual	Actual

1. Program Performance Area:

Number of students completing Navajo language & culture classes

Goal Statement:

Educate more urban Navajo Tribal members in Navajo language & understanding of culture

2. Program Performance Area:

Number of participants in Seasonal Stories and Culture Nights

Goal Statement:

Share knowledge of Navajo traditional stories and traditions including performances

3. Program Performance Area:

Number of persons/families receiving case management/Intake services

Goal Statement:

Promote urban self-sufficiency and living skill improvement

4. Program Performance Area:

Number of eligible Navajo families receiving financial emergency assistance

Goal Statement:

Promote urban self-sufficiency and stabilize Navajo families in crisis

5. Program Performance Area:

Number of persons/families receiving skill building, substance abuse and suicide prevention

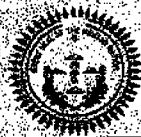
Goal Statement:

Improve coping skills for living in a large city environment through prevention services

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

De K. [Signature]
Program Manager's Printed Name and Signature/Date

[Signature]
Division Director/Branch Chief's Printed Name and Signature/Date



DEPARTMENT OF DINÉ EDUCATION

THE NAVAJO NATION
P.O. Box 670 · Window Rock, Arizona 86515
PHONE (928) 871-7475 · FAX (928) 871-7474



Russell Begay
President

Jonathan Nez
Vice-President

MEMORANDUM:

TO: Program Managers and Staff
Department of Diné Education

FROM: *[Signature]*
Dr. Tommy Lewis Jr., Superintendent of Schools
Department of Diné Education - Administration

DATE: October 2, 2017

SUBJECT: STANDING DELEGATION OF AUTHORITY - FY 2018 (REVISED)

During my absence from the office, the following individuals are authorized to act on my behalf, in the order they are placed, to ensure the proper and uninterrupted functioning of the Department of Diné Education by performing the routine duties required of the Superintendent of Schools:

ACKNOWLEDGED

1. Dr. Timothy Benally, Assistant Superintendent
Department of Diné Education - Administration
2. Dr. Anselm Davis, Education Program Manager
AdvancED Navajo Nation (NCA/AdvancED)
3. Ms. Rose Graham, Department Manager II
Office of Navajo Nation Scholarship and Financial
Assistance (ONNSFA)

[Signature]
[Signature]
[Signature]

This delegation authorizes my designee to review and approve all routine administrative, financial and personnel documents, with the understanding that they will consult with me as necessary. However, all requests for Off Reservation Travel (ORT) will follow the process outlined in the memo distributed on March 20, 2013, authorizing only the Superintendent and the Assistant Superintendent to approve those requests.

Please continue to bring all documents requiring the review and approval of the Superintendent of Schools to the Department of Diné Education-Administration Office.

If you have any inquiries relative to this matter, contact the DODE Administration Office at 928-871-7475. Your cooperation is expected and appreciated.

X.C. Honorable Russell Begay, President, The Navajo Nation
Honorable Jonathan Nez, Vice President, The Navajo Nation
Joshua L. Butler, Chief of Staff, Office of the President/Vice President
Pearline Kirk, Controller, Office of the Controller
Dominic Beyer, Executive Director, Office of Management and Budget

BOARD OF EDUCATION

Bennie Begay, President · Gloria Johns, Vice President · Dolores Grayeyes, Secretary
Members: Dolly C. Begay · Dr. Pauline Begay · Dr. Bernadette Todacheene · Patrick D. Lynch · Marlene Burben
Dr. Tommy Lewis, Superintendent of Schools

FEB 16 2018

FY 18

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

Page 5 of 12
BUDGET FORM 4

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Phoenix Indian Center	
Business Unit No.:		119025	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (L001 to 9)	Object Code Description and Justification	Total by DETAILED Object Code	Total by MAJOR Object Code
5500	Communications and Utilities		300
5570	5580 Internal allocated portion of internet services at \$25/month * 12 months = 300		
6500	Contractual Services		22,460
6530	6530 Fees: Consulting fee for cultural consultants for 6 cultural events per year at \$1,000 per event for a total of \$6,000. Consulting/instruction fees for Navajo singing & weaving classes during the year at \$1,000 per semester = 2 semesters for 2 consultants for a total of \$4,000. Cultural Consultants for portion of L2M summer camp \$2,000. Summer sleep camp 10 students and 2 staff, travel and per diem expenses for week long camp \$51 per day x 5 days x 12 people for a total of \$3,060 Consultants for Seasonal storytelling sessions, \$1,000 x 4 sessions for a total of \$4,000 Balance (\$1,400.00) is for unknown/unbudgeted costs that may occur	22,460	
6600	Audit		2,500
6630	6630 - Audit fees an allocated portion of the total fees for the annual audit	2,500	
6900	Subcontracted Services	2,300	
6990	6990 Subcontracted Services-Payroll processing: Estimated cost of \$35.00 per month x 12 months for pro rata share of Payroll System cost		
	6990 Subcontracted Services-Network services: Estimated cost of \$250.00 x 12 mos. per qtr. for pro rata share of cost for antivirus protection & Network maintenance.		
7000	Special Transactions		1,500
7710	Insurance Premiums	1,500	
	7720 Liability, & property insurance: Allocated cost for liability insurance for POC and all programs. Coverage for \$2m liability and a Multi-Pert Commercial Policy. Allocation is budget based.		
8000	Public Assistance		23,750
8080	Emergency Assistance: To meet emergency housing, utility, transportation and other emergency needs. It is estimated that POC will provide an average of \$750.00 per client for rental assistance for at least 25 clients (cost = \$18,750 and the balance (cost = \$5,000) will be used for other emergency needs, i.e. utilities, transportation, etc. We receive FEMA/FCSF emergency rental funds and will use those guidelines for rental assistance.	23,750	
9500	Matching & Indirect Cost		20,455
9710	9720 Indian Costs Charged at approved rate of 16.5% which is negotiated with the US Department of Labor	20,455	
		74,265	74,265

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THE NAVAJO NATION EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

NOV - 9 2017

Page 7 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center

Contract/Grant No.: US Department of Labor

Funding Period: 07/01/17-06/30/18

K #:

Prepared by: Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

Major Object Code and Description	(A)	(B)	(C)	(D)
		Current Award Fiscal Year 18	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001 Personnel Expenses		806,623	806,623	-
3000 Travel Expenses		34,500	34,500	-
3500 Meeting Expenses		7,500	7,500	-
4000 Supplies		39,300	39,300	-
5000 Lease and Rental		139,000	139,000	-
5500 Communication and Utilities		4,000	4,000	-
6000 Repairs and Maintenance		-	-	-
6500 Contractual Services		157,000	157,000	-
7000 Special Transaction		-	-	-
8000 Assistance		31,956	31,956	-
9000 Capital Outlay		-	-	-
9510 Matching - Cash		-	-	-
9610 Matching - In - Kind		-	-	-
9710 Indirect Cost (Overhead) Allocation		159,698	159,698	-
TOTALS:		1,379,577	1,379,577	-

PART IV. FTEs/MATCH FUNDS:

No. of Positions/ FTEs:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): *St. Rita Ramirez L. Herrera*Signature/Date: *St. Rita Ramirez L. Herrera* 11/08/17Approved by (print): *TOOLUNG L. SUTS*Signature/Date: *TOOLUNG L. SUTS* 11/9/17

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THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

NOV - 9 2017

Page 8 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Arizona Governor's Office

Funding Period:

07/01/17-06/30/18

K #: Prepared by:

Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

Major Object Code and Description	(A)	(B)	(C)	(D)
		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Column (C) - (B)
2001 Personal Expenses		104,312	104,312	-
3000 Travel Expenses		1,602	1,602	-
3500 Meeting Expenses		-	-	-
4000 Supplies		6,890	6,890	-
5000 Lease and Rental		24,000	24,000	-
5500 Communication and Utilities		1,350	1,350	-
6000 Repairs and Maintenance		-	-	-
6500 Contractual Services		33,520	33,520	-
7000 Special Transaction		-	-	-
8000 Assistance		-	-	-
9000 Capital Outlay		-	-	-
9510 Matching - Cash		-	-	-
9610 Matching - In - Kind		-	-	-
9710 Indirect Cost (Overhead) Allocation		28,326	28,326	-
TOTALS:		200,000	200,000	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): Patricia K. Hissce
Signature/Date: [Signature] 11.08.17

Approved by (print): [Signature]
Signature/Date: [Signature] 11/11/17

FY 18

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

NOV - 9 2017

Page 9 of 12
BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
 Contract/Grant No.: Merry Maricopa Integrated Care

Funding Period:

07/01/17-06/30/18

K #:

Prepared by:

Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT**PART III. BUDGET INFORMATION:**

Major Object Code and Description	(A)		(B)		(C)		(D)	
			Current Award	Anticipated Funding			Difference	
			Fiscal Year	Fiscal Year			Columns (C) - (B)	
2001	Personnel Expenses		85,121	85,121			-	
3000	Travel Expenses		1,781	1,781			-	
3500	Meeting Expenses		3,000	3,000			-	
4000	Supplies		13,900	13,900			-	
5000	Lease and Rental		9,600	9,600			-	
5500	Communication and Utilities		480	480			-	
6000	Repairs and Maintenance						-	
6500	Contractual Services		15,000	15,000			-	
7000	Special Transaction						-	
8000	Assistance						-	
9000	Capital Outlay						-	
9510	Matching - Cash						-	
9610	Matching - In - Kind						-	
9710	Indirect Cost (Overhead) Allocation		12,082	12,082			-	
	TOTALS:		140,964	140,964			-	

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

APRIL K. HUBBARD

Signature/Date:

11.08.17

Approved by (print):

APRIL K. HUBBARD

Signature/Date:

11.08.17

FY 18

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

NOV - 9 2017

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BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Valley of the Sun United Way

Funding Period: 07/01/17-06/30/18
K #:
Prepared by: Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

Major Object Code and Description	(A)	(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Column (C) - (B)
2001 Personnel Expenses		44,218	44,218	-
3000 Travel Expenses		200	200	-
3500 Meeting Expenses		1,759	1,759	-
4000 Supplies		1,500	1,500	-
5000 Lease and Rental		400	400	-
5500 Communication and Utilities		200	200	-
6000 Repairs and Maintenance		-	-	-
6500 Contractual Services		3,000	3,000	-
7000 Special Transaction		-	-	-
8000 Assistance		-	-	-
9000 Capital Outlay		-	-	-
9510 Matching - Cash		-	-	-
9610 Matching - In - Kind		-	-	-
9710 Indirect Cost (Overhead) Allocation		-	-	-
TOTALS:		51,277	51,277	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:
CONCURRED BY:

Required GF Cash Match:
Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): THOMAS K. HILBETTER
Signature/Date: 11.08.17

Approved by (print): BECKY MARTIN
Signature/Date: 11/9/17

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THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

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BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
 Contract/Grant No.: US Department of Education

Funding Period: 10/01/15 - 09/30/17

K #: _____
 Prepared by: Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT**PART III. BUDGET INFORMATION:**

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001	Personnel Expenses	374,732	374,732	-
3000	Travel Expenses	17,000	17,000	-
3500	Meeting Expenses	9,500	9,500	-
4000	Supplies	16,884	16,884	-
5000	Lease and Rental	25,000	25,000	-
5500	Communication and Utilities	500	500	-
6000	Repairs and Maintenance	-	-	-
6500	Contractual Services	40,250	40,250	-
7000	Special Transaction	-	-	-
8000	Assistance	18,500	18,500	-
9000	Capital Outlay	-	-	-
9510	Matching - Cash	-	-	-
9510	Matching - In - Kind	-	-	-
9710	Indirect Cost (Overhead) Allocation	65,805	65,805	-
TOTALS:		568,171	568,171	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

CONCURRED BY:

Required GF Cash Match:
 Required GF In - Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): PHILIP K. NABELEK
 Signature/Date: PHILIP K. NABELEK 11.08.17

Approved by (print): BOUNCE & SONS
 Signature/Date: BOUNCE & SONS 11/01/17

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THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

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BUDGET FORM 6

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Foundations & Fundraising

Funding Period:

07/01/17-06/30/18

K #:

Prepared by:

Becky Martin**PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT****PART III. BUDGET INFORMATION:**

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001	Personnel Expenses	409,084	409,084	-
3000	Travel Expenses	37,902	37,902	-
3500	Meeting Expenses	47,500	47,500	-
4000	Supplies	41,915	41,915	-
5000	Lease and Rental	61,200	61,200	-
5500	Communication and Utilities	35,318	35,318	-
6000	Repairs and Maintenance	15,000	15,000	-
6500	Contractual Services	98,220	98,220	-
7000	Special Transaction	2,500	2,500	-
8000	Assistance	145,000	145,000	-
9000	Capital Outlay	-	-	-
9510	Matching - Cash	-	-	-
9610	Matching - In-Kind	59,755	59,755	-
9710	Indirect Cost (Overhead) Allocation	-	-	-
TOTALS:		953,394	953,394	-

PART IV. FTES/MATCH FUNDS:

No. of Positions/ FTES:

MATCHING FUND REQUIRED:

Required GF Cash Match:

CONCURRED BY:

Required GF In-Kind Match:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Signature/Date:

Theresa K. Hulse
11.08.17

Approved by (print):

Signature/Date:

Thomas L. Sells
11/14/17

**23rd NAVAJO NATION COUNCIL
NAABIK'ÍYÁTI' COMMITTEE REPORT
Third Year 2017**

The **NAABIK'ÍYÁTI' COMMITTEE** to whom has been assigned:

NAVAJO LEGISLATIVE BILL #0426-17


An Action Relating to Health, Education and Human Services, Budget and Finance, Naabik'iyáti', and Navajo Nation Council; Approving Supplemental Funding from the Unreserved, Undesignated Fund Balance in the Amount of One Hundred Forty-Eight Thousand Three Hundred Twenty-Five Dollars (\$148,325.00) for Phoenix Indian Center, Business Unit Number 119025

Sponsored by: Honorable Jonathon L. Hale

Co-Sponsored by: Honorable Seth Damon

Has had it under consideration and reports the same **PASSED AND REFERRED TO THE NAVAJO NATION COUNCIL**

Respectfully Submitted,



Honorable LoRenzo C. Bates, Chairman
NAABIK'ÍYÁTI' COMMITTEE

28 DECEMBER 2017

MAIN MOTION:

Motioned by: Honorable Nathaniel Brown

Seconded by: Honorable Norman M. Begay

Vote: 09 in Favor, 03 Opposed (Chairman Bates Not Voting)

RCS# 709

NAVAJO NATION

Naa'bik'iyati Committee

12/28/2017

01:58:53 PM

Amd# to Amd#

Legislation No. 0426-17

PASSED

MOT Brown

SEC Begay, NM

Approving supp funding from the
UUFB \$148,325 for Phoenix Indian
Center, Business Unit No. 119025

Yea : 9

Nay : 3

Not Voting : 12

Yea : 9

Begay, K
Begay, NM
Begay, S

BeGaye, N
Brown

Daniels
Hale

Perry
Pete

Nay : 3

Phelps

Bennett

Tsosie

Not Voting : 12

Bates
Chee
Crotty

Damon
Filfred
Jack

Shepherd
Slim
Smith

Tso
Witherspoon
Yazzie

THE NAVAJO NATION
LEGISLATIVE BRANCH
INTERNET PUBLIC REVIEW PUBLICATION



LEGISLATION NO: _0426-17_

SPONSOR: Jonathan L. Hale

TITLE: An Action Relating To Health, Education And Human Services, Budget And Finance, NAABIK'TYATI' And Navajo Nation Council: Approving Supplemental Funding From The Unreserved, Undesignated Fund Balance In The Amount Of One Hundred Forty-Eight Thousand Three Hundred Twenty-Five Dollars (\$148,325.00) For Phoenix Indian Center, Business Unit Number 119025

Date posted: October 18, 2017 at 7:28 PM

Digital comments may be e-mailed to comments@navajo-nsn.gov

Written comments may be mailed to:

Executive Director
Office of Legislative Services
P.O. Box 3390
Window Rock, AZ 86515
(928) 871-7586

Comments may be made in the form of chapter resolutions, letters, position papers, etc. Please include your name, position title, address for written comments; a valid e-mail address is required. Anonymous comments will not be included in the Legislation packet.

Please note: This digital copy is being provided for the benefit of the Navajo Nation chapters and public use. Any political use is prohibited. All written comments received become the property of the Navajo Nation and will be forwarded to the assigned Navajo Nation Council standing committee(s) and/or the Navajo Nation Council for review. Any tampering with public records are punishable by Navajo Nation law pursuant to 17 N.N.C. §374 *et. seq.*

The Navajo Nation Grant Application

Name of Applicant: Phoenix Indian Center		Telephone No.: 602-264-6768
Mailing Address: 4520 N. Central Ave, Suite 250, Phoenix, Az 85012		Email: phibbeler@phxindcenter.org
Physical Address: 4520 N. Central Ave, Suite 250, Phoenix, Az 85012		IRS TIN/EIN No: 86-6006566
NN Grant Request Amount: \$148,325	Grant Start Date: 10/1/2018	Grant End Date: 9/30/2018

Brief Description of the Organization or Entity Requesting the Grant:

The Phoenix Indian Center (PIC), established in 1947, is the oldest agency in the United States dedicated to improving the health and well-being of American Indian people by providing quality, culturally based youth programming, prevention of substance abuse and suicide, language/culture, education and job preparedness services. PIC works to build strong and lasting partnerships, and create strong leadership grounded in cultural values and honoring our history as an organization and peoples. The Navajo Language & Culture Program provides education, cultural enrichment &

PROBLEMS/NEEDS STATEMENT

Briefly describe the problems/needs identified based on relevant and collected data. The statement should be brief, clear and concise, including population (Navajo) to be served and location of the population.

According to the US Census, the Arizona population is estimated at 6,731,484 of which 5.3% (356,768) are American Indian and 31% of that population is Navajo. The 2011-2013 Census American Community Survey estimated the urban-living American Indian population in Maricopa County (off reservation) was 127,364 with 30% (38,209) being Navajo. Phoenix is the 3rd largest city with American Indian people in the United States. The Census also estimated that 20.8% of the homes in Arizona spoke Spanish followed by the next significant language group, Navajo at 1.48%. The Phoenix Indian Center annually provides services to over 7,000 people and reaches many more through presentations and community outreach events. 6 of every 10 clients to the Phoenix Indian Center identify themselves as Navajo tribal members. It is evident that many Navajo people relocate to the Phoenix area for various reasons including employment and/or to pursue higher education. Currently, many Navajo members who are no longer surrounded by their language report being detached from their Navajo community, not being fluent or have little speaking ability in their ancestral language and have limited cultural knowledge. Since 2005 to date, 5,675 students participated in Navajo language and culture classes while a total of 13,200 people attended Seasonal Storytelling events and presentations. This funding will provide continued opportunities for the urban Navajo community members to engage and to learn their heritage language, culture, and traditions which can promote self identity and self sufficiency. Additionally this project will allow for a continued partnership with Navajo School clothing program office and allow local Phoenix area students to participate and receive clothing for school.

METHODOLOGY

Briefly describe the approaches, services, and/or ways the problems or needs which will be addressed with the grant.

The problems and objectives discussed will be addressed through the following approach: Navajo language and culture classes will be offered to address the need to grow more Dine speakers and gain knowledge and preservation of cultural practices. Navajo language and culture classes will be offered on a semester basis and varied throughout the week on different days, times and locations for both youth and adults. Class offerings to date have included Adult Beginning Navajo Language, Adult Intermediate Navajo Language, Navajo Literacy, Intergenerational Language and Culture, Children's Navajo Language, Dine Culture, Navajo Singing Language Class and Navajo Weaving. Additionally, special seasonal cultural story telling events using Navajo experts to share cultural teachings with the Navajo people residing in the Phoenix Metro will be offered. Organizing Dine Culture camps is another avenue chosen to provide education in language and culture to the urban Navajo population, thus preserving and growing the Dine knowledge. Finally, Phoenix area Navajo families with enrolled students will have the opportunity to participate and receive Navajo school clothing through the partnership with Navajo School Clothing Program offered by the Navajo Nation.

To the best of my knowledge and belief, all data in this application are true and correct. The document has been duly authorized by the governing body of the applicant and the applicant will comply with the Navajo Nation terms and conditions if the grant is awarded.

Signature of Authorized Representative

09.21.18

Date Signed

Patricia K. Hibbeler

Type Name of Authorized Representative

Chief Executive Officer

Title

THE NAVAJO NATION

FY 19

PROGRAM BUDGET SUMMARY

Page 1 of 15
BUDGET FORM 1

PART I. Business Unit No.: 119025		Program Title: Phoenix Indian Center		Division/Branch: bmartin@phxindcenter.org	
Prepared By: Becky Martin		Phone No.: 602-264-6768		Email Address: bmartin@phxindcenter.org	

PART II. FUNDING SOURCE(S)		Fiscal Year / Term	Amount	% of Total	PART III. BUDGET SUMMARY			Fund Type Code	Original Budget	Proposed Budget	Difference (Column B - A)
U.S. Department of Labor		07/01/18 - 06/30/19	1,293,219.00	38%	2001	Personnel Expenses	1	38,070	55,195	17,125	
Az. Governor's Office		07/01/18 - 06/30/19	200,000.00	6%	3000	Travel Expenses	1	6,390	6,358	(32)	
Mercy Maricopa Integrated Care		07/01/18 - 06/30/19	140,964.00	4%	3500	Meeting Expenses	1	0	0	0	
Valley of the Sun United Way		07/01/18 - 06/30/19	51,277.00	2%	4000	Supplies	1	0	13,150	13,150	
U.S. Department of Education		10/01/18 - 09/30/19	524,819.00	15%	5000	Lease and Rental	1	0	19,800	19,800	
Navajo Nation		10/01/18 - 09/30/19	148,325.00	4%	5500	Communications and Utilities	1	0	300	300	
U.S. MSPJ		10/01/18 - 09/30/19	197,443.00	6%	6000	Repairs and Maintenance	1	0	0	0	
Other (fundraising, donations, etc)		07/01/18 - 06/30/19	837,156.00	25%	6500	Contractual Services	1	0	28,650	28,650	
					7000	Special Transactions	1	0	1,500	1,500	
					8000	Public Assistance	1	0	2,500	2,500	
					9000	Capital Outlay	1	0	0	0	
					9500	Matching Funds	1	0	0	0	
					9500	Indirect Cost	1	0	20,872	20,872	
					TOTAL			\$44,460.00	148,325.00	82,993	

PART IV. POSITIONS AND VEHICLES		(D)		(E)	
Total # of Positions Budgeted:					
Total # of Permanently Assigned Vehicles:					

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.

SUBMITTED BY: Patricia K. Hibbeler

09/21/18

APPROVED BY: Division Director/Branch Chief's Printed Name

APPROVED BY: Division Director/Branch Chief's Signature and Date

PART I. PROGRAM INFORMATION:

Business Unit No.: 119025

Program Name/Title: Phoenix Indian Center

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:

Amended Articles of Incorporation passed on January 9, 2001, signed by Jo Lynn Gentry-Lewis, President and Patty Dimitriou, Secretary and Certified by Carolyn Ortiz. The primary purpose of the program is to provide programs and services in answer to the needs of Native Americans residing in the metro-Phoenix area.

PART III. PROGRAM PERFORMANCE CRITERIA:

	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual

1. Goal Statement:

Number of students completing Navajo Language classes.

Program Performance Measure:

Educate more urban Navajo Tribal members in the Navajo language.

50			50		50		50	
----	--	--	----	--	----	--	----	--

2. Goal Statement:

Number of students completing Navajo Culture classes.

Program Performance Measure:

Educate more urban Navajo Tribal members in understanding of Navajo culture.

50			50		50		50	
----	--	--	----	--	----	--	----	--

3. Goal Statement:

Number of participants in Seasonal Stories and Culture Nights

Program Performance Measure:

Share knowledge of Navajo traditional stories and traditions including performances.

300			300		300		300	
-----	--	--	-----	--	-----	--	-----	--

4. Goal Statement:

Number of eligible Navajo families receiving financial emergency travel assistance

Program Performance Measure:

Promote urban self-sufficiency and stabilize Navajo families in crisis

5			5		5		5	
---	--	--	---	--	---	--	---	--

5. Goal Statement:

Number of eligible Navajos registering in outreach programs and services offered.

Program Performance Measure:

Provide opportunities for Urban families to receive Navajo School Clothing & Dine Voter Registration

20			300		20		300	
----	--	--	-----	--	----	--	-----	--

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

Patricia K. Hibbeler

Program Manager's Printed Name

09.21.18

Program Manager's Signature and Date

Division Director/Branch Chief's Printed Name

Division Director/Branch Chief's Signature and Date

SUB ACCT	POS NO	JOB TYPE	POSITION TITLE	EMP ID	WRKSITE CODE	FY 2018 ACTUAL		FY 2019 PROPOSED	
						G/S	SALARY	HOURS	BUDGET
2130	N/A	3874	Launguage and Culture	N/A	PIC		65348	520	16338
2130	N/A	3874	Launguage and Culture	N/A	PIC		38500	1560	28860

FY 19____

PART I. PROGRAM INFORMATION:			
Program Name/Title:		Phoenix Indian Center	Business Unit No.: 119025
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(U)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
2001	Personnel Expenses		55,195
	2130 Personnel Regular Program Manager (.25 FTE) 520 hours @ \$31.42/hour. Average 10 hours per week	16,338	
	2130 Personnel Regular Project Specialist (.75 FTE) 1560 hours @ \$18.50 /hour. Average 30 hours per week	28,860	
2900	Fringe Benefits	9,997	
	2912 FICA @ 6.2% * 45,198 = \$2,802		
	2914 Medicare @ 1.45% * 45,198 = \$655.40		
	2921 Medical Insurance @ 7% * 45,198 = \$3,164		
	2926 Life Insurance @ .005% * 45,198 = \$226		
	2940 Retirement @ 3% * 45,198 = \$1,356		
	2951 Unemployment @ .0272% * 45,198 = \$1,229		
	2950 Workers Compensation @ 1.25% * 45,198 = \$565		
3000	Travel Expenses		6,358
3210	3220 Vehicle rental, Navajo Clothing = \$1,725 one time rental fee based on historical costs including fuel	1,725	
3230	3260 Mileage 4,500 miles/year (375 miles/month - for meetings, and local for classes) 4,500 * .545 = \$2,452.50	2,453	
	3240 Per Diem 12 days @ \$75/day - \$900 Costs associated with conducting	900	
	3250 Lodging 8 days @ \$160/day = \$1,280 Costs associated with conducting business with the Nation in Window Rock, Navajo Clothing, meeting	1,280	
TOTAL		61,553	61,553

THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

Page 5 of 5
BUDGET FORM 4

FY 19

PART I. PROGRAM INFORMATION: Program Name/Title: _____		Business Unit No.: <u>119025</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
4000	Supplies		13,150
	4130 General Office Supplies, such as pens, paper, files, etc. @ \$250/month = \$3,000	3,000	
4410	Operating Supplies		
	4420 General Operating supplies: Est. cost for program materials books, cultural materials, etc. \$6,000/year	6,000	
	4450 Postage, shipping Est. cost \$150/year	150	
	4530 Printing, binding copying: Est cost \$1,000/quarter = \$4,000/year	4,000	
5110	Building		19,800
	5120 Office Space are based pm 900 square feet for 1 office and one classroom at \$22/ sq ft = \$19,800 (PIC occupies 14,000 sq ft and pays \$23,713 per month)	19,800	
5500	Communications and Utilities		300
	5570 Internet services allocated portion of internet services \$25/month * 12 months = \$300	300	
6500	Contractual Services		22,500
	6530 Fees: Consultants for Navajo singing, culture, language and weaving classes: total of 15 classes - 5 in fall, 5 in spring, 5 in summer 5 classes per semester @ \$1,100 each = \$5,500 x 3 semesters = \$16,500 Cultural Consultants for L2W summer camp. 1 consultant per week * 4 weeks @ \$500 each = \$2,000 Consultants for Seasonal Storytelling sessions @ \$1,000 * 4 sessions = \$4,000	16,500 2,000 4,000	
TOTAL		55,750	55,750


THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION

FY 19

PART I. PROGRAM INFORMATION:		Business Unit No.:	
Program Name/Title:		119025	
Phoenix Indian Center			
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
6600	Annual Audit		2,850
	6630 - Audit fees allocated portion of total fees	2,850	
6900	Subcontracted Services		3,300
	6990 Subcontracted services : Payroll processing Est. \$25/month *12 months = \$300	300	
	Subcontracted services : Network services Est cost \$250/month * 12 months = \$3,000	3,000	
7000	Special Transactions		1,500
	7720 Liability, and property insurance: allocated cost for liability insurance for PIC and all programs	1,500	
8020	Public Assistance		2,500
	8060 Emergency assistance - for emergency transportation needs to help people get home	2,500	
9710	Indirect Cost		20,872
	9720 Indirect cost charged at approved rate of \$16.38% which is negotiated	20,872	
TOTAL		31,022	31,022

Grand Total 148,325 148,325

**THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION**

PART I. PROGRAM INFORMATION:		Funding Period: <u>07/01/18 - 06/30/18</u>	
Program Name/Title: <u>Phoenix Indian Center</u>	K #: _____		
Contract/Grant No.: <u>U.S. Department of Labor</u>	Prepared by: <u>Becky Martin</u>		
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A) Major Object Code and Description	(B) Current Award Fiscal Year _____	(C) Anticipated Funding Fiscal Year _____	(D) Difference Columns (C) - (B)
2001 Personnel Expenses	807,607	576,021	(231,586.50)
3000 Travel Expenses	12,000	18,745	6,745.00
3500 Meeting Expenses		-	-
4000 Supplies	4,850	1,200	(3,650.00)
5000 Lease and Rental	60,000	80,000	20,000.00
5500 Communication and Utilities	5,349	3,000	(2,349.00)
6000 Repairs and Maintenance			-
6500 Contractual Services		5,000	5,000.00
7000 Special Transaction	80,000	427,238	347,238.32
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			
9710 Indirect Cost (Overhead) Allocation	157,785	182,015	24,230.18
TOTALS:	1,127,591	1,293,219	165,628.00
PART IV.			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
Required GF % Match:			
CONCURRED BY:			
Contracting Officer's Signature / Date: _____			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): <u>Patricia K. Hibbler</u>			
Signature/Date: <u></u> <u>09.21.18</u>			
Approved by (print): _____			
Signature/Date: _____			

PART I. PROGRAM INFORMATION:				
Program Name/Title: Phoenix Indian Center		Funding Period: 07/01/18 - 06/30/18		
Contract/Grant No.:		K #:		
		Prepared by: Becky Martin		
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT				
PART III. BUDGET INFORMATION:				
(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001	Personnel Expenses	103,304	107,993	4,689.00
3000	Travel Expenses	1,602	1,576	(26.00)
3500	Meeting Expenses			-
4000	Supplies	38,696	25,960	(12,736.00)
5000	Lease and Rental	24,000	24,000	-
5500	Communication and Utilities	1,000	1,000	-
6000	Repairs and Maintenance			-
6500	Contractual Services	5,400	11,900	6,500.00
7000	Special Transaction			-
8000	Assistance			-
9000	Capital Outlay			-
9510	Matching - Cash			-
9610	Matching - In - Kind			-
9710	Indirect Cost (Overhead) Allocation	25,998	27,571	1,573.00
TOTALS:		200,000	200,000	-
PART IV.				
MATCH FUNDS - No. of Positions:				
MATCH FUNDS - Required GF Cash Match:				
Required GF In-Kind Match:				
CONCURRED BY:				
Contracting Officer's Signature / Date:				
PART V. ACKNOWLEDGEMENT:				
Submitted by (print): Patricia K. Hibbeler		Approved by (print):		
Signature/Date: 09.21.18		Signature/Date:		

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Mercy Maricopa Integrated Care

Funding Period: 07/01/18 - 06/30/18

K #:

Prepared by: Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

(A) Major Object Code and Description		(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Columns (C) - (B)
2001	Personnel Expenses	80,404	73,318	(7,086.00)
3000	Travel Expenses	1,058	956	(102.00)
3500	Meeting Expenses			-
4000	Supplies	27,880	32,150	4,270.00
5000	Lease and Rental	10,500	10,500	-
5500	Communication and Utilities	600	900	300.00
6000	Repairs and Maintenance			-
6500	Contractual Services	3,000	3,300	300.00
7000	Special Transaction			-
8000	Assistance			-
9000	Capital Outlay			-
9510	Matching - Cash			-
9610	Matching - In - Kind			-
9710	Indirect Cost (Overhead) Allocation	17,522	19,840	2,318.00
TOTALS:		140,964	140,964	-

PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:
Required GF In-Kind Match:

CONCURRED BY:

Contracting Officer's Signature / Date: Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print): Patricia K. Hibbeler
Signature/Date: 09.21.18Approved by (print):
Signature/Date:

**THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION**

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Valley of the Sun United Way

Funding Period: 07/01/18 - 06/30/18

K #:

Prepared by: Becky Martin**PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT****PART III. BUDGET INFORMATION:**

(A)		(B)	(C)	(D)
Major Object Code and Description		Current Award Fiscal Year	Anticipated Funding Fiscal Year	Difference Columns (C) - (B)
2001	Personnel Expenses	40,969	40,969	-
3000	Travel Expenses	657	657	-
3500	Meeting Expenses			-
4000	Supplies	2,651	2,651	-
5000	Lease and Rental	3,500	3,500	-
5500	Communication and Utilities	400	400	-
6000	Repairs and Maintenance			-
6500	Contractual Services	3,100	3,100	-
7000	Special Transaction			-
8000	Assistance			-
9000	Capital Outlay			-
9510	Matching - Cash			-
9610	Matching - In - Kind			-
9710	Indirect Cost (Overhead) Allocation			-
TOTALS:		51,277	51,277	-

PART IV.

MATCH FUNDS - No. of Positions:

CONCURRED BY:

MATCH FUNDS - Required GF Cash Match:
Required GF In-Kind Match:

Required GF % Match:

Contracting Officer's Signature / Date:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Patricia K. Hibbeler

Signature/Date:

09.21.18

Approved by (print):

Signature/Date:

PART I. PROGRAM INFORMATION: Program Name/Title: <u>Phoenix Indian Center</u> Contract/Grant No.: <u>U.S. Department of Education</u>				Funding Period: <u>07/01/18 - 06/30/18</u> K #: _____ Prepared by: <u>Becky Martin</u>	
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT					
PART III. BUDGET INFORMATION:					
(A) Major Object Code and Description	(B) Current Award Fiscal Year	(C) Anticipated Funding Fiscal Year	(D) Difference Columns (C) - (B)		
2001 Personnel Expenses	305,518	305,518	-		
3000 Travel Expenses	7,000	7,000	-		
3500 Meeting Expenses			-		
4000 Supplies	59,543	54,882	(4,661.00)		
5000 Lease and Rental	35,000	36,000	1,000.00		
5500 Communication and Utilities	700	700	-		
6000 Repairs and Maintenance			-		
6500 Contractual Services	36,800	36,800	-		
7000 Special Transaction			-		
8000 Assistance			-		
9000 Capital Outlay			-		
9510 Matching - Cash			-		
9610 Matching - In - Kind			-		
9710 Indirect Cost (Overhead) Allocation	66,684	83,919	17,235.00		
TOTALS:	511,245	524,819	13,574.00		
PART IV.					
MATCH FUNDS - No. of Positions: _____					
MATCH FUNDS - Required GF Cash Match: _____					
Required GF In-Kind Match: _____					
CONCURRED BY:					
Contracting Officer's Signature / Date: _____ Required GF % Match: _____					
PART V. ACKNOWLEDGEMENT:					
Submitted by (print): <u>Patricia K. Hibbeler</u> Approved by (print): _____					
Signature/Date: <u><i>Patricia K. Hibbeler</i></u> 09.21.18 Signature/Date: _____					

THE NAVAJO NATION
EXTERNAL CONTRACT AND GRANT FUNDING INFORMATION

PART I. PROGRAM INFORMATION:

Program Name/Title: Phoenix Indian Center
Contract/Grant No.: Navajo Nation

Funding Period: 07/01/18 - 06/30/18

K #:

Prepared by: Becky Martin

PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT

PART III. BUDGET INFORMATION:

(A) Major Object Code and Description		(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19	(D) Difference Columns (C) - (B)
2001	Personnel Expenses	38,070	55,195	17,125.00
3000	Travel Expenses	6,390	6,358	(32.00)
3500	Meeting Expenses	-	-	-
4000	Supplies	-	13,150	13,150.00
5000	Lease and Rental	-	19,800	19,800.00
5500	Communication and Utilities	-	300	300.00
6000	Repairs and Maintenance	-	-	-
6500	Contractual Services	-	28,650	28,650.00
7000	Special Transaction	-	1,500	1,500.00
8000	Assistance	-	2,500	2,500.00
9000	Capital Outlay	-	-	-
9510	Matching - Cash	-	-	-
9610	Matching - In - Kind	-	-	-
9710	Indirect Cost (Overhead) Allocation	-	20,872	20,872.00
TOTALS:		44,460	148,325	103,865.00

PART IV.

MATCH FUNDS - No. of Positions:

MATCH FUNDS - Required GF Cash Match:
Required GF In-Kind Match:

CONCURRED BY:

Contracting Officer's Signature / Date:

Required GF % Match:

PART V. ACKNOWLEDGEMENT:

Submitted by (print):

Patricia K. Hibbeler

Signature/Date:

09.21.18

Approved by (print):

Signature/Date:

PART I. PROGRAM INFORMATION: Program Name/Title: _____ Phoenix Indian Center Contract/Grant No.: _____ I.H.S. MSPJ Funding Period: _____ 07/01/18 - 06/30/18 K #: _____ Prepared by: _____ Becky Martin			
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A)	(B)	(C)	(D)
Major Object Code and Description	Current Award Fiscal Year ____	Anticipated Funding Fiscal Year ____	Difference Columns (C) - (B)
2001 Personnel Expenses	82,850	82,950	100.00
3000 Travel Expenses	5,904	5,904	-
3500 Meeting Expenses			-
4000 Supplies	52,000	52,000	-
5000 Lease and Rental	9,000	9,000	-
5500 Communication and Utilities	600	600	-
6000 Repairs and Maintenance			-
6500 Contractual Services	19,200	19,200	-
7000 Special Transaction			-
8000 Assistance			-
9000 Capital Outlay			-
9510 Matching - Cash			-
9610 Matching - In - Kind			-
9710 Indirect Cost (Overhead) Allocation	27,789	27,789	-
TOTALS:	197,343	197,443	100.00
PART IV.			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
CONCURRED BY:			
Contracting Officer's Signature / Date:			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): Patricia K. Hibbeler			
Signature/Date: <i>Patricia K. Hibbeler</i> 09.21.18			
Approved by (print):			
Signature/Date:			

PART I. PROGRAM INFORMATION:			
Program Name/Title: Phoenix Indian Center		Funding Period: 07/01/18 - 06/30/18	
Contract/Grant No.: Other (fundraising, donations, etc)		K #:	
		Prepared by:	Becky Martin
PART II. PURPOSE OF FUNDING AND MATCH FUNDS REQUIREMENT			
PART III. BUDGET INFORMATION:			
(A)			
Major Object Code and Description		(B) Current Award Fiscal Year 18	(C) Anticipated Funding Fiscal Year 19
			(D) Difference Columns (C) - (B)
2001	Personnel Expenses	309,864	309,864
3000	Travel Expenses	37,800	37,800
3500	Meeting Expenses	46,000	46,000
4000	Supplies	39,915	39,915
5000	Lease and Rental	51,200	51,200
5500	Communication and Utilities	35,318	35,318
6000	Repairs and Maintenance	13,000	13,000
6500	Contractual Services	97,220	97,220
7000	Special Transaction	2,509	2,509
8000	Assistance	144,575	144,575
9000	Capital Outlay		
9510	Matching - Cash		
9610	Matching - In - Kind		
9710	Indirect Cost (Overhead) Allocation	59,755	59,755
TOTALS:		837,156	837,156
PART IV.			
MATCH FUNDS - No. of Positions:			
MATCH FUNDS - Required GF Cash Match:			
Required GF In-Kind Match:			
CONCURRED BY:			
Contracting Officer's Signature / Date:			
PART V. ACKNOWLEDGEMENT:			
Submitted by (print): Patricia K. Hibbeler		Approved by (print):	
Signature/Date: 09.21.18		Signature/Date:	

BUDGET AND FINANCE COMMITTEE

27 December 2018

Special Meeting

VOTE TALLY SHEET:

Legislation No. 0420-18:

An Action Relating to Budget and Finance Committee; Approving the Navajo Nation's Request to the United States Department of the Interior Office of the Special Trustee for American Indians to Draw Down \$489,435.00 from the Navajo Trust Fund, and Approving the Annual Budget and Allocations to the Phoenix Urban Navajo Trust Fund *Sponsored by Jonathan L. Hale, Council Delegate*

Motion: Jimmy Yellowhair

Second: Lee Jack, Sr.

Vote: 3-0, Chairman not voting

Vote Tally:

Seth A. Damon		
Jimmy Yellowhair	yay	
Tom T. Chee	yay	
Lee Jack, Sr.	yay	
Leonard Tsosie		
Tuchoney Slim, Jr.		

Absent: *Leonard Tsosie, Tuchoney Slim, Jr.*


Seth A. Damon, Chairman
Budget & Finance Committee
Peggy Nakai, Legislative Advisor
Budget & Finance Committee